



Green chemistry III: Technologies shaping future directions in synthesis

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Recent advances in the electrochemical synthesis of organophosphorus compounds

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Review

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Abstract

In this review, we describe recent advances in electrochemical green methods for the synthesis of various organophosphorus compounds through the formation of phosphorus–carbon, phosphorus–nitrogen, phosphorus–oxygen, phosphorus–sulfur, and phosphorus–selenium bonds. The impact of different electrodes is also discussed in this matter. Graphite, platinum, RVC, and nickel electrodes have been used extensively for the electrochemical synthesis of organophosphorus compounds. The recent advances in the electrochemical synthesis of organophosphorus compounds have made this method a promising method for preparing various structures. This review is an introduction to encourage scientists to use electrosynthesis as a green, precise, and low-cost method to prepare phosphorous structures.

Introduction

The electrochemical synthesis is a valuable and beneficial method for the preparation of organic compounds. In recent years, many advances have been made in this field of research, and researchers have been able to synthesize many compounds and confirm various uses for chemical compounds in this field. The significant progress in this field of research led to the name of this field as “greener chemistry”. Today, electrochemical synthesis has many applications in industry, and thousands of tons of chemicals are produced by this method every year [1–11].

In electrochemical synthesis, only electricity is used instead of oxidizing or reducing substances. Electricity can perform the

oxidation and reduction process by exchanging electrons on the electrode surface in a region called the double layer (DL) [12]. Unlike traditional methods that require high temperature, pressure, and external oxidants, electrochemistry is an efficient and energy-saving approach that controls reaction selectivity by adjusting voltage or current [13]. Simple synthetic systems in electrochemical methods are limited to electrodes, cells, electrolytes, and power supplies. Today, in addition to the above, light, metallic, and organic catalysts are also used to increase the efficiency of reactions [14–21].

Organophosphorous compounds are essential materials with broad applications in medicinal chemistry, synthesis, agricul-

ture, as ligands, and intermediates to prepare complex compounds. Due to their importance, scientists have introduced many studies in recent years on developing new methods for synthesizing organophosphorus compounds [22-35].

Developing sustainable and green methods for synthesizing organophosphorus materials is a growing field. Methods based on photocatalysis [36], flow-based technologies [37,38], and microwave irradiation [39-42] have been developed. The electrochemical synthetic method is a creative, simple, and new process for preparing organophosphorus compounds [43].

In recent years, various articles have been reported on the electrochemical synthesis of organophosphorus compounds, in which phosphorus is attached to carbon or heteroatom centers. In this article, we describe recent advances in electrochemical green methods for the synthesis of various organophosphorus compounds through the formation of phosphorus–carbon, phosphorus–nitrogen, phosphorus–oxygen, phosphorus–sulfur, and phosphorus–selenium bonds. The impact of different electrodes is also discussed in this matter. Graphite, platinum, reticulated vitreous carbon (RVC), and nickel electrodes have been used extensively for the electrochemical synthesis of organophosphorus compounds.

Review

Electrochemical reaction cells

When a redox reaction occurs indirectly, chemical energy is transformed into electrical energy. A device that facilitates this conversion is known as an electrochemical cell. Electrochemical reaction cells are divided into two primary categories: galvanic (voltaic) and electrolytic. They consist of two electrodes – anode (where oxidation occurs) and cathode (where reduction occurs) – immersed in an electrolyte.

Galvanic cell

The redox reaction occurs spontaneously in these cells, converting chemical energy into electrical energy. The potential difference between the two electrodes generates an electric current. Some of its applications include batteries (e.g., lithium-ion batteries) and fuel cells. These cells are usually in a divided state.

Electrolytic cell

These cells require an external voltage to drive chemical reactions. They use electrical energy to carry out a non-spontaneous reaction. Some of their applications include hydrogen and oxygen production, metal electroplating, and organic compound synthesis using electrochemical methods. Depending on the reaction conditions, these cells can be divided or undivided.

Divided vs undivided cells

In divided cells, oxidation and reduction occur in separate compartments, separated by a diaphragm or salt bridge, to prevent reactant mixing and improve efficiency (e.g., Daniel cell). However, in undivided cells, both reactions occur in a single compartment without separation, resulting in a more straightforward design but potentially lower efficiency (e.g., some electrolytic cells).

Role of electrolytes in organic electrochemical reactions

Electrolytes are crucial for conductivity and reaction stability in organic electrochemical reactions. They are categorized as supporting electrolytes, which enhance conductivity, reduce resistance, and maintain ion balance (e.g., LiClO_4 , $n\text{-Bu}_4\text{NBF}_4$), and active electrolytes, which participate directly in redox reactions, acting as oxidizing or reducing agents (e.g., H_2SO_4 , Et_4NOH). Choosing the proper electrolyte affects reaction efficiency, selectivity, and overall performance.

Electrodes in the synthesis of organophosphorus compounds

Electrodes (any conductive materials) are one of the vital components in electrochemical cells (divided or undivided cells) for synthesizing organic compounds. The results of any electrosynthesis process depend entirely on the contact surface of the electrode with the reaction solution. The oxidation–reduction process complements each other, and the surface of the electrode in the reaction is critical. The material of the electrode is essential [44]. Various electrodes, including carbon (C), platinum (Pt), nickel (Ni), and reticulated vitreous carbon (RVC), are extensively used in the electrosynthesis of organophosphorus compounds (Table 1).

