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Synthesis of novel multifunctional carbazole based molecules and their thermal, electrochemical and optical properties

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Abstract

Two novel carbazole-based compounds **7a** and **7b** were synthesised as potential candidates for application in organic electronics. The materials were fully characterised by NMR spectroscopy, mass spectrometry, FT-IR, thermogravimetric analysis, differential scanning calorimetry, cyclic voltammetry, and absorption and emission spectroscopy. Compounds **7a** and **7b**, both of which were amorphous solids, were stable up to 291°C and 307°C, respectively. Compounds **7a** and **7b** show three distinctive absorption bands: high and mid energy bands due to locally

excited (LE) transitions and low energy bands due to intramolecular charge transfer (ICT) transitions. In dichloromethane solutions compounds **7a** and **7b** gave emission maxima at 561 nm and 482 nm with quantum efficiencies of 5.4% and 97.4% \pm 10%, respectively. At positive potential, compounds **7a** and **7b** gave two different oxidation peaks, respectively: quasi-reversible at 0.55 V and 0.71 V, and reversible at 0.84 V and 0.99 V. At negative potentials, compounds **7a** and **7b** only exhibited an irreversible reduction peak at -1.86 V and -1.93 V, respectively.

Keywords

carbazole; electrochemistry; formyl group; fluorescence; solvatochromism

Introduction

Carbazole derivatives have found many different applications in a variety of technologically important areas, such as organic light emitting diodes (OLEDs), organic photovoltaics (OPVs), dye synthesised solar cells (DSSCs) and sensors [1,2]. In OLEDs, carbazole derivatives are frequently used as host materials [3-5]. In this respect the most frequently used host materials are 1,3-bis(Ncarbazolyl)benzene (mCP) [6] and polyvinylcarbazole (PVK) [7]. Carbazole derivatives, either just by themselves or in combination with iridium, are also used as emissive materials in OLEDs [8]. In this respect TCTzC, which bears a dithienylbenzothiadiazole unit and four alkyl-linked peripheral carbazole groups, is used in the construction of saturated red emissive OLEDs [9]. Carbazole-based homoleptic or heteroleptic iridium(III) complexes were also reported in the construction of different OLEDs [10-13]. In OPVs, carbazole derivatives are frequently used as small molecule p-type (electron donating) materials or electron

accepting (n-type) materials with a variety of donor-acceptor combinations [14,15]. In sensor studies, carbazole derivatives are used as fluorophores. In this regard many different carbazole-based fluorophores are reported in the literature [16-19]. Some of the carbazole derivatives were used as colourimetric anion sensors [20], and others as biothiol sensors [21-23]. Research continues on carbazole derivatives to find new materials with novel properties. It is therefore essential that one should design a molecule that has multifunctional usage in many different areas of technology [24]. Since carbazole is a relatively inexpensive material with unique properties such as high hole-transporting mobility [25,26], pronounced thermal stability [2] and high fluorescent quantum yields [27,28] our attention was focused on carbazole derivatives [29]. In addition to that, carbazole is a rigid aromatic molecule [30] with many different modification sites for multifunctionalisation [31,32].

In this work, we designed two novel 2-(N-hexylcarbazol-3'-yl)-4/5-formylpyridine compounds (**7a** and **7b**), where 4/5-pyridinecarboxyaldehyde was attached to the 3-position of carbazole *via* the 2-position of the pyridine ring. These two compounds (**7a** and **7b**) can be used in OLEDs, solar cells and sensor studies either directly or with small modifications. Here we report the synthesis, full characterisation and properties of these two novel compounds (**7a** and **7b**).

