Supporting Information

A Chiral LC-MS Strategy for Stereochemical Assignment of Natural Products Sharing a 3-Methylpent-4-en-2-ol Moiety in Their Terminal Structures

Rei Suo^{*1}, Raku Irie^{*2}, Hinako Nakayama¹, Yuta Ishimaru¹, Yuya Akama¹, Masato Oikawa², and Shiro Itoi¹

¹ College of Bioresource Sciences, Nihon University, Kameino 1866, Fujisawa, Kanagawa 252-0880, Japan

² Yokohama City University, Seto 22-2, Kanazawa-ku, Yokohama, Kanagawa 236-0027, Japan

Table of Contents
1. Experimental Section2-8
General Experimental Procedures
Fermentation, Extraction and Isolation of Capsulactone (1)
Synthetic Procedures for Esters 4–6 , Each as a Mixture of Four Stereoisomers
Synthetic Procedures for PNB Esters 8–11
Degradation to Detect the C9–C12 Fragment (7) from Capsulactone (1)
2. LC-MS chromatograms9–10

Figure S1. LC-MS chromatograms of esters **4–6** using CHIRALPAK[®] IC (MeOH condition) Figure S2. LC-MS chromatograms of esters **4–6** using CHIRALPAK[®] IF (MeOH condition) Figure S3. LC-MS chromatograms of esters **4–6** using CHIRALPAK[®] ID-3 (MeOH condition)

1. Experimental Section

General Experimental Procedures

Optical rotations were measured on a JASCO P-2300 polarimeter. UV spectra were measured on a JASCO V-730 spectrophotometer. IR spectra were recorded on a JASCO FT/IR-400 spectrometer. NMR spectra were measured on a JEOL alpha 500 spectrometer or a Bruker AVANCE III HD 400 spectrometer using residual solvent signals ($\delta_{\rm H}$ 3.30; $\delta_{\rm C}$ 49.0 ppm of CD₃OD and $\delta_{\rm H}$ 7.24; $\delta_{\rm C}$ 77.0 ppm of CDCl₃) as internal standards. LC-MS experiments were performed on a Shimadzu LC-20AD solvent delivery system interfaced with a SCIEX X500R Q-TOF mass spectrometer (ESI source), or a Thermo Scientific UltiMate 3000 basic automated system interfaced with a Thermo Fisher Scientific Q Exactive Focus mass spectrometer (ESI source). Analytical or preparative thin-layer chromatography (TLC) was performed using a Merck silica gel 60 F254 plate (0.25 or 0.50 mm thickness). Flash column chromatography was carried out using Kanto chemical silica gel 60N (40-50 mesh) or Yamazen silica gel HiFlash (SiOH-30 µ Premium, 30 µm, 60 Å) with automated flash column system EPCLC-Wprep2XY-10VW (Yamazen Corporation). All reactions susceptible to moisture and air were carried out in an atmosphere of argon gas, using the glassware oven-dried over 3 h. CH₂Cl₂ and THF were purified by Glass Contour Solvent Dispensing System (Nikko Hansen). All other reagents were purchased at the highest commercial grade and used directly.

Fermentation, Extraction and Isolation of Capsulactone (1)

Fusarium sp. was grown on rice solid medium (to 70 g Japanese commercially available rice was added 70 mL of sterile sea water and 70 mL of distilled water) at room temperature. After 25 days, the whole culture was extracted with MeOH and EtOAc. The extracts were combined, concentrated *in vacuo*, and partitioned between EtOAc and H₂O. The dried EtOAc extracts was subjected to ODS flash column chromatography with stepwise elution of 5% MeOH, 50% MeOH, 90% MeOH, 100% MeOH and CHCl₃/MeOH (1:1). The 90% MeOH fraction was first purified by RP-HPLC on a Cosmosil 5C₁₈-AR-II column with gradient elution from 60% MeOH to 90% MeOH in the presence of 0.5% AcOH to afford several fractions. Each fraction was further purified by RP-HPLC on a Cosmosil 5C₁₈-AR-II column with gradient elution from 36% MeCN to 52% MeCN in the presence of 0.5% AcOH to give capsulactone (**1**, 1.2 mg).