Table 1: Electrodes used in the electrosynthesis of organophosphorus compounds.

Material	Anode	Cathode
C	62%	12%
Pt	31%	74%
Ni	–	14%
RVC	5%	–

Carbon (C) electrode: The carbon electrode is one of the most widely used electrodes in electrochemical synthesis. This electrode is a porous material that allows chemicals to penetrate it. On the other hand, this electrode is one of the inexpensive electrodes. Usually, the carbon electrode needs to modify the surface, which allows for more straightforward chemical modification by installing it on other electrodes. The high fragility of the

carbon electrodes and the difficulty of their cleaning are disadvantages of these electrodes. A wide range of electrodes, such as graphite, glassy carbon, and pyrolytic carbon, are based on carbon. In synthesizing organophosphorus compounds by electrochemical methods, more than 60% of the anodes were made of carbon. The carbon electrode did not respond well as a cathode and was used only in $\approx 10\%$ of the synthesis of organophosphorus compounds by electrochemical methods.

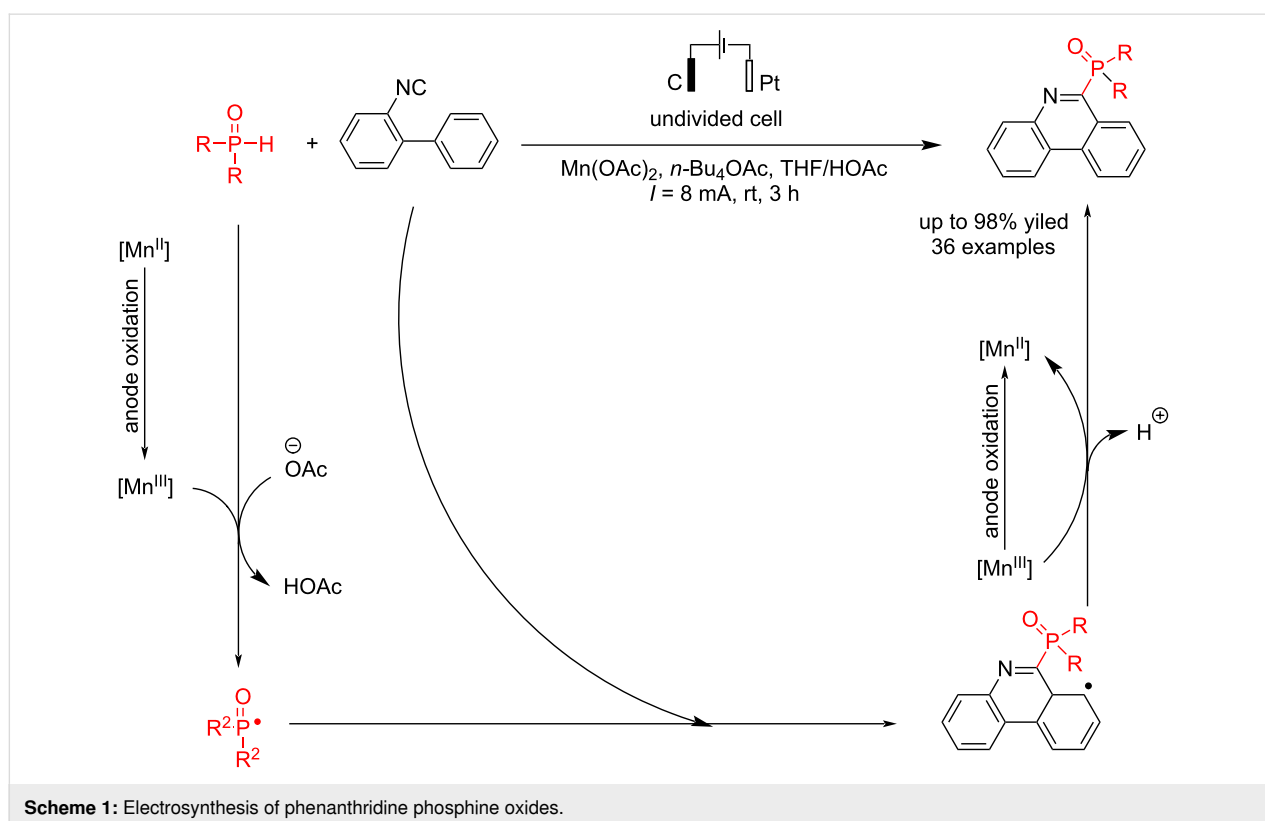
Platinum (Pt) electrode: The platinum electrode has an extensive oxidation range, is difficult to enter into the reaction, and can be very inert. This electrode is beneficial in electrosynthesis processes and can work well as the anode and cathode. This electrode has high stability in the electrochemical environment and is easy to clean, but caution should be taken when using it as a cathode because of low H_2 overpotential. Platinum electrodes are very popular and valuable as cathodes in the electrochemical synthesis of organophosphorus compounds. They are used as the cathode in more than 70% and as the anode in $\approx 30\%$ of electrosynthesis processes.

Nickel (Ni) electrode: Nickel is not usually used as the anode but as a sacrificial anode in electrosynthesis. Using nickel as the cathode has a better performance, and it has not been used as the anode in the electrosynthesis processes of organophosphorus compounds.