Results and Discussion

Synthesis

Compounds **7a** and **7b** were synthesised in four steps from carbazole **1** as depicted in Scheme 1. Carbazole **1** was first brominated with N-bromosuccinimide in dimethylformamide [33]. This gave a mixture of mono- and di-bromo products **2** and 3. Upon crystallisation 3-bromocarbazole 2 was obtained as white crystals in good yield. 3-Bromocarbazole 2 was then alkylated with n-hexyl bromide in 50% aqueous NaOH in the presence of phase transfer catalyst [34,35]. Upon chromatography Nhexyl-3-bromocarbazole 4 was obtained as a liquid in good yield. N-hexyl-3bromocarbazole **4** was then converted into the pinacol boronic ester by treating with bis(pinacolato)diboron the presence of potassium in acetate and dichlorobis(triphenylphosphine)palladium (II) in dioxane [36]. Upon chromatography N-hexyl-carbazole-3-ylboronic acid pinacol ester 5 was obtained as a liquid in good yield. N-hexyl-carbazole-3-ylboronic acid pinacol ester 5 was subjected to Suzuki-Miyaura reaction either with 2-bromopyridine-4-carbaldehyde 6a or 2-bromopyridine-5-carbaldehyde 6b in the presence of potassium carbonate and bis(triphenylphosphine)palladium(II) dichloride in tetrahydrofuran [37]. Upon repeated purification by chromatography, compounds (7a and 7b) were obtained as liquids in good yields (Scheme 1). Compounds 7a and 7b were fully characterised by NMR, FT-IR, MS, elemental analysis, TGA, DSC, CV, and absorption and emission spectroscopy. The data are given in the Supporting Information.



Scheme 1: Synthesis of compounds 7a and 7b from carbazole 1. i) NBS, DMF, 24 h. ii) n-hexylbromide, TBAI, NaOH (% 50), 77°C, 8 h. iii) B₂(pin)₂, CH₃CO₂K, PdCI₂(PPh₃)₂, dioxane, 90°C, 24 h. iv) 2a/2b, K₂CO₃, PdCI₂(PPh₃)₂, 90°C, 6 h.

Thermal properties

The thermal properties of compounds **7a** and **7b** were investigated by thermogravimetric analyses (TGA). Compounds **7a** and **7b** were heated at 20 °C/min under nitrogen atmosphere. The decomposition temperatures ($T_d^{5\%}$) corresponding to 5% weight losses for **7a** and **7b** were 291°C and 307°C, respectively.

The morphological properties of compounds **7a** and **7b** were investigated by differential scanning calorimetry (DSC). Compounds **7a** and **7b** were first heated to 450°C and then cooled down to room temperature at 20°C/min under a nitrogen

atmosphere. Compounds **7a** and **7b** showed only clear melting transitions (T_m) at 95°C and 86°C, respectively. Upon first cooling and second heating, no phase transitions were observed at all. TGA and DSC curves of compounds **7a** and **7b** are depicted in Figure 1. Thermal properties of compounds **7a** and **7b** are also summarised in Table 1.



Figure 1: TGA (a) and DSC (b) curves of the compounds 7a and 7b.

Table 1: Thermal properties of compounds 7a and 7b.					
Compound	T _m (°C)	T _d ^{5%} (°C)			
7a	95	291			
7b	86	307			

Electrochemical Properties

The redox behaviour of compounds **7a** and **7b** was investigated by cyclic voltammetry in dichloromethane solution under argon atmosphere using tetrabutylammonium hexafluorophosphate as the electrolyte (Figure 2). A platinum disk was used as a working electrode, silver wire as the reference electrode and

platinum wire as the counter electrode. The ferrocene-ferrocenium redox couple was used as an internal reference.



Figure 2: Cyclic voltammograms of compounds **7a** and **7b** in dichloromethane under argon atmosphere at room temperature.

At positive potentials, compounds **7a** and **7b** exhibited two oxidation peaks; one is quasi-reversible at 0.55 V (**7a**) and 0.71 V (**7b**), and the other is reversible at 0.84 V (**7a**) and 0.99 V (**7b**). At negative potentials, compounds **7a** and **7b** only exhibited an irreversible reduction peak at -1.86 V and -1.93 V, respectively. The highest occupied molecular orbital and the lowest unoccupied molecular orbital energy levels (EHOMO, ELUMO) of compounds **7a** and **7b** were also calculated from the half-way anodic oxidation and onset cathodic reduction peak potentials, with respect to the energy level of ferrocene (4.8 eV below vacuum level) [38] by using the following equations; [39] EHOMO= -(4.8 + E1/2^{ox}) and ELUMO= -(4.8 + Eonset^{red}). The HOMO-LUMO energy gap was calculated both from electrochemical data using eq. 1 and from optical data using eq. 2.

$$E_g^{elec} = E_{LUMO}$$
-Еномо (1)
 $E_g^{abs} = 1240/\lambda_{onset}^{abs}$ (2) [40-42]

The optical energy gap (E_g^o) was higher than the electrochemical energy gap (E_g^e) for compounds **7a** and **7b**. The oxidation and reduction potentials and the HOMO-LUMO energy levels of both compounds are summarised in Table 2 and the energy levels are depicted in Figure 3.