Capsulactone (1); colorless gum; $[\alpha]_D^{26}$ +12 (*c* 0.1, MeOH); UV (MeOH) λ_{max} (log ϵ) 308 (3.6), 250 (3.6); ¹H and ¹³C NMR data, see Table 1; HRMS (ESI, positive) calcd for C₁₇H₂₄O₄ [(M+H)⁺] 293.1747, found 293.1741.

Synthetic Procedures for Esters 4–6, Each as a Mixture of Four Stereoisomers







To a stirred mixture of methyl acetoacetate (**2**, 0.500 mL, 4.65 mmol) and iodomethane (0.290 mL, 4.65 mmol) at 0 °C was added K₂CO₃ (965.2 mg, 6.98 mmol) portionwise over 5 min. After stirring at 0 °C for 1.5 h, the mixture was allowed to warm to rt. After 19 h, to the mixture was added Et₂O (5 mL), and the resulting suspension was filtrated. The filtrate was washed with brine (5 mL), dried over Na₂SO₄, and concentrated *in vacuo*. The residue was purified by silica gel column chromatography (SiOH-30 μ Premium, 14 g, EtOAc/hexane = 1:4) to give racemic **S1** (377.3 mg, 62%) as a colorless syrup: ¹H NMR (selected for the keto tautomer, 400 MHz, CDCl₃) δ 3.72 (s, 3H), 3.50 (q, *J* = 7.2 Hz, 1H), 2.22 (s, 3H), 1.33 (d, *J* = 7.1 Hz, 3H). Other spectroscopic data for **S1** were in good agreement with those reported.¹

To a stirred suspension of NaBH₄ (9.3 mg, 0.25 mmol) in EtOH (3.0 mL) at 0 °C was added a solution of ketone **S1** (100.2 mg, 0.770 mmol), thus obtained above, in EtOH (2.0 mL) in a dropwise manner over 3 min. After stirring at 0 °C for 1.5 h, to the mixture was added saturated aqueous NH₄Cl (0.5 mL). The mixture was partitioned between EtOAc and H₂O (5 mL each). Aqueous layer was separated and extracted with EtOAc (2 × 5 mL). Combined extracts were washed with brine (5 mL), dried over Na₂SO₄, and concentrated *in vacuo*. The residue was purified by silica gel column chromatography (SiOH-30µ Premium, 7 g, EtOAc/hexane = 2:3) to give alcohol **3** (a mixture of four stereoisomers, 65.3 mg, 64%) as a colorless syrup: ¹H NMR (400 MHz, CDCl₃) δ 4.05 and 3.86 (two multiplets, 1H total), 3.70 and 3.69 (two singlets, 3H total), 2.59 and 2.49 (two multiplets, 1H total), 2.49 and 2.44 (multiplet and doublequartet, *J* = 7.2, 7.2 Hz, 1H total), 1.20 and 1,16 (two doublets, *J* = 6.4 Hz each, 3H total), 1.17 and 1.17 (two doublets, *J* = 7.2 Hz each, 3H total). Other spectroscopic data for **3** were in good agreement with those reported.²

Methyl 2-methyl-3-(((*R*)-3,3,3-trifluoro-2-methoxy-2-phenylpropanoyl)oxy)butanoate (4)



To a stirred solution of alcohol **3** (5.0 mg, 0.038 mmol) in pyridine (150 μ L) at rt was added (*S*)-(+)- α -methoxy- α -(trifluoromethyl)phenylacetyl chloride ((*S*)-(+)-MTPACI, 10 μ L, 0.053 mmol). After 16 h, the reaction mixture was diluted with water (0.7 mL) and extracted with CHCl₃ (2 × 0.5 mL). Combined extracts were concentrated *in vacuo* to afford crude (*R*)-MTPA ester **4** (9.2 mg) as a colorless solid: HRMS (ESI, positive) calcd for C₁₆H₁₉F₃O₅ [(M+Na)⁺] 371.1077, found 371.1082.

