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1	Discrimination of $\beta$ -cyclodextrin/hazelnut ( <i>Corylus avellana</i> L.) oil/flavonoid glycoside		
2	and flavonolignan ternary complexes by Fourier-transform infrared spectroscopy		
3	coupled with principal component analysis		
4			
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20			
21	Abstract		
22	This is the first study aiming the discrimination of $\beta$ -cyclodextrin ( $\beta$ -CD)/hazelnut ( <i>Corylus</i>		
23	avellana L.) oil/antioxidant ternary complexes through Fourier-transform infrared		
24	spectroscopy coupled with principal component analysis (FTIR-PCA). These innovative		
25	materials combine the characteristics of the three components in order to enhances the		

26 properties of ternary complexes such as the onsite protection against oxidative degradation of 27 hazelnut oil unsaturated fatty acid glycerides, increased apparent water solubility and 28 bioaccessibility of the hazelnut oil components and antioxidants, or controlled release of 29 bioactive compounds (fatty acid glycerides and antioxidant flavonoids, namely hesperidin, 30 naringin, rutin and silymarin). The appropriate method for obtaining the ternary complexes 31 was kneading at various molar ratios (1:1:1 and 3:1:1 for  $\beta$ -CD hydrate:hazelnut oil (average 32 molar mass of 900 g/mol):flavonoid). Recovering yields of the ternary complexes were in the 33 range of 51.5-85.3%, higher for 3:1:1 samples. Their thermal stability was evaluated by 34 thermal analyses. Discrimination of the ternary complexes was easily performed through the 35 FTIR-PCA coupled method, especially based on the stretching vibrations of CO groups in 36 flavonoids and/or CO/CC groups in ternary complexes at 1014.6( $\pm$ 3.8) and 1023.2( $\pm$ 1.1) cm<sup>-1</sup> 37 along the second PCA component  $(PC_2)$ , respectively. The wavenumbers are more 38 appropriate for discrimination than the corresponding intensities of the specific FTIR bands. 39 On the other hand, ternary complexes were clearly discriminated from the starting  $\beta$ -CD 40 hydrate along the first component (PC<sub>1</sub>) by all FTIR band intensities and along the PC<sub>2</sub> by the wavenumber of the asymmetric stretching vibrations of the CH groups at 2922.9( $\pm 0.4$ ) cm<sup>-1</sup> 41 42 for ternary complexes and 2924.8( $\pm$ 1.4) cm<sup>-1</sup> for  $\beta$ -CD hydrate. The first two PCA 43 components explain 70.38% from the variance of the FTIR data (from a total number of 26 44 variables). Other valuable classifications were obtained for the antioxidant flavonoids, with a 45 high similarity for hesperidin and naringin, according to FTIR-PCA, as well as for ternary 46 complexes depending on molar ratios. The FTIR-PCA coupled technique is a fast, 47 nondestructive and cheap method for evaluation of the quality and similarity/characteristics of 48 these new types of cyclodextrin-based ternary complexes having enhanced properties and 49 stability and that can have applications in food supplements or functional food products.

50

#### 51 Keywords

Antioxidant; Cyclodextrin; Flavonoid; Hazelnut vegetable oil; Ternary supramolecular
inclusion complex

54

#### 55 Introduction

56 Cyclodextrins (CDs) are studied for more than one hundred years due to their unique 57 properties related to the spatial macrocyclic structure that involves six to eight  $\alpha$ -D-58 glucopyranose (Glcp) units for the natural  $\alpha$ -,  $\beta$ - and  $\gamma$ -CD [1-3]. All hydroxyl groups are 59 oriented to the exterior of the macrocycle, providing high water solubility. On the other hand, 60 the tetrahydropyrane moieties of the Glcp units provide the hydrophobic property of the CD 61 cavity [4]. As a consequence, CDs can molecular nanoencapsulate hydrophobic molecules or 62 hydrophobic moieties of the bioactive compounds that are geometrically compatibles [5]. The 63 supramolecular inclusion complexes can provide enhanced water solubility and 64 bioavailability/bioaccessibility to the nanoencapsulated bioactive compounds, higher 65 oxidative and thermal stability or photostability of the labile compounds and their controlled 66 release [6, 7].

67

68 Vegetable oil and animal fat components that especially consist of fatty acid (FA) 69 triglycerides are appropriate guest molecules for obtaining CD-based complexes. The 70 hydrophobic long-chain thin moieties of the FA glycerides allow obtaining CD:FA glyceride 71 complexes at various molar ratios [8, 9]. Among the enhancing of the apparent water 72 solubility and bioaccessibility of the oil and fat components, the oxidative stability of the 73 polyunsaturated FA glycerides or free FAs are significantly increased by CD 74 nanoencapsulation. Thus, high thermal stability of the free linoleic acid encapsulated into  $\alpha$ -75 CD by co-crystallization, even the degradation temperature was increased to 100 °C [10].

76 Omega-3 FA glycerides such as eicosapentaenoic and docosahexaenoic acid glycerides (EPA 77 and DHA glycerides) from fish oils are less stable against oxidation. Their thermal and oxidative stabilities were significantly increased by CD nanoencapsulation such as for 78 79 common barbel (Barbus barbus L.), Pontic 80 shad (Alosa immaculata Bennett), European wels catfish (Silurus glanis L.), common bleak 81 (Alburnus alburnus L.), common nase (Chondrostoma nasus L.), Atlantic salmon (Salmo 82 salar L.), and European anchovy (Engraulis encrasicolus L.) oils [11-14]. Their stability and 83 the level of degradation compounds were determined by thermal methods (thermogravimetry-84 differential thermogravimetry, TG-DTG, and differential scanning calorimetry, DSC) and gas 85 chromatography-mass spectrometry (GC-MS), respectively. The addition of sodium caseinate 86 during the CD complexation of fish oils can further increase the oxidation stability and 87 retardation of odor [15]. Poultry lipids have high contents of mono- and polyunsaturated FA 88 glycerides, especially oleic and linoleic acid glycerides. The chicken lipids stability was 89 significantly increased by β-CD complexation, as was demonstrated by both thermal (TG-90 DTG and DSC) and chromatographic (GC-MS for the degradation compounds, i.e. aldehydes, 91 formylated carboxylic acids, or dicarboxylic acids) methods [16]. Also, vegetable oils 92 containing unsaturated FA moieties were stabilized by CD complexation. Common bean 93 (*Phaseolus vulgaris* L.) oil has 55.7-58.8% polyunsaturated FA relative content (as methyl 94 esters), with an important fraction of omega-3 α-linolenic acid (ALA) of 14.1-18.9%. It was 95 stabilized by  $\beta$ -CD complexation, with an increased content of the omega-3 FAs into the 96 nanoencapsulated oil of >14% [17]. Essential oil components are also compatible guests for 97 CD nanoencapsulation, even as unique compounds or essential oil mixtures (e.g., linalool, 98 nerolidol, nootkatone, or sweet basil - Ocimum basilicum L., caraway - Carum carvi L., 99 coriander - Coriandrum sativum L., fennel - Foeniculum vulgare Mill., dill - Anethum graveolens L., garlic – Allium sativum L., juniper – Juniperus communis L., clove – Syzygium 100

*aromaticum* (L.) Merr. & L.M., and perilla *– Perilla frutescens* (L.) Britton essential oils,
respectively) [18-24].