Table 2: Oxidation, reduction and energy gap of compounds 7a and 7b.						
Compounds	E _{1/2} ox (V)	Eonset ^{red}	Elumo	E _{1/2} ox	Еномо	Eg ^e /Eg ^o
		(V)	(eV)	(V)	(eV)	(eV)
7a	0.55, 0.84	-1.86	-2.94	0.55	-5.35	2.41/2.90
7b	0.71, 0.99	-1.96	-2.84	0.71	-5.51	2.68/2.99



Figure 3: Energy levels of compounds 7a and 7b.

Optical properties

The absorption properties of compounds **7a** and **7b** were investigated using a Duetta Fluorescence and Absorbance Spectrometer in dichloromethane. Each compound (**7a/7b**) displayed three distinctive absorption bands in the UV-vis spectra: high energy bands and mid energy bands were assigned to π - π * and n- π * transitions, whereas the low energy bands were assigned to an intramolecular charge transfer (ICT) transition.

The photoluminescence (PL) properties of compounds **7a** and **7b** were investigated using a Duetta Fluorescence and Absorbance Spectrometer in dichloromethane. Compounds **7a** and **7b** gave emission maxima at 561 nm and 482 nm, respectively. The UV-vis and PL spectra of the compounds are given in Figure 4.



Figure 4: Normalised UV-vis and PL spectra of compound 7a and 7b in dichloromethane.

Solvatochromism

In general ICT-based absorption and emission bands show solvent dependency. This is better known as solvatochromism. The ICT behaviour of compounds **7a** and **7b** was further investigated in different solvents. Normalised UV-vis spectra of compounds **7a** and **7b** in different solvents are depicted in Figure 5. The spectral profiles remained almost unchanged in different solvents, but there is greater variance in the spectra for compound **7b**.



Figure 5: Normalised UV-vis spectra of compounds 7a and 7b in different solvents.

The PL spectra of compounds **7a** and **7b** displayed either dual emission bands or a single emission band. This was dependent on the excitation wavelength chosen and the solvent used. It is believed that the dual emission was due to mixed locally excited (LE) and intramolecular charge transfer (ICT) states and the single emission was due to the ICT state. Photoluminescence (PL) spectra of compounds **7a** and **7b** under different solvents are shown in Figure 6.



Figure 6: PL spectra of compounds 7a and 7b in different solvents (A-H)

Upon excitation at π - π */n- π * bands (λ_{exc} =245-349 nm for **7a** and 248-356 nm for **7b**), the PL spectra of compound **7a** in most solvents depicted dual emission bands, one from the locally excited state and one from ICT. On the other hand, the PL spectra of compound **7b** in most solvents interestingly depicted only a single emission band from ICT. Upon excitation at the ICT band (λ_{exc} =370-377 nm for **7a** and 367-378 nm for **7b**), only a single emission band was observed for both compounds (**7a** and **7b**). As seen in Figure 6, a red-shift was observed in the emission maxima as the microscopic solvent polarity [43,44], E_T(30), increased from toluene to dimethyl sulfoxide (see also Table 3). A 141 nm red-shift was observed for **7b** (from 423 nm to 509 nm). In comparison for **7b**, this red-shift was more pronounced for **7a**. This indicates that the excited state dipole moment is much greater than the ground state dipole moment.

Table 3: Maximum emission wavelength (λ_{em}^{max}) of compounds 7a and 7b under							
different solvents.							
Entry	Solvents, E _T (30)	7a (λ _{em} , nm)	7b (λ _{em} ,nm)				
Α	Toluene, 33.0	465	423				
В	Tetrahydrofuran, 37.4	505	452				
С	Ethyl acetate, 38.1	509	452				
D	Chloroform, 39.1	543	467				
E	Dichloromethane, 40.7	561	482				
F	Acetone, 42.2	564	486				
G	Acetonitrile, 45.6	600	501				
н	Dimethyl sulfoxide, 45.1	606	509				

Quantum Yields

The relative fluorescent quantum yields (ϕ_{FL}) of compounds **7a** and **7b** were determined in dichloromethane by using Rhodamine B (ϕ_{FL} =49% at λ_{exc} =355 nm) in ethanol as reference [45]. ϕ_{FL} of compounds **7a** and **7b** was 5.4% and 97.4%, respectively. An estimated error in quantum yield calculations is ca. 10%. The details of the calculations are given in the Supporting Information. Surprisingly compound **7b**, in which the formyl group is at the 5-position of the pyridine ring (*para* to carbazole), was much more emissive than compound **7a**, in which the formyl group is at the 4-position of the pyridine ring (*meta* to carbazole).

