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Secondary metabolites of *Diaporthe* sp., isolated from the Cameroonian medicinal plant *Trema guineensis* (Schumach. & Thonn.) Ficalho

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Abstract

From a fresh root of *Trema guineensis* (Ulmaceae), endophytic fungi were isolated, among which a taxon belonging to *Diaporthe* sp. This strain was fermented in shake flask batch cultures and the broth extracted with ethyl acetate. From the crude extract, a hemiketal polyketide (1), and an acetylated alternariol (2) were isolated, along with fifteen known secondary metabolites. Their structures were established by extensive NMR spectroscopy and mass spectrometry analyses, as well as by comparison with literature data of their analogs.

Keywords: Diaporthe, Endophytic fungi, Hemiketal polyketide, Alternariol.

Introduction

Endophytic fungi are organisms that reside almost ubiquitously inside the fresh healthy tissue of plants, and they may increase the resistance of the host tropical trees to survive in extreme conditions [1]. As the global diversity of endophytic fungi is far from being accessed [2,3], they have been considered as an untapped microbial reservoir capable of producing a wide range of structurally unique natural products with potent pharmacological effects [4].

However, the production of biologically active compounds by filamentous fungi, especially those exclusive to their host plants, is not only important from a biomolecular standpoint but also from an ecological perspective. In continuation of our search for biologically active natural products from rare and hitherto unexplored fungi hosted in Cameroonian medicinal plants [5,6], we investigated the chemical constituents of another new species of *Diaporthe*. This genus contains many plants pathogenic, endophytic and saprobic species [7]. So far, the investigations of chemical constituents of Diaporthe species, have led to the isolation and characterization of a myriad of potent natural products with great structural variability such as polyketides, terpenoids, polyketide synthase-nonribosomal peptide synthetase (PKS-NRPS) alkaloids and cytochalasins, which have been considered as taxonomic markers of the genus [7-10]. However, it is worthwhile to mention that the name *Phomopsis* should no longer be used since the introduction of the 1F1N concept in 2013, as explained by Chepkirui and Stadler [7]. Unfortunately, some authors who have been working on these fungi ever since the 1F1N concept was established in 2013 are apparently not aware of the fact *Diaporthe* is the older name and therefore takes preference over Phomopsis. Regarding the potent talents of Diaporthe, we are on the quest to the exploration of structure-activity relationships of cytochalasins to establish their trends for various medical applications [5]. Along the same lines, we herein report the isolation and structural elucidation of a new polyketide (1) and a new acetylated alternariol derivative (2) as well as fifteen known compounds including three alternariol derivatives (3–5), one chromone (6), one biphenyl (7), seven cytochalasins (8–14), two cytosporones (15–16), and one macrolide (17) from the endophytic fungus, *Diaporthe* sp. isolated from the root of Trema guineensis, a Cameroonian medicinal plant from the family Ulmaceae [11].

Results

Structural elucidation

Diaporthe sp., which showed an interesting by using high-performance liquid chromatography-diode array detector-high-resolution mass spectrometry (HPLC-DAD-HRMS) profile, was large-scale fermented to give 12 g-culture extract. This extract was subjected to a silica gel column chromatography to give several fractions, which were further purified over normal and reverse phase HPLC to yield 2-ethyl-2,6-dihydroxy-5,7-dimethylbenzofuran-3-one (1), 3,9-diacetylalternariol (2) (Fig. 1), together with fifteen known compounds (supplementary Fig. S1).



Fig. 1. Chemical structures of compounds 1 and 2.

