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Three new *O*-isocrotonyl-3-hydroxybutyric acid congeners produced by a sea anemone-derived marine bacterium of the genus *Vibrio*

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Abstract

Liquid cultures of *Vibrio* sp. SI9, isolated from outer tissue of sea anemone *Radianthus crispus*, was found to produce three new *O*-isocrotonyl-3-hydroxybutyric acid derivatives, *O*-isocrotonyl-3-hydroxypentanoic acid (**1**), *O*-isocrotonyl-3-hydroxyhexanoic acid (**2**), and *O*-(*Z*)-2-hexenoyl-3-hydroxybutyric acid (**3**), together with known *O*-isocrotonyl-3-hydroxybutyric acid (**4**). The structures of **1-3** were established by spectroscopic analyses of NMR and mass spectrometry coupled with anisotropy-based chiral analysis, revealing the same (*R*)-configurations for all congeners **1-4**. Compounds **1-4** were weakly growth-inhibitory against a marine fish ulcer pathogenic bacterium, *Tenacibaculum maritimum* NBRC16015.

Keywords

3-hydroxybutyric acid; polyhydroxyalkanoate; sea anemone; *Tenacibaculum maritimum*; *Vibrio*

Introduction

The genus *Vibrio*, within the class *Gammaproteobacteria*, are a group of Gram-negative, halophilic, facultatively anaerobic, rod-shaped bacteria, which are motile with sheathed polar flagella [1]. This group is one of the most widespread bacterial genera of marine origin, cataloging 128 species at the time of writing [2], of which more than 12 are known to cause enteritis, marine food poisoning, bacteremia, septicemia, cellulitis, or other infectious diseases in human and aquatic animals [3,4]. Others can fix nitrogen [5], have phototrophy [6], or produce plant hormone [7], thus showing higher metabolic versatility, which is also represented by 150 and more secondary metabolites discovered from this genus [8].

As part of our continuing study on the secondary metabolites of marine bacteria, *Vibrio* sp. SI9, isolated from the sea anemone *Radianthus crispus*, was found to produce a known ester **4** and its new congeners **1-3** (Figure 1). Compound **4** is the shortest among the five oligomers of *O*-isocrotonyl-oligo(3-hydroxybutyrate) previously discovered from *Vibrio* [9]. In this study, we describe isolation, structure elucidation including the absolute configuration, and bioactivity of **1-4**.

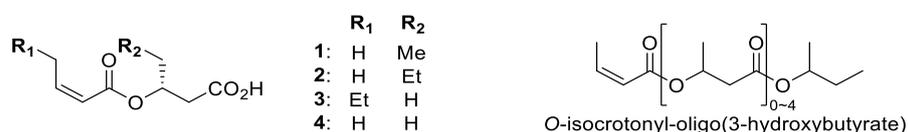


Figure 1: Structures of the compounds.

Results and Discussion

| | | | | | | | | |
|------------------|-------|--------------------------|--------|----------------|------------------|--------------------------|--------|----------------|
| 2 | 38.4 | 2.68, dd (7.3, 15.8), 1H | 3 | 1, 3, 4 | 39.0 | 2.68, dd (7.2, 15.9), 1H | 3 | 1, 3, 4 |
| | | 2.61, dd (5.5, 15.9), 1H | 3 | 1, 3, 4 | | 2.60, dd (5.6, 15.8), 1H | 3 | 1, 3, 4 |
| 3 | 70.8 | 5.22, brqui (6.3), 1H | 2, 4 | 1, 2, 4, 5, 1' | 69.5 | 5.25, m, 1H | 2, 4 | 1, 2, 4, 5, 1' |
| 4 | 26.9 | 1.71, m, 2H | 3, 5 | 2, 3, 5 | 36.1 | 1.61, m, 2H | 3, 5 | 2, 3, 5, 6 |
| 5 | 9.4 | 0.94, t (7.4), 3H | 4 | 3, 4 | 18.4 | 1.35, m, 2H | 4, 6 | 3, 4, 6 |
| 6 | | | | | 13.8 | 0.91, t (7.4), 3H | 5 | 3, 4, 5 |
| isocrotonic acid | | | | | isocrotonic acid | | | |
| 1' | 165.9 | | | | 165.8 | | | |
| 2' | 120.5 | 5.78, dq (11.5, 1.8), 1H | 3' | 1', 4' | 120.5 | 5.74, qd (11.4, 1.8), 1H | 3' | 1', 4' |
| 3' | 145.6 | 6.34, dq (11.5, 7.3), 1H | 2', 4' | 1', 4' | 145.5 | 6.30, m, 1H | 2', 4' | 1', 4' |
| 4' | 15.4 | 2.13 dd (7.3, 1.8) 3H | 3' | 1', 2', 3' | 15.4 | 2.10, dd (7.3, 1.6), 3H | 3' | 1', 2', 3' |

^aHMBC correlations from proton to indicated carbons.