Electrochemical synthesis of organophosphorus compounds

Electrochemical C–P bond formation

Various articles on the electrochemical synthesis of organophosphorus compounds have been reported in recent years. Recently, an electrochemical reaction of 2-isocyanobiaryls with diphenylphosphine oxides has been reported by Li et al. [45] using a Mn catalytic system with C(anode)/Pt(cathode) in an undivided cell. Different products were obtained with up to 85% yield in a constant flow for three hours. Studies showed that a Mn catalyst is critical for synthesizing derivatives of phenanthridine-based diarylphosphine oxides. The reaction yield decreased in the absence of the ligand, and eliminating both the ligand and manganese salt suppressed the reaction. Moreover, a slight decrease in the reaction yield was observed with increased reaction temperature. 2-Isocyanobiaryl compounds showed better reactivity when they contained electron-withdrawing groups. Diarylphosphine oxides containing a methyl group reacted well under standard conditions, regardless of their position. Mechanistic studies showed that when the reaction was carried out in the presence of TEMPO as a radical scavenger, a side product, TEMPO-P(O)R₂, was formed (it was confirmed using high-resolution mass spectrometry). The results revealed that the reaction proceeded in a radical pathway (Scheme 1). Based on the cyclic voltammetry experiments, the oxidation current increased further with the addition of