Compound (1) was obtained as a yellow oil. Its molecular formula C12H14O4 that fit with six double bond equivalents, was established from the positive ion mode HR-ESI-MS (Fig. S1), showing the pseudo-molecular ion peak [M+H]+ at m/z 223.0961 (calcd. for C12H15O4, 223.0965). The 1H NMR spectrum (Fig. S2; Table 1) displayed in the aromatic region, a singlet signal at δH 7.12 and in the shielded region, resonances for two aromatic methyl groups at δH 2.09 (s) and 2.02 (s). Characteristic signals at δH 1.75 (2H, q, 7.0 Hz) and 0.75 (3H, t, 7.0 Hz) indicated an ethyl group and were confirmed in the COSY spectrum with cross-peaks between the methylene and the methyl proton signals. The five aforementioned protons showed HSQC cross peaks with their respective carbon signals at δC 121.9, 28.8, 16.6, 8.1, and 7.1, respectively (Fig. S3). In the HMBC spectrum, key correlations were observed between the olefinic proton signal (δ H 7.12) and six carbon signals including three deshielded ones at δ C 196.1 for a ketone group, 169.2 and 165.6 attributed to oxygenated aromatic carbons, and three other signals at δC , 109.3, 106.3, and 16.6 (Fig. 2). On the other hand, each of the two aromatic methyl signals displayed a set of three cross peaks; the first one at δ H 2.09 with the carbon signals at δ C 165.6, 121.9 and 120.3, and the second one at δ H 2.02 with the carbon signals at δ C 169.2, 165.6 and 106.3 (Fig. 2). These findings clearly indicated the presence of the 1,3dimethylbenzene moiety linked to a side chain containing an ethyl group and a ketone group. Furthermore, the ethyl group was attached to a hemiketal group (δ C 106.2) as evidenced of the HMBC cross-peaks observed between the methylene proton signals at δ H 1.75 with the carbon signals at δ C 196.1, 106.2 and 7.1, and also between the methyl proton signals at δ H 0.75 with the carbon signals at δ C 106.2 and 28.8. Hence, these key correlations permitted to attachment of the hemiketal carbon (δ C 106.2) to the ketone group and the benzene ring. Based on the above evidence, the structure of 1 was assigned with a trivial name of diapobenzofuranone (Fig. 1). The proposed structure was fully supported (Table 1) by HSQC-DEPT, HMBC, and COSY

spectra (Figs. S4–S6). Key HMBC correlations of 1 are illustrated in Fig. 2. This compound was found to be a racemate since no specific optical rotation was observed.



Fig. 2. Key COSY (→) and HMBC (♥) correlations of compounds 1 and 2.

3,9-diacetylalternariol (2) (Fig. 1) was isolated as a white amorphous powder and was assigned the molecular formula $C_{18}H_{14}O_7$ (12 DBE), as deduced from the pseudo-molecular ion peak $[M+H]^+$ at m/z 343.0809 (calcd. for C₁₈H₁₅O₇, 343.0812) observed in the (+)-HR-ESI-MS spectrum (Fig. S7). Its ¹H NMR spectrum (Fig. S8) allowed the deduction of an alternariol scaffold [12] with signals of a chelated hydroxyl proton at $\delta_{\rm H}$ 11.76, two pairs of *meta*-coupled protons at $\delta_{\rm H}$ 7.57 and 6.68 (1H each, d, 1.0 Hz), and at $\delta_{\rm H}$ 6.61 and 6.54 (1H each, d, 2.0 Hz), in addition to a singlet signal at $\delta_{\rm H}$ 2.71 (3H, s, CH₃). The alternariol skeleton was further confirmed by the ¹³C NMR and HSQC spectra (Fig. S9 and S10), in conjunction with the HMBC spectrum (Fig. S11) which showed cross-peaks from the proton signal at $\delta_{\rm H}$ 6.62 (H-8) to the carbon signals at $\delta_{\rm C}$ 156.9 (C-7), 154.2 (C-9), 110.3 (C-6a) and 108.6 (C-10); and also between the proton signal at $\delta_{\rm H}$ 6.55 (H-4) and the carbon signals at $\delta_{\rm C}$ 153.2 (C-3, C-4a), 117.0 (C-2) and 108.6 (C-10b) (Fig. 2; Table 2). Further HMBC correlations (Fig. 2) were observed between the proton signal of the methyl group at $\delta_{\rm H}$ 2.71 with the carbon resonances $\delta_{\rm C}$ 137.8(C-1), 117.0 (C-2), and 108.6 (C-10b). Additionally, the ¹H NMR spectrum displayed two singlet signals overlapping at $\delta_{\rm H}$ 2.29 due to two acetyl groups, which showed strong HMBC cross peaks with the carbonyl carbon signals at $\delta_{\rm H}$ 169.0. The structure of 2 was fully assigned by using the ¹³C NMR spectrum which displayed sixteen carbon signals sorted into three methyl signals of which two overlapping ones at $\delta_{\rm C}$ 21.0, and one at $\delta_{\rm C}$ 25.2 attached to the alternariol basis skeleton (Fig. 2; Table 2); four methines ($\delta_{\rm C}$ 117.0, 101.1, 109.6, 108.6), and eleven quaternary carbons including the signal of the lactone carbon at $\delta_{\rm C}$ 158.5 (C-6) and those of the four sp² oxygenated carbons at δ_C 156.9, 154.2 and 153.2. Key COSY and HMBC correlations for 2 are illustrated in Fig.2 and the complete assignment for all protons and carbons is tabulated Table 2. As we have been able to detect the compound by HPLC-MS in methanolic crude extracts of the fungus that did not come into contact with ethyl acetate or acetic acid (data not shown), we can exclude that it is an isolation artefact.