Table 2: NMR data for **3** and **4** in CDCl₃

| No. | 3 | | | | 4 | | | |
|-----------------------------|-----------------|---|--------|-------------------|-----------------------|---|--------|-------------------|
| | ¹³ C | ¹ H (<i>J</i> in Hz), integr. | COSY | HMBC ^a | ¹³ C | ¹ H (<i>J</i> in Hz), integr. | COSY | HMBC ^a |
| 3-hydroxybutyric acid | | | | | 3-hydroxybutyric acid | | | |
| 1 | 175.6 | | | | 175.4 | | | |
| 2 | 40.5 | 2.73, dd (7.2, 15.9), 1H | 3 | 1, 3, 4 | 40.5 | 2.73, dd (7.1, 15.9), 1H | 3 | 1, 3, 4 |
| | | 2.56, dd (5.7, 15.9), 1H | 3 | 1, 3, 4 | | 2.57, dd (5.5, 15.9), 1H | 3 | 1, 3, 4 |
| 3 | 66.5 | 5.30, brsep (6.3), 1H | 2, 4 | 1, 2, 4, 1' | 66.5 | 5.32, brsep (6.3), 1H | 2, 4 | 1, 2, 4, 1' |
| 4 | 19.9 | 1.35, d (6.2), 3H | 3 | 2, 3 | 19.9 | 1.35, d (6.3), 3H | 3 | 2, 3 |
| <i>(Z)</i> -2-hexenoic acid | | | | | isocrotonic acid | | | |
| 1' | 165.6 | | | | 165.6 | | | |
| 2' | 109.6 | 5.73, dt (11.6, 1.6), 1H | 3' | 1', 4' | 120.5 | 5.56, dq (11.5, 1.6), 1H | 3' | 1', 4' |
| 3' | 150.9 | 6.23, dt (11.5, 7.5), 1H | 2', 4' | 1', 4', 5' | 145.5 | 6.33, dq (11.5, 7.3), 1H | 2', 4' | 1', 4' |
| 4' | 31.0 | 2.61, qd (7.4, 1.6), 2H | 3', 5' | 2', 3', 5', 6' | 15.4 | 2.13, dd (7.2, 1.9), 3H | 3' | 2', 3' |
| 5' | 22.3 | 1.46, sex (7.4), 2H | 4', 6' | 3', 4', 6' | | | | |
| 6' | 13.7 | 0.94, t (7.3), 3H | 5' | 4', 5' | | | | |

^aHMBC correlations from proton to indicated carbons.

Analysis of a COSY spectrum of the smallest congener **4** revealed two C₃ fragments H₂2-H₃(O)-H₂4 and H₂'=H₃'-H₃4'. The geometry at C2' was determined to be *Z* based on a vicinal coupling constant between the olefinic protons H₂' and H₃' (*J*=11.5 Hz; Table 2). Both of the fragments showed HMBC correlations to the same carboxy carbon C1 (δ_c 165.6), revealing an intervening ester linkage. Finally, HMBC

correlations from methylene proton H₂ and oxymethine proton H₃ to the other carboxy carbon C₁ (δ_C 175.3) placed a carboxylic acid functionality on the methylene group, which completed the structure of **4** as *O*-isocrotonyl-3-hydroxybutyric acid (Figure 2).

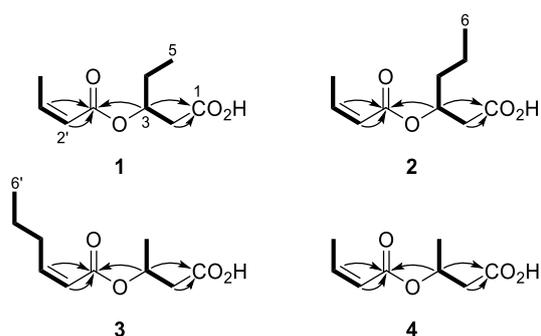


Figure 2: COSY (bold lines) and selected HMBC (arrows) correlations for **1-4**.