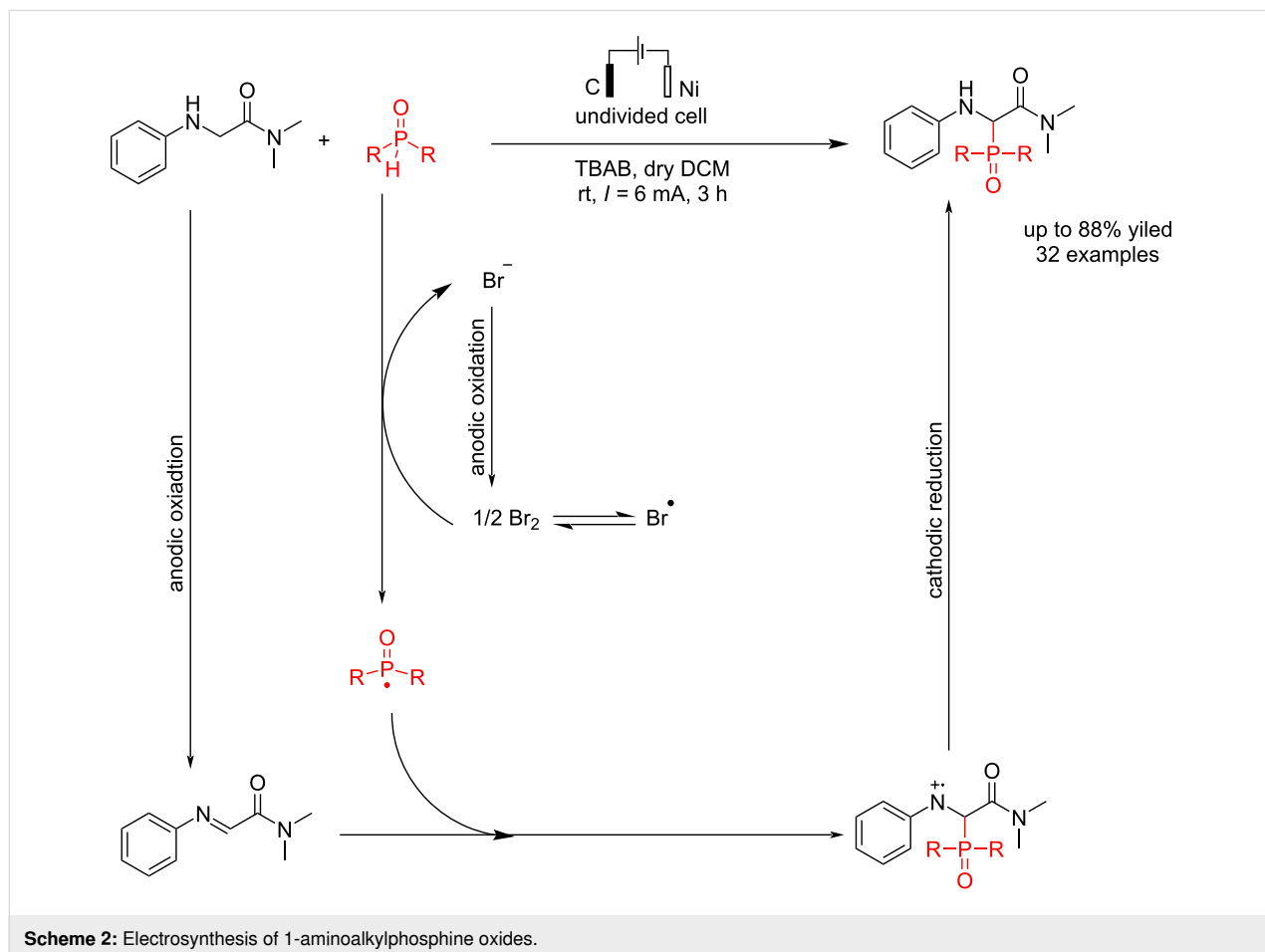


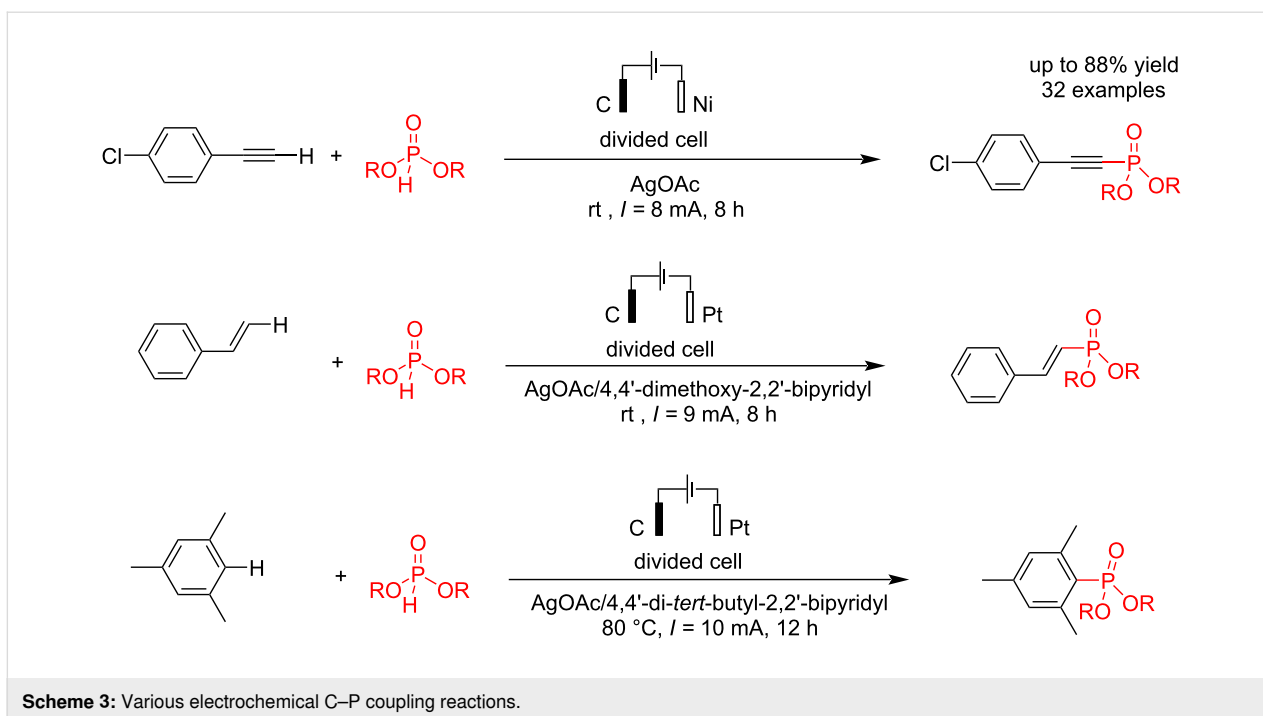
diphenylphosphine oxide to the mixture of $\text{Mn}(\text{OAc})_2$ and 2,2-bipyridine. This suggests that 2,2-bipyridine likely influenced the oxidation of $\text{Mn}(\text{II})$ and reacted with diphenylphosphine oxide. Further studies have also shown that the platinum electrode as a cathode is very suitable for the process. Increasing the contact surface of the anode using a graphite felt electrode instead of a graphite rod in the reaction medium was one of the ways to improve the result.

In 2023, Wang et al. [46] reported an electrochemical reaction of amide derivatives of glycine with diarylphosphine oxide ($\text{R}_2\text{P}(\text{O})\text{-H}$) for the synthesis of 1-aminoalkylphosphine oxides without the use of any transition metal catalyst or external oxidant. In this conversion, 1-aminoalkylphosphine oxides were formed in an undivided cell using a carbon electrode as the anode and nickel as the cathode in the presence of tetrabutylammonium bromide (TBAB) at the constant current of 6 mA. The electrodes used in the reaction are all in plate form. The presence of TBAB causes the resulting bromide anion to oxidize to bromine radical and react with $\text{R}_2\text{P}(\text{O})\text{-H}$ to produce a radical phosphorus center. The reaction yield was higher when nickel was used as the cathode and graphite as the anode compared to

the setup where nickel was replaced with graphite for the cathode and/or graphite was replaced with nickel for the anode (Scheme 2). This method is suitable for phosphorylating glycine amides with electron-withdrawing or electron-donating groups on their aromatic ring, producing products with yields ranging from 51% to 82%.

In 2023, Lei and co-workers [47] reported an electrochemical C–P bond formation via a coupling reaction of C–H bonds of alkynes, alkenes, and aryl compounds with dialkyl phosphonates at carbon and platinum electrodes as the anode and cathode in the presence of a silver catalyst in a divided cell (Scheme 3). According to the report, the silver catalyst is central to the coupling reaction. The study of the effect of alternating current (a.c.) electrolysis parameters on silver-catalyzed C–H phosphorylation revealed that variations in current intensity, frequency, and duty ratio influence product yield. To achieve optimal reactivity, the duty ratio must exceed 50%. Additionally, an analysis of silver deposition on carbon and platinum electrodes indicated that silver accumulation is minimal in alkynylation and arylation processes. In contrast, silver deposition was observed on the platinum electrode surface in alkenylation reac-





tions. The article does not provide a mechanistic description of the reaction. Further investigations suggested that modifying the shape and contact area of the electrodes led to different reaction outcomes.

