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1 **A cantilever-based, ultra-high vacuum, low temperature scanning**
2 **probe instrument for multidimensional scanning force microscopy**

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10 **Abstract**

11 Cantilever-based atomic force microscopy (AFM) performed under ambient conditions has become
12 an important tool to characterize new material systems as well as devices. Current instruments per-
13 mit robust scanning over large areas, atomic scale lateral resolution and the characterization of var-
14 ious sample properties using multifrequency and multimodal AFM operation modes. Research of
15 new quantum materials and devices however, often requires low temperatures and ultra-high vac-
16 uum (UHV) conditions. In this article, we describe a cantilever-based low temperature UHV AFM
17 setup that allows to transfer of the versatile AFM techniques developed for ambient conditions to
18 UHV and low temperature conditions. We demonstrate that such a cantilever-based AFM offers ex-
19 perimental flexibility by permitting multimodal or multifrequency operations with superior force
20 derivative sensitivities and bandwidths. Our instrument has a sub-picometer gap stability and can
21 simultaneously map not only vertical and lateral forces with atomic-scale resolution, but also per-
22 form rapid overview scans with the tip kept at larger tip-sample distances for robust imaging.

23 **Keywords**

24 atomic force microscopy; ultra-high vacuum; atomic resolution; multimodal operation; instrumen-
25 tation design

26 **Introduction**

27 Atomic force microscopy (AFM) operated under vacuum or ultra-high vacuum (UHV) conditions
28 is beneficial for increasing measurement sensitivity, measuring samples at low temperatures [1],
29 analyzing reactive surfaces [2] and studying atomic or molecular adsorbents with atomic or sub-
30 molecular resolution [3]. First AFM images with true atomic resolution were obtained by using
31 cantilever-based AFM instruments, where cantilevers with stiffness on the order of few tens of
32 Newtons per meter were oscillated with amplitudes of a few nanometers [4-7]. Atomic resolution
33 is achieved if the tip-sample distance is sufficiently reduced, such that an overlap of atomic orbitals
34 between tip apex atom and atoms at the surface can occur. In recent years, functionalizing tip apex
35 with a low coordinated atom/molecule resulted in exceptional submolecular resolution at low tem-
36 perature [8-11].

37 Tuning fork AFM has become increasingly popular for atomic resolution work performed under
38 UHV conditions [12]. In tuning fork AFM, one of the prongs of the tuning fork is fixed to the tip
39 holder, while the other one acts like a macroscopic cantilever. The comparatively large dimen-
40 sions of the prongs facilitates the attachment of a small but macroscopic wire tip to the free prong.
41 Compared to the typically-used microscopic AFM cantilevers, the tuning fork sensor has a rather
42 high stiffness $k \sim 2$ kN/m. This facilitates AFM operation with small oscillation amplitudes
43 ($A < 100$ pm) because a snap-to-contact or instabilities of the phase-locked loop (PLL) driving
44 the tuning fork oscillation do not occur. Furthermore, the tuning fork AFM does not require an ex-
45 tra deflection sensor such like the beam deflection or fiber optical systems used for cantilever-based
46 AFM, thus substantially reducing instrumentation complexity. In fact, every existing scanning tun-
47 neling microscope (STM) can be transformed into tuning fork-based AFM simply by replacing
48 the rigid STM tip by a tuning fork with an attached tip and by adding an extra pre-amplifier and a

49 PLL to drive the tuning fork oscillation and measure shifts in its resonance frequency arising from
50 the tip-sample interaction. However, because of the macroscopic size of the tuning fork, the high
51 stiffness of the sensor goes together with a low resonance frequency typically around 30 kHz. This
52 substantially limits the minimally-measurable tip-sample interaction force gradients such that very
53 small AFM measurement bandwidths (typically below 10 Hz [13]) must be used, leading to ex-
54 tremely long measurement time for three-dimensional force volume maps. For example, the 3D
55 frequency shift map acquired in the work of Albers et al.[14] with a volume of $1.6 \times 0.8 \times 0.12 \text{ nm}^3$
56 and $256 \times 119 \times 61$ pixels has required a total acquisition time of 40 h, i.e. was measured with a
57 pixel bandwidth of only 12.9 pixels per second.

58 While to date most atomic resolution studies under UHV conditions are performed with tuning
59 fork-based AFM, the vast majority of the AFM work performed under ambient conditions rely
60 on microfabricated cantilevers that are able to detect with various mechanical properties and tips.
61 Microfabricated cantilevers can be optimized for different AFM applications and operational en-
62 vironments. For AFM performed under ambient conditions, microfabricated cantilevers can, for
63 example, be operated in different oscillation modes [15] or at multiple frequencies [16-23] to simul-
64 taneously map different sample properties. Further, the high resonance frequency of microfabri-
65 cated cantilevers combined with high-bandwidth cantilever deflection detection permits video-rate
66 scanning [24], real-time peak force detection [25] or a later artificial intelligence processing of the
67 vast amounts of data acquired during imaging [26,27]. Under vacuum conditions, the beneficial
68 resonance frequency-to-stiffness ratio of low-thickness cantilevers proved to be beneficial for the
69 measurement of ultras-small forces [28] or, in combination with high cantilever quality factors, the
70 detection of small magnetic fields [29]. For the latter, new tip-sample distance control operation
71 modes were developed which again relied on multifrequency techniques [30-33]. Such multimodal
72 and multifrequency techniques have also been applied for AFM work performed under UHV condi-
73 tions, for example, to measure atomic scale forces in different special directions [34-36] or to work
74 with sub-nanometer oscillation amplitudes for an improved detection of short-ranged inter-atomic
75 forces [37-39].

76 Despite the success of AFM utilizing microfabricated cantilevers under ambient conditions, early
77 work performed under UHV conditions and high-sensitivity MFM under vacuum conditions,
78 cantilever-based AFM has lost the attention of the surface science and UHV AFM communities,
79 possibly because of the ease of operation of tuning fork-based AFM and the availability of the cor-
80 responding instruments from various manufacturers. Here, we present the design of a robust and
81 easy-to-use cantilever-based AFM instrument, which is not only optimized for atomic resolution
82 work, but also permits high bandwidth AFM operation, and thus at least in principle, the imple-
83 mentation of more complex AFM operation modes (typically used for ambient environment AFM)
84 also under UHV and low temperature conditions. We further demonstrate that this instrument can
85 be used for multimodal AFM operation, for example to simultaneously map vertical and lateral
86 forces and tunneling current signals with atomic resolution, but also permits the measurement of
87 weak forces with high measurement bandwidths permitting the acquisition of overview images
88 at larger tip-sample distances. Our instrument is thus well-suited to find specific locations in de-
89 vices, map weak magnetic or electrostatic forces, also permits the acquisition of smaller scan range
90 atomic resolution images at specific locations.

91 This manuscript is organized as follows: the UHV and cryosystem are described in section II,
92 which is followed by the microscope design outlined in section III. A fiber optical interferome-
93 ter system is used as deflection sensor (section IV) and it permits the simultaneous detection of
94 flexural and torsional cantilever oscillation modes for multidimensional AFM measurements. The
95 performance of the instrument is discussed in section V, starting with an analysis of the relevant
96 AFM noise sources, continued by a presentation of the obtained measurement bandwidths and tip-
97 sample gap stability. Various atomic scale STM and AFM results are then described in section VI,
98 demonstrating the performance of our new AFM for such work. Section VII finally summarizes all
99 results.

100 UHV Chambers and Cryosystem

101 The UHV system [40] consists of a cryostat chamber and a preparation chamber with an attached
102 load-lock as shown in Fig. 1. The preparation chamber is equipped with various ports for the at-
103 tachment of evaporators, a sputter gun and surface science analytical tools. A rotatable coolable
104 linear manipulator with two sample/cantilever receivers are used to transport sample and cantilever
105 holders to the different positions of the preparation chamber and finally, to transfer to the cryostat
106 chamber. For the transfer of the sample/cantilever holders from the load-lock system to the lin-
107 ear manipulator inside the preparation chamber and then from the linear manipulator to the corre-
108 sponding receivers in the microscope, customized magnetic feedthrough manipulators with hex-key
109 end-pieces are used.

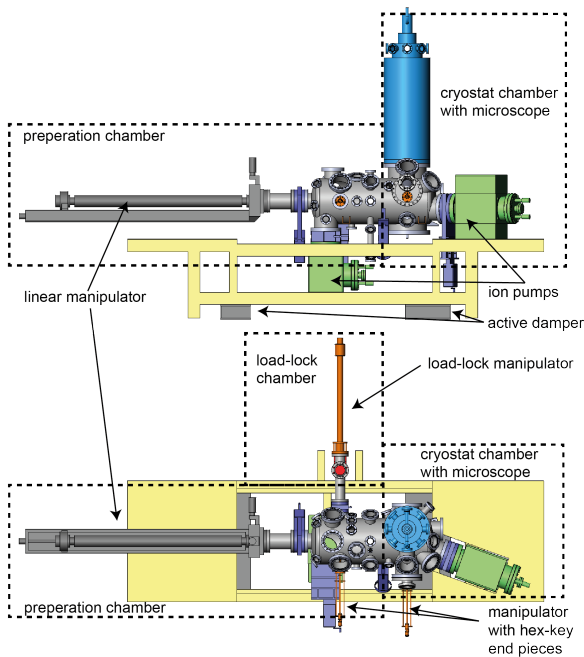


Figure 1: CAD drawings of the top and side views of the UHV system consisting of a cryostat chamber, a preparation chamber and a load-lock chamber.

110 The cryostat and preparation chamber are both pumped with 300 l/s ion pumps, which also in-
111 clude titanium sublimation sources. The load-lock chamber is pumped with a 67 l/s turbo pump.
112 The bath cryostat manufactured by Cryovac [41] is mounted on top of the cryostat chamber out-

113 side the long axis of the chamber system [Fig. 1]; this permits a rapid transfer of (precooled) sam-
 114 ple/cantilever holders from the manipulator to the microscope .
 115 The liquid Helium (LHe) tank of the cryostat is surrounded by a liquid Nitrogen (LN₂) container
 116 and an additional heat shield that is passively cooled by the evaporating He gases of the LHe tank
 117 [Fig. 2(a)]. The microscope is surrounded by two shields: an inner one mounted on the LHe cryo-
 118 stat bottom plate, and an outer one that is connected to the bottom of the surrounding LN₂ tank.
 119 With this construction, standby times of 80 hours for the LHe and 96 hours for the LN₂ tank are
 120 obtained.

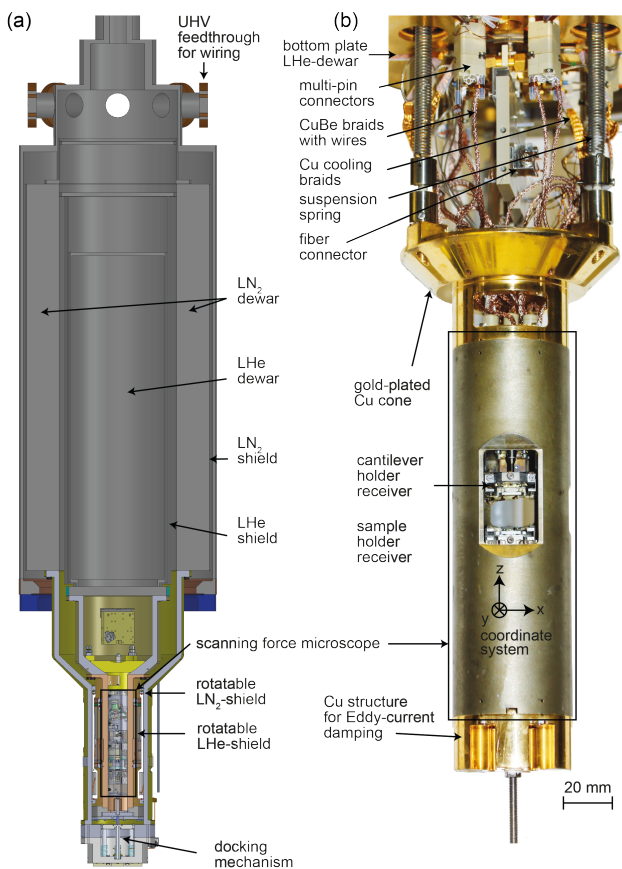


Figure 2: (a) The bath cryostat consists of two tanks: the inner tank holds 8 liters of LHe and the outer tank holds 19 liters of LN₂, additionally with their own shields. The microscope is attached to the cone and hanging freely on three suspension springs as shown in the photograph (b).