A close similarity of NMR data for **1-3** (Tables 1 and 2) allowed the same sequence of structure analysis. Compounds **1** and **2** were found to have extra C₁ and C₂ extensions on the butyric acid units, while in **3** an extra C₂ extension occurred on the isocrotonyl group, as shown by the connectivity established by the analysis of COSY spectra (Figure 2). Thus, **1-3** were concluded to be *O*-isocrotonyl-3-hydroxypentanoic acid, *O*-isocrotonyl-3-hydroxyhexanoic acid, and *O*-(*Z*)-2-hexenoyl-3-hydroxybutyric acid, respectively (Figure 1).

A database search identified the planar structure of **4** in a patent that described a series of *O*-isocrotonyl-oligo(3-hydroxybutyrate) (Figure 1) from marine obligate *Vibrio* sp. C-984 [9]. To determine the absolute configuration of C₃ in **1-4**, an anisotropy-based chiral analysis using chiral derivatization reagents, phenylglycine

methyl ester (PGME) was conducted [10]. Compounds **1-4** were derivatized with (*S*)- or (*R*)-PGME by the action of *N,N'*-diisopropylcarbodiimide (DIC) and *N,N*-dimethylaminopyridine (DMAP) in CH₂Cl₂, followed by reversed phase HPLC to give respective (*S*)- or (*R*)-PGME amides **1a**, **1b**, **2a**, **2b**, **3a**, **3b**, **4a**, and **4b**.

Calculation of ¹H NMR chemical shift differences $\Delta\delta_{(S-R)}$ beyond C3 by subtracting the chemical shift of each proton in the (*R*)-isomer (**1b-4b**) from those in the (*S*)-isomer (**1a-4a**) arranged positive values at C4, C5, C6 and negative values for C2' and C3' for all four compounds (Figure 3). Note that the sign distribution of $\Delta\delta_{(S-R)}$ values in β,β -substituted carboxylic acids is inverted from that observed in α,α -substituted counterparts, because the PGME anisotropy group is flipped upside down due to the insertion of an extra methylene group between the chiral center and carboxylic acid functionality [11]. Thus, *R*-configurations were concluded for all four compounds **1-4**.

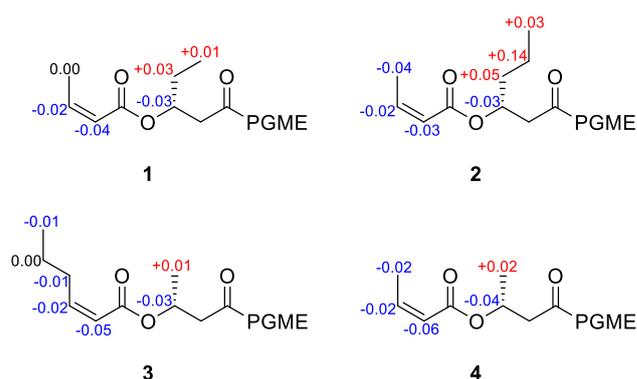


Figure 3: Distribution of positive (red) and negative (blue) $\Delta\delta_{(S-R)}$ values (in ppm) calculated from ¹H NMR chemical shifts of (*S*)- and (*R*)-PGME amides of **1-4**.

Compounds **1-4** are closely related to polyhydroxyalkanoates (PHAs), the energy reserve substances for eubacteria and some species of archaea [12]. Both groups are composed of (*R*)-configured 3-hydroxy fatty acids [13], and 3-hydroxybutyric acid and 3-hydroxyhexanoic acid are two most common building blocks for PHAs [14]. However, the degrees of polymerization as low as **1-4** and dehydrative modification have not be preceded besides *O*-isocrotonyl-oligo(3-hydroxybutyrate) [9].

Because PHAs are by nature biodegradable, can be produced from renewable bioresources, and have material properties close to the conventional petroleum-derived plastics, commercial production and market development are actively pursued by several companies amid the growing plastic waste crisis [14,15]. *Vibrio* are perhaps the first to be known as producers of PHAs among marine microbes [16], and still be isolated predominantly in the screening of PHA productivity [17]. Intriguingly, aquatic farmed animals feeded with poly(3-hydroxybutyrate) showed reduced mortality than those not feeded upon challenge by pathogenic *Vibrio* species, suggesting the application of PHAs as a biocontrol agent [18]. Although the toxicity of **1-4** toward the producing strain was not tested, they weakly inhibited the growth of *Tenacibaculum maritimum*, a causative agent of skin ulcer in marine fish at MIC values of 25, 50, 50, 25 µg/mL, respectively. None of the compounds showed cytotoxicity against 3Y1 rat embryonic fibroblastic cells below 50 µg/mL.