4-Methoxy-3-methyl-4-oxobutan-2-yl 4-bromobenzoate (5)



To a stirred solution of alcohol **3** (5.0 mg, 0.038 mmol) in pyridine (180 μ L) at rt were added *p*-bromobenzoyl chloride (20.9 mg, 0.0952 mmol) and DMAP (1.7 mg, 0.014 mmol). After 16 h, the reaction mixture was diluted with water (0.8 mL) and extracted with CHCl₃ (2 × 0.5 mL). Combined extracts were concentrated *in vacuo* to afford crude ester **5** (12.9 mg) as a white solid: HRMS (ESI, positive) calcd for C₁₃H₁₅BrNaO₄ [(M+Na)⁺] 337.0046, found 337.0058.

4-Methoxy-3-methyl-4-oxobutan-2-yl 4-nitrobenzoate (6)



To a stirred solution of alcohol **3** (5.0 mg, 0.038 mmol) in CH_2Cl_2 (300 µL) at rt were added Et₃N (21 µL, 0.151 mmol), DMAP (1.45 mg, 0.012 mmol) and *p*-nitrobenzoyl chloride (21.6 mg, 0.117 mmol). After 5 h, the reaction mixture was concentrated *in vacuo* to afford crude ester **6** (14.9 mg) as a yellow solid: HRMS (ESI, positive) calcd for $C_{13}H_{15}NO_6$ [(M+Na)⁺] 304.0792, found 304.0796.



Synthetic Procedures for PNB esters 8–11

Methyl (2S,3S)-3-hydroxy-2-methylbutanoate (13), and (2S,3S)-4-methoxy-3-methyl-4-oxobutan-2-yl 4-nitrobenzoate (8)



To a stirred solution of LDA (1.0 M in THF/hexanes, 25 mL, 25 mmol) at -78 °C was added methyl (*S*)-(+)-3-hydroxybutyrate (**12**, 0.928 mL, 8.33 mmol) in THF (8.0 mL) in a dropwise manner for 3 min. After stirring at -78 °C for 30 min, to the solution was added iodomethane (3.11 mL, 49.5 mmol) in a dropwise manner over 3 min. After stirring at -78 °C for additional 2 h, the mixture was then allowed to warm to 0 °C and quenched with hydrochloric acid (1 M, 32 mL). The aqueous layer was separated and extracted with CH₂Cl₂ (3 × 30 mL). Combined extracts were washed with brine (2 × 60 mL), dried over Na₂SO₄, and concentrated *in vacuo* to a volume of ca. 5 mL to afford a yellow solution of crude **13** (6.54 g), which was used for the next reaction without purification.

A part of the residual solution of crude **13** (129.8 mg), thus obtained above, was diluted with CH₂Cl₂ (1.0 mL). To the stirred solution at rt were added Et₃N (69.0 μ L, 0.495 mmol), DMAP

(2.7 mg, 0.022 mmol) and *p*-nitrobenzoyl chloride (PNBCI, 46.3 mg, 0.250 mmol). After 1 h, the mixture was poured into saturated aqueous NH₄Cl (1 mL). The aqueous layer was separated and extracted with CH₂Cl₂ (3 × 1 mL). Combined extracts were washed with brine (2 × 1 mL), dried over Na₂SO₄, and concentrated *in vacuo*. The residue was purified by preparative TLC (0.50 mm thickness, 20 × 20 cm, EtOAc/hexane = 1:4) to give PNB ester **8** (21.2 mg, 45% for 2 steps) as a colorless oil: $[\alpha]_D^{26}$ +49 (*c* 1.0, MeOH); IR (ATR) 2987, 2952, 1726, 1726, 1528, 1274, 720 cm⁻¹; ¹H NMR (400 MHz, CDCl₃) δ 8.26 (m, 2H), 8.15 (m, 2H), 5.35 (dq, *J* = 6.5, 6.5 Hz, 1H), 3.65 (s, 3H), 2.86 (dq, *J* = 7.2, 7.2 Hz, 1H), 1.37 (d, *J* = 6.4 Hz, 3H), 1.23 (d, *J* = 7.2 Hz, 3H); ¹³C NMR (100 MHz, CDCl₃) δ 173.8, 163.7, 150.6, 135.7, 130.7 (×2), 123.5 (×2), 73.4, 51.9, 44.6, 17.1, 12.8; HRMS (ESI, positive) calcd for C₁₃H₁₅NO₆ [(M+Na)⁺] 304.0792, found 304.0796.