121 The scanning force microscope is attached to a Cu cone (hangs on three suspension springs) that
 122 reach through cylindrical tubes running through the LHe tank, and are mounted on top of the tank.
 123 Together with the Eddy current damping system mounted at the bottom of the cryostat, this pro-

124 vides excellent vibration isolation such that a tip-sample gap stability better than 1 pm can be ob-
125 tained on a normal laboratory floor and with operation personnel in the same room. Note that all
126 experiments discussed in section VI have been performed with personnel in the room.

127 The heat transfer between the microscope and the Cu bottom plate of the LHe of the cryostat is
128 achieved through the electrical connections between the microscope and the connectors on the
129 cryostat bottom plate together with the gold coated Cu braids that connect the Cu cone to the cryo-
130 stat bottom but keep a high mechanical flexibility [Fig. 2(b)]. Note that for the electrical connec-
131 tions between the connectors on the cryostat bottom plate and feedthroughs of the UHV system,
132 low-heat-conductive phosphor bronze wires [42] are used. The wires run down along the LHe
133 tank with several attachment points to further reduce the heat flow from the room temperature
134 UHV flange connectors to the cryostat bottom plate. For the Cu braids, in order to permit a defined
135 grounding of the microscope, independent of that of the UHV system, the Cu braids are electri-
136 cally insulated through a sapphire plate from the cryostat bottom plate. For a more rapid cooling,
137 the microscope can be pulled down by a LN₂-cooled pulley system that locks in at the microscope
138 bottom such that a mechanical contact between the Cu cone and the cone shaped part of the LHe
139 microscope shield is achieved.

140 To obtain access to the microscope, the LN₂ shield can be rotated such that it connects to the inner
141 LHe shield to open up an access window to the microscope for sample and cantilever holder trans-
142 fer. The cantilever, the optical fiber and the sample can be seen at a large optical viewing angle per-
143 mitting a good microscopic view required for the positioning of the fiber relative to the cantilever.
144 This allows for example the positioning of the fiber end outside the long axis of the cantilever to
145 measure torsional cantilever oscillation modes (see section IV) or the approach of the sample to
146 the (cantilever) tip. An additional position of the shields opens a small access hole to the sample
147 surface permitting the deposition of atoms or molecules on the cold sample.

148 **Microscope Design**

149 We use a fiber-optical interferometer to measure the cantilever deflection. This deflection sensor
150 type only requires placing the end of an optical fiber in close proximity to the cantilever, but all
151 electronic components remain outside the cryostat and the UHV system. Moreover, a fiber-optical
152 interferometer sensor directly maps the cantilever deflection, whereas beam-deflection sensors only
153 measure the angular change of the cantilever [43]. A fiber-optical interferometer thus permits a
154 precise measurement of the cantilever oscillation amplitude, without the need of a complicated cal-
155 ibration [44-46]. Fiber optical sensors can obtain sensitivities up to about $1 \text{ fm}/\sqrt{\text{Hz}}$ using Fabry-
156 Pérot interferometry [47,48]. To date, we however only implemented a simpler form of the interfer-
157 omer and having a cleaved and uncoated fiber end with a reflectivity of typically 4%. This limits
158 the sensitivity of the interferometer to about $89 \text{ fm}/\sqrt{\text{Hz}}$, (see section VI for the characterization of
159 the interferometric deflection sensor).

160 Figure 3(a) shows a typical setup for a UHV STM or tuning fork-based AFM. Preferably, the low-
161 mass tip is scanned, while the heavier sample and sample receivers are mounted on a xy-stage for
162 the lateral positioning of the sample on a millimeter scale. To avoid stacking the z-motor on top of
163 the xy-positioning unit, the xyz-scan piezo and tip-receiver unit are mounted inside a z-positioning
164 unit, permitting the approach of the tip to the sample. Typically, the shear-piezo plates used for
165 the stick-slip positioners are mounted on the instrument body, while a slider contains the scan
166 piezo [13].

167 In a cantilever-based AFM, the deflection sensor (here a cleaved fiber end) must be positioned rela-
168 tive to the cantilever. Scanning the cantilever tip would be impractical in this case, because it would
169 require scanning the entire fiber positioning unit as well as the cantilever. Instead, the cantilever
170 remains fixed to the instrument body, the fiber end is positioned on top of the cantilever, and the
171 sample is scanned relative to the cantilever. This setup, on the other hand, requires stacking of the
172 z-positioner on top of the xy-positioning unit or vice-versa, making the design of a mechanically
173 rigid instrument more challenging. In addition, the mass of the sample holder and sample holder
174 receiver must be kept to a minimum in order to keep the resonance frequency of the xyz-scan piezo

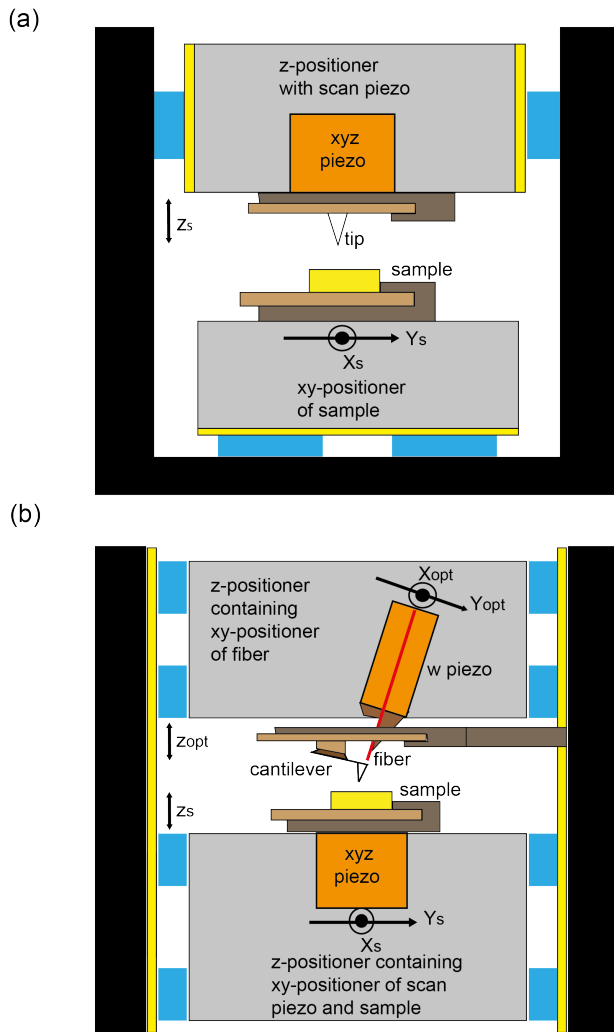


Figure 3: Schematics of the components of (a) a typical, classical STM / tuning fork-based AFM setup with the tip being scanned and (b) our AFM with the sample being scanned.

175 reasonably high, as required for a fast feedback. Furthermore, to avoid instrument downtime due
 176 to piezo tube fractures, sample exchange inside the UHV must be performed with minimal force
 177 applied to the scan piezo. The schematic setup of our instrument is displayed in Fig. 3(b). Our
 178 cantilever-based AFM instrument is made of two three-axis piezo-motor modules that position
 179 (A) the sample versus the cantilever tip (sample positioning unit) which is equipped with a sam-
 180 ple scan-piezo, and (B) the fiber versus the cantilever back-surface (fiber positioning unit) which
 181 contains a piezo (w-piezo) for fine-tuning the fiber-to-cantilever distance and for keeping the inter-
 182 ferometer at one of its most sensitive operating points.

183 **Sample positioning unit**

184 For the sample positioning unit, Pan style piezo-motors [49] are used. Triangular voltage pulse
185 trains are applied to all shear piezo stacks simultaneously. In order to minimize the instrument vol-
186 ume and to maximize its mechanical rigidity, the scan piezo is integrated into the xy-positioning
187 unit that is contained inside the z-positioning unit which moves inside the instrument body. Differ-
188 ent to conventional z-positioning units, as for example used in the work of Schwenk et al.[13] and
189 Hug et al.[50], here the shear piezo stacks are attached to the sliding unit. This is one of the many
190 design steps we have undertaken to improve the stability of the tip-sample gap: because the shear
191 piezos move together with the z-positioner containing the scan piezo with the sample, the mechan-
192 ical loop from the tip to the sample becomes small in the approached state, whereas in the classical
193 design [Fig. 3(a)], the shear piezos are attached to the body of the instrument leading to the largest
194 mechanical loop in the approached state.

195 A further advantage of this design is that the instrument body can be manufactured as a single
196 piece, in the form of a cylindrically-shaped tube [Fig. 4(a)]. As a result, only the sapphire plates,
197 but not the piezo-stacks, need to be glued on the inside walls of the body.

198 Our design with the piezos attached to the moving part however requires a spring system that ap-
199 plies a force from the inside towards the sapphire plates mounted on the inside of the instrument's
200 body tube [Fig. 4(a) and (b)]. Figure 4(c) and (d) show the top and side views of the z-positioning
201 unit containing the xy-positioning unit and the xyz-scan piezo carrying the sample receiver. While
202 four of the six shear piezo stacks are glued to the z-slider unit, the two remaining stacks are glued
203 to a leaf-spring assembly depicted in Fig. 4(a) and (b). The central screw (red arrow) pushes the
204 leaf-spring against two support cylinders, leading to an outward motion of the piezo stacks, press-
205 ing them against the sapphire rail (wide red arrows). With the screw in its released position, the
206 sample z-positioning unit (and also that of the fiber which is not shown in Fig. 4) can be placed in-
207 side the cylindrical body tube [blue arrow in Fig. 4(a)] and the shear piezo stacks can be pressed
208 towards the sapphire rails by tightening the adjustment screw that is accessible through a hole in
209 the cylindrical instrument body.

210 The z-positioning units of the sample and fiber also contain the corresponding xy-positioning units.
211 To avoid any cross-coupling of the xy-motion as observed in earlier designs [50], two separated
212 units with confined motions in the x- and y-directions are used here [Fig. 4(d)]. Such a stacking
213 of two linear positioning units on top of the z-positioning units in a small building space however
214 imposed various design challenges: first, a high mechanical rigidity must be obtained for a good
215 tip-sample gap stability; secondly, the mechanical loop must be minimized and the design has to
216 be kept as symmetrical as possible to reduce thermal drift; thirdly, the design must allow a precise
217 adjustment of the pressure of the sliders towards the sapphire rails for the xy-directions.
218 All these conditions can be fulfilled with a concentric design, where the shear-stacks of the x-
219 positioning unit are attached close to the top of the z-sliding unit [Fig. 5(a)]. The x- and y-sliders
220 both use three shear stacks and confine the motion along these directions by sliding an Al_2O_3
221 sphere attached to the shear stack inside a gap formed by two sapphire cylinders. The shear stacks
222 for the x-direction are glued to the inside close to the top surface of the z-slider [Fig. 5(a)]. The
223 x-slider is then arranged below these stacks and contains the three shear stacks of the y-direction
224 which then move the y-slider. The xyz-piezo is then attached to the top of the latter reaching
225 through a hole in the x-slider to the top of the z-slider, such that the sample holder receiver is suf-
226 ficiently high that the sample holder can be introduced into it. Both sliders are then pressed against
227 their piezo stacks using a single three-armed leaf spring at the bottom with a sapphire sphere run-
228 ning on a hardened steel plate. The sphere is contained in a cage mounted to a fine-thread, and a
229 screw is used to adjust the force acting on the shear stacks of both the x- and y-sliders, facilitating
230 the setting of a force sufficiently large to have a rigid assembly, but small enough to move the slid-
231 ers at low temperatures, where the range of the shear stacks is significantly reduced.
232 With this concentric design, dimensional changes in the height of the shear stacks and sliders with
233 temperature are at least partially compensated by those of the scan piezo. Together with the highly
234 symmetric design along the x and y axes, this further reduces the thermal drift. Moreover, a wig-
235 gling motion of the size δ (for example arising from a mechanical excitation of the spring suspen-
236 sion system of the microscope) of the x-sliding plate away from the supporting shear piezo stack

237 [Fig. 5(b)], will translate into a later motion of $\delta/2$ [Fig. 5(c)] much smaller than the mechanically
238 amplified motion of $\delta \cdot \frac{L_z}{L_x}$ occurring in the classical stacked xy-motor design depicted in Fig. 5(d)
239 and (e).