103

104 Among vegetable oils, hazelnut (Corylus avellana L.) oil is a valuable source of oleic acid 105 bound in various triglyceride combinations. The highest content was observed for triolein, 106 OOO (61-77.5% relative concentration), but OOL (glyceryl 1,2-dioleate 3-linoleate) and OOP 107 (glyceryl 1,2-dioleate 3-palmitate) also had high relative contents of 10.5-22.8 and 6.4-11.0%, 108 respectively [25]. The fatty acid profile of the hazelnut oil revealed a significantly high 109 content of oleic acid (as methyl ester, determined by GC-MS) of 74.2-82.8%, among linoleic 110 acid and even ALA (9.8-18.7 and ~0.1%, respectively) [26, 27]. The very high content of 111 unsaturated fatty acid glycerides significantly decreases the hazelnut oil stability. Only one 112 study was performed on the nanoencapsulation of hazelnut oil by  $\gamma$ -CD by co-precipitation 113 method and the thermal decomposition of the complex was evaluated by TG [28].

114

115 A way of enhancing the oxidative stability of oils and fats is the addition of antioxidants. 116 Among food grade antioxidants, natural polyphenols such as flavonoids and flavonoid-based 117 extracts are widely used [29-35]. Generally, flavonoids have a high number of phenolic 118 hydroxyl groups that provide the antioxidant activity. On the contrary, the presence of highly 119 hydrophilic groups such as saccharide moieties for flavonoid glycosides reduces the level of 120 hydrophobic interaction with the CD cavity. However, less hydrophilic moieties of flavonoid 121 glycosides or flavonolignans can favorable interact with CDs (i.e., 4-hydroxyphenyl, 3,4-122 dihydroxyphenyl- and 3-methoxy-4-hydroxyphenyl moieties in the hesperidin, naringin, and 123 rutin aglycones or silibinin). There are many studies revealing the interaction of flavonoids, 124 flavonoid glycosides and flavonolignans by CDs, especially for obtaining binary complexes 125 [36-43].

127	In a ternary complex (considering the vegetable oil as a single component), an antioxidant can
128	protect onsite the labile FA glycerides by co-nanoencapsulation into CD cavity. However, it is
129	very difficult to evaluate the way of interaction in such multicomponent system. There are
130	some studies on the CD-based ternary complexes, but they don't deal neither with triglyceride
131	based vegetable oils nor flavonoid glycosides/flavonolignans. Most of these studies are
132	related to controlled release from the CD complexes of various drugs such as diosmin and
133	polyethylene glycol, haloperidol and lactic acid, cyclosporine A and polyvinyl alcohol,
134	ketoprofen and phospholipids, dihydroartemisinin and lecithin, cefixime and L-arginine,
135	flurbiprofen and naproxen/ketoprofen/ethenzamide [44-53].
136	
137	Fourier-transform infrared spectroscopy (FTIR) is a very fast, nondestructive and cheap
138	method for evaluation of such ternary complexes. The coupling of FTIR or other
139	spectroscopic or chromatographic techniques with a multivariate statistical analysis method
140	(e.g., principal component analysis, PCA) allows evaluating the similarity/dissimilarity of the
141	complexes, as well as the identification of the variables that have significance for these
142	classifications. FTIR-PCA was successfully applied for discrimination of the raw and
143	thermally processed chicken lipids stabilized by nanoencapsulation in $\beta$ -CD or the raw and
144	recrystallized $\beta$ -CD from water and alcohol-water solutions [4, 16]. In other studies, PCA was
145	coupled with GC-MS for classifying $\beta$ -CD/Ocimum basilicum L. essential oil complexes or
146	the raw and thermally processed Mangalitza (Sus scrofa domesticus) lipid fractions, as well as
147	with spectrophotometry for discriminating of organic apples (Malus domestica Borkh.) on the
148	basis of antioxidant properties and radical scavenging kinetics [21, 54, 55].
149	

The goal of the study was the synthesis for the first time of β-CD/hazelnut (*Corylus avellana*L.) oil/flavonoid glycoside or flavonolignan ternary complexes (Figure 1) and discrimination
of these complexes by FTIR-PCA. The new ternary complexes can provide the onsite
protection against oxidative degradation of hazelnut oil components, in combination with the
protection/stabilization through the CD nanoencapsulation. Moreover, the apparent water
solubility, bioaccessibility, bioavailability and controlled release of guest bioactive
compounds can also be enhanced by ternary complexation.





Figure 1: Schematic representation of the interactions between host ( $\beta$ -cyclodextrin) and 161 162 guest molecules (flavonoid glycoside/flavonolignan and a fatty acid triglyceride from the hazelnut oil): (a) both triolein from hazelnut oil and hesperidin interact with  $\beta$ -cyclodextrin 163 164 from the secondary face; (b) glyceryl 1,2-oleate 3-palmitate (from hazelnut oil) interact with 165 the  $\beta$ -cyclodextrin from the primary face, while silibinin A (the main component from 166 silymarin) interact with  $\beta$ -cyclodextrin from the secondary face

167

#### 168 **Results and Discussion**

#### 169 Synthesis and thermal analysis of ternary complexes

170 The complexity of the starting materials, especially the hazelnut oil, as well as the differences

- 171 among their characteristics (hydrophobicity and water solubility) suggest the kneading
- 172 method as the most appropriate one for obtaining  $\beta$ -CD/hazelnut (*Corylus avellana* L.)
- 173 oil/flavonoid glycoside or flavonolignan ternary complexes. Kneading allows to recover much
- 174 more CD complexes in comparison with co-crystallization method because of the lower
- 175 solvent volumes used for preparation. On the other hand, similar methods to kneading such as

176 spray-drying do not provide an intimate contact for the three types of components for enough 177 time to reach the association-dissociation equilibrium [1, 21, 56]. In this study, the recovery 178 yields were in the range of 51.5-85.3%, significantly higher for 3:1:1 complexes. Equimolar 179 X1H, X1N, X1R and X1S ternary complexes were obtained with the yields of  $57.7(\pm 8.8)$ , 180 54.6(±1.9), 74.3(±1.8) and 64.7(2.6)%, respectively. For 3:1:1 ternary complexes (single 181 samples) these yields were in the range of 74.5-85.3%. These differences can be explained by 182 the level of hydration, as was determined by TG (see below). The mass loss for 1:1:1 183 complexes is at a half in comparison with the water content of  $\beta$ -CD, as was indicated by the 184 manufacturer (6.4-7.4% for complexes and 14% for  $\beta$ -CD hydrate). On the other hand, the 185 mass loss of the 3:1:1 complexes is much higher (e.g., 11.8% for X3N complex). As a 186 consequence, the 1:1:1 complexes lose much hydration water than the 3:1:1 complexes, more 187 probably due to a high level of complexation for the first cases. This aspect is confirmed by 188 thermal analysis, especially by DSC.