(2S,3R)-4-Methoxy-3-methyl-4-oxobutan-2-yl 4-nitrobenzoate (9)



(2S,3R)-9

A part of the residual solution of crude **13** (125.1 mg), thus obtained above, was diluted with THF (1.0 mL). To the stirred solution at 0 °C were added *p*-nitrobenzoic acid (PNBOH, 54.6 mg, 0.327 mmol), PPh₃ (86.5 mg, 0.330 mmol), and a solution of diethyl azodicarboxylate in toluene (2.2 M, 0.148 mL, 0.326 mmol). After stirring at rt for 2 h, the mixture was concentrated *in vacuo*. The residue was purified by silica gel column chromatography (SiOH-30µ Premium, 7 g, EtOAc/hexane = 23:77) to give PNB ester **9** (18.3 mg, 39% for 2 steps) as a colorless oil: $[\alpha]_D^{26}$ –14 (*c* 1.0, MeOH); IR (ATR) 2990, 2952, 1728, 1728, 1529, 1275, 720 cm⁻¹; ¹H NMR (400 MHz, CDCl₃) δ 8.26 (m, 2H), 8.16 (m, 2H), 5.43 (m, 1H), 3.67 (s, 3H), 2.79 (qd, *J* = 7.1, 5.5 Hz, 1H), 1.39 (d, *J* = 6.4 Hz, 3H), 1.27 (d, *J* = 7.1 Hz, 3H); ¹³C NMR (100 MHz, CDCl₃) δ 173.7, 163.9, 150.6, 135.7, 130.7, 123.5, 72.8, 51.9, 44.3, 17.5, 12.2; HRMS (ESI, positive) calcd for C₁₃H₁₅NO₆ [(M+Na)⁺] 304.0792, found 304.0795.

Methyl (2*R*,3*R*)-3-hydroxy-2-methylbutanoate (15), and (2*R*,3*R*)-4-methoxy-3-methyl-4-oxobutan-2-yl 4-nitrobenzoate (10)



To a stirred solution of LDA (1.0 M in THF/hexanes, 25 mL, 25 mmol) at -78 °C was added

methyl (*R*)-(–)-3-hydroxybutyrate (**14**, 0.928 mL, 8.33 mmol) in THF (8 mL) in a dropwise manner for 3 min. After stirring at -78 °C for 30 min, to the solution was added iodomethane (3.11 mL, 49.5 mmol) in a dropwise manner for 3 min. After stirring at -78 °C for additional 2 h, the mixture was then allowed to warm to 0 °C, poured into hydrochloric acid (1 M, 30 mL), and extracted with CH₂Cl₂ (60 mL, 20 mL, 100 mL). The combined extracts were washed with brine (70 mL), dried over Na₂SO₄, and concentrated *in vacuo* to a volume of ca. 5 mL to afford a yellow solution of crude **15** (6.36 g), which was used for the next reaction without purification.