240 **Fiber positioning unit**

241 The same type of z- and xy-positioning units are also used to approach the fiber to the cantilever
242 backside and to position it along and perpendicular to the cantilever axis. Note that the xy-
243 positioners for the fiber are tilted by the same 12° angle [Fig. 6] as the cantilever to permit the
244 y-positioning of the fiber parallel to the long axis of the cantilever. Similar to xy-positioners of
245 the sample, the x- and y-positioners of the fiber can be independently adjusted without any cross-
246 coupling. This permits a reliable positioning of the fiber either above the central axis of the can-
247 tilever or towards the cantilever edges to pick up torsional cantilever deflections (see section IV).
248 In order to maximize the sensitivity of the interferometric cantilever deflection measurement, a
249 fiber-to-cantilever distance between two adjacent interference extrema must be selected and kept
250 constant. This fine-positioning is performed by the w-piezo stack [Fig. 6].

251 **Sample and cantilever holders**

252 UHV AFM instrumentation typically permits the in-situ exchange of samples and (cantilever) tips.
253 For this, the sample and cantilever are mounted on corresponding holders [Figs. 7(a)-(c) and (d)-
254 (f), respectively]. For efficient UHV AFM experimental work, it is favorable to have a conveniently
255 large number of different sample and cantilever holders. Such holders with electrical contacts, on
256 the other hand, are complex and their fabrication and assembly typically require considerable ef-
257 forts. For this reason, all our sample/cantilever holders use the same four laser-cut metal parts as
258 base plates (m1-m4) connected via a simple ceramic center piece [Fig. 7(f)] on top of which dif-
259 ferent assemblies can be arranged, for example, to carry a sample button heater [Figs. 7(a)-(c)] or a
260 shaker piezo for the mechanical excitation of the cantilever oscillation [Figs. 7(d)-(f)].

261 **Sample and cantilever receivers**

262 These sample/cantilever holders can be transported through the UHV system using the linear
263 manipulator. In most instruments, the receivers for the sample or cantilever holders use clamp-
264 ing springs to fix the holders in their positions [Fig. 7(g)]. However, the introduction of the sam-
265 ple/cantilever holder into the corresponding receiver requires overcoming frictional forces which
266 may lead to a deformation of the holding springs and, consequently, to a loose fixation of the sam-
267 ple/cantilever holder in its receiver. Moreover, the sliding motion will also create wear particles
268 which may contaminate the surface of the sample or the inside of the instrument. Generally, such
269 receiver designs compromise between a sufficiently large clamping force and the frictional forces
270 which need to be overcome to exchange the sample/cantilever.

271 Here, we designed a new type of sample/cantilever receivers containing an adjustable clamping
272 spring to overcome these inherent problems [Fig. 7(h)]. When the sample/cantilever holder is in-
273 troduced or removed from the receiver, the clamping spring is in a lower position, not touching the
274 sample/cantilever holder, such that the latter can be introduced or moved without applying forces to
275 the receiver. The fixation of the sample/cantilever holder is then performed by rotating the fixation
276 screw, which pushes the clamping spring against the sample/cantilever holder [Fig. 7(h)]. The re-
277 quired rotary motion can be applied via a customized magnetic-feedthrough manipulator which
278 includes a rotatable hex-key end piece [Fig. 7(i) and (j)]. This end piece can further be moved
279 along its axis, permitting the clamping of a sample/cantilever holder and thus allows its safe and
280 rapid transport between the linear manipulator head and the corresponding receivers in the AFM
281 [Fig. 7(k)].

282 Note that we have tested different designs for the screw-activated clamping mechanism. We found
283 the mechanism to be reliable (permits operation for more than a year with lots of sample/cantilever
284 holder exchanges) with a conical screw coated by dichronite running in a thread of the receiver [fix-
285 ation screw and thread piece in Fig. 7(h)]. The screw or the part with the thread can easily be re-
286 placed in the case of extensive wear. The conical end of the screw then presses on a sapphire inlay
287 glued to the bottom part of the clamping spring.

288 The fixation of the sample/cantilever holder inside the corresponding receiver also leads to an elec-
289 trical contact between pads on the sample/cantilever holder and contact pins on the receiver. We
290 typically use three (out of the four) contact pins on the holder top, but can also use two contact pins
291 on the clamping springs and hence have a total of 5 electrical contacts. Because four top contacts
292 overdefine the plane of the sample/cantilever holder, the holder typically has a smaller thickness in
293 one of the front contact areas, such that only one of the front electrical pins makes contact with the
294 holder. A modified design of our holder with more (spring-loaded) electrical contacts from the top
295 has been recently described by Schwenk et al.[13].

296 **Modular wiring design**

297 In order to facilitate instrument service, modification or repair, every module of the microscope has
298 a separate wiring branch and can thus be easily removed from the microscope without having to
299 remove wires or connectors from the module.

300 For the sensitive signal inputs and outputs, such as STM current and sample bias voltage, coax-
301 ial cables Lakeshore CC-SS-100 [51] with a SMA connector at their ends are used. These are
302 wired to the two front electrical contact pins [Fig. 7(d) to (f)]. For all other contacts and also the
303 wiring for the scan piezo, piezo motors, piezo for the mechanical actuation of the cantilever oscilla-
304 tion, temperature sensor (below the sample holder) and heaters, silver coated Cu wires (DABURN
305 2451 [52]) are used. For electrical screening, wires carrying opposite voltages (X+ and X-, Y+
306 and Y- for the scanner as well as W+ and W- for the w-piezo) are twisted. Furthermore, groups of
307 twisted pairs are contained in a CuBe braid with a home-built multi-pin connector at the end, which
308 is then plugged into the corresponding connector receiver on the bottom plate of the LHe tank of
309 the cryostat [Fig. 2(b)].

310 From the multi-pin connector receiver at the cryostat bottom, the wire-bundles for specific instru-
311 ment modules are reordered into functional groups, e.g. a group containing all wires for the piezo
312 positioners, sample scan and w-piezo, electrical contacts to the sample and cantilever and instru-
313 ment heaters and temperature sensors.

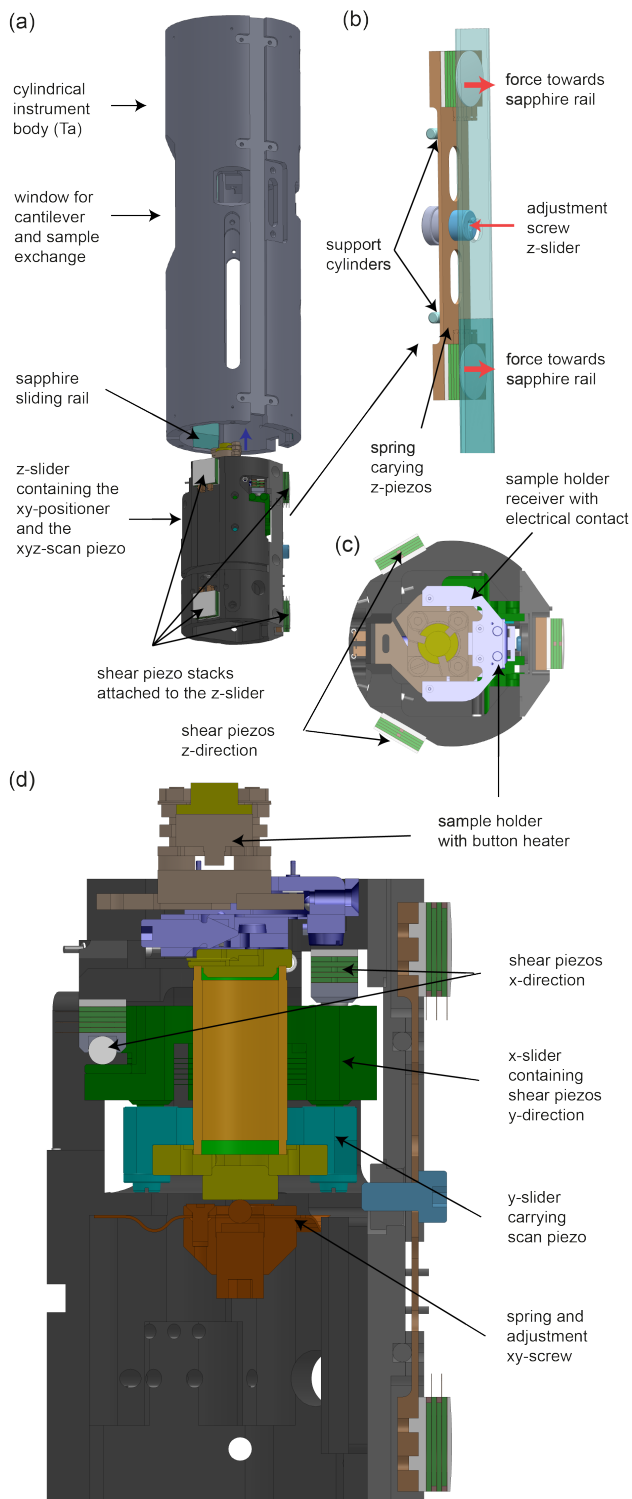


Figure 4: CAD drawings of the cylindrical body tube (a) and the leaf spring (b) carrying two of the total of six z-piezo shear stacks. The top and the cross-sectional views of the z-slider unit are shown in (c) and (d), respectively. The z-positioning unit also contains the xy-positioning unit and the xyz-scan tube carrying the sample holder receiver with the sample holder.

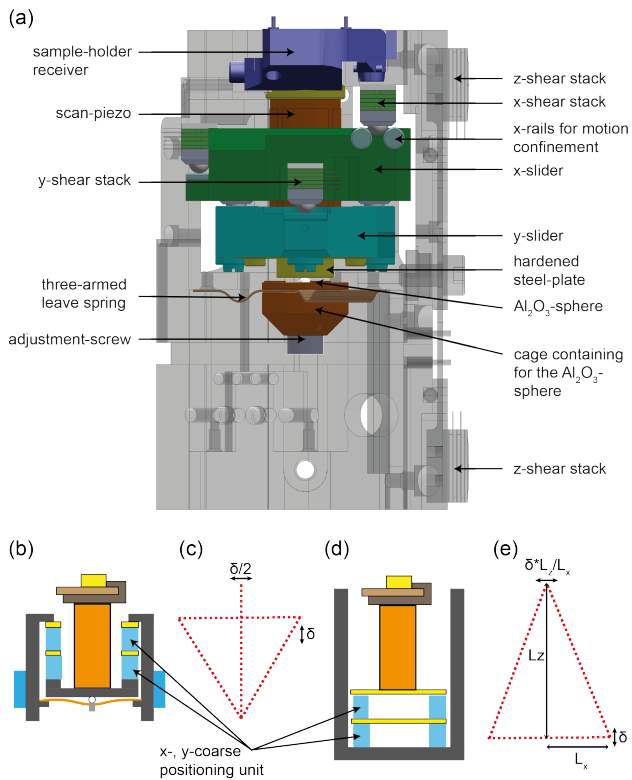


Figure 5: (a) CAD drawing of the z-positioning unit containing the xy-positioning units with scan piezo and mounted to it the sample holder receiver. (b) schematic drawing of the assembly depicted in (a) highlighting the concentric design, and (c), the corresponding stability triangle. (d) schematic drawing of a more conventional design, where the scan piezo is mounted on the top of the xy-positioning unit, and (e), the corresponding stability triangle.

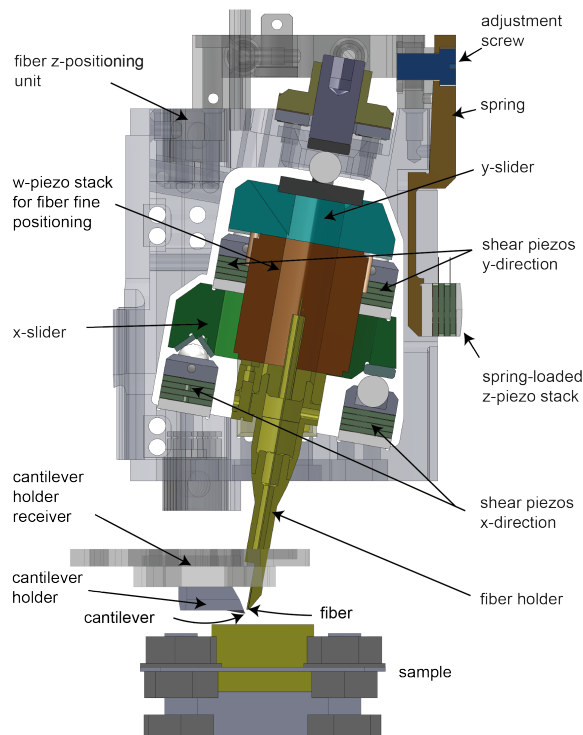


Figure 6: CAD sketch of the fiber z-positioning unit containing the x- and y-positioning unit. The assembly can be placed inside the cylindrical instrument body. After tightening the adjustment screw, the spring-loaded z-shear piezo stack and consequently the z-shear piezo stack attached to z-positioning unit will be pressed towards the sapphire rails on the inside of cylindrical instrument body. The cantilever-to-fiber geometrical configuration is also highlighted. The cantilever and the fiber are tilted by 12° relative to the sample.