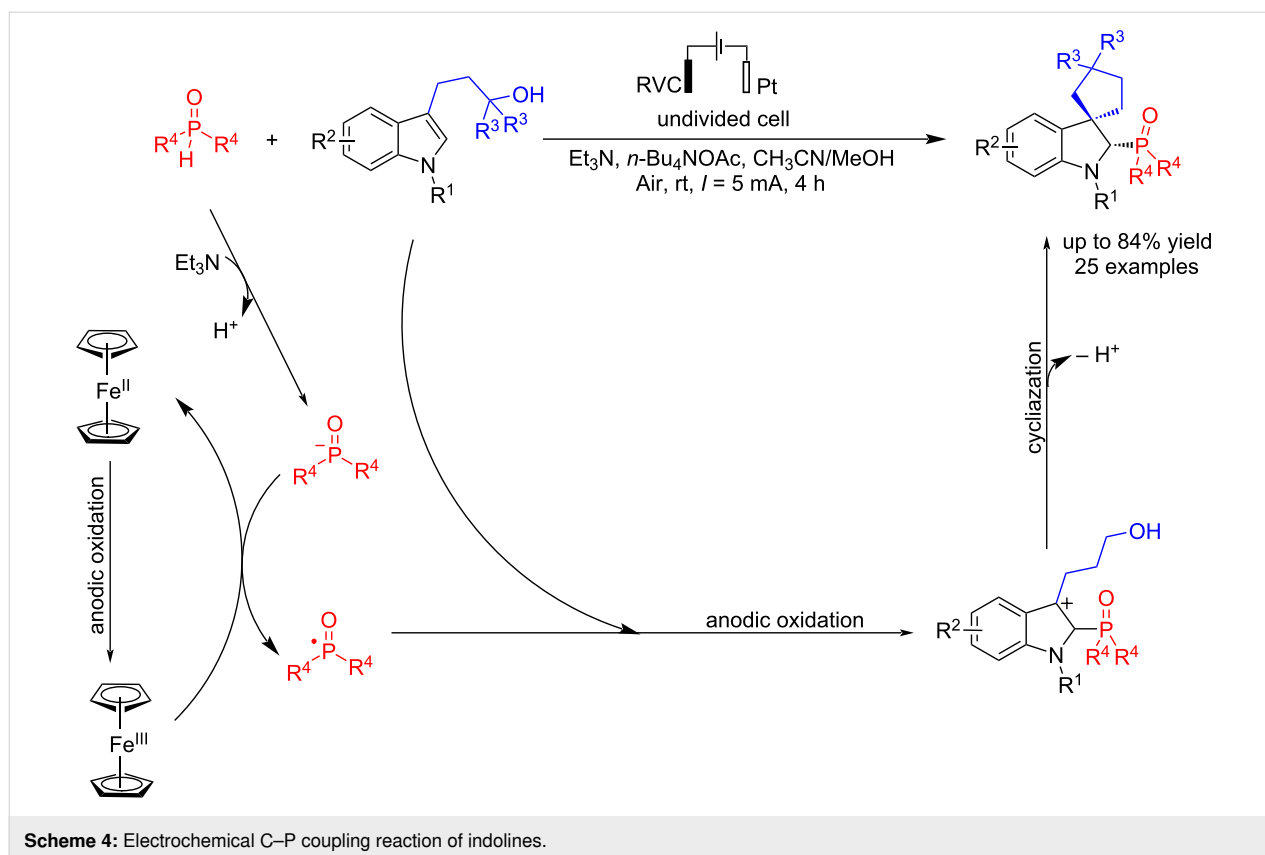
Spirocyclic indolines have broad applications in medicine. The phosphorylated structures of indolines have also played a significant role in synthesis and industry. In 2023, Mo et al. [48] reported the electrochemical synthesis of a wide range of these compounds (25 compounds of phosphonylated 3,3-spiroindolines) in a convenient process. The C–P bond formation reaction of phosphine oxides with *N*-Boc-indolines was carried out in the presence of Cp_2Fe , but the reaction did not occur in its absence. When the reaction was performed in the presence of TEMPO, the product of TEMPO-P(O) R_2 was formed, which showed that the process proceeded through a radical path. In addition, the reaction was carried out in an electrochemical environment with an undivided cell, using graphite and platinum plate electrodes as the anode and cathode at constant current for 1 hour (Scheme 4). Platinum is usually used as the cathode for its ease of use, and a carbon plate electrode as the anode because of its cheapness and stability at high voltages. Further studies showed that using a reticulated vitreous carbon (RVC) electrode as the anode gave much better results than a graphite plate. The desired product was likely not formed due to the higher oxidation potential of diethyl phosphonate. Cyclic voltammetry experiments confirmed that Cp_2Fe is oxidized first due to its lower oxidation potential than other compounds. Moreover, the reaction proceeded smoothly without Et_3N or

acetate, indicating that these compounds do not play a role in the reaction process (Table 2).

Table 2: Optimization studies.

Variation from the standard conditions	Yield (%)
none	62
without Cp_2Fe	n.r.
without Et_3N	31
$\text{CH}_3\text{CN}/\text{HOAc}$ instead of $\text{CH}_3\text{CN}/\text{MeOH}$	22
$\text{CH}_3\text{CN}/\text{H}_2\text{O}$ instead of $\text{CH}_3\text{CN}/\text{MeOH}$	22
RVC(+) Pt(–) instead of C(+) Pt(–)	74
without electricity	n.r.