Experimental

General experimental procedures

Optical rotations were recorded on a JASCO P-1030 polarimeter. UV and IR absorption spectra were recorded on Shimadzu UV-1800 and Perkin Elmer Spectrum 100 spectrophotometers, respectively. NMR spectra were obtained on a Bruker AVANCE 500 spectrometer referencing to residual solvent peaks at δ_{H} 7.26 and δ_{C} 77.0 for CDCl_3 . HR-ESI-TOF-MS spectra were measured using a Bruker micrOTOF focus mass spectrometer. Absorbance of formazan solution at 540 nm was measured on a ThermoFisher Scientific Multiskan Sky microplate reader.

Biological material

Sea anemone *Radianthus crispus* was purchased from an aquarium vendor in Nagasaki, Japan. Strain SI9 was isolated from its outer tissue specimen according to the method described previously [19], and identified as a member of genus *Vibrio* on the basis of 98.6% similarity in the 16S rRNA gene sequence (1458 nucleotides; DDBJ accession number LC498627) to *Vibrio nereis* DSM 19584^T (accession number LHPJ01000025).

Fermentation and isolation of **1-4**

Colonies of strain SI9, recovered on a Marine Agar plate, were transferred into a 500 mL K-1 flask containing 100 mL 1/3 strength of simplified Marine Broth, which was prepared from 0.5% peptone, 0.1% yeast extract, and 3 L seawater with pH adjusted to 7.6. After fermented at 30 °C at 200 rpm for 2 days, 3 mL aliquots of seed culture thus prepared were dispensed into 500 mL K-1 flasks each containing 100 mL A16

production medium consisted of 2% glucose, 1% Pharmamedia, 0.5% CaCO₃ and 1% Diaion HP-20 in natural seawater. After shake-cultured at 30 °C at 200 rpm for 5 days, each production culture received 100 mL *n*-butanol, and the flasks were shaken for additional 1 h for extraction. The emulsified broth was centrifuged at 6000 rpm for 10 min, and the resulting butanol layers were collected and concentrated *in vacuo* to give 5.8 g of an extract from 3 L culture. This was first fractionated on a silica gel column with a stepwise elution by CHCl₃-MeOH mixtures (1:0, 20:1, 10:1, 4:1, 2:1, 1:1, and 0:1 v/v). The third fraction (1.35 g) was further fractionated by ODS column chromatography eluting with MeCN-0.1% HCOOH (2:8, 3:7, 4:6, 5:5, 6:4, 7:3, and 8:2 v/v). The fourth fraction (0.28 g) was subjected to reversed-phase HPLC on a Cosmosil AR-II column (10 × 250 mm) eluted with 32% MeCN in 0.1% HCO₂H at 4 mL/min to give **1** (1.5 mg; *t_R* 16.1 min), **2** (11.2 mg; *t_R* 17.6 min) and **3** (4.3 mg; *t_R* 18.3 min), and **4** (135.6 mg; *t_R* 9.8 min).

(*R*)-*O*-Isocrotonyl-3-hydroxypentanoic acid (**1**): colorless amorphous solid; [α]_D²⁴ -53 (*c* 0.10, MeOH); UV (MeOH) λ_{\max} (log ϵ) 208 (3.67) nm; IR (ATR) ν_{\max} : 2973, 2938, 2641, 1716, 1646, 1438, 1415, 1368, 1179, 1135, 1057, 1033, 997, 927, 815 cm⁻¹; ¹H and ¹³C NMR data see Table 1; HRESITOFMS *m/z* 209.0784 [M+Na]⁺ (calcd for C₉H₁₄O₄Na, 209.0784).

(*R*)-*O*-Isocrotonyl-3-hydroxyhexanoic acid (**2**): colorless amorphous solid; [α]_D²⁴ -67 (*c* 0.10, MeOH); UV (MeOH) λ_{\max} (log ϵ) 207 (4.15) nm; IR (ATR) ν_{\max} : 2962, 2937,

1717, 1646, 1438, 1415, 1178, 1009, 970, 815 cm^{-1} ; ^1H and ^{13}C NMR data see Table 1; HRESITOFMS m/z 223.0941 $[\text{M}+\text{Na}]^+$ (calcd for $\text{C}_{10}\text{H}_{16}\text{O}_4\text{Na}$, 223.0941).