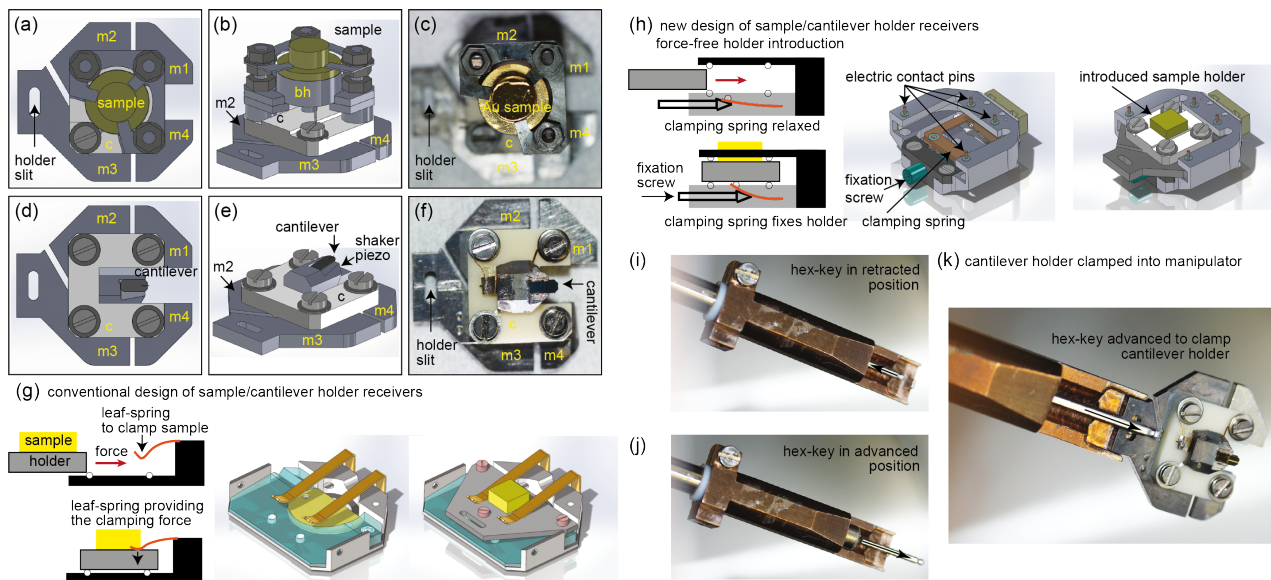


Figure 7: (a) and (b) top and side view CAD sketches of a sample holder with a button heater for sample preparation. (c) a hat-shaped Au(111) single crystal mounted in a sample holder containing a button heater. (d) and (e) top and side view CAD sketches of a cantilever holder with a shaker piezo integrated into the holder below the cantilever. (f) a cantilever holder with a mounted (glued) cantilever. The wire on the top-right to the m1 contact plate is for the measurement of the tunneling current. The wire on the top-left contacts the cantilever shaker piezo, while the wire on the bottom left provides the ground and shields the cantilever excitation voltage from the cantilever. (g) typical sample/cantilever receiver design used in earlier instruments [46] where the sample/cantilever holder are clamped down by springs. (h) new sample/cantilever receiver design used here, permitting a force-free introduction/removal of the sample/cantilever from the corresponding receiver. (i) and (j) manipulator with a rotatory hex-key end piece that can be moved along its long axis to clamp a sample/cantilever holder for a safe transport between the chamber transport system and the sample/cantilever holder receiver in the AFM (k).

314 **Interferometer system**

315 The layout of the fiber optical interferometer system is depicted in Fig. 8(a). To perform the inter-
316 ferometry, we use a Sony SLD201 V3 laser diode with a wavelength of 785 nm coupled via an opti-
317 cal insulator to a Au-coated monomode optical fiber having a core diameter of $5\ \mu\text{m}$ [53] delivering
318 a maximum of 9.3 mW into the fiber at a drive current of 140 mA. To keep the temperature of the
319 laser diode constant, it is mounted onto a Thorlabs TCLDM9 [54] thermoelectric cooler block and
320 the laser diode is operated at constant current. A combined laser diode and temperature controller
321 (Thorlabs ITC502 [54]) controls both the current and the temperature. In contrast to earlier de-
322 signs which relied on a 50:50 fiber-optical 2×2 -coupler, the increased power of the laser diode per-
323 mits [44,45] the use of a 98:2 fiber-optical 2×2 -coupler with the laser diode connected to one of the
324 two 2% branches. Thus, for the 9.3 mW maximum input power, only 1.4%, i.e. $127\ \mu\text{W}$ reaches
325 the fiber end in the AFM, because of additional losses in the optical connectors. This minimizes
326 the light coupled to UHV/cryostat system (blue shaded area in [Fig. 8(a)]) containing the AFM and
327 thus a potential heating effect, but maximizes the intensity of the light reflected back from the fiber-
328 end /cantilever assembly to the measurement photo-diode, which leads to about $50\ \mu\text{W}$ on the mea-
329 surement photodiode that is part of a 10 MHz bandwidth current-to-voltage converter.

330 The interferometer system can be equipped with an additional laser diode (LP633-SF50 [54]) with
331 a wavelength of 635 nm coupled into the fiber with the 2-color-combiner (NR73A1 [54]) allow-
332 ing an optical excitation of the cantilever oscillation. We found that a mechanical excitation of the
333 higher cantilever oscillation modes can become challenging if other resonances arising from the
334 mechanical setup of the cantilever holder with its excitation piezo are located close to the cantilever
335 resonance. Figures 8(b) and (c) show the measured amplitude and phase of the second flexural can-
336 tilever resonance excited mechanically (by the shaker piezo on the cantilever holder), or optically
337 (using a DC- and AC-current for the 635 nm laser diode to oscillate its light intensity), respectively.
338 Note that the additional color-filter placed in front of the photodiode prevents the backreflected
339 635 nm light to reach the photodiode, such that only the interference of the 785 nm laser light is
340 used to map the cantilever deflection. For the specific cantilever, the dependence of the amplitude

341 and phase on excitation frequency expected for a harmonic oscillator becomes disturbed signifi-
342 cantly by a nearby mechanical resonance of the cantilever holder for a mechanical excitation of the
343 cantilever [Fig. 8(b)]. Because the cantilever resonance frequency changes the cantilever interacts
344 with the surface, i.e. in AFM operation mode, the 180° phase shift from the cantilever resonance
345 can overlap with the phase shift arising from the mechanical resonance, leading to a failure of the
346 phase-locked loop to track the cantilever's resonance frequency. In such a case, optical excitation is
347 preferred. In contrast to the mechanically-excited cantilever, an optical excitation leads to an ideal
348 harmonic oscillator behavior [Fig. 8(b) and (c)].
349 Note that the 10 MHz bandwidth of the photodiode current-to-voltage converter permits the mea-
350 surement of higher flexural and torsional modes occurring at frequencies well beyond 1 MHz
351 [Fig. 8(d)]. To measure torsional cantilever oscillation modes, the fiber needs to be positioned
352 outside the long-cantilever axis, close to the boundary of the cantilever [55]. Figure 8(e) shows
353 the measured interferometer signal as a function of the fiber position across the cantilever. For
354 a cantilever width w of $30\ \mu\text{m}$, we can estimate the laser spot size to be about $10\text{-}15\ \mu\text{m}$ on the
355 cantilever. Figure 8(f) shows the measured size of the first flexural (red curve, left vertical axis)
356 and torsional (blue curve and right vertical axis) cantilever oscillation mode with frequencies of
357 $2.959\ \text{kHz}$ and $2.206\ \text{MHz}$ as a function of the position of the fiber across the cantilever. While the
358 flexural mode oscillation signal [red curve in Fig. 8(f)] remains roughly constant [with a slight dip
359 in the middle of the cantilever similar to that observed in the interference signal from Fig. 8(e)],
360 the torsional mode signal vanishes at the center of the cantilever [blue curve in Fig. 8(f)]. The ab-
361 sence of the signal at the center of the cantilever can also serve as a signature to clearly identify a
362 torsional oscillation mode.

363 **Performance of the SPM**

364 **Relevant AFM noise sources**

365 Microfabricated low mass cantilevers offer considerable advantages concerning measurement
366 noise, measurement bandwidth and further permit multimodal AFM operation schemes [56], at the

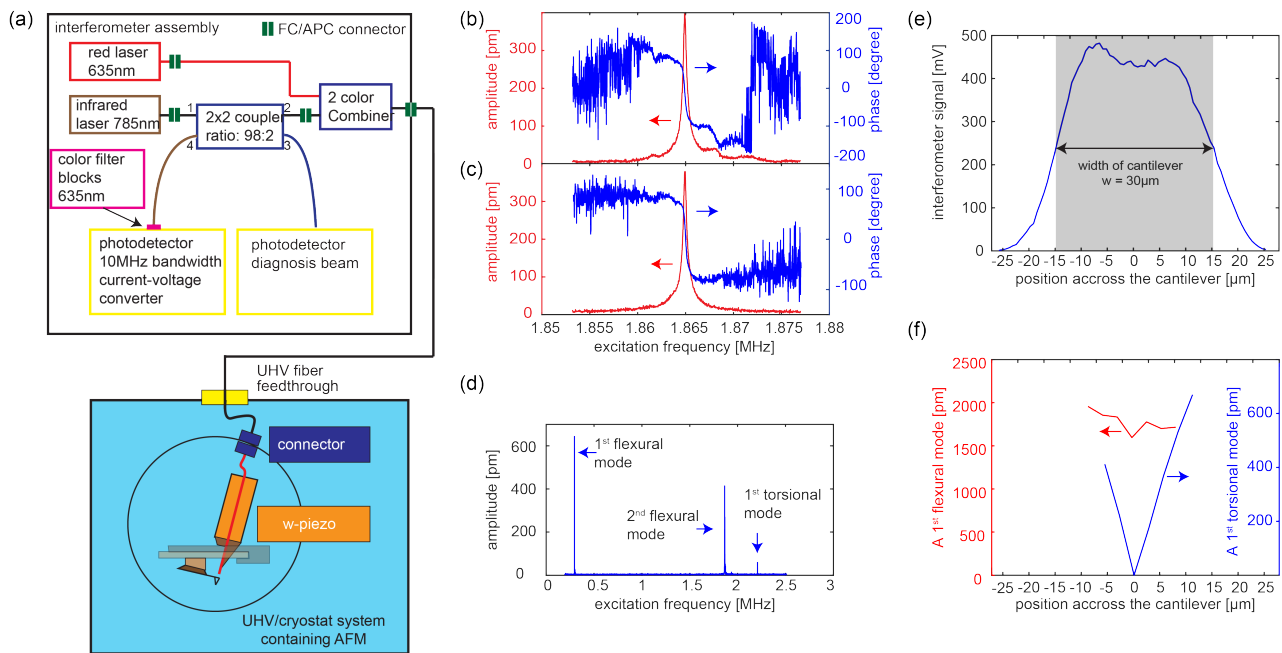


Figure 8: (a) setup of the interferometer system. (b) and (c) amplitude and phase as a function of the frequency for mechanical and optical cantilever excitation, respectively. (d) wide frequency range mechanical excitation spectrum of the cantilever showing the first and second flexural and first torsional resonances. (e) interferometer signal as a function of the fiber position across the cantilever (displayed schematically by the gray area). (f) measured oscillation amplitudes of the cantilever for the first flexural (red) and first torsional oscillation modes (blue), respectively. The torsional oscillation modes vanish if the fiber is positioned above the central axis of the cantilever.