To study ferrocene's electrochemical direct phosphorylation reaction with diphenylphosphine oxide, Chen et al. [49] examined and reported electrochemical C–P bond formation of ferrocene and ruthenocene via coupling reaction. This method provides an efficient and versatile synthetic approach for producing phosphorylated metallocenes but also aids in interpreting the regioselectivity and reactivity of C–H functionalization in unsymmetric metallocenes. They used a platinum electrode as the cathode and changed the anode electrode to find the best efficiency. The platinum plate electrode is more suitable than felt due to its larger contact surface, and using graphite electrodes in the form of a rod had good efficiency. The best results were obtained using a RVC electrode as the anode at a constant current at 50 °C in methanol for 6 h. This method also

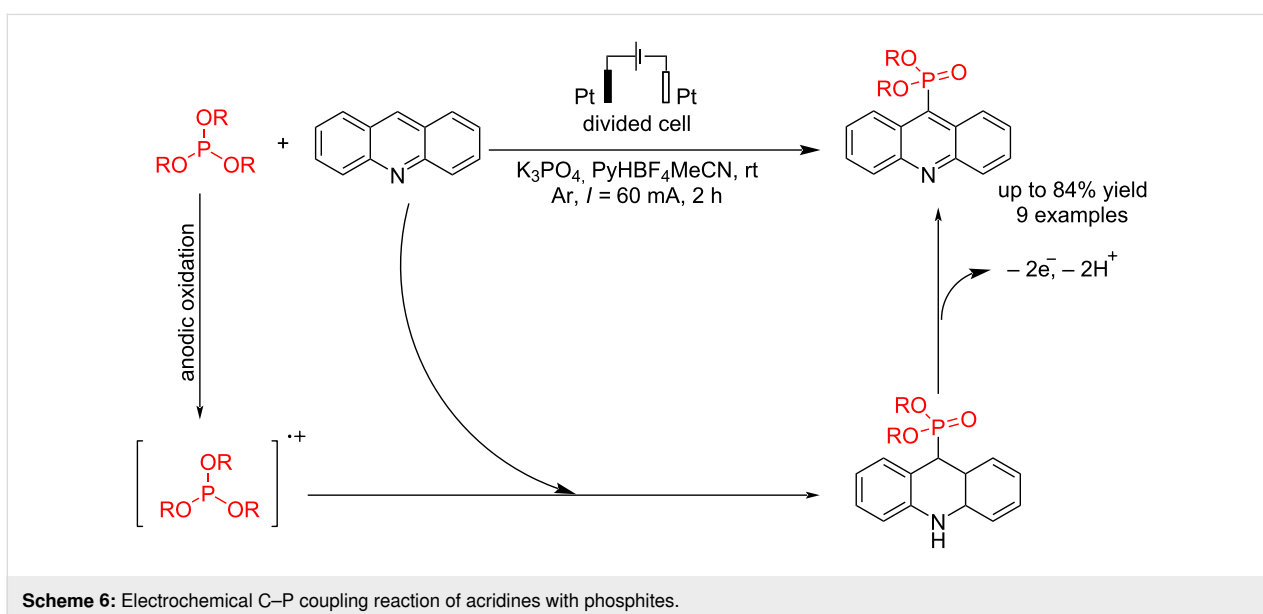
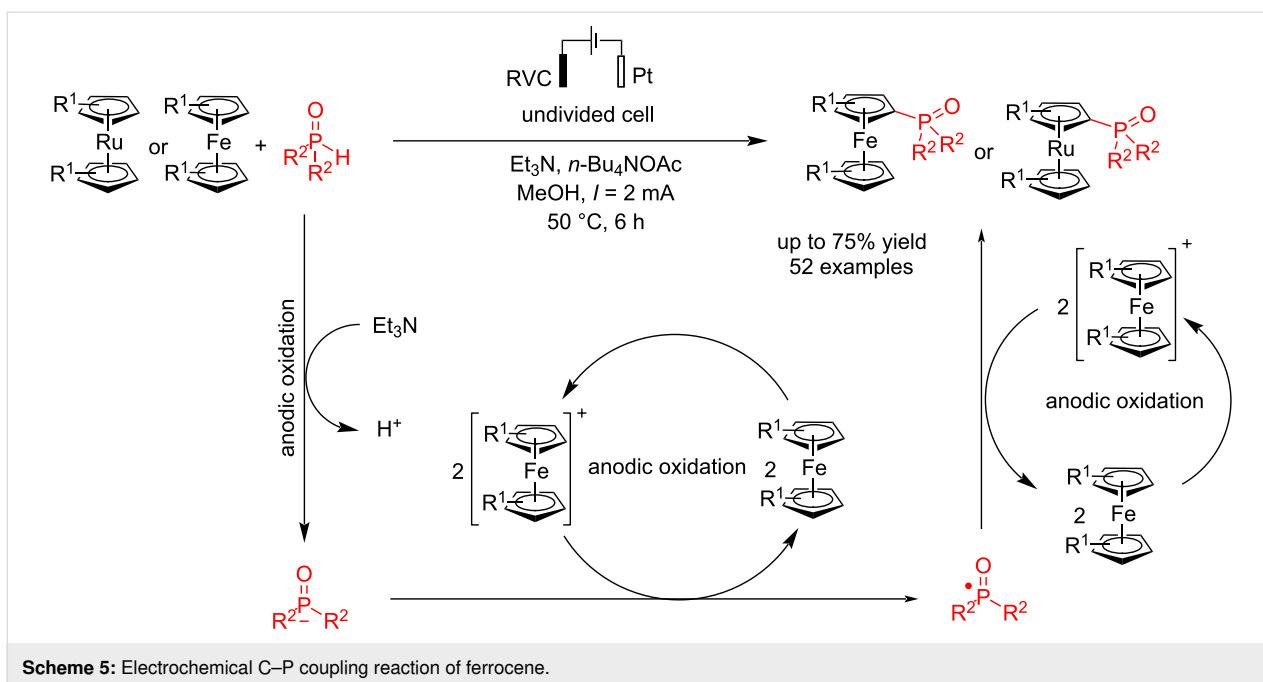