A part of the residual solution of crude alcohol **15** (121.5 mg), thus obtained above, was diluted with CH₂Cl₂ (1.0 mL). To the stirred solution at rt were added Et₃N (69.7 μ L, 0.500 mmol), DMAP (2.6 mg, 0.021 mmol), and *p*-nitrobenzoyl chloride (PNBCl, 50.0 mg, 0.269 mmol). After 1 h, the mixture was poured into saturated aqueous NH₄Cl (1 mL). The aqueous layer was separated and extracted with CH₂Cl₂ (3 × 1 mL). Combined extracts were washed with brine (2 × 1 mL), dried over Na₂SO₄, and concentrated *in vacuo*. The residue was purified by preparative TLC (0.50 mm thickness, 20 × 20 cm, EtOAc/hexane = 1:4) to give PNB ester **10** (19.0 mg, 41% for 2 steps) as a colorless oil: [α]_D²⁶ –49 (*c* 1.0, MeOH); IR (ATR) 2987, 2952, 1726, 1528, 1273, 720 cm⁻¹; ¹H NMR (400 MHz, CDCl₃) δ 8.25 (m, 2H), 8.15 (m, 2H), 5.35 (m, 1H), 3.65 (s, 3H), 2.85 (dq, *J* = 7.1, 7.1 Hz, 1H), 1.37 (d, *J* = 6.4 Hz, 3H), 1.23 (d, *J* = 7.2 Hz, 3H); ¹³C NMR (100 MHz, CDCl₃) δ 173.8, 163.7, 150.5, 135.7, 130.7, 123.5, 73.4, 51.9, 44.6, 17.1, 12.8; HRMS (ESI, positive) calcd for C₁₃H₁₅NO₆ [(M+Na)⁺] 304.0792, found 304.0797.

(2R,3S)-4-Methoxy-3-methyl-4-oxobutan-2-yl 4-nitrobenzoate (11)



(2R,3S)-11

A part of the residual solution of crude alcohol **15** (113.1 mg), thus obtained above, was diluted with THF (1.0 mL). To the stirred solution at 0 °C were added *p*-nitrobenzoic acid (PNBOH, 56.7 mg, 0.339 mmol), PPh₃ (88.9 mg, 0.339 mmol), and a solution of diethyl azodicarboxylate in toluene (2.2 M, 154 μ L, 0.339 mmol). After stirring at rt for 1.5 h, the mixture was concentrated *in vacuo*. The residue was purified by silica gel column chromatography (60N, 6.9 g, EtOAc/hexane = 3:17) to give PNB ester **11** (18.3 mg, 39% for 2 steps) as a colorless oil: [α]_D²⁶ +14 (*c* 1.0, MeOH); IR (ATR) 2989, 2951, 1725, 1725, 1528, 1275, 720 cm⁻¹; ¹H NMR (400 MHz, CDCl₃) δ 8.26 (m, 2H), 8.16 (m, 2H), 5.43 (m, 1H), 3.67

(s, 3H), 2.79 (qd, J = 7.1, 5.5 Hz, 1H), 1.39 (d, J = 6.4 Hz, 3H), 1.27 (d, J = 7.1 Hz, 3H); ¹³C NMR (100 MHz, CDCl₃) δ 173.7, 163.9, 150.6, 135.7, 130.7, 123.5, 72.8, 51.9, 44.3, 17.5, 12.2; HRMS (ESI, positive) calcd for C₁₃H₁₅NO₆Na⁺ [(M+Na)⁺] 304.0792, found 304.0795.

Degradation to Detect the C9–C12 Fragment (7) from Capsulactone (1)



To a solution of capsulactone (**1**, 100 µg, 0.342 µmol) in CH₂Cl₂ (100 µL) at rt were added Et₃N (10.0 µL, 0.072 mmol), *p*-nitrobenzoyl chloride (PNBCl, 2.0 mg, 0.072 mmol) and DMAP (2.0 mg, 0.016 mmol). After stirring overnight, the mixture was concentrated *in vacuo* to afford crude 4-nitrobenzoyl ester, which was used in the next reaction without purification: ESIMS m/z 464.2 [(M+Na)⁺], m/z 613.2 [(M+Na)⁺].