367 cost of an increased complexity of the instrumentation arising from the need of an additional de-
 368 flection sensor which needs to be positioned relative to the cantilever. As discussed by Kobayashi
 369 et al.[57], the measurement noise arises from three different noise sources, i.e. thermal noise of the
 370 cantilever (thermal noise), noise of the deflection sensor (deflection noise) and noise arising from
 371 fluctuations of the oscillator circuitry driving the cantilever oscillation (oscillator noise). These
 372 noise sources all limit the minimally-measurable rms z-derivative of the z-component of the force,

373 as given by the expressions:

$$374 \quad \left. \frac{\partial F_z}{\partial z} \right|_{\text{th}} = \frac{1}{A_{\text{rms},i}} \cdot \sqrt{\frac{4k_B T k_i B}{2\pi f_i Q_i}} \propto \sqrt{\frac{k_i}{f_i Q_i}}, \quad (1)$$

$$375 \quad \left. \frac{\partial F_z}{\partial z} \right|_{\text{def}} = \frac{1}{A_{\text{rms},i}} \cdot \frac{n_{\text{eq}} 2k_i B^{\frac{3}{2}}}{\sqrt{3} f_i} \propto \frac{k_i}{f_i}, \quad (2)$$

$$376 \quad \left. \frac{\partial F_z}{\partial z} \right|_{\text{osc}} = \frac{1}{A_{\text{rms},i}} \cdot \frac{n_{\text{eq}} k_i \sqrt{B}}{Q_i} \propto \frac{k_i}{Q_i}, \quad (3)$$

377 where: k_i , f_i , Q_i , and $A_{\text{rms},i}$ are the stiffness, free resonance frequency, quality factor, and rms os-
 378 cillation amplitude of the i -th cantilever oscillation mode (different flexural or torsional oscillation
 379 modes), respectively; $k_B = 1.38 \cdot 10^{-23} \text{ JK}^{-1}$ is the Boltzmann constant, T is the temperature, B is
 380 the bandwidth at which the measurement is performed, and n_{eq} is the noise of the deflection sensor,
 381 given in units of $\text{m}/\sqrt{\text{Hz}}$. The minimally-measurable rms z-derivative of the z-component of the
 382 force then arises from the sum of all noise sources and is thus given by:

$$383 \quad \left. \frac{\partial F_z}{\partial z} \right|_{\text{tot}} = \sqrt{\sum_{i=\text{th,def,osc}} \left. \frac{\partial F_z}{\partial z} \right|_i^2}. \quad (4)$$

384 For rectangular cantilevers, the flexural modal stiffness and resonance frequency of the i -th flexural
 385 oscillation modes are related to the first flexural mode stiffness and resonance frequency, respec-
 386 tively, by:

$$387 \quad k_i = k_1 \cdot \left[\frac{\alpha_i}{\alpha_1} \right]^4, \quad (5)$$

$$388 \quad f_i = f_1 \cdot \left[\frac{\alpha_i}{\alpha_1} \right]^2, \quad (6)$$

$$389 \quad (7)$$

390 where $\alpha_i = \{1.8750, 4.6941, 7.8548, \dots\}$ are coefficients defined by the characteristic equation
 391 of an oscillating rectangular cantilever with one free end [58]. Note that for a typical non-contact

392 AFM experiment, the tip end of the cantilever can be considered as free because the cantilever
 393 force constant is generally much smaller than the measured derivative of the tip-sample interac-
 394 tion force [59]. The force constant of a rectangular cantilever and its first flexural mode stiffness,
 395 respectively are given by:

$$396 \quad c_L = \frac{E_{\text{Si}} t^3 w}{4L^3} \quad \text{and} \quad k_1 = \frac{c_L \alpha_1^4}{12}, \quad (8)$$

397 where: $\rho_{\text{Si}} = 2331 \text{ kg/m}^3$ and $E_{\text{Si}} = 1.69 \cdot 10^{11} \text{ N/m}^2$ are the density and elastic modulus of silicon,
 398 respectively; L , w , and t are the length, width and thickness of the cantilever, respectively. While
 399 the first two geometrical dimensions are well-defined by the fabrication process and can easily be
 400 measured by electron microscopy, the thickness t of the cantilever is best obtained from the mea-
 401 sured first mode flexural resonance frequency f_1 using:

$$402 \quad t = \frac{2\pi f_1 L^2}{\alpha_1^2} \cdot \sqrt{\frac{12\rho_{\text{Si}}}{E_{\text{Si}}}}. \quad (9)$$

403 The expressions for the minimally-measurable force derivative (eq. 1 and eq. 2) arising from ther-
 404 mal and deflection sensor noise, respectively, reveal that a high quality factor (for a low thermal
 405 noise) and a low modal stiffness resonance frequency ratio (for both noise sources) are beneficial
 406 for a high signal-to-noise ratio or large measurement bandwidths. Because the stiffness depends
 407 on $\frac{t^3}{L^3}$ (eq. 8), whereas the resonance frequency is proportional to $\frac{t}{L^2}$ (as derived from eq. 9), a low
 408 stiffness-to-frequency ratio at a reasonably high resonance (several tens or hundreds of kHz) is best
 409 obtained with low-thickness microfabricated cantilevers. A small cantilever thickness is further
 410 beneficial for the support loss quality factor (which is one of the relevant energy loss terms), be-
 411 cause $Q_{\text{support}} \propto 1/t^3$ [60].

412 The measurement of magnetic, electric or van der Waals forces is thus best done with low thickness
 413 cantilevers. These cantilevers typically have resonance frequencies of a few tens of kHz (compara-
 414 ble to that of a tuning fork) but a stiffness that is about four orders of magnitude smaller than that
 415 of a tuning fork, resulting in a reduction of the thermal and deflection noise by two and four orders

416 of magnitude (see table 1) assuming the same quality factor. Note that, for a soft cantilever, the de-
 417 flection noise obtained with typical deflection sensors is negligible such that thermal noise is dom-
 418 inant. Recently, Feng et al.[29] have demonstrated that at room temperature a force derivative of
 419 78 nN/m is detectable in a 1 Hz-bandwidth, which is of particular importance for the measurement
 420 of small magnetic forces and for MFM with optimized lateral resolution.

421 To perform atomic resolution, cantilevers with a higher stiffness are required to meet the stability
 422 criteria:

$$423 \quad c_L > -\left. \frac{\partial F_{ts}}{\partial z} \right|_{\max}, \quad (10)$$

424 or

$$425 \quad c_L \cdot A > |F_{ts}|_{\max}, \quad (11)$$

426 where F_{ts} is the tip-sample interaction force. From eq. 10, the cantilever stiffness must surpass the
 427 highest attractive force gradient acting on the cantilever to prevent a snap to contact. Alternatively,
 428 such a snap-to-contact can also be prevented by a sufficiently large cantilever oscillation amplitude,
 429 such that the restoring force surpasses the maximum attractive force (eq. 11). Further, sufficient
 430 energy must be stored in the cantilever oscillation. To obtain an oscillation energy of a few tens of
 431 electron volts at smaller cantilever oscillation amplitudes, e.g. $A = 100$ pm, typically force con-
 432 stants of a few hundred N/m are required. This permits a stable oscillation of the cantilever and
 433 tracking of the resonance frequency shifts, even in the presence of energy loss processes arising
 434 from stochastic changes of atomic positions at the tip apex or sample atoms interacting with the
 435 tip [61]. Such stiffnesses are typically obtained in the second flexural oscillation mode of can-
 436 tilevers with a first flexural mode stiffness of a few tens of N/m (eq. 5). While the second modal
 437 stiffness of such cantilever has about the same order of magnitude as that of a tuning fork, its res-
 438 onance frequency is almost two orders of magnitude higher. According to eqs. 1 and 2, cantilever
 439 sensors have thermal and deflection noise advantage of about one and two orders of magnitude un-

440 der the assumption that the quality factor and noise of the deflection sensor can be compared to
441 those of a tuning fork. Moreover, the deflection noise (eq. 2) depends on the 1.5-th power of the
442 bandwidth, whereas the thermal noise (eq. 1) depends on the square root of the measurement band-
443 width. For a hard cantilever and likewise for a tuning fork sensor, the deflection noise can become
444 the dominant noise source, such that a low stiffness-to-resonance frequency ratio becomes particu-
445 larly relevant.

446 Note that the oscillator noise (eq. 3) solely depends on the deflection noise, the cantilever stiffness
447 and the quality factor. Hence, having a high resonance frequency is not beneficial. However, as
448 Kobayashi already pointed out [57], the oscillator noise is not relevant for a high-Q-cantilever, pro-
449 vided that the thermal noise peak is sufficiently larger than the noise of the deflection sensor, i.e.
450 the thermal noise amplitude at the corner frequencies, $f_{c_{1,2}} = f_0 \pm \frac{f_0}{2Q}$, is considerably larger than
451 the background noise of the deflection sensor. This is typically fulfilled for the first and second
452 flexural and first torsional oscillation modes of microfabricated cantilevers, such that the oscillator
453 noise contribution is negligible. Table 1 summarizes the stiffness-to-frequency ratios for typical
454 microfabricated cantilevers and tuning forks. According to eqs. 1 and 2, these ratios determine the
455 minimally-measurable force derivative or for the obtainable measurement bandwidth (measurement
456 speed).

457 As it becomes apparent from Table 1, a cantilever-based AFM offers high measurement sensitivi-
458 ties, and permits advanced multimodal or multifrequency operation modes. Moreover, cantilevers
459 with a wide range of stiffnesses, resonance frequencies and tips are available, allowing for the se-
460 lection of a cantilever that is best suited to a certain measurement situation.

461 **Force gradient noise and measurement bandwidths**

462 Figure 9(a) shows thermal noise data measured at 6.4 K of a Nanosensors PPP-NCHPt cantilever
463 having $L = 125 \mu\text{m}$, $w = 30 \mu\text{m}$ and a measured first mode resonance frequency $f_0 = 295.97 \text{ kHz}$,
464 together with the fitted resonance curve and the detector noise of our currently implemented inter-
465 ferometer (which is $89 \text{ fm}/\sqrt{\text{Hz}}$ for the non-coated, cleaved fiber end used here). Note that at such

Table 1: Thermal and detector noise sensitivities of different cantilevers and oscillation modes normalized to that of a tuning fork (TF in the table) sensor (higher numbers, i.e. higher measurement sensitivities are better). Line 1: high-quality factor MFM cantilever operated under vacuum conditions [29] in its first flexural mode. Lines 2 and 3: typical cantilever used for atomic resolution work, operated in the first and second flexural mode, respectively. Line 4: tuning fork sensor [13] operated in its flexural mode for comparison with lines 1-3. Line 5: For bi-axial force gradient measurements with a tuning fork [62], its length extension mode was used to map the vertical force gradient. Line 6: again displays the cantilever with the properties given in line 3, but now compared to the sensitivity of the tuning fork length extension mode given in line 5. Line 7 then shows the lateral force sensitivity obtained with the first torsional oscillation mode of a cantilever (that can be measured simultaneously with its second flexural mode, line 3) which needs to be compared to the sensitivity of the tuning fork operated in its conventional flexural mode (line 4).

		k [N/m]	f_0 [kHz]	Q [k]	$\sqrt{\frac{k}{f_0 Q}}$ [normalized]	$\frac{k}{f}$ [normalized]
measurement of vertical force gradient						
1	MFM 1 st flex	0.5	50	250	129.10	6667
2	AFM 1 st flex	25	300	100	28.29	800
3	AFM 2 nd flex	982	1'880	10	3.57	127
4	TF flex	2'000	30	100	1	1
simultaneous measurement of vertical and lateral force gradients						
5	TF l.ext	1.43M	567'000	N/A	N/A	1
6	AFM 2 nd flex	982	1'880	10	N/A	4831
7	AFM 1 st tors	500	220'000	20	7.67	293