obtained a small amount of over-phosphorylated products. Phosphorylation occurred even when no functional group was present at the α -position of the aryl ring. Products with good yields were synthesized despite methyl, bromine, or phenyl groups at the α -position. The researchers noted that the lower yields were due to substrate decomposition or poor conversion rather than regioselectivity issues. Additionally, this method was also suitable for the phosphorylation of ferrocenes. For mechanistic studies, the reaction was examined in the presence of TEMPO as a radical scavenger, and the results revealed that the reaction proceeded through a radical pathway. The results of control experiments suggested that the phosphorylation might proceed through ferrocenium. It should also be noted that ferrocene and ruthenocene compounds have a low oxidation potential and can be oxidized quickly to act as a catalyst (Scheme 5).

Acridines are important nitrogen-containing heterocyclic compounds used as the building block for preparing medicinally active compounds. The conjunction of phosphorus with acridine increases its biological activities. Budnikova et al. [50] reported a C–P bond formation via the reaction of acridine compounds with trialkyl phosphites in electrochemical conditions without metal catalysts and strong oxidizing reagents, conducting selective C9 phosphorylation with high yield. The reaction was carried out in an undivided cell at room temperature, and

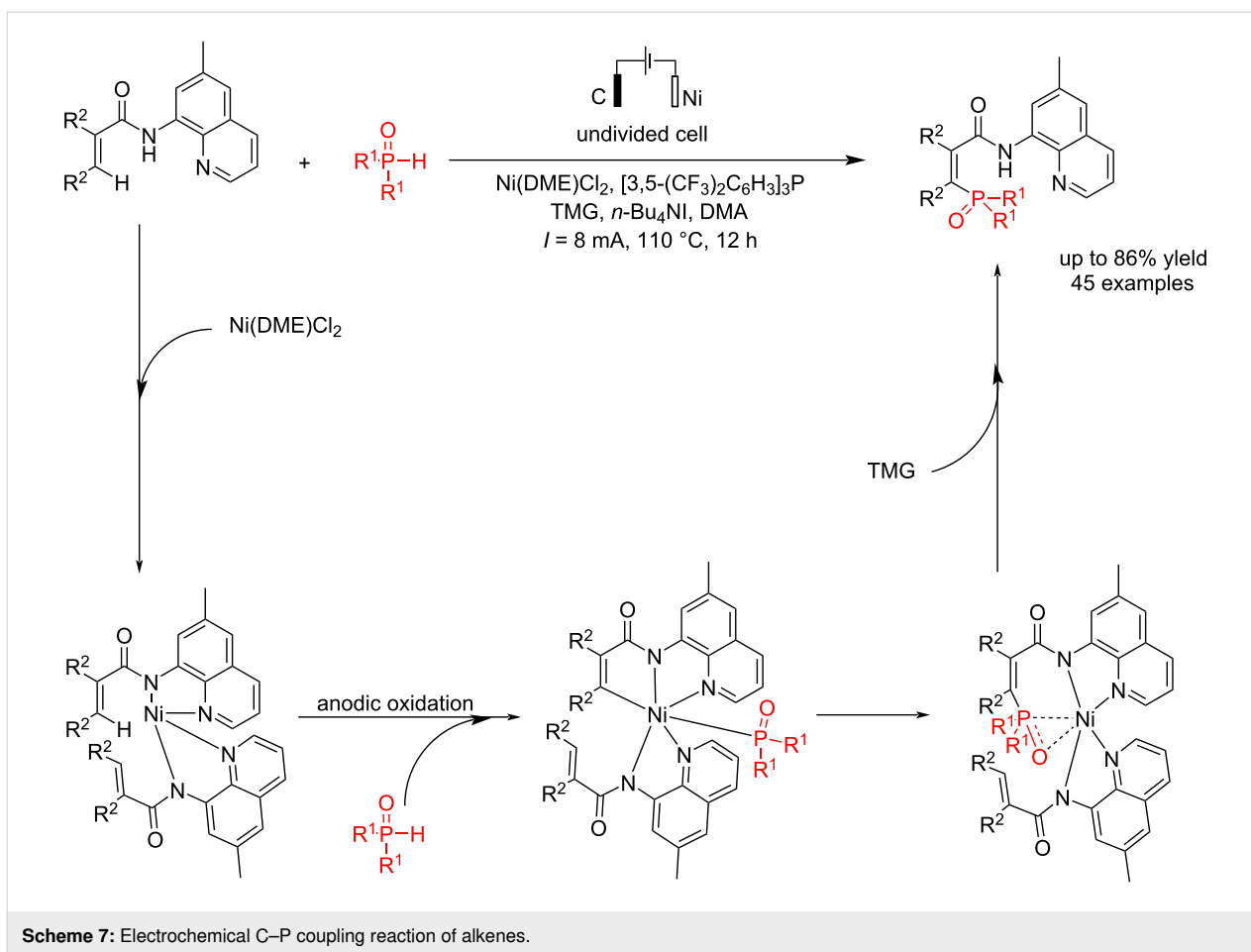
three different electrodes, graphite, platinum, and glassy carbon (GC), were examined during the reaction. The best result was obtained when platinum electrodes were used as the anode and cathode. Although the reaction was less efficient in undivided cells, increasing the electricity passed improved the reaction yield. The use of commercial acetonitrile without additional drying reduced the yield of the target product due to the formation of byproducts $(RO)_3PO$ and $(RO)_2P(O)H$. It suggested that the reaction proceeded via anodic oxidation of trialkyl phosphite followed by treatment with acridine to give the corresponding coupling product (Scheme 6).