To a solution of crude nitrobenzoyl ester, thus obtained above, in CCl₄/MeCN/H₂O (4:4:5, 104 μ L) at rt were added aqueous RuCl₃•nH₂O (25 mM, 10 μ L, 0.25 μ mol) and NalO₄ (2.0 mg, 0.0093 mmol). After 1 h, the mixture was diluted with hydrochloric acid (0.5 M, 100 μ L) and extracted with EtOAc (2 × 0.5 mL). Combined extracts were concentrated *in vacuo* to give crude carboxylic acid, which was used for the next reaction without purification.

To a solution of crude carboxylic acid, thus obtained above, in MeOH and toluene (1:1, 2.0 mL) at rt was added a solution of TMSCHN₂ (1.0 M in hexane) until the color of the solution became yellow. After stirring for 30 min, a few drops of AcOH were added until the yellow color of the solution disappeared and then the mixture was concentrated *in vacuo* to afford crude C9–C12 fragment **7**: HRMS (ESI, positive) calcd for $C_{13}H_{15}NO_6$ [(M+Na)⁺] 304.0792, found 304.0784.

References

- (1) Trotta, A. H. Org. Lett. 2015, 17, 3358–3361.
- (2) Kalaitzakis, D.; Smonou, I. A. J. Org. Chem. 2008, 73, 3919–3921.

2. LC-MS chromatograms

LC-MS separation of esters 4-6

Aliquots (1 μ L) of each sample were injected into an CHIRALPAK[®] IC (4.6 × 250 mm, 5 μ m), CHIRALPAK[®] IF (4.6 × 250 mm, 5 μ m), CHIRALPAK[®] ID-3 (4.6 × 250 mm, 3 μ m) at flow late of 0.6 mL/min at 40 °C, with gradient elution from 50% MeOH to 100% MeOH, respectively. Gradient elution was performed using solvent A (H₂O) and solvent B (MeOH) with the following linear gradient combination: 50% (B) kept for 3 min, increased to 100% (B) over 20 min, and kept for 15 min. Gradient elution was also performed using MeCN, but each combination was unsuccessful. The LC-MS chromatograms performed using CHIRALPAK[®] IC, IF, ID-3 in MeOH condition was shown as below.



Figure S1. LC-MS chromatograms of esters 4–6 using CHIRALPAK[®] IC (MeOH condition)



Figure S2. LC-MS chromatograms of esters 4–6 using CHIRALPAK[®] IF (MeOH condition)



Figure S3. LC-MS chromatograms of ester 4–6 using CHIRALPAK[®] ID-3 (MeOH condition)

3. NMR spectra

Table S1. ¹H NMR data (500 MHz) of capsulactone (1) in CD₃OD.

COSY correlations (boldline) and key HMBC correlations (arrow).

15 16 17 (|)1) 12 HO ÓН

Position	δ _H , mult (<i>J</i> in Hz)	δ_{C}	COSY	HMBC
1		169.0		
2		98.2		
3		174.1ª		
4		111.5		
5		160.0		
6		128.5		
7	6.05 (1 H bro)	120.0		CE C0 C1E
1	0.05 (TH, DIS)	139.2	пэ, птэ, пто	05, 09, 015
8		132.8		
9	5.34 (1H, brd, <i>J</i> = 10.0 Hz)	136.7	H7, H10, H16	C7, C17
10	2.48 (1H, m)	41.9	H9, H11, H17	C11
11	3.54 (1H, dq, <i>J</i> = 6.4, 6,4 Hz)	72.7	H10, H12	
12	1.15 (3H, d, <i>J</i> = 6.4 Hz)	21.5	H11	C10, C11
13	1.88 (3H, s)	9.1		C1, C2, C3
14	1.97 (3H, s)	12.2		C3, C4, C5
15	2.03 (3H, d, <i>J</i> = 1.5 Hz)	16.8	H7	C5, C6, C7
16	1.85 (3H, d, <i>J</i> = 1.4 Hz)	17.1	H7, H9	C7, C8, C9
17	1.05 (3H, d, <i>J</i> = 6.7 Hz)	17.2	H10	C9, C10, C11

^a assigned by HMBC data.











f1 (ppm)



