N°	1		NIQ	2	
	$\delta_{\rm H}$ (<i>mult.</i> , J in Hz)	$\delta_{\rm C}$ (mult.)	- N°	$\delta_{\rm H}$ (<i>mult.</i> , J in Hz)	$\delta_{\rm C}$ (mult.)
2	_	106.2 (C)	1	_	137.8 (C)
3	_	196.1 (C)	2	6.68 (<i>d</i> , 2.0)	117.0 (CH)
3a	_	109.3 (C)	3	_	153.2 (C) ^γ
4	7.12 (s)	124.9 (CH)	4	6.55 (<i>d</i> , 2.0)	101.1 (CH)
5	_	120.3 (C)	4a	_	153.2 (C) ^γ
6	_	165.6 (C)	6	_	158.5 (C)
7	_	106.3 (C)	6a	_	110.3 (C)
7a	-	169.2 (C)	7	-	156.9 (C)
1'	1.75 (<i>m</i>)	28.8 (CH ₂)	8	6.62 (<i>d</i> , 1.0)	109.6 (CH)
2'	0.75 (<i>t</i> , 7.3)	7.1 (CH ₃)	9	_	154.2 (C)
5-CH ₃	2.09 (s)	16.6 (CH ₃)	10	7.57(d, 1.0)	108.6 (CH)
7-CH ₃	2.02 (s)	8.1 (CH ₃)	10a	-	139.3 (C)
			10b	-	108.6 (C)
			1-CH ₃	2.71 (s)	25.1 (CH ₃)
			3-OAc, 9-OAc	2.29 (s)	21.0 (CH ₃) 169.0 (C)
			7-OH	10.33 (s)	_

Table 1. ¹H (500 MHz) and ¹³C (125 MHz) NMR data of compounds 1 and 2 in DMSO-*d*₆.

^{*v}overlapped signals.*</sup>

The fifteen known compounds isolated from *Diaporthe* sp. extract included alternariol (3) [13], 2-hydroxyalternariol (4) [14], 4-hydroxyalternariol (5) [15,16], 2,5-dimethyl-7-hydroxychromone (6) [17], decarboxyaltenusin (7) [18], cytochalasin H (8) [19], epoxycytochalasin H (9) [20], cytochalasin J (10) [21], cytochalasin J2 (11) [22], cytochalasin J (12) [22], cytochalasin N (13) [23], cytochalasin RKS-1778 (14) [24], cytosporone C (15) [25], cytosporone E (16) [26], and lasiodiplodin (17) [27].

Discussion

The present study describes the isolation of two previously undescribed polyketides, named diapobenzofuranone (1) and 3,9-diacetylalternariol (2) along with cytochalasins and cytosporones from *Diaporthe* sp. The presence of cytochalasins and cytosporones was obvious, as they constitute the taxonomic markers of the genus *Diaporthe* [8]. Other classes of compounds including chromones, chromanones, benzofuranones and macrolides reported in this study were also isolated from the genus *Diaporthe* [8,25].