466 laser powers, the cantilever quality factor is increased or decreased by photothermal effects such
467 that two different quality factors are measured for the interferometer working points on the rising
468 and the falling slopes of the interferometer signal [63-65]. Figure 9(b) displays the two different
469 resonance curves with an enhanced (red curve) and attenuated quality factor (blue curve) measured
470 at a lower laser power than the resonance curve displayed in Fig. 9(a) with quality factor further at-
471 tenuated by the higher laser power down to the 91k, as obtained from the fit of the resonance curve.
472 The quality factor relevant for the thermodynamic cantilever noise would be obtained at even lower
473 laser powers than that used to measure the resonance curves displayed in Fig. 9(b), can be approxi-
474 mated by the mean of the two quality factors, i.e. $Q_1 = \frac{Q_1^{\text{enh}} + Q_1^{\text{att}}}{2} \approx 100\text{k}$. Note that the quality fac-
475 tor of the second flexural mode is not noticeably influenced by the interferometer operation point,
476 but is typically considerably lower, $Q_2 \approx 10\text{k}$ than Q_1 . We attribute this to energy dissipation aris-
477 ing by instabilities of the atomic positions of atoms inside the grain boundaries [66] of the rather
478 thick metallic coating applied to the tip side of the cantilever. Note that the coating is required to

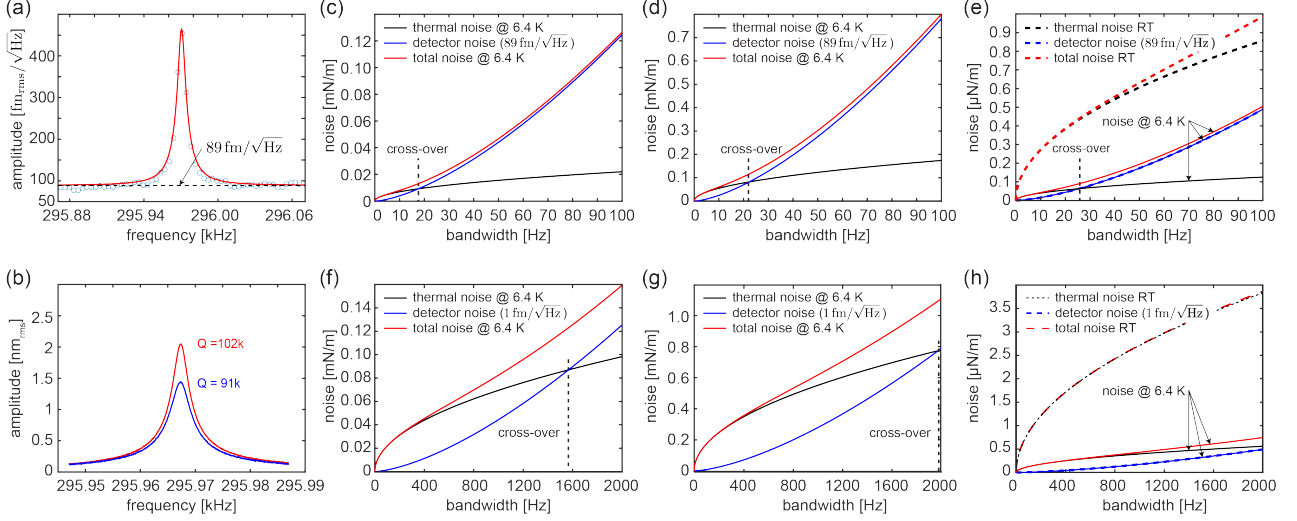


Figure 9: (a) narrow band thermal noise spectrum of a NCHPt cantilever with a length $L = 125 \mu\text{m}$ and width $w = 30 \mu\text{m}$ around the cantilever first mode flexural resonance. The fitted resonance frequency and interferometer noise floor are $f_0 = 295.95 \text{ kHz}$, and $89 \text{ fm}/\sqrt{\text{Hz}}$, respectively. (b) The measured quality factors on the two interferometer slopes are $Q_{\text{damp}} = 91 \text{ k}$ and $Q_{\text{exc}} = 102 \text{ k}$. (c) and (d) force derivative thermal, detector and total noise in mN/m for the first and second flexural oscillation mode at $T = 6.4 \text{ K}$, an oscillation amplitude $A = 100 \text{ pm}$, and a detector noise floor of $89 \text{ fm}/\sqrt{\text{Hz}}$, where $k_1 = 25.2 \text{ N/m}$, $k_2 = 1005 \text{ N/m}$, $f_1 = 295.95 \text{ kHz}$, $f_2 = 1865 \text{ kHz}$, $Q_1 = 100 \text{ k}$, and $Q_2 = 10 \text{ k}$. (e) noise data (here in $\mu\text{N}/\sqrt{\text{Hz}}$) for $T = 6.4$ (solid lines) and $T = 300 \text{ K}$ (dashed lines) for the first flexural mode of an MFM cantilever [29] with a first mode resonance frequency $f_1 = 51 \text{ kHz}$, first mode stiffness of 0.86 N/m , an rms-oscillation amplitude of $A = 5 \text{ nm}$, and a first mode quality factor $Q = 242 \text{ k}$. At higher bandwidths, i.e. at 18 Hz (1st mode), 22 Hz (2nd mode), and 25 Hz (MFM cantilever at $T = 6.4 \text{ K}$), the detector noise becomes the dominant noise source. Panels (f)-(h) display the noise results for bandwidths up to 2000 Hz extrapolated from (c) to (e) for a detector noise floor improved to $1 \text{ fm}/\sqrt{\text{Hz}}$ as for example reached by Refs. [47] and [48] with different types of fiber-optical Fabry-Perét interferometers.

479 permit tunneling, but the coating thickness along the cantilever could presumably be minimized using
 480 masking procedures similar to those used for the coating of high-quality factor cantilevers for
 481 magnetic force microscopy [29]. In future work, much thinner coating thicknesses could be used,
 482 or the coating could be applied to the cantilever side to reduce energy dissipation processes arising
 483 from the grain boundaries of the polycrystalline coating.

484 The cantilever thickness $t = 3.352 \mu\text{m}$ using eq. 9 measured first flexural mode resonance frequency
 485 $f_1 = 295.95 \text{ kHz}$ [Fig. 9(a)], and the length $L = 125 \mu\text{m}$ and width $w = 30 \mu\text{m}$ given by the
 486 manufacturer. Using eqs. 8, 5, and 6, the force constant $c_L = 24.4 \text{ N/m}$, the first flexural mode stiff-

487 ness $k_1 = 25.2 \text{ N/m}$, second flexural mode stiffness $k_2 = 1005 \text{ N/m}$, and the second flexural mode
 488 resonance frequency $f_2 = 1865 \text{ kHz}$ can be obtained. Note that the second mode resonance fre-
 489 quency calculated from eq. 6 typically differs from the measured second mode resonance frequency
 490 by only a few percent. The noise of the interferometer deflection measurement $n_{\text{eq}} = 89 \text{ fm}/\sqrt{\text{Hz}}$
 491 was obtained from fitting the first flexural mode thermal noise spectrum.
 492 Figures 9(c) and (d) show the dependence of the force derivative noise on measurement bandwidth
 493 for the first and second flexural modes, respectively, for a rms oscillation amplitude of 100 pm and
 494 quality factors $Q_1=100\text{k}$ and $Q_2=10\text{k}$. The measurement sensitivity of the first and second flexu-
 495 ral cantilever mode are both limited by thermal noise for measurement bandwidths smaller than
 496 18 Hz and 22 Hz, respectively, and by deflection noise for larger bandwidths. However, for band-
 497 widths up to 100 Hz, the noise remains below 1 mN/m even for the second flexural mode and below
 498 0.1 mN/m for bandwidths smaller than about 22 Hz, as typically used in tuning fork AFM exper-
 499 iments. About an order of magnitude better sensitivities are then obtained in the first cantilever
 500 oscillation mode. Note that these values are obtained for a non-optimized interferometer with a
 501 noise floor of $89 \text{ fm}/\sqrt{\text{Hz}}$ [Fig. 9(a)], clearly demonstrating the superior performance possible with
 502 cantilever-based AFM.
 503 For comparison, the dependence of the minimally-measurable force derivatives for an MFM can-
 504 tilever [29] with $f_1 = 51.002 \text{ kHz}$, $k_1 = 0.86 \text{ N/m}$, and $Q_1 = 241.908\text{k}$ obtained at room tempera-
 505 ture (solid lines) and 6.4 K (dashed lines) are displayed in Fig. 9(e) for a rms oscillation amplitude
 506 of 5 nm (as typically used for MFM [29]). The sensitivity of the softer MFM cantilever (operated
 507 at a 50 times larger oscillation amplitude compared to the one used in the second flexural mode)
 508 is considerably higher than that of the hard cantilever (note that the scale is given in $\mu\text{N/m}$ instead
 509 of mN/m) and not limited by detector noise at room temperature. Such an extremely high force
 510 derivative sensitivity is key for MFM experiments with high spatial resolution (and also to mini-
 511 mize the influence of the tip stray field on the sample by employing low magnetic moment tips). In
 512 addition, such a sensitivity is also useful for mapping other small forces, such as weak electrostatic,
 513 van der Waals or Casimir forces, highlighting the advantages arising from using cantilevers with

514 force constant optimized for a particular type of tip-sample interaction. At 6.4 K the total noise of
515 the MFM cantilever is again limited by detector noise for bandwidths above 50 Hz. The noise of
516 the deflection sensor employed here is clearly relevant for measurements performed at higher band-
517 widths at low temperatures for all types of cantilevers. Best interferometer optical sensors have
518 been reported to reach measurement sensitivities of better than $1 \text{ fm}/\sqrt{\text{Hz}}$ [47,48], a sensitivity
519 not achieved here for our interferometer that still employs an uncoated fiber end. The sensitivi-
520 ties which could be obtained with such improved interferometer setups are displayed in Figs. 9(f)-
521 (h) for measurement bandwidths up to 2 kHz. Clearly, the deflection sensor noise does no longer
522 limit the minimally-detectable force derivative for bandwidths up to and beyond 1 kHz. Such high
523 measurement bandwidths can for example, be used to measure with high speed a large scale image
524 showing atomic steps of the Au(111) surface with thin NaCl islands on top (see section VI).
525 As discussed in section I, there is third noise source, the oscillator noise given by eq. 3, that is how-
526 ever relevant only for low-quality factor conditions [57]. An experimental evaluation of the mea-
527 sured frequency shift noise revealed that it depends as $B^{\frac{3}{2}}$ on the bandwidth B , confirming that the
528 relevant noise source with our current interferometer sensor is the deflection noise and that the os-
529 cillator noise remains negligible(as expected for high-quality factor conditions). Consequently, the
530 high resonance frequency to stiffness ratio of microfabricated cantilevers is highly advantageous for
531 AFM measurements with the highest sensitivity or for more rapid scanning, requiring larger mea-
532 surement bandwidths (see table 1).

533 **STM noise spectrum and tip-sample gap stability measurements**

534 A scanning probe microscopy tool designed for the acquisition of data with atomic resolution re-
535 quires a tip-sample gap stability that is in the best case better than 1 pm. A convenient method
536 to test the gap stability is to measure the current noise while tunneling on a conducting sample.
537 Figure 10 displays the current noise spectrum up to 1600 Hz for the tip retracted from the surface
538 (wide gray line) and for the tip approached to the surface (thin black line) such that a tunnel current
539 of 20 pA is obtained with a bias of 200 mV, respectively. The noise spectrum (left vertical scale)

540 recorded with the tip retracted from the surface contains a few peaks, which we attribute to tribo-
 541 electric currents arising from mechanical vibrations of the cables running along the cryostat, but all
 542 peaks remain smaller than $45 \text{ fArms}/\sqrt{\text{Hz}}$.

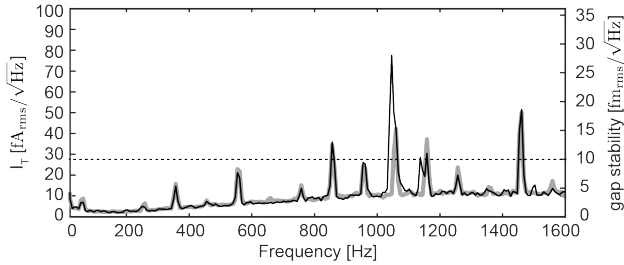


Figure 10: The current noise spectral density with the tip retracted from and approached to the surface of an electrically conducting sample between measurement bandwidth of 0 to 1600 Hz. The current noise spectral density with the retracted tip is displayed as a wide gray curve with a current noise in the left vertical scale. The current noise spectral density with the approached tip is displayed as a solid black line with a tunneling current noise in the left vertical scale and with a converted noise of the tip-sample gap stability in the right vertical scale. The dashed horizontal black line indicates a noise level of $10 \text{ fm}/\sqrt{\text{Hz}}$.