Conclusions

In this work, two novel compounds **7a** and **7b** were successfully synthesised in good yields and demonstrated good thermal stability. Compounds **7a** and **7b** showed intramolecular charge transport properties with positive solvatochromism. Whilst **7a** showed very low intensity emission, **7b** showed very high intensity emission. It is noted that the conjugation in compound **7b** encompasses the N atom of the carbazole ring and the formyl functionality (*viz.* the donor/acceptor units of the ICT component), whereas the link by conjugation between the same functionalities in **7a** is missing. The resulting stronger ICT component in **7b** explains the stark difference in photophysical properties.

Experimental

All reagents were standard reagent grade and purchased from Sigma-Aldrich, Merck and Alfa Aesar. Inert reactions were performed under an argon atmosphere. Nuclear magnetic resonance (NMR) spectra were obtained on an Agilent Premium Compact NMR spectrometer (600 MHz for ¹H NMR, 150 MHz for ¹³C NMR) with tetramethylsilane as internal standard. Elemental analysis was performed on a Costech Elemental system. The IR spectra were obtained (4000–400 cm⁻¹) using a Shimadzu IRAffinity-1S Fourier transform infrared spectrophotometer. The mass spectra were obtained by Bruker microTOFq Mass Spectrometers to obtain low and high resolution spectra using Electron Ionisation (EI) or Electrospray Ionisation (ESI) techniques. UV, PL and photoluminescence quantum yields were measured on a Duetta two-in-one Fluorescence and Absorbance Spectrometer from Horiba Scientific. Both absorption and emission solutions for reference and samples had a concentration of 10⁻⁶ M. CV measurements were obtained using a CH Instruments

602E electrochemical workstation with iR compensation using dry dichloromethane. Thermogravimetric analysis was conducted using a Netzsch TG 209 F3 Tarsus Thermogravimetric Analyser under a constant flow of nitrogen. Differential scanning calorimetry was determined on a Netzsch DSC 214 Polyma instrument.

3-Bromocarbazole (2)

A solution of N-bromosuccinimide (1.1 g, 5.98 mmol) in dimethylformamide was added dropwise to a solution of carbazole (1) (1 g, 5.96 mmol) in dimethylformamide (15 ml) at 0°C. The reaction was stirred for 24 h. The reaction was poured into distilled water to give a cream coloured precipitate. The precipitate was filtered off under vacuum and washed with distilled water (3 x 20 ml). The precipitate was dissolved in ethyl acetate, dried with sodium sulfate and filtered. Upon concentration under reduced pressure the crude product was obtained as a brown solid. After crystallisation of the crude product with chloroform, the pure product **2** (692 mg, 47%) was obtained as white crystals. R_f (ethyl acetate:hexane, 1:6 v/v): 0.43, melting point: 200-201°C, ¹H NMR (600 MHz, CDCl₃) δ (ppm): 8.19 (d, *J* = 1.9 Hz, 1H), 8.08 (s, 1H), 8.02 (dd, *J* = 7.7, 1.1 Hz, 1H), 7.50 (dd, *J* = 8.5, 1.9 Hz, 1H), 7.47 – 7.40 (m, 2H), 7.31 (d, *J* = 8.6 Hz, 1H), 7.25 (td, *J* = 6.3, 1.8 Hz, 1H).