Vinylphosphonates have many applications in pharmaceutical, agricultural, and industrial processes. Zhang et al. [51] reported a novel electrochemical C–P coupling of specific alkenes with different types of phosphonates and phosphine oxides using a nickel catalyst. The use of nickel complex is an important and primary factor in the C–P coupling process. Notably, the inexpensive and environmentally readily available nickel catalysis was more effective for phosphorylation than other 3D metals. Moreover, it exhibited higher stability compared to 4d and 5d transition metals. Through the study of a series of previous experiments, it was shown that the electron density of the nitrogen atom in the quinoline structure significantly affects the efficiency of nickel-electrocatalysis; however, other *N,N*- or *N,O*-



bidentate groups were unable to accelerate this reaction. To perform the reaction in an electrochemical environment, they used graphite (felt form) and nickel (nickel foam) electrodes as the anode and cathode, respectively, under a constant current of 8 mA at 110 °C. A non-radical reaction mechanism process was proposed by conducting the reaction in the presence of TEMPO (Scheme 7). The electron-deficient and sterically encumbered diaminophosphine oxide could also produce the corresponding products in this method. Gas chromatography analysis confirmed that molecular hydrogen was the only byproduct of this process.

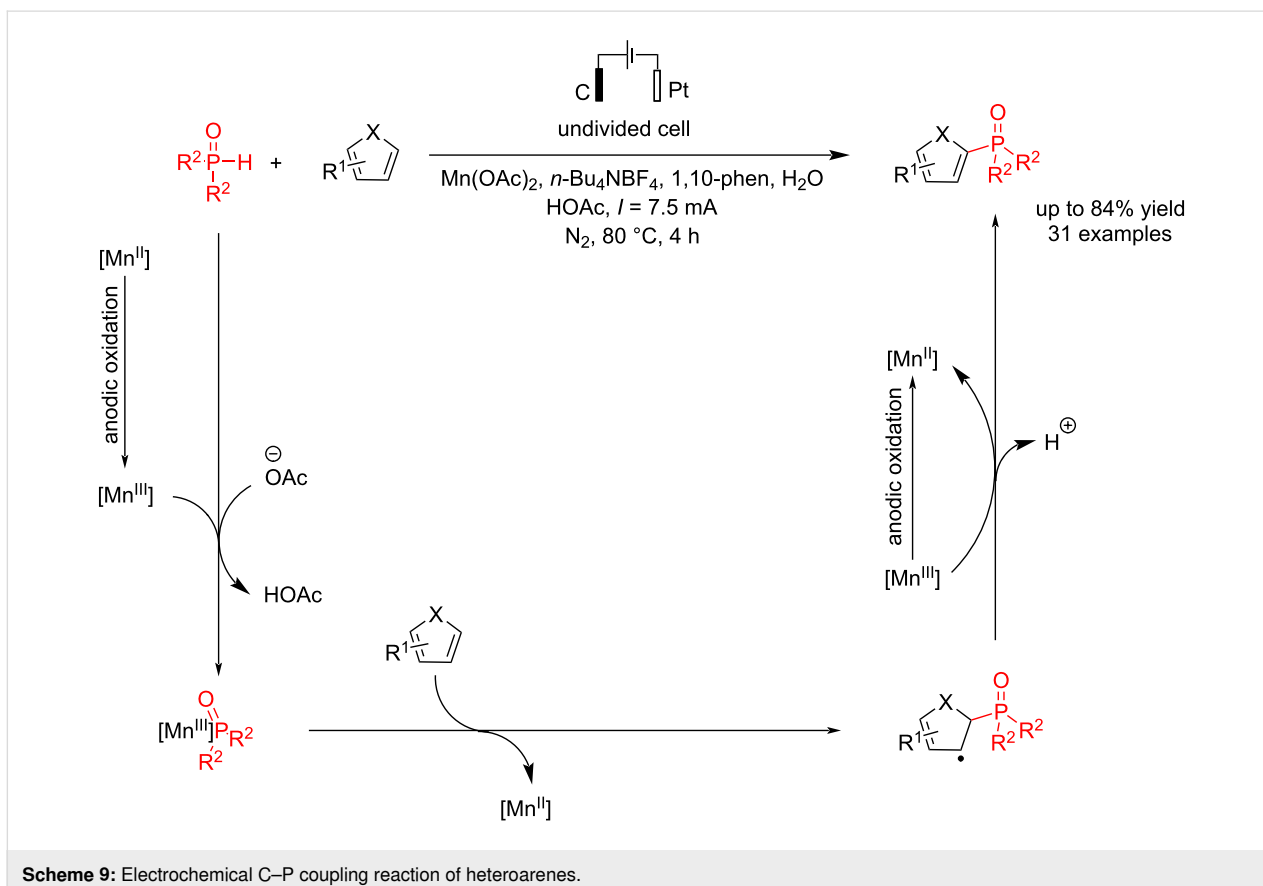