Compound **1** is structurally related to actiketal, a new member of the glutarimide antibiotics, previously isolated from *Streptomyces* [28] and whose antimicrobial activity is probably related to the glutarimide moiety. In compound **1**, the lack of this moiety, in addition to the fact that it has been isolated as a racemate could not lead to any beneficial property.

As for compound **2**, it is diacetylated derivative of alternariol. Alternariol is a dibenzo- α -pyrone that constitutes an important group of heptaketide coumarin derivatives with a fused tricyclic ring [29]. Dibenzo- α -pyrones are usually isolated from fungi [30,31], mycobionts [15,16], plants [32,33], and animal feces containing the transformed products of plant-derived ellagitannins and ellagic acid by intestinal bacteria [34,35]. They are known for their wide range of biological activities including, antioxidant [34], antiallergic [36], antimicrobial [37], acetylcholinesterase inhibitory activities [38], cytotoxic activity [39], mutagenic properties [40–42], toxicity on human and animals [43], phytoxicity [44]. In addition, dibenzo- α -pyrones are key intermediates in the synthesis of cannabinoids [45], and other pharmaceutically interesting compounds such as progesterone, androgen, glucocorticoid receptor agonists [46,47], endothelial proliferation inhibitors [48], and antidyslipidemic and [49]. Since compound **1** was isolated as a racemic mixture, while compound **2** is a diacetylated derivative of the mycotoxin alternariol known for its mutagenic properties, no further beneficial effects could be expected with them. However, the isolation of these compounds further expands the understanding of the metabolic diversity of *Diaporthe*.

Experimental

General experimental procedures

Column chromatography (60.4 cm length×5.5 cm inner diameter) was carried out on silica gel 230–400 mesh (Merck). Analytical TLC was performed on Merck pre-coated silica gel 60 F_{254} plates, and spots were detected using ceric sulphate spray reagent and/or diluted sulfuric acid before heating. LC-MS chromatograms were recorded with an UltiMate 3000 Series uHPLC (Thermo Fischer Scientific) using a C18 Acquity UPLC BEH column (2.1×50 mm, 1.7 µm) connected to an amazon speed ESI-Iontrap-MS (Bruker).

Semi-preparative and/or preparative HPLC systems on normal and reverse phases (RP) were used for the purification of compounds. RP flash and p-HPLC systems (PLC 2050, Gilson, Wisconsin-USA; and Flash and prep HPLC, C-850, Büchi) were equipped with appropriate columns *viz.*, VP Nucleodur C18 HTec (10 μ m, 250×40 mm, Macherey-Nagel, Germany), Gemini C18 (10 μ m, 250×50 mm, Phenomenex) and Nucleosil 120 OH Diol (7 μ m, 250×21 mm, Machery-Nagel, Düren, Germany) columns maintained at room temperature. Normal phase p-HPLC equipped with DAD detector (Agilent 1100 Series, Santa Clara, USA) was connected to Nucleosil 120 OH Diol column.

Deionized water used for RP p-HPLC was obtained from a Milli-Q water purification system (Millipore, Schwalbach, Germany) and all organic solvents and reagents of analytical

grade. Evaporating of solvents from fractions was performed using rotary evaporators equipped with a vacuum controller and diaphragm pump vacuum (Hei-VAP Expert, Germany).

HR-ESI-MS spectra were recorded with an Agilent 1200 Infinity Series HPLC-UV system (Agilent Technologies; column 2.1×50 mm, 1.7μ m, C18 Acquity UPLC BEH (waters), solvent A: H₂O +0.1% formic acid; solvent B: ACN + 0.1% formic acid, gradient: 5% B for 0.5 min increasing to 100% B in 19.5 min and then maintaining 100% B for 5 min, flow rate 0.6 mL/min, UV/Vis detection 200–640 nm) connected to a MaXis ESI-TOF mass spectrometer (Bruker) (scan range 100–2500 *m/z*, capillary voltage 4500 V, dry temperature 200 °C).