543 If the tip is tunneling, the background noise and most peaks remain unchanged, apart from the peak
 544 at 1.05 kHz that becomes noticeably larger, i.e. doubles from about 40 to $80 \text{ fA}/\sqrt{\text{Hz}}$. We attribute
 545 this increased noise to the thermal noise of the scan piezo that has its first resonance in this fre-
 546 quency range for a Au single crystal sample mounted on a button heater sample holder [Fig. 7(a)-
 547 (c)]. Using previously-measured tunneling current versus sample z-displacement data (not shown),
 548 the tunneling current noise data (solid black line in Fig. 10 and left vertical axis) can be converted
 549 into displacement noise or noise of the tip-sample gap stability (displayed by the right vertical scale
 550 in Fig. 10). The largest noise at about 1.05 kHz then is about $35 \text{ fm}_{\text{rms}}/\sqrt{\text{Hz}}$. The average noise for
 551 the whole spectrum remains below about $10 \text{ fm}_{\text{rms}}/\sqrt{\text{Hz}}$ (dashed horizontal black line in Fig. 10).
 552 Consequently, the integrated rms-noise up to a 1600 Hz bandwidth remains smaller than 400 fm,
 553 which permits measurements of sub-pm corrugations as observed for the atomic resolution im-
 554 age on Au(111) performed with an CO-functionalized tunneling tip at a tunnel-current setpoint of
 555 30 pA and a bias of 5 mV [Fig. 11(f)].

EXPERIMENTAL RESULTS

STM Measurements

Figure 11(a) and (b) show an STM image and cross-section [taken at the location of the blue line in (a)], respectively, of a Au(111) surface acquired at 600 mV and 20 pA. A step and the herringbone structure are well visible.

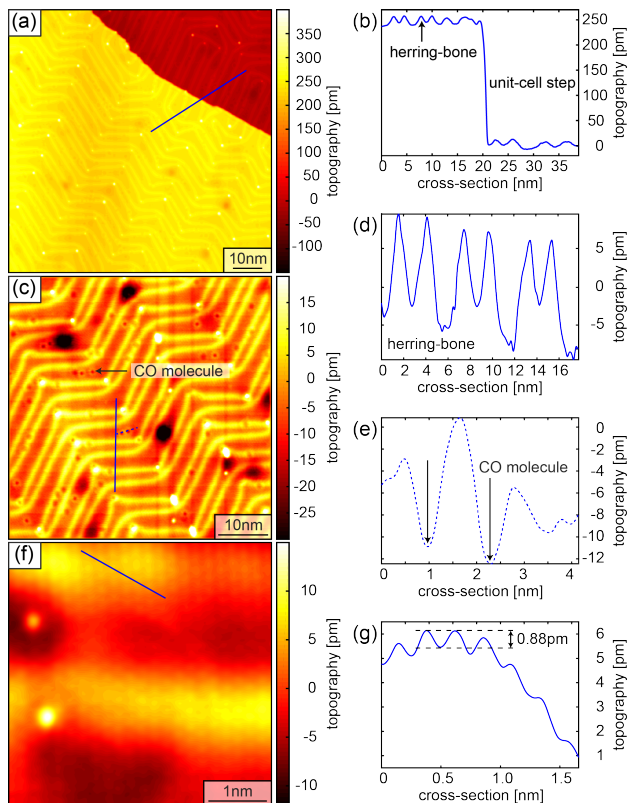


Figure 11: (a) and (c) STM results on Au(111) obtained at 600 mV and 20 pA. (b), (d) and (e) cross-sections taken at the locations of the solid blue lines in (a), (c) and the dashed blue line in (c). (f) atomic resolution image acquired at 5 mV and 30 pA. The cross-section (g) taken at the location of the blue line in (f) shows an atomic corrugation of only 0.88 pm.

Figure 11(c) and (d) show a smaller scan area and cross-section acquired on one terrace. Some CO was dosed onto the surface for a successive tip functionalization. The CO molecules appear as dark spots in the image (black arrow). The cross-section from Fig. 11(e) taken at the location of the blue dashed line in panel (c) shows that the CO molecules appear as about 8-10 pm deep depressions. Panel (f) then shows a smaller image acquired at 5 mV and 30 pA, where the herringbone structure is visible together with the atoms. We attribute the extremely small atomic corrugation of less than

567 1 pm [Fig. 11(g)], to the relatively low current setpoint and to the CO functionalized tip. Neverthe-
 568 less, corrugations of less than 1 pm can be detected, confirming the excellent tip-sample gap stabil-
 569 ity of our instrument compatible with that assessed from the tunnel current noise analysis [Fig. 10].

570 Rapid scanning and atomic resolution

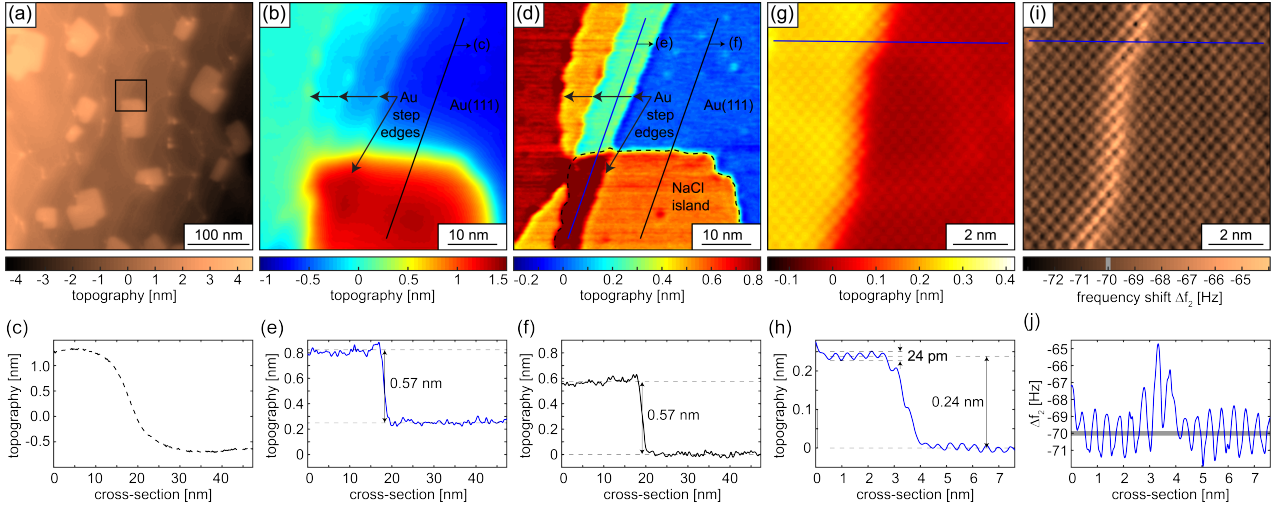


Figure 12: (a) $400 \times 400 \text{ nm}^2$ -image of NaCl islands on a Au(111) surface scanned with the cantilever operated in its first flexural oscillation mode with an amplitude $A_{f1,rms} = 2 \text{ nm}$ and a small negative frequency shift setpoint $\Delta f_{f1} = -15 \text{ Hz}$, permitting image acquisition at relatively large tip-sample distance for rapid overview scanning. (b) and (d) smaller scale images acquired in the first and second cantilever oscillation mode operated with amplitudes $A_{f1,rms} = 2 \text{ nm}$ and $A_{f2,rms} = 100 \text{ pm}$, respectively, at the location of the black square in (a), with negative frequency shift set-points for the first and second flexural mode, $\Delta f_{f1,f2} = -15 \text{ Hz}$. Note that the NaCl islands [enclosed by the dashed line in (d)] runs over the lower Au(111) step edge. (c) cross-section taken at the location of the black line in (b). (e) and (f) cross-sections taken at the location of the blue and black line in panel (d), respectively. (g) Atomic resolution image and corresponding cross-section (h) of the NaCl islands running over the Au(111) step edge measured with the second flexural mode with an oscillation amplitude $A_{f2,rms} = 100 \text{ pm}$ and $\Delta f_{f2} = -70 \text{ Hz}$. (i) Frequency shift error image and corresponding cross-section (j).

571 As discussed in subsections A and B of section V and summarized in Table 1, microfabricated can-
 572 tilevers have a small stiffness-to-resonance frequency ratio which improves the force derivative sen-
 573 sitivity substantially. Atomic resolution imaging with AFM is conveniently performed with oscilla-
 574 tion amplitudes that are comparable to the decay length of the short-range inter-atomic forces [67].
 575 A stable operation of the PLL with such small oscillation amplitudes requires a cantilever stiffness

576 of a few hundred N/m, such that sufficient energy is stored in the cantilever oscillation [61], i.e.:

$$577 \quad \frac{1}{2}k_i \cdot A_i^2 \gg \Delta E , \quad (12)$$

578 where k_i and A_i are the cantilever stiffness and oscillation amplitude, respectively, of the oscillation
579 mode i . ΔE is a typical energy loss that can stochastically occur, for example, if the position of
580 an atom within the tip-sample force field becomes instable [68,69]. Such stochastic energy loss
581 processes lead to sudden changes of the phase which cause the PLL to unlock and consequently to
582 a crash of the z-feedback, which is set up to keep the frequency shift constant.

583 For oscillation amplitudes below 100 pm, eq. 12 reveals that a stiffness above 100 N/m is required
584 for $\Delta E \sim 1$ eV. According to eq. 5, such a cantilever stiffness is conveniently obtained with the sec-
585 ond flexural oscillation mode of a cantilever with a first mode stiffness larger than about 10 N/m.
586 Operated in its first flexural mode, such a cantilever then obtains a force derivative sensitivity of
587 better than 0.12 mN/m for a bandwidth of 100 Hz [Fig. 9(c)]. Increasing the first mode oscillation
588 amplitude to 2 nm then provides such a sub-mN/m sensitivity even for PLL bandwidths of 2 kHz.
589 These high bandwidths therefore permit the rapid scanning of large sample areas, which is conve-
590 nient for finding a specific are of interest, for example, on a device, that will later be scanned with
591 atomic resolution.

592 Here, we thermally evaporate sub-monolayer NaCl onto a Au(111) surface to obtain a sample sur-
593 face with different step heights, making large-scale AFM imaging with higher scan rates challeng-
594 ing. The contact potential on the Au was compensated by application of a bias of 828 mV. To ac-
595 quire AFM overview images and then atomic resolution images at selected surface locations, in-
596 cluding lateral force measurements, we advantageously used the different oscillation modes of a
597 commercial 40 N/m cantilever with first, second flexural and first torsional mode resonance fre-
598 quencies of 289 kHz, 1829 kHz, and 2178 kHz, respectively.

599 Figure 12(a) displays a 400×400 nm²-image of NaCl islands on a Au(111) surface scanned at
600 500 ms per line with 256 pixels, a PLL bandwidth of 500 Hz was used for a frequency shift kept
601 constant at -15 Hz. Figure 12(b) then shows a zoomed scan at the location of black square in

602 Fig. 12(a). Note that the step edge [see cross-section displayed in Fig. 12(c)] appears very rounded
603 and the step height is much higher than that expected for two monolayers of NaCl. These obser-
604 vations can be attributed to the relatively large first mode oscillation amplitude (2 nm) and small
605 negative frequency shift setpoint such that the frequency shift predominately arises from longer
606 ranged van der Waals and electrostatic forces and, consequently, a constant frequency shift image
607 does not reflect the true sample topography.

608 An AFM image acquired at the same location, but using the second flexural mode with an oscilla-
609 tion amplitude of 100 pm, again for a frequency shift setpoint of -15 Hz is displayed in Fig. 12(d)
610 with the cross-sections taken at the blue and black lines depicted in Fig. 12(e) and (f). The com-
611 parison of the step heights of the two cross-sections reveals that the NaCl island grows over a unit
612 cell step of the Au(111) surface. Because the second flexural oscillation mode of the cantilever is
613 now used, which has an about $40\times$ higher modal stiffness (eq. 5), the tip-sample interaction force
614 gradient averaged over the oscillation path of the tip is correspondingly larger, while the tip-sample
615 distance is reduced. Moreover, because the oscillation amplitude is reduced from 2 to 0.1 nm, the
616 contribution of the short range force to the frequency shift is considerably larger. Hence, changes
617 of the (long range) electrostatic force arising from local contact potential variations have a reduced
618 effect on the frequency shift and thus on the measured topography. Consequently, the edge of the
619 NaCl island appears much sharper than in the image Fig. 12(b) acquired with the first flexural oscil-
620 lation mode and the observed step height of about 0.57 nm; this value corresponds well to the unit
621 cell lattice constant of NaCl of 0.538 nm, i.e. for two monolayers of NaCl [70].