3-Bromo-N-hexylcarbazole (4)

A mixture of 3-bromocarbazole (**2**) (1.5 g, 6.0 mmol), hexyl bromide (4.0 g, 24.3 mmol), tetrabutylammonium iodide (225 mg, 0.6 mmol) and aqueous sodium hydroxide (26 ml, 50%) was heated at 77°C for 8 h. The product was extracted into dichloromethane (3 x 20 ml), and the combined extracts were dried over sodium sulfate and then filtered. Upon concentration under reduced pressure, the crude

product was obtained as a light yellow liquid. The crude product was purified by flash column chromatography (2:98 triethylamine: hexane v/v). Pure compound **4** (1.7 g, % 84) was obtained as a colourless liquid which solidified on standing. R_f (hexane): 0.3, melting point: 48-49°C, ¹H NMR (600 MHz, CDCI₃) δ (ppm): 8.20 (d, *J* = 1.9 Hz, 1H), 8.04 (d, *J* = 7.8, 1.1 Hz, 1H), 7.53 (dd, *J* = 8.6, 1.9 Hz, 1H), 7.48 (td, *J* = 8.3, 1.2 Hz, 1H), 7.40 (d, *J* = 8.2 Hz, 1H), 7.28 (d, *J* = 8.6 Hz, 1H), 7.24 (t, 1H), 4.27 (t, *J* = 7.3 Hz, 3H), 1.85 (p, *J* = 7.4 Hz, 3H), 1.34 – 1.23 (m, 6H), 0.86 (t, *J* = 7.0 Hz, 4H).

N-Hexylcarbazole-3-yl-boronic acid pinocolate ester (5)

Bis (pinacolato)diboron (423 mg, 1.7 mmol), potassium acetate (446 mg, 4.5 mmol) and dichlorobis(triphenylphosphine)palladium (II) (35 mg, 0.05 mmol) catalyst were added to 3-bromo-N-hexylcarbazole (**4**) (500 mg, 1.5 mmol) solution in dioxane (15 ml). The reaction mixture was heated at 90°C for 24 h under argon atmosphere. The crude product was extracted into dichloromethane (3 x 20 ml), and the combined extracts were dried over sodium sulfate and then filtered. Upon concentration under reduced pressure, the crude product was obtained as a brown-black liquid. The product was purified by flash column chromatography (CH₂Cl₂:hexane, 1:4 v/v). Pure product **5** (404 mg, 71%) was obtained as a colourless liquid. Rf (CH₂Cl₂:hexane, 1:2 v/v): 0.32, ¹H NMR (600 MHz, CDCl₃) δ (ppm): 8.60 (s, 1H), 8.13 (d, *J* = 7.6 Hz, 1H), 7.91 (dd, *J* = 8.1, 2.5 Hz, 1H), 7.46 (t, *J* = 7.4 Hz, 1H), 7.42 – 7.36 (m, 2H), 7.23 (d, *J* = 7.6 Hz, 1H), 4.30 (t, *J* = 7.0 Hz, 2H), 1.86 (t, *J* = 7.6 Hz, 2H), 1.49 – 1.15 (m, 18H), 0.85 (t, *J* = 7.1 Hz, 3H).

Compound 7a

N-Hexylcarbazol-3-yl-boronic acid pinocolate ester (5) (447 mg, 1.2 mmol), 2bromopyridine-4-carbaldehyde (6a) (147 mg, 0.8 mmol), potassium carbonate (1 M, 9.6 ml) and dichlorobis(triphenylphosphine)palladium (II) (40 mg, 0.06 mmol) were dissolved in tetrahydrofuran (20 ml). The reaction mixture was refluxed for 24 h under argon atmosphere. After removing the solvent, the crude product was dissolved in dichloromethane and washed with water (3x20 ml). The combined extracts were dried over sodium sulfate and then filtered. Upon concentration under reduced pressure, the crude product was obtained as a dark green-yellow liquid. The crude product was first purified by flash column chromatography (1:3 CH_2Cl_2 :hexane v/v) followed by preparative thin layer chromatography (4: 2: 1 hexane: CHCI₃: MeOH v/v). The pure product **7a** (176 mg, 63%) was obtained as a yellow liquid which solidified on standing. Rf (dichloromethane:hexane, 1:1 v/v): 0.3, melting point: 91-93°C, ¹H NMR (600 MHz, CDCl₃) δ (ppm): 10.17 (s, 1H), 8.95 (dd, J = 4.8, 0.9 Hz, 1H), 8.83 (d, J = 1.8 Hz, 1H), 8.24 (s, 1H), 8.23 – 8.16 (m, 3H), 7.58 (dd, J = 4.9, 1.4 Hz, 1H), 7.53 – 7.46 (m, 3H), 7.44 (d, J = 8.0 Hz, 1H), 7.28 (dt, J = 7.3, 0.8 Hz, 1H), 4.33 (t, J = 7.3 Hz, 3H), 1.90 (p, J = 7.7 Hz, 3H), 1.47 – 1.37 (m, 3H), 1.37 – 1.19 (m, 6H), 0.87 (t, J = 7.1 Hz, 4H), ¹³C NMR (600 MHz, CDCl₃) δ (ppm): 192.0, 160.0, 150.9, 142.5, 141.5, 141.0, 129.1, 126.1, 124.8, 123.4, 123.1, 120.6, 119.4, 119.3, 119.3, 118.6, 109.0, 108.9, 43.3, 31.6, 29.0, 27.0, 22.5, 14.0, FT-IR (\bar{u} cm⁻¹): 2956, 2924, 2911, 2872, 2851, 1697, elemental analysis: C₂₄H₂₄N₂O, calculated C: 80.87, H: 6.79, N: 7.86, found C: 80.86, H: 6.92, N: 7.57.