(*R*)-*O*-(*Z*)-2-Hexenoyl -3-hydroxybutyric acid (**3**): colorless amorphous solid; $[\alpha]_{\text{D}}^{24}$ -38 (c 0.10, MeOH); UV (MeOH) λ_{max} ($\log \epsilon$) 209 (4.17) nm; IR (ATR) ν_{max} : 2962, 2934, 2874, 1717, 1640, 1456, 1414, 1178, 1059, 816 cm^{-1} ; ^1H and ^{13}C NMR data see Table 2; HRESITOFMS m/z 223.0941 $[\text{M}+\text{Na}]^+$ (calcd for $\text{C}_{10}\text{H}_{16}\text{O}_4\text{Na}$, 223.0941).

(*R*)-*O*-Isocrotonyl-3-hydroxybutyric acid (**4**): colorless oil; $[\alpha]_{\text{D}}^{23}$ -43 (c 0.10, MeOH); UV (MeOH) λ_{max} ($\log \epsilon$) 208 (4.07) 274 (2.47) nm; IR (ATR) ν_{max} : 2985, 2937, 2643, 1710, 1644, 1435, 1414, 1291, 1175, 1057, 970, 815 cm^{-1} ; ^1H and ^{13}C NMR data see Table 2; HRESITOFMS m/z 195.0627 $[\text{M}+\text{Na}]^+$ (calcd for $\text{C}_8\text{H}_{12}\text{O}_4\text{Na}$, 195.0628).

Preparation of (*S*)- and (*R*)-Phenylglycine Methyl Ester Amides

To a solution of **4** (1.7 mg, 10 μmol) in anhydrous CH_2Cl_2 (0.5 mL) in a dried vial were added (*S*)-phenylglycine methyl ester (PGME, 3.3 mg, 16.4 μmol), DMAP (1.2 mg, 10 μM), and DIC (3.0 μL , 19.5 μmol), and the mixture was stirred for 30 min at room temperature. Production of the amide was monitored by thin layer chromatography (TLC) developed by ethyl acetate-*n*-hexane (1:1) followed by heating with phosphomolybdic acid. After removing the solvent, the residue was subjected to HPLC on a Cosmosil π -NAP column (10 x 250 mm) eluted by a gradient

method (MeCN-0.1% HCOOH) at 4 mL/min with monitoring at 254 nm to give (*S*)-PGME amide **4a** (2.9 mg, 93%) as colorless oil.

(*S*)-PGME amide of **4** (**4a**): $^1\text{H NMR}$ (500 MHz, CDCl_3) δ 7.34 (4H, m), 6.75 (1H, d), 6.31 (1H, m), 5.70 (1H, qd), 5.57 (1H, d), 5.25 (1H, m), 3.72 (3H, s), 2.54 (2H, m), 2.09 (3H, dd), 1.34 (3H, d); HRESITOFMS m/z 342.1312 (calcd for $\text{C}_{17}\text{H}_{21}\text{NO}_5\text{Na}$ 342.1312).

Other PGME amides **1a**, **1b**, **2a**, **2b**, **3a**, **3b**, and **4b** were prepared by the same procedure with replacing the starting material and chiral reagent.

(*S*)-PGME amide of **1** (**1a**): $^1\text{H NMR}$ (500 MHz, CDCl_3) δ 7.34 (4H, m), 6.75 (1H, d), 6.33 (1H, t), 5.57 (1H, d), 5.56 (1H, qd), 5.25 (1H, m), 5.14 (1H, t), 3.72 (3H, s), 2.11 (3H, d), 1.70 (2H, m), 0.92 (3H, t), ; HRESITOFMS m/z 356.1468 [$\text{M}+\text{Na}$] $^+$ (calcd for $\text{C}_{18}\text{H}_{23}\text{NO}_5\text{Na}$, 356.1468).

(*R*)-PGME amide of **1** (**1b**): $^1\text{H NMR}$ (500 MHz, CDCl_3) δ 7.34 (4H, m), 6.75 (1H, d), 6.35 (1H, t), 5.57 (1H, d), 5.56 (1H, qd), 5.25 (1H, m), 5.17 (1H, t), 3.72 (3H, s), 2.11 (3H, d), 1.67 (2H, m), 0.91 (3H, t); HRESITOFMS m/z 356.1495.

(*S*)-PGME amide of **2** (**2a**): $^1\text{H NMR}$ (500 MHz, CDCl_3) δ 7.34 (4H, m), 6.75 (1H, d), 6.34 (1H, m), 5.75 (1H, m), 5.57 (1H, d), 5.25 (1H, m), 5.23 (1H, m), 3.72 (3H, s),

2.13 (3H, m), 1.51 (2H, m), 1.35 (2H, overlap), 0.93 (3H, t); HRESITOFMS m/z
370.1625 $[M+Na]^+$ (calcd for $C_{19}H_{25}NO_5Na$, 370.1625).