622 For atomic resolution imaging, the tip was CO-functionalized on the Au surface which change
623 the contact potential substantially such that the bias had to be reduced from 828 mV to -28 mV.

624 Fig. 12(g) was acquired using a more negative frequency shift kept constant at -70 Hz on a
625 9×9 nm² selected inside the NaCl islands covering a Au(111) step edge. As visible in the cross-
626 section displayed in Fig. 12(h), the observed step height of 0.24 nm corresponds to that of a mono-
627 layer step of the Au(111) surface, and the atomic scale periodicity is about 0.5 nm, less than the
628 bulk lattice constant of 0.538 nm, as expected for a thin 2D NaCl sheets [70]. Fig. 12(i) and (j)

629 show the frequency shift (error) image and cross-section, respectively. The atomic scale corruga-
 630 tion of 24 pm [Fig. 12(h)] leads to a frequency shift error of ± 1 Hz around the frequency shift set-
 631 point of -70 Hz, while the Au step leads to a larger frequency shift error of about -5 Hz [Fig. 12(j)].
 632 Apart from using different flexural cantilever oscillation modes for rapid large scale and local
 633 atomic resolution imaging, the cantilever can also be oscillated on its torsional modes, permit-
 634 ting the measurement of lateral forces or multimodal operation of flexural and torsional oscilla-
 635 tion modes [34,35,71]. Here, we demonstrate that positioning the fiber-end of the interferometric
 636 deflection sensor outside the cantilever long axis, close to its edges[Fig. 8(e)], the torsional can-
 637 tilever oscillation mode can be measured simultaneously with the flexural ones [Fig. 8(d) and (f)].
 638 Similar to the work of Kawai et al.[36], we operate the z-feedback on the second flexural mode fre-
 639 quency to control the tip-sample distance, while simultaneously imaging the frequency shift of the
 640 first torsional mode to map the lateral tip-sample force derivative (along the torsional oscillation
 641 axis of the tip), or alternatively use the tunnel current for the z-feedback. Figure 13(a) displays a
 642 4×4 nm²-topography image of a NaCl island overgrowing a step edge of the Au(111) surface. The
 643 data was acquired with a second flexural mode frequency shift Δf_{f2} kept constant at -90 Hz and an
 644 oscillation amplitude $A_{f2,rms} = 100$ pm, while Fig. 13(b) shows the simultaneously measured tun-
 645 nel current image obtained for a bias of 100 mV. The blue lines in Fig. 13(c) and (d) display cross-
 646 sectional data of the topography (a) and tunnel current (b) images, respectively. Interestingly, the
 647 current drops to a minimum of about 55 pA when the tip scans from the upper to the lower terrace,
 648 indicating that the tip is a bit farther away from the surface in the vicinity of the step edge. This is
 649 because a part of the mesoscopic tip is still located above the upper terrace contributing to an in-
 650 creased negative Δf_{f2} . Only if the tip moves farther away from the step edge, the average tunnel
 651 current and the tunnel current corrugation level recover to the value measured away from the step
 652 edge on the upper terrace. From larger scale images (not shown) we can conclude that size of the
 653 tip apex must have a diameter smaller than about 15 nm. If the cantilever is additionally driven on
 654 the first torsional mode with an amplitude $A_{t1,rms} = 60$ pm, the atomic resolution in the topog-
 655 raphy image from Fig. 13(e) and cross-section displayed as green line in Fig. 13(c) is still visible,

656 but reduced considerably. The difference data displayed in in Fig. 13(g) and the corresponding
657 cross-sectional data Fig. 13(i) reveal that the contrast reduction is most significant at the step edge.
658 Atomic resolution was also obtained in the torsional frequency shift Δf_{t1} -data shown in Fig. 13(h).
659 As already observed by Kawai et al.[36], a strong negative torsional frequency shift appears as the
660 tip approaches to the step from the lower terrace side, which must arise from a rather strong attrac-
661 tive lateral force towards the step edge. The dashed line (in Fig. 13(j)) shows the result of a fit in
662 the cross-section interval [1.26 nm, 4.255 nm] of two exponential decay functions with wavelengths
663 fixed at $\lambda_1 = 3.6$ nm and $\lambda_2 = 0.5$ nm, corresponding to the Fermi wavelength of the Au(111) free
664 electron like surface state [72], and NaCl ion periodicity, respectively. This indicates that the lateral
665 force may arise from a charge on the step edge of the Au(111) and a contribution from the periodic
666 charges of the ionic lattice. On the upper side the atomic corrugation is also visible but in contrast
667 to Kawai *et al.*, no overall attractive force (negative torsional frequency shift is visible).
668 Atomic resolution images can be obtained with different z-feedback input signals. Figure 13(b)
669 shows the tunnel current data obtained with the second mode flexural frequency $\Delta f_{f2} = -90$ Hz.
670 The Δf_{f2} error signal data shown in Fig. 13(k) reveals that the frequency shift is kept within about
671 ± 1 Hz. Correspondingly, Fig. 13(l) shows the second mode flexural frequency data if the tunnel
672 current is kept at 100 pA [Fig. 13(m) is the corresponding current error data]. Panels (n) and (o)
673 then show cross-sectional data for the two feedback setups.

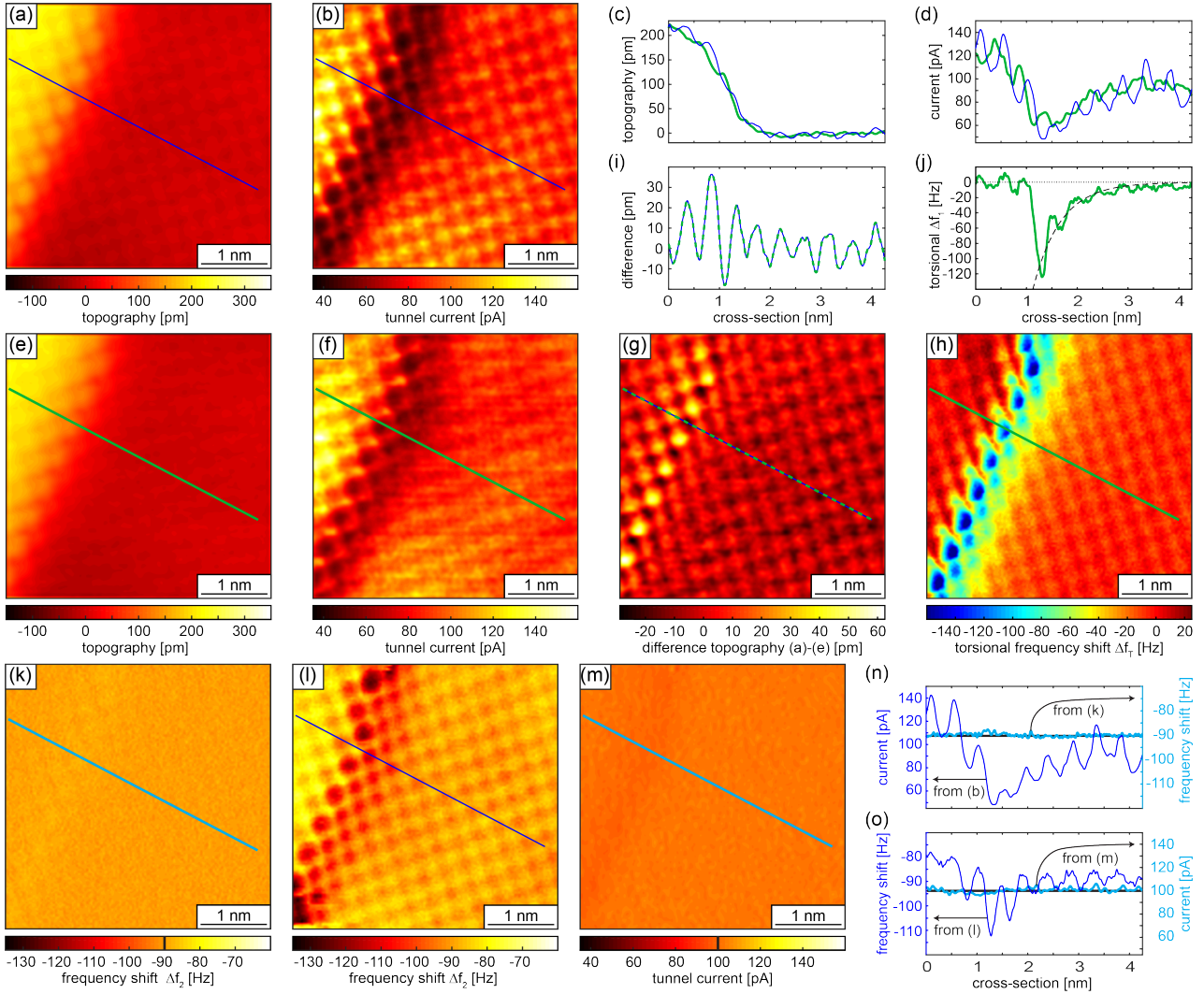


Figure 13: Multi-channel and multimodal AFM results obtained on a NaCl island running over an Au(111) step edge. (a) topography and (b) tunnel current images obtained with the second flexural mode frequency shift $\Delta f_{f2} = -90$ Hz and a second mode oscillation amplitude $A_{f2,rms} = 100$ pm. The blue lines in panels (c) and (d) represent the cross-sections taken at the location of the blue lines in (a) and (b), respectively. (e) and (f) show the same quantities as (a) and (b) but with the cantilever oscillated simultaneously in its first torsional mode with a torsional mode amplitude $A_{t1,rms} = 60$ pm to obtain the torsional mode frequency shift image $\Delta f_{t1}(x, y)$ displayed in panel (h). A large lateral attractive force is observed if the tip is approached to the step edge from the lower terrace side. See green cross-section in (j). Because of the additional lateral tip oscillation, the topographical corrugation in (e) is slightly reduced compared to that in (a). Compare also the topography and tunnel current cross-sections, i.e. green and blue lines in panel (c) and (d), respectively. The reduction of the topographical corrugation is particularly pronounced at the step edge as visible in the difference data displayed in (g) calculated by subtracting the data shown in (a) from that displayed in (e). (i) shows the green dashed cross-section in (g). (k) displays the frequency shift error observed during the constant frequency shift imaging used for the data displayed in (a) and (b). Alternatively, the tunnel current can be kept constant. Then the frequency shift shows an atomic scale contrast (l). The corresponding tunnel current error image is displayed in (m). (n) and (o) show the tunnel current and frequency shift variations along the cross-sections indicated by the lines in (b) and (l), respectively, while the frequency shift or tunnel current is kept constant [pale blue lines in (n) and (o)].

674 **Summary and Conclusions**

675 In this article, we have described the design and construction of a cantilever-based low tempera-
676 ture UHV AFM with sub-picometer gap stability that enables multimodal and multidimensional
677 AFM operation combined with STM. The use of microfabricated cantilevers requires the imple-
678 mentation of an additional deflection sensor which increases the complexity of the instruments but
679 the low ratio of the stiffness to resonance frequency (stemming from the small geometrical dimen-
680 sions of cantilevers) significantly reduces thermal and deflection noise force derivatives. Because
681 the latter is often the dominating noise source (particularly for tuning fork-based AFM instrumen-
682 tation), the cantilever-based AFM instrument presented here has a two orders of magnitude in-
683 creased force derivative sensitivity, permitting high AFM measurement bandwidths typically of
684 a few hundred Hz (and which could be further increased to 2 kHz with improved interferometric
685 detection [47,48]). Further, because a larger variety of cantilevers with a large stiffness range are
686 available, cantilevers optimized for a special experimental task can be used, e.g. for magnetic force
687 microscopy with the highest field sensitivity [29] or atomic resolution work (as shown here). In ad-
688 dition, microfabricated cantilevers permit multimodal operation, for example for magnetic force
689 microscopy with capacitive tip-sample distance control [33], or the simultaneous mapping of ver-
690 tical and lateral forces and the tunnel current with atomic scale resolution as demonstrated here.
691 Future scientific frontiers may require an AFM-based search on a micron scale over device struc-
692 tures including insulating parts and thus requiring an AFM imaging tool that can accomplish large
693 area scans using weak van der Waals forces and thus with a relatively large tip-sample distance per-
694 mitting robust overview scanning.

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698 214250, and from Empa.

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