Compound 7b

N-Hexylcarbazol-3-yl-boronic acid pinocolate ester (5) (144 mg, 0.4 mmol), 2bromopyridine-5-carbaldehyde (6b) (47 mg, 0.3 mmol), potassium carbonate (1 M, 3 ml) and dichlorobis(triphenylphosphine)palladium (II) (13 mg, 0.02 mmol) were dissolved in tetrahydrofuran (10 ml). The reaction mixture was refluxed for 6 h under argon atmosphere. After removing the solvent, the crude product was dissolved in dichloromethane and washed with water (3 x 20 ml). The combined extracts were dried over sodium sulfate and then filtered. Upon concentration under reduced pressure, the crude product was obtained as a dark green-yellow liquid. The crude product was first purified by flash column chromatography (dichloromethane:hexane, 1:1 v/vfollowed preparative chromatography by thin layer (hexane:chloroform:MeOH, 10: 5: 2 v/v). The pure product 7b (67 mg, % 75) was obtained as a yellow solid. R_f (dichloromethane:hexane, 5:1 v/v): 0.3, melting point: 89-91°C, ¹H NMR (600 MHz, CDCl₃) δ (ppm): 10.12 (s, 1H), 9.13 (d, *J* = 2.2 Hz, 1H), 8.88 (d, J = 1.8 Hz, 1H), 8.26 – 8.17 (m, 3H), 8.01 (d, J = 8.3 Hz, 1H), 7.53 – 7.48 (m, 2H), 7.44 (d, J = 8.1 Hz, 1H), 7.29 (t, J = 7.4 Hz, 1H), 4.34 (t, J = 7.3 Hz, 3H), 1.90 (p, J = 7.4 Hz, 2H), 1.44-1.25 (m, 6H), 0.87 (t, J = 7.1 Hz, 3H), ¹³C NMR (600 MHz, CDCl₃) δ (ppm): 190.5, 163.1, 152.7, 142.0, 141.1, 136.2, 129.1, 128.8, 126.2, 125.3, 123.5, 123.1, 120.7, 120.1, 119.9, 119.5, 109.1, 109.1, 43.3, 31.5, 28.9, 26.9, 22.5, 14.0, FT-IR (\bar{v} cm⁻¹) : 2950, 2924, 2866, 2852, 2822, 2786, 2724, 1696, elemental analysis: C₂₄H₂₄N₂O, calculated C: 80.87, H: 6.79, N: 7.86, found C: 80.88, H: 6.91, N: 7.12, MS (EI+, m/z) : 356 (M⁺, 73%), 285 ([M-C5H11]⁺, 100%), HRMS (FAB⁺, m/z): calculated for $C_{24}H_{24}N_2O$ [M]⁺ 356.1889, found for [M]⁺ 356.1893 (error: +1.2 ppm).

Supporting Information

The Supporting Information features the followings: 1) ¹H NMR and ¹³C NMR spectra; 2) FT-IR Spectra; 3) Mass and HRMS Spectra; 3) Calculations of Relative Fluorescence Quantum Yields.

Supporting Information File 1: NMR, FTIR, MS and HRMS spectra of compounds and relative quantum yield calculations

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