(*R*)-PGME amide of **2** (**2b**): 1H NMR (500 MHz, $CDCl_3$) δ 7.34 (4H, m), 6.75 (1H,
d), 6.36 (1H, m), 5.79 (1H, m), 5.26 (1H, m), 5.25 (1H, m), 5.57 (1H, d), 3.72 (3H, s),
2.13 (3H, m), 1.49 (2H, m), 1.35 (2H, overlap), 0.91 (3H, t); HRESITOFMS m/z
370.1625 $[M+Na]^+$.

(*S*)-PGME amide of **3** (**3a**): 1H NMR (500 MHz, $CDCl_3$) δ 7.34 (4H, m), 6.75 (1H, d),
6.24 (1H, m), 5.72 (1H, d), 5.57 (1H, d), 5.27 (1H, m), 5.25 (1H, m), 3.72 (3H, s),
2.62 (2H, m), 1.73 (3H, m), 1.49 (2H, m), 0.95 (3H, m); HRESITOFMS m/z 370.1625
 $[M+Na]^+$.

(*R*)-PGME amide of **3** (**3b**): 1H NMR (500 MHz, $CDCl_3$) δ 7.34 (4H, m), 6.75 (1H,
d), 6.26 (1H, m), 5.77 (1H, d), 5.57 (1H, d), 5.30 (1H, m), 5.25 (1H, m), 3.72 (3H, s),
2.63 (2H, m), 1.68 (3H, m), 1.49 (2H, m), 0.96 (3H, m); HRESITOFMS m/z 370.1625
 $[M+Na]^+$.

(*S*)-PGME amide of **4** (**4a**): 1H NMR (500 MHz, $CDCl_3$) δ 7.34 (4H, m), 6.75 (1H, d),
6.31 (1H, m), 5.70 (1H, qd), 5.57 (1H, d), 5.25 (1H, m), 3.72 (3H, s), 2.54 (2H, m),
2.09 (3H, dd), 1.34 (3H, d); HRESITOFMS m/z 342.1312 (calcd for $C_{17}H_{21}NO_5Na$
342.1312).

Antibacterial Assay

The antibacterial activity was evaluated by a microculture technique described previously [20] except for 1/100 reduction of the seeding density of *T. maritimum* NBRC16015.

Cytotoxicity Assay

3Y1 rat embryonic fibroblastic cells were maintained in low-glucose DMEM medium containing L-glutamine and phenol red (Fujifilm Wako Pure Chemical, 041-29775) supplemented with 10% fetal bovine serum, 100 units/mL penicillin, 100 µg/mL streptomycin, 0.25 µg/mL amphotericin B (Fujifilm Wako Pure Chemical, 161-23181), and 100 µg/mL gentamicin sulfate (Fujifilm Wako Pure Chemical, 078-06061). Cells were seeded in each well of a 96-well culture plate at a density of 2500 cells/well. Meanwhile, Compounds **1-4** and doxorubicin hydrochloride as a positive control were serially diluted 1:3.16 (half-log dilution) by the same medium in a different microtiter plate. After incubating the cell culture for 12 h at 37°C in an atmosphere of 95% air and 5% CO₂ saturated with H₂O, the drug solutions were transferred into each cell culture to make 200 µL culture. The highest concentration of vehicle solvents (MeOH or DMSO) was set at 0.5 v/v %, where the growth of cells was not affected. After incubating the test plate for 84 h, 100 µL of medium containing MTT 1 mg/mL was added to each well, and the plate was further incubated for 4 h. The medium was carefully removed by aspiration, and formazan-dye formed

at the bottom of the wells was solubilized by addition of 150 μ L DMSO. Respiration of live cells was quantified by measurement of absorption at 540 nm by a microplate reader. The experiment was run in triplicate and rates of cell growth inhibition at each concentration were plotted on a single logarithmic chart to deduce GI₅₀ values of the test compounds.

Supporting Information

Spectroscopic data for **1-4** and their PGME amide pairs **1a/1b-4a/4b**

File Name: Li et al. fatty acids SI.pdf

File Format: PDF

Title: Supporting Information for: Three new *O*-isocrotonyl-3-hydroxybutyric acid congeners produced by a sea anemone-derived marine bacterium of the genus *Vibrio*

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