189

190 Both TG-DTG and DSC thermal analyses provide information about the molecular inclusion 191 of guest molecules into the  $\beta$ -CD cavity. Unfortunately, these methods cannot differentiate between the encapsulated components and entrapment efficiency. However, the study aimed 192 193 to discriminate such ternary complexes only on the basis of FTIR (fast, cheap and 194 nondestructive) and less to evaluate the competitiveness to molecular encapsulation of such 195 multicomponent mixtures (highly hydrophobic FA triglycerides, mono- and diglycerides, free 196 FAs, as well as more hydrophilic flavonoid glycoside, namely hesperidin, naringin and rutin, 197 or flavonolignan – silibinins). According to TG-DTG and DSC analyses, ternary complexes 198 are very stable, at least up to 200 °C and even more if DSC behavior is considered. TG and 199 DTG plots are quite similar for ternary complexes at 1:1:1 molar ratio, in comparison with the 200  $\beta$ -CD hydrate up to ~200 °C. The only significant difference was observed for the mass loss

201 corresponding to the water/moisture release up to ~110 °C, with values of 6.37-7.38% and 202 9.45% for  $\beta$ -CD hydrate, respectively. Lower mass loss for  $\beta$ -CD hydrate in comparison with 203 the water content provided by the manufacturer (maximum 14% by oven drying) can be due 204 to the TG protocol, which assumes the pre-equilibration of the microbalance prior analysis. 205 Consequently, the surface water can be partially loss prior the starting of the analysis. 206 However, the difference of 2-3% for the ternary complexes at 1:1:1 molar ratios can be 207 explained by partially replacing the water molecules during the molecular encapsulation of 208 guest molecules (FA triglycerides and flavonoids). On the other hand, the mass loss for the 209 3:1:1 ternary complexes is similar to  $\beta$ -CD hydrate or even higher (see Supporting 210 Information File 1, Figures S1-S4 and Tables S1 and S2). This means that an important 211 fraction of  $\beta$ -CD is not involved in the formation of complexes and remain as  $\beta$ -CD hydrate. 212 These observations are in agreement with other studies on the complexation of vegetable 213 (common bean lipids) and fish (common barbel, Pontic shad, European wels catfish, common 214 bleak) oils by CDs [11, 17]. Moreover, this TG behavior does not depend on the method of 215 synthesis (kneading or co-crystallization) or the method of water determination (TG as mass 216 loss or Karl Fischer water titration, KFT) [6, 57]. It was observed that the difference between 217 the water content or TG mass loss up to ~110 °C is lower for CD/flavonoid binary complexes 218 in comparison with the CD/fish (Atlantic salmon or European anchovy) oil binary complexes 219 [12, 14, 37]. TG results are in agreement with the DSC data, where the endothermic 220 calorimetric effect corresponding to water/moisture release is lower for the ternary complexes 221 (378 J/g for X1N and 432 J/g for  $\beta$ -CD hydrate, Supporting Information File 1, Figure S5 and 222 Table S3). There are two aspects that can be observed in DSC and not in TG-DTG analyses. 223 First, the presence of two types of water molecules in the ternary complexes appears at two 224 specific DSC peak temperatures of 44.5 °C for surface water and 82.0 °C for the strongly 225 retained water molecules. If the surface water-related temperature is quite similar to the  $\beta$ -CD

226 hydrate, the strongly retained water have higher DSC peak temperature value for  $\beta$ -CD (94.7 227 °C). This observation sustain the partial replacing of strongly retained water molecules during 228 the complexation process. The second observation on DSC results is related to the absence of 229 the endothermal-exothermal calorimetric peak from 218.9 °C in the case of X1N ternary 230 complex. It means that this complex obtained by kneading has amorphous structure, in 231 comparison with the  $\beta$ -CD hydrate, which reveals a calorimetric peak in this region due to the 232 transition of anhydrous  $\beta$ -CD (after water release) from the crystalline to amorphous state [6]. 233 Finally, TG indicates a mass loss of 1.4-4.0% in the range of 110-275 °C for 1:1:1 ternary 234 complexes and only 1.25% for 3:1:1 complexes, in comparison with almost no mass loss for 235  $\beta$ -CD hydrate (0.05%). The degradation of  $\beta$ -CD appears after 275 °C, with a maximum 236 degradation rate at 299.4-326.0 °C by DTG (the highest for  $\beta$ -CD) and ~322 °C by DSC. The 237 degradation of the encapsulated hazelnut oil components (especially triglycerides) appear at 238 higher temperature of 394-407 °C (DTG and DSC).

239

#### 240 Fourier transform infrared spectroscopy (FTIR) of ternary complexes

241 FTIR is a fast method that allows evaluating the presence of a compound in a complex 242 through the specific bands.  $\beta$ -CD consists of seven  $\alpha$ -D-glucopyranose units 1 $\rightarrow$ 4 linked into 243 a macrocycle. As a consequence, the FTIR specific bands especially appear for OH, CC and 244 CH/CH<sub>2</sub> bonds and groups. However, CD specific bands also appear for CH group in the CD 245 ring and  $\alpha$ -type glycosidic bonds. Thus, the broad FTIR band corresponding to the stretching 246 vibration of the O-H bonds in  $\beta$ -CD and hydration water molecules appear at ~3301 cm<sup>-1</sup>. A 247 weak band for the asymmetric stretching vibrations of the C-H groups appear at  $2924.8(\pm 1.4)$ cm<sup>-1</sup>, while the bending vibrations (in-plane, asymmetric and symmetric) of OH and CH 248 249 groups appear as weak bands in the range of 1205-1643 cm<sup>-1</sup>. The stretching vibrations of the 250 C-O and C-C groups in glucoside moieties appear as medium-strong bands in the range of

998-1152 cm<sup>-1</sup>. A specific band for CD appear at  $939.2(\pm 1.8)$  cm<sup>-1</sup> and is assigned to the 251 252 stretching vibrations of the C-H groups from the  $\beta$ -CD ring. Also, the band from 852.9(±0.8) 253  $cm^{-1}$  is assigned to the bending vibrations of the C-C-H groups related to the  $\alpha$ -type glycosidic bonds in CDs. Other bands appear at wavenumbers lower than 800 cm<sup>-1</sup> and were tentatively 254 assigned to the banding vibrations of the CH and OCC groups (574-754 cm<sup>-1</sup>), as well as to 255 256 the stretching vibrations of the CC bonds at 526.3( $\pm 1.3$ ) cm<sup>-1</sup> [58, 59]. Relevant data on the 257 FTIR analysis of  $\beta$ -CD is presented in Figures 2, 3 and Supporting Information File 1 (Figures 258 S6-S11 and Table S4).







Figure 2: Superposition of the FTIR spectra for β-cyclodextrin/*Corylus avellana* oil/Hesperidin ternary complex at 1:1:1 molar ratio (blue), β-cyclodextrin hydrate (red), *C*.
 *avellana* oil (pink) and hesperidin (green)



Figure 3: Superposition of the FTIR spectra for β-cyclodextrin/*Corylus avellana* oil/Hesperidin ternary complex at 3:1:1 molar ratio (blue), β-cyclodextrin hydrate (red), *C*.
 *avellana* oil (pink) and hesperidin (green)

264

269 Vegetable oils and animal fats especially contain FA triglycerides, but mono-, diglycerides 270 and free FAs also exist. As a consequence, the broad band corresponding to the stretching 271 vibrations of the O-H groups only appear from the free fatty acids and water. In the hazelnut 272 samples, this band was observed at  $3287.8(\pm 10)$  cm<sup>-1</sup>. Very useful in this study was the weak 273 band at  $3005(\pm 0.2)$  cm<sup>-1</sup>, which correspond to the symmetric stretching vibrations of the =CH 274 groups from the mono- and polyunsaturated FA moieties (especially oleic acid, but also 275 palmitoleic and linoleic acids). The asymmetric and symmetric stretching vibrations of the CH groups provide strong bands at 2952.5( $\pm 0.3$ ), 2922.5( $\pm 0$ ), and 2853.2( $\pm 0$ ) cm<sup>-1</sup> due to the 276 277 high number of CH<sub>2</sub> and CH<sub>3</sub> groups in the triglyceride structures. Another important and 278 characteristic FTIR band for glycerides is that corresponding to the stretching vibrations of the esteric C=O groups that appear very strong at  $1744(\pm 0)$  cm<sup>-1</sup> for hazelnut oil. The 279 280 stretching vibration of the *cis*RHC=CHR' group was observed at  $1652.7(\pm 0.3)$  cm<sup>-1</sup>, but as a

281 weak band. Medium and strong bands are those related to the bending vibrations of the CH<sub>2</sub> and CH<sub>3</sub> groups at 1458.7( $\pm 0.2$ ) cm<sup>-1</sup>, bending vibrations of the CH<sub>2</sub> groups at 1236.8( $\pm 1.3$ ) 282 and 1158.1( $\pm 2.3$ ) cm<sup>-1</sup>, the stretching vibrations of the C-O groups at 1027.9( $\pm 5.7$ ) cm<sup>-1</sup>, as 283 284 well as the out-of-plane bending vibrations in the C-H groups at  $722(\pm 0.1)$  cm<sup>-1</sup>. Some degradation/isomerization of oils can be observed at 956.7( $\pm$ 8.7) cm<sup>-1</sup>, where the band 285 286 corresponding to the bending vibrations of the C=C groups in *trans*RHC=CHR' groups 287 appear (sometimes at slightly higher values). Details of the FTIR analysis of hazelnut oil 288 samples can be seen in Figures 2, 3 and Supporting Information File 1 (Figures S6-S11 and 289 Table S5) [60].

290

291 Hesperidin, naringin and rutin are flavonoid glycosides derived from the corresponding 292 flavanones (hesperetin and naringenin) and flavonol (quercetin), respectively. They also have 293 a disaccharide moiety connected to the aglycones through the etheric linkage with the 294 hydroxyl groups from the 7 and 3 positions (Figure 1a). On the other hand, silibinins (the 295 main components from silymarin) are flavanonol derivatives, having a coniferyl alcohol 296 moiety connected through the hydroxyl groups from the 3' and 4' positions of the aglycone 297 (Figure 1b). FTIR analysis of these flavonoids revealed stretching and bending vibrations 298 corresponding to OH bonds (phenolic or alcoholic, glycosidic and from the water molecules), 299 CH bonds (especially from CH<sub>2</sub> and CH<sub>3</sub> groups), bands corresponding to the aromatic CC 300 bonds and to the carbonyl C=O bond. The most relevant FTIR band for these compounds is 301 the asymmetric stretching vibrations of the C=O bonds, v<sup>as</sup>C=O, which appears around 1633-1651 cm<sup>-1</sup>. The highest value was observed for silymarin at  $1634.1(\pm 0.4)$  cm<sup>-1</sup> and the lowest 302 303 one for rutin at  $1651(\pm 0.1)$  cm<sup>-1</sup>. Herperidin and naringin have approximately the same values 304 for this band (~1645 cm<sup>-1</sup>). The stretching vibrations of the O-H bonds in phenolics, 305 glycosidic, or water hydroxyls appear as a broad band in with maximum wavenumbers in the

range of 3263-3541 cm<sup>-1</sup>. Asymmetric and symmetric stretching vibrations of the C-H bonds 306 in CH<sub>3</sub> and CH<sub>2</sub> groups appear at 2931-2941 cm<sup>-1</sup>, but FTIR bands also appear at 2982, 2907-307 2914 and 2876-2897 cm<sup>-1</sup> in flavonoid glycosides. In these compounds the bending vibrations 308 of the aromatic CC groups appear at 1583-1604 cm<sup>-1</sup> and ~1518 cm<sup>-1</sup>, some of these bands 309 310 being superimposed by the stretching vibrations of the C-C group in the ring C of aglycones. 311 The stretching of a C-C group also appear in silymarin/silibinins at 1509.9(±0.6), while this 312 value is significantly lower for flavonoid glycosides (1502-1504 cm<sup>-1</sup>). Other bending 313 vibrations were observed for CH bonds in the range of 1393-1468 cm<sup>-1</sup>, while the stretching 314 vibrations for CC, CO bonds and the bending vibrations for HOC, OCH, HCC groups were superimposed in the range of 1011-1364 cm<sup>-1</sup>. It must be highlighted the stretching vibration 315 316 of the O-C groups in all flavonoids, which appear at 968-995 cm<sup>-1</sup>. Finally, out-of-plane 317 bending vibrations of CH groups and twisting bending vibrations of COH and HCCC groups appear in the range of 742-921 cm<sup>-1</sup> [61-66]. All wavenumber values corresponding to 318 319 specific FTIR bands as well as the superimposed FTIR spectra of flavonoids with the other 320 components of the ternary complexes are presented in Figures 2, 3 and Supporting 321 Information File 1 (Figures S6-S11 and Tables S6-S9). 322

323 Ternary complexes reveal the medium and strong FTIR bands of the above-mentioned host 324 and guest components. However, FTIR bands that appear in specific regions where no other 325 bands exist can also be relevant for the presence of the compound in the complex. It is the 326 case of the weak band corresponding to the symmetric stretching vibrations of the =CH 327 groups from unsaturated glycerides in the hazelnut oil, which appear at  $3006.5(\pm 1)$ ,  $3006.4(\pm 0.6)$ ,  $3006.3(\pm 1.1)$  and  $3006.6(\pm 1.6)$  cm<sup>-1</sup> for the X1H, X1N, X1R and X1S ternary 328 329 complexes at 1:1:1 molar ratios, respectively. These values are slightly higher by 1.1-3.1 cm<sup>-1</sup> 330 for all 3:1:1 ternary complexes (see Figures 2, 3 and Supporting Information File 1, Figures

331 S6-S11 and Tables S6-S9). The strong bands corresponding to the asymmetric and symmetric 332 stretching vibrations of the C-H bonds in the aliphatic CH<sub>3</sub>/CH<sub>2</sub> groups, as well as to the 333 stretching vibrations of the esteric C=O groups in triglycerides from hazelnut oil are clearly 334 visible in all ternary complexes at 2922-2924, 2853-2854 and 1744-1745 cm<sup>-1</sup>, respectively. 335 These values are very close to that corresponding to the starting hazelnut oil. Among other 336 glyceride-related bands, those from 1453-1458 (bending vibrations of the CH<sub>2</sub> and CH<sub>3</sub> groups), 1236-1244 and 1152-1153 cm<sup>-1</sup> (bending vibrations of the CH<sub>2</sub> groups) are also 337 338 representative in the ternary complexes. They generally appear at lower values in the first case 339 and significantly higher values in the last case in comparison with the raw hazelnut oil (see 340 Supporting Information File 1, Figures S6-S11). 341 342 For flavonoids, the most relevant FTIR bands for the ternary complexes were those 343 corresponding to the asymmetric stretching vibrations of the C=O groups in the range of 344 1637-1652 cm<sup>-1</sup> for ternary complexes and the stretching vibrations of the C-C group in the 345 ring C of flavonoid glycosides or the bending vibrations of the aromatic CC groups in the 346 range of 1598-1608 cm<sup>-1</sup>, but without specific variations in comparison with the starting 347 compounds. The same remark can be made for the band from the range 1268-1299 cm<sup>-1</sup>, 348 which can be attributed to the in-plane bending vibrations of the CH and OCH groups, to the 349 stretching vibrations of the C-C groups in flavonoid glycosides or to the stretching vibrations 350 of the C-O groups in silymarin components (lower values). Another band that appear in all ternary complexes and was assigned to flavonoids is that from the 807-821 cm<sup>-1</sup>, which 351 352 corresponds to the out-of-plane bending vibrations of the C-H groups. They are significantly 353 lower in rutin and rutin-related complexes.

355  $\beta$ -CD is the host compounds of the above-mentioned biologically active compounds and its 356 contents vary in 1:1:1 and 3:1:1 complexes. Among the wavenumbers corresponding to the 357 characteristic bands from the FTIR of  $\beta$ -CD, their intensities are also relevant for 358 discrimination of ternary complexes. However, many  $\beta$ -CD-related bands are weak or have at 359 least medium intensities in the range of 1200-4000 cm<sup>-1</sup>. The most relevant for ternary complexes were the medium-strong intensity bands at 1152-1154 cm<sup>-1</sup> (stretching vibrations 360 of the C-O-C groups in glucoside moieties), 1077-1080 cm<sup>-1</sup> (stretching vibrations of the C-C 361 groups), 1022-1026 cm<sup>-1</sup> (stretching vibrations of the C-O groups), 944-947 cm<sup>-1</sup> (stretching 362 363 vibrations of the C-H groups from the  $\beta$ -CD ring), and other two medium intense bands at 574-576 and 522-529 cm<sup>-1</sup>, which were tentatively assigned as bending vibrations of the O-C-364 365 C groups and stretching vibrations of the C-C groups, respectively (see Figures 2, 3 and 366 Supporting Information File 1, Figures S6-S11 and Tables S4, S6-S9).

367

# 368 Discrimination of ternary complexes by Fourier transform infrared spectroscopy coupled 369 with principal component analysis (FTIR-PCA) of ternary complexes

370 Taking into account the differences between the wavenumbers and intensities of specific 371 stretching and bending vibrations of β-CD hydrate, raw hazelnut oil and flavonoids in the 372 "pure" form and as ternary complexes, a multivariate statistical analysis technique was 373 applied for discriminating these samples and identifying the important FTIR variables for 374 such classifications. PCA is a widely used multivariate statistical analysis technique that can 375 extract the valuable information from a large dataset. It is the case of FTIR data (both 376 wavenumbers and intensities), where were assigned 20, 17, 34 and 33 FTIR bands for  $\beta$ -CD 377 hydrate, hazelnut oil, flavonoids and ternary complexes, respectively (see Supporting 378 Information File 1, Tables S4-S9). On the other hand, not all FTIR bands corresponding to the 379 starting compounds can be seen and assigned in the ternary complexes. PCA works with a

380 complete variable matrix. As a consequence, only those FTIR bands that were identified in 381 both the starting materials and ternary complexes were considered for PCA analysis (see 382 Table 1 and Supporting Information File 1, Tables S10-S12). This matrix is translated and 383 rotated in a way for obtaining the maximum variance of the data. The new axes are 384 denominated Factors or Principal Components (PCs). The translation coordinates will provide 385 the scores plots that reveal the similarities/dissimilarities between cases (samples), while the 386 representation of the rotation coordinates of the axes (direction cosines) will give information 387 about the influence of variables to the classification of cases. Only few PCs will extract the 388 useful information from the dataset. As a consequence, the large number of variables will be 389 reduced to only 2-4 PCs that will explain the variance of the data.

390

# 391 Discrimination of flavonoid glycosides and flavonolignans

392 Twenty two variables were considered for the discrimination of flavonoids (flavonoid glycosides – hesperidin, "H", naringin, "N", rutin, "R", and flavonolignans – silymarin, "S"), 393 which corresponds to wavenumbers and intensities of the FTIR bands identified in all 394 395 flavonoids (Supporting Information File 1, Table S10). The flavonoid samples were clearly 396 grouped, as was observed in the PC<sub>2</sub> or PC<sub>3</sub> vs. PC<sub>1</sub> scores plot (Supporting Information File 397 1, Figures S12 and S13. Better results were obtained if only wavenumbers were used as PCA 398 variables (Figure 4). All flavonoid glycosides are classified in the positive region of the PC<sub>1</sub>, 399 in comparison with flavonolignans (silymarin components). According to FTIR-PCA 400 analysis, hesperidin, naringin and rutin are more similar and all of them are dissimilar to 401 silymarin. This classification is especially due to the bands corresponding to stretching 402 vibrations of the C=O groups and bending vibrations for the CH groups for the positive region 403 of PC<sub>1</sub> and to stretching vibrations of the CO and CC bonds for the negative part (Table 1 and 404 Supporting Information File 1, Figures 14-18 and Table S10). In this latter case, only the first

405 three PCs explain 97.41% of the variance of the FTIR data, with the biggest value for  $PC_1$ 

406 (47.29%), as is observed for eigenvalues greater than 1 in Figure S19 (Supporting Information

407 File 1).



409 **Figure 4:**  $PC_2$  *versus*  $PC_1$  scores plot from the FTIR-PCA analysis of the flavonoid glycoside 410 and flavonolignan antioxidants (codes: "H" – hesperidin, "N" – naringin, "R" – rutin and "S"

411 – silymarin); only wavenumbers of the FTIR bands were used as input variables

412

413 **Table 1:** Factor coordinates (principal components, PCs) of the variables, based on

- 414 correlations, from the FTIR-PCA analysis of the flavonoid glycoside and flavonolignan
- 415 antioxidants; only wavenumbers ("v" for stretching vibrations, "d" for bending vibrations)



416 of the FTIR bands were used as input variables

d(arC#C)	0.595	0.714	0.353
d1(CH2/3)	-0.350	0.026	-0.931
v1(CO)/d1(CO)	-0.416	0.797	-0.435
d1(CH)	0.986	-0.142	-0.061
v(CO)/v(CC)	0.937	0.128	-0.321
v(CO)/v(CC/CO)	-0.940	0.077	0.302
d4(CH)	-0.557	-0.739	0.049

# 418 Discrimination of ternary complexes and $\beta$ -CD hydrate samples

419 In the same way, ternary complexes and native  $\beta$ -CD hydrate samples were classified 420 according to specific FTIR wavenumbers and intensities of the bands identified in all samples. 421  $\beta$ -CD hydrate samples were classified in the top-right region of the PC<sub>2</sub> vs. PC<sub>1</sub> scores plot 422 (codes "Y"), in comparison with the ternary complexes in the center-left and bottom of the 423 plot. Moreover, such grouping can also be observed for some ternary complexes types (e.g., 424 "X1H" in the left and "X3R" in the top-left of the plot) (Figure 5). Few FTIR variables are 425 responsible for the discrimination of ternary complexes and  $\beta$ -CD samples, especially those 426 related to band intensities corresponding to bending vibrations of CH<sub>2</sub> groups and stretching 427 vibrations of various bonds including those from CCO, CCC, CO and COC systems (PCA 428 results are not presented).





Figure 5: PC<sub>2</sub> versus PC<sub>1</sub> scores plot from the FTIR-PCA analysis of the β-CD/hazelnut
oil/flavonoid ternary complexes (codes: "X1H/N/R/S" and "X3H/N/R/S" for the 1:1:1 and
3:1:1 ternary complexes with hesperidin/naringin/rutin/silymarin, respectively) and β-CD
hydrate (code: "Y"); all wavenumber and intensity of the FTIR bands were used as input
variables

# 437 Discrimination of ternary complexes and flavonoids

438 More interesting were the results obtained for the FTIR-PCA analysis of ternary complexes

439 and flavonoids. A total of 18 FTIR variables (both wavenumbers and intensities, Supporting

440 Information File 1, Tables 11 and 12) were identified in all ternary complexes and flavonoids.

- 441 They were used as input variables for discrimination of complexes and guest compounds.
- 442 Also, the wavenumbers and intensities sets were used separately for the discrimination.
- 443 Flavonoids were clearly classified in the left side of the  $PC_2$  vs.  $PC_1$  scores plot (Figure 6).
- 444 Wavenumber of the band corresponding to the stretching vibrations of the CO and CC bonds

445 for the positive side, as well as the intensity on the band corresponding to the asymmetric 446 stretching vibration of the CH bond for the negative side of the PC<sub>1</sub> were the most important 447 for this classification (see also Supporting Information File 1, Figure S20 for the PC<sub>3</sub> vs. PC<sub>1</sub> 448 scores plot, Figures S21, S22 for the corresponding loadings plots and Table S11 for the 449 influence of variables to the classification). Better results were obtained if only wavenumbers 450 were used as input variables for the FTIR-PCA analysis of ternary complexes and the starting 451 flavonoids. All flavonoids were grouped in the right side of the PC<sub>2</sub> vs. PC<sub>1</sub> scores plot, with 452 higher similarity for hesperidin, naringin and rutin (Figure 7). On the other hand, all ternary 453 complexes were located in the left side of this plot, also sub-classified according to the 454 presence of a specific flavonoid. In a similar manner, ternary complexes based on silymarin 455 are dissimilar with the other complexes, which have a high level of similarity. These 456 observations are also sustained by the other scores plots, all with very good classifications of 457 the samples (Figures 8 and 9). Responsible for these classifications are the variables 458 corresponding to the FTIR band related to symmetric and asymmetric stretching vibrations of 459 the CH bonds (positive PC<sub>1</sub>), stretching vibrations of the CC and CO bonds (negative PC<sub>1</sub>), 460 stretching and bending of C=O and OH/CH, respectively (negative PC<sub>2</sub>) (Figures 10 and 11, 461 Supporting Information File 1, Table S12). These valuable discrimination results used only 462 the first three PCs, which explain almost all the variance of the FTIR data, as was presented in 463 Figure 12 (85.69%).



466 Figure 6: PC<sub>2</sub> versus PC<sub>1</sub> scores plot from the FTIR-PCA analysis of the β-CD/hazelnut
467 oil/flavonoid ternary complexes (codes: "X1H/N/R/S" and "X3H/N/R/S" for the 1:1:1 and
468 3:1:1 ternary complexes with hesperidin/naringin/rutin/silymarin, respectively) and flavonoids
469 (codes: "H" – hesperidin, "N" – naringin, "R" – rutin and "S" – silymarin); all wavenumber
470 and intensity of the FTIR bands were used as input variables
471





Figure 7: PC<sub>2</sub> versus PC<sub>1</sub> scores plot from the FTIR-PCA analysis of the β-CD/hazelnut
oil/flavonoid ternary complexes (codes: "X1H/N/R/S" and "X3H/N/R/S" for the 1:1:1 and
3:1:1 ternary complexes with hesperidin/naringin/rutin/silymarin, respectively) and flavonoids
(codes: "H" – hesperidin, "N" – naringin, "R" – rutin and "S" – silymarin); only
wavenumbers of the FTIR bands were used as input variables



Figure 8: PC<sub>3</sub> versus PC<sub>1</sub> scores plot from the FTIR-PCA analysis of the β-CD/hazelnut
oil/flavonoid ternary complexes (codes: "X1H/N/R/S" and "X3H/N/R/S" for the 1:1:1 and
3:1:1 ternary complexes with hesperidin/naringin/rutin/silymarin, respectively) and flavonoids
(codes: "H" – hesperidin, "N" – naringin, "R" – rutin and "S" – silymarin); only
wavenumbers of the FTIR bands were used as input variables





Figure 9: PC<sub>3</sub> versus PC<sub>2</sub> scores plot from the FTIR-PCA analysis of the β-CD/hazelnut
oil/flavonoid ternary complexes (codes: "X1H/N/R/S" and "X3H/N/R/S" for the 1:1:1 and
3:1:1 ternary complexes with hesperidin/naringin/rutin/silymarin, respectively) and flavonoids
(codes: "H" – hesperidin, "N" – naringin, "R" – rutin and "S" – silymarin); only
wavenumbers of the FTIR bands were used as input variables



**Figure 10:** PC<sub>2</sub> *versus* PC<sub>1</sub> loadings plot from the FTIR-PCA analysis of the β-CD/hazelnut 497 oil/flavonoid ternary complexes and flavonoids; only wavenumbers of the FTIR bands were 498 used as input variables (see Table S13 for codes)



- 501 **Figure 11:**  $PC_3$  *versus*  $PC_1$  loadings plot from the FTIR-PCA analysis of the  $\beta$ -CD/hazelnut 502 oil/flavonoid ternary complexes and flavonoids; only wavenumbers of the FTIR bands were 503 used as input variables (see Table S13 for codes)
- 504



Figure 12: Eigenvalues of the correlation matrix from the FTIR-PCA analysis of the βCD/hazelnut oil/flavonoid ternary complexes and flavonoids; only wavenumbers of the FTIR
bands were used as input variables (see Table S13 for codes); the first three PCs can be
retained, which explain 85.69% from the variance of the data

510

# 511 Conclusion

512 The  $\beta$ -CD/hazelnut oil/flavonoid ternary complexes are innovative materials synthesized for

- 513 the first time, which combine the valuable properties of the specific components, host  $\beta$ -CD
- and guest antioxidant and essential FA glyceride compounds.  $\beta$ -CD encapsulation enhances
- 515 the apparent water solubility of both hazelnut triglyceride components (e.g., triolein) and

516 flavonoid glycosides/flavonolignans. They both have significantly lower water solubility and 517 thus low bioaccessibility and bioavailability, which are enhanced by  $\beta$ -CD co-encapsulation. 518 On the other hand, the encapsulated flavonoid molecule can act as onsite antioxidant and 519 protect the labile hazelnut oil components that contain unsaturated FA moieties. The 520 thermal/oxidative stability of ternary complexes is similar to  $\beta$ -CD hydrate, as was evaluated 521 by TG and DSC. Moreover, the formation of the molecular inclusion complexes is supported by thermal analysis (partial replacing of hydration water by biologically active molecules and 522 523 disappearance of the DSC peak corresponding to crystalline-amorphous transition). In the 524 present study, a synthesis method for these ternary complexes that is more appropriate from 525 the applicative point of view had used. Also, a very fast, cheap and nondestructive technique, 526 namely FTIR-PCA, was used for discrimination between ternary complexes (by the 527 antioxidant used or by the molar ratio) and the starting components.  $\beta$ -CD/hazelnut 528 oil/flavonoid ternary complexes at 3:1:1 had spectroscopic and thermal behavior more close 529 to the native  $\beta$ -CD hydrate, in comparison with the 1:1:1 complexes. This observation 530 indicate that not all FA moieties separately interact with the  $\beta$ -CD host molecules, as was the 531 reason to use such non-equimolar ratios. This is due to the steric hindrance that exist in a 532 theoretical 3:1 interaction for  $\alpha$ -CD/triglyceride supramolecular system. On the other hand, 533 ternary complexes and flavonoids were very well classified and discriminated by FTIR-PCA, 534 especially through the type of antioxidant used. Finally, these new bioactive materials can be 535 used in food supplements and functional foods, but further synthesis methods and analyses 536 (slow co-crystallization, X-ray diffraction and nuclear magnetic resonance) are needed for the 537 elucidation of the interactions in such complex supramolecular systems.

538

#### 539 **Experimental**

#### 540 Vegetable samples and chemicals

542 extraction. Wild hazelnuts were collected from the Apuseni Mountains (Transylvania, 543 Romania, 46°22'46" N and 23°16'47" E) in September-October 2018 and were kept at room 544 temperature, in the dark and dry atmosphere for six months. Then, the kernels were manually 545 separated, finely ground and subjected to Soxhlet extraction using a 250 mL equipment. One 546 hundred of hazelnut kernels were extracted five times with 300 mL of petroleum ether 547 anhydrous (ACS reagent, 40-60 °C boiling range, Sigma-Aldrich, St. Louis, MO, USA). The 548 extract was distilled and evaporated to dryness until no petroleum ether remains. The oil 549 separation yield was ~50%. The hazelnut oil was kept at -20 °C until further analyses and  $\beta$ -550 CD complexation.

Hazelnut (Corvlus avellana L.) oil was obtained from kernel of the fruit by Soxhlet

551

541

552 β-CD hydrate, Kleptose®, was kindly donated by Roquette Frères S.A. (Lestrem, France) and 553 has a purity of >98%, a water content of 14.0% and maximum 0.5%  $\alpha$ -CD and  $\gamma$ -CD. 554 Flavonoid glycosides and flavonolignans used in the complexation process were hesperidin 555 (code "H",  $C_{28}H_{34}O_{15}$ , M = 610.56 g/mol, purity  $\geq$ 80%, other flavonoid glycosides as 556 impurities), naringin hydrate (code "N",  $C_{27}H_{32}O_{14}\cdot 2H_2O$ , M = 580.50 g/mol, purity  $\geq$ 95%), 557 rutin hydrate (code "R",  $C_{27}H_{30}O_{16}$ ·xH<sub>2</sub>O, M = 610.52 g/mol, purity  $\geq$ 94%) and silymarin 558 (code "S",  $C_{25}H_{22}O_{10}$ , M = 482.44 g/mol, ~70% silibinin A, other flavonolignans as 559 impurities) and were purchased from Sigma-Aldrich (Sigma-Aldrich, St. Louis, MO, USA). 560 Ethanol used for complex synthesis was of 96% concentration (v/v) and was purchased from 561 ChimReactiv (Bucharest, Romania). The analysis of the fatty acid profile of the hazelnut oil 562 required the derivatization (transesterification) of the FA glycerides to the corresponding fatty 563 acid methyl esters (FAMEs) [11, 13]. The derivatization involved methanol-boron trifluoride 564 (20% BF<sub>3</sub>), hexane (GC grade) and anhydrous sodium sulfate. All were purchased from 565 Merck & Co., Inc., Rahway, NJ, USA. Sodium chloride (reagent grade) used for the

separation of FAMEs was purchased from Reactivul (Bucharest, Romania). The identification
of the FAME components of the hazelnut oil involves FAME37 standard mixture, as well as
C<sub>8</sub>-C<sub>20</sub> linear alkane standard mixture for the determination of specific retention index (RI) of
compounds (both purchased from Sigma-Aldrich, St. Louis, MO, USA). Finally, 2-propanol
(ACS reagent, Reag. Ph. Eur.) used for FTIR cleaning was obtained from Merck & Co., Inc.,
Rahway, NJ, USA.

572

# 573 Gas chromatography-mass spectrometry (GC-MS)

574 The FA profile of the hazelnut oil was determined by GC-MS, after derivatization to FAMEs. Derivatization was performed by quantitative transesterification in a 100 mL one-neck flask 575 576 equipped with reflux condenser. 5 mL of BF<sub>3</sub>·MeOH 20% and ~100 mg of hazelnut oil were 577 used for derivatization. The mixture was refluxed at least 30 min, until no oil remains. Then, 2 578 mL of hexane was added and continued for another 15 min for completing the 579 transesterification. The organic layer was separated in the neck region by adding enough 580 saturated sodium chloride solution. The organic layer was transferred into a GC vial with ~0.5 581 g of anhydrous sodium sulfate and stored at 4 °C until GC-MS analysis. GC-MS analysis was 582 performed on a GC Hewlett Packard 6890 Series equipment, coupled with a Hewlett Packard 583 5973 Mass Selective Detector. The following GC conditions were used: Zebron 5-MS column 584 (30 m length, 0.25 mm i.d., 0.25 µm film thickness), temperature program from 50-300 °C 585 (heating rate 6 °C/min), injector temperature 300 °C, detector temperature 300 °C, carrier gas 586 He (99.9999% purity), injected sample volume 2 µL, delay time 4 min. The MS conditions 587 were: energy source EI 70 eV, temperature 150 °C, scan range 50-300 amu, scan rate 1/s. RI 588 values were determined using C<sub>8</sub>-C<sub>20</sub> alkane standard mixture and a RI vs. RT correlation equation of RI =  $672.792 + 73.268 \cdot RT - 3.287 \cdot RT^2 + 0.148 \cdot RT^3 - 0.00201 \cdot RT^4$  [16]. On the 589 590 other hand, the identification of the main FAMEs from the derivatized hazelnut oil was