NMR spectra were recorded at 25 °C on a Bruker (Billerica, MA/USA) 500 MHz Avance III spectrometer with a BBFO (plus) Smart Probe (¹H-NMR: 500 MHz and ¹³C-NMR: 125 MHz). Chemical shifts (δ) were reported in ppm using tetramethylsilane (TMS) (Sigma-Aldrich) as an internal standard, while coupling constants (*J*) were measured in Hertz (Hz).

Optical rotations were measured in methanol by using an Anton Paar MCP-150 polarimeter (Seelze, Germany) at 20 °C.

Isolation and identification of the endophytic fungus

The fresh root of *Trema guineesis* was collected in January 2019 in Kala Mountain (near Yaoundé), in the Centre region of Cameroon. It was identified at the National Herbarium of Cameroon, Yaoundé, where a voucher specimen was deposited under the number 42166/HNC. The isolation of fungi from the plant material was carried out following the previously described methodology [6]. The fungus was identified as *Diaporthe* sp. based on a BLAST search (https://blast.ncbi.nlm.nih.gov/Blast.cgi) of the ITS sequence (GenBank number OP850363). The strain was deposited in the fungarium of the Helmholtz Centre for Infection Research (HZI, Braunschweig, Germany) under the reference number STMA 18289.

Fermentation, extraction and isolation

Well-grown agar plate cultures of the *Diaporthe* sp. were used to inoculate 45 Erlenmeyer flasks of 500 mL, each containing 80 g of rice and 100 mL distilled water and incubated at 25 °C. After 28 days, the cultures were harvested. The fungal mycelia were further extracted with acetyl acetate and the solution was concentrated under reduced pressure to yield 12.2 g of crude extract. 12 g of this extract was subjected to a silica gel (230–400 mesh) column chromatography using a stepwise gradient of CH₂Cl₂/MeOH (ranging from 0 to 100% of MeOH, v/v), to afford a total of 8 main series (A–H) obtained on the basis of TLC analyses. However, series A (2.5 g) obtained with pure CH₂Cl₂ and series H (2.3 g) eluted with CH₂Cl₂/MeOH (85:15, v/v) were found to be complex mixtures and were not further

investigated. The guided LC-MS purifications of other series (B–G) were achieved by using semi-preparative and preparative HPLC systems on normal and reverse phases.

Series B (462 mg) obtained with CH₂Cl₂/MeOH (97.5:2.5, v/v) was subjected to a flash chromatography system (PLC 2020, Gilson) equipped with a VP Nucleodur C18 HTec column (10 µm, 250×40 mm). The chromatographic separation was performed by using a constant flow rate of 10 mL/min with the mobile phases made with solvent A: Deionized water (H₂O) + 0.1% formic acid (FA) and solvent B: acetonitrile (ACN) + 0.1% formic acid. The binary gradient was: linear of 5% B for 5 min, automatic stepwise gradient from 5 to 100% B for 5–105 min, followed by 100% B for 10 min wash and finally by 80% ACN + 20% H₂O for 10 min reequilibration time. Peaks were detected by diode array detector in the range of 210–600 nm and were automatically collected into glass tubes. Out of the hundred and twenty-seven tubes, twenty (20) tubes resulting from UV peaks were selected including peaks of compounds **2** (1.18 mg; t_R : 52 min), and **12** (2.93 mg, white neat solid, t_R : 85 min). The LC-MS analysis of other fractions suggested the presence of very low amount of compounds and these fractions were not further investigated.

Series C (1.2 g) eluted with CH₂Cl₂/MeOH (97.5:2.5, v/v) was divided into three portions of 400 mg each. Every portion was purified on a flash liquid chromatography (Flash and prep HPLC, C-850, UV/ELSD detector, UV-Vis/UV scanning) equipped with a Gemini C18 (10 µm, 250×50 mm). Equivalent fractions from the three repeated runs were combined to give compounds **6** (1.19 mg, white solid, t_R : 4.86 min), **8** (286 mg, white crystal, t_R : 8.31 min), **13** (1.42 mg, white crystal, t_R : 9.42 min).