591 performed by comparing the experimental RI values with those for the FAME standard 592 mixture. Moreover, the experimental MS spectra were compared with those from the 593 NIST/EPA/NIH Mass Spectral Library 2.0 (2011). Acquisition and handling of the GC-MS 594 data were performed using the Enhanced MSD ChemStation D .02.00.275 (Agilent 595 Technologies, Santa Clara, CA, USA), while the MS identification was performed with the 596 NIST Mass Spectral Search Program for the NIST/EPA/NIH Mass Spectral Library 2.0 597 (Gaithersburg, MD, USA). Determinations were performed in duplicate and the main findings 598 reveal a high oleic acid relative content (as methyl ester) of 69.91(±4.14) % at a RI of 2096.4. 599 The other important FAs, as methyl esters, were palmitoleic, palmitic, linoleic, 600 elaidic/vaccenic, and stearic acids with concentrations of 0.13, 7.54, 15.51, 2.85 and 2.73%,

601 respectively (a total of 98.68% identified FAMEs in the hazelnut oil).

602

#### 603 Synthesis of ternary complexes by kneading method

604 The synthesis of  $\beta$ -CD/hazelnut oil/flavonoid glycoside or flavonolignan ternary complexes 605 was performed using the kneading method, which is the most appropriate for such type of 606 complexes [13, 14, 44]. In this study, two β-CD:hazelnut oil:flavonoid molar ratios of 1:1:1 607 and 3:1:1 were used. Particularly,  $1322(\pm 5)$  or  $3959(\pm 10)$  mg of  $\beta$ -CD hydrate (for 1:1:1 and 608 3:1:1 molar ratios, respectively),  $909(\pm 5)$  mg hazelnut oil,  $613(\pm 3)$  mg hesperidin,  $628(\pm 5)$ 609 mg naringin hydrate,  $656(\pm 5)$  mg rutin hydrate and  $488(\pm 1)$  mg silymarin were weighted, 610 taking into account the water content and purity of compounds. The mean molar mass for the 611 hazelnut oil of M = 900 g/mol was determined as triolein, according to GC-MS data and a 612 purity of ~97% [27, 67]. The following ternary complexes were obtained: β-CD/hazelnut 613 oil/hesperidin at 1:1:1 and 3:1:1 molar ratios (codes "X1H" and "X3H"), β-CD/hazelnut 614 oil/naringin at 1:1:1 and 3:1:1 molar ratios (codes "X1N" and "X3N"), β-CD/hazelnut 615 oil/rutin at 1:1:1 and 3:1:1 molar ratios (codes "X1R" and "X3R") and β-CD/hazelnut

616 oil/silymarin at 1:1:1 and 3:1:1 molar ratios (codes "X1S" and "X3S"). The amounts of β-CD, 617 hazelnut oil and flavonoid, corresponding to 1:1:1 or 3:1:1 were mixed in a preheated mortar 618 at 60 °C. Then, 4 mL water and 1 mL ethanol for 1:1:1 complexes or 6 mL water and 1.5 mL 619 ethanol for 3:1:1 complexes were added. The mixture was kneaded for at least 30 min, until a 620 viscous paste is obtained. The mortar temperature decreases to the room temperature during 621 kneading. The wet complex was dried until constant mass at room temperature in the dark. 622 The dried complex was then grinded in the same mortar, recovered and weighted. The 623 recovering yield was determined as the percent ratio of the recovered dried complex and the 624 sum of starting compounds. Two samples were obtained for the 1:1:1 ternary complexes and 625 single samples for the 3:1:1 ternary complexes.

626

### 627 Fourier-transform infrared spectroscopy (FTIR)

628 FTIR analysis of the ternary complexes and the starting compounds was performed using a 629 Bruker Vertex 70 FTIR equipment (Bruker Optik GmbH, Ettlingen, Germany), equipped with 630 an ATR (single-reflection Platinum diamond attenuated total reflectance) system. The 631 following FTIR conditions were set up: acquisition range 4000-400 cm<sup>-1</sup>, resolution 4 cm<sup>-1</sup>, 632 number of scans 128, sample mass 10-20 mg, spectrum range for the DLaTGS detector 12000-250 cm<sup>-1</sup> and sensibility  $D^* > 2108$  cm·Hz<sup>1/2</sup>·W<sup>-1</sup>. OPUS ver. 7.2 software (Bruker 633 634 Optik GmbH 2012, Ettlingen, Germany) was used for the acquisition and handling of the 635 FTIR. All determinations were performed as triplicates for the starting compounds and as 636 duplicates for the ternary complexes.

637

# 638 Thermal analyses

639 Thermal and oxidative stability of complexes can be evaluated through thermal analyses. TG-

640 DTG and DSC techniques were used for both complexes and starting compounds. TG-DTG

analysis was performed on a Netzsch TG 209F1 Libra equipment, while DSC analysis was
conducted on a Netzsch 204 F1 Phoenix apparatus (both from Netzsch Group, Selb,
Germany). The TG-DTG and DSC conditions were similar: temperature program of 25-500
°C, with a heating rate of 10 °C/min, nitrogen purge and protection flow of 40 mL/min, the
data acquisition and handling by Netzsch Proteus-Thermal Analysis ver. 6.1 software
(Netzsch Group, Selb, Germany). Only representative ternary complexes were evaluated by
thermal analyses.

648

# 649 Statistical analysis and principal component analysis (PCA)

650 Means ( $\pm$  standard deviations, SD) of the values were obtained for the multiplicate

determinations using Basic Statistics&Tables and One-way ANOVA modules in Statistica 7.1

652 software (StatSoft, Inc., Tulsa, OK, USA). PCA for the FTIR data was performed with the

653 Principal Components & Classification Analysis module from the above-mentioned package.

The discrimination between samples was based on the scores plot, while the importance of

variables to the classification was based on the loadings plot in PCA analysis. Both FTIR

656 wavenumber (WN) and intensity (I) of the specific bands identified in all analyzed samples

657 were used as input data. PCA was performed with both FTIR variable types (both WN and I)

or as separated variable types (only WN or only I). PCA analysis was based on correlations, a

659 compute variances as SS/(N-1), with centered factor coordinates of the variables (or principal

660 components, coded as "PC"). All significant PCA results are presented in the Supporting

661 Information File 1 (Figures and Tables).

662

663 Supporting Information File 1: Thermal analysis, FTIR and FTIR-PCA data for ternary
 664 complexes

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