Series D (682 mg) eluted from a silica gel column chromatography with CH₂Cl₂/MeOH (95:5, v/v) was divided into two portions of 341 mg each. After dissolving every portion, injections were also achieved on a prep HPLC system. Equivalent fractions from the three repeated runs were combined to give three sub-fractions (D₁–D₃). All these sub-fractions D₁ (12 mg), D₁ (8 mg) and D₃ (15.8 mg) were further separately purified over normal phase preparative HPLC with a DAD detector with a Nucleosil 120 OH Diol column (7 µm, 250×21 mm) used as the stationary phase and the solvent mixture CH₂CH₂/MeOH (92:8, v/v) as the mobile phase. The elution was performed for 25 min each with a flow rate of 2 mL/min, an automatic pressure in the range of 1-10 bar and the UV absorptions were set at 210, 254 and 366 nm to yield compounds **15** (3.2 mg, yellow oil, t_R : 8 min), **9** (1.3 mg, yellow oil, t_R : 14 min), **3** (2.76 mg, white neat solid, t_R : 16 min), and **1** (0.79 mg, yellow oil, t_R : 22 min), respectively.

Series E (294 mg) was further purified to yield compounds **5** (0.95 mg, white amorphous powder, t_R : 35.20 min), **17** (6.1 mg, white amorphous powder, t_R : 11.21 min), and **7** (1.45 mg, yellow neat solid, t_R : 15.00 min), **4** (2.45 mg, white amorphous powder, t_R : 22.00 min), respectively. Successive purifications of series F (898 mg) over normal (CH₂CH₂/MeOH 92:8, Nucleosil 120 OH Diol column) and reverse phase preparative HLPC (Gilson, PLC 2020, solvents A/B in 0.1% FA: H₂O/ACN) gave compound **16** (1.31 mg, yellow neat solid, t_R : 30.22 min). Series G (578 mg) obtained with CH₂Cl₂/MeOH (88:12, ν/ν) was also purified to give compounds **14** (5.93 mg, white oil, t_R : 35.20 min), **11** (8.33 mg, white oil, t_R : 38.17 min), **10** (1.60 mg, yellow oil, t_R : 45.12 min), and **15** (1.23 mg, white oil).

Diapobenzofuranone (1): yellow oil, $[\alpha]^{25}_{D} 0^{\circ}$ (*c* 0.27, MeOH); UV (MeOH): λ_{max} (PDA): 218, 290, 342 nm; ¹H NMR (500 MHz, CD₃OD) and ¹³C NMR (125 MHz, DMSO-*d*₆) are shown in Table 1; (+)-HR-ESI-MS [M+H]⁺ *m/z* 223.0961 (calcd. for C₁₂H₁₅O₄, 223.0965).

3,9-Diacetylalternariol (2): white amorphous powder, UV (MeOH): λ_{max} (PDA): 222, 258, 330 nm; ¹H NMR (500 MHz, DMSO-*d*₆) and ¹³C NMR (125 MHz, DMSO-*d*₆) are shown in Table 1; (+)-HR-ESI-MS [M+H]⁺ m/z 343.0809 (calcd. for C₁₈H₁₅O₇, 343.0812).

Conclusion

The study of the new fungal strain, *Diaporthe* sp. isolated from a Cameroonian medicinal plant, *Trema guineesis* led to the isolation of seventeen secondary metabolites, including two previously undescribed polyketides, namely diapobenzofuranone (1) and 3,9-diacetylalternariol (2). This study has contributed further to our knowledge of the metabolic diversity within *Diaporthe* and its meanwhile invalid synonymic anamorphic state *Phomposis*. Further studies are ongoing to verify whether the strain represents a new taxon, but given the complicated taxonomy of these fungi, this will afford substantial work on its molecular phylogeny. Likewise, the other, known co-metabolites like the cytochalasins that were concurrently obtained are presently being studied in-depth by methods of cell biology. However, these activities are beyond the scope of the current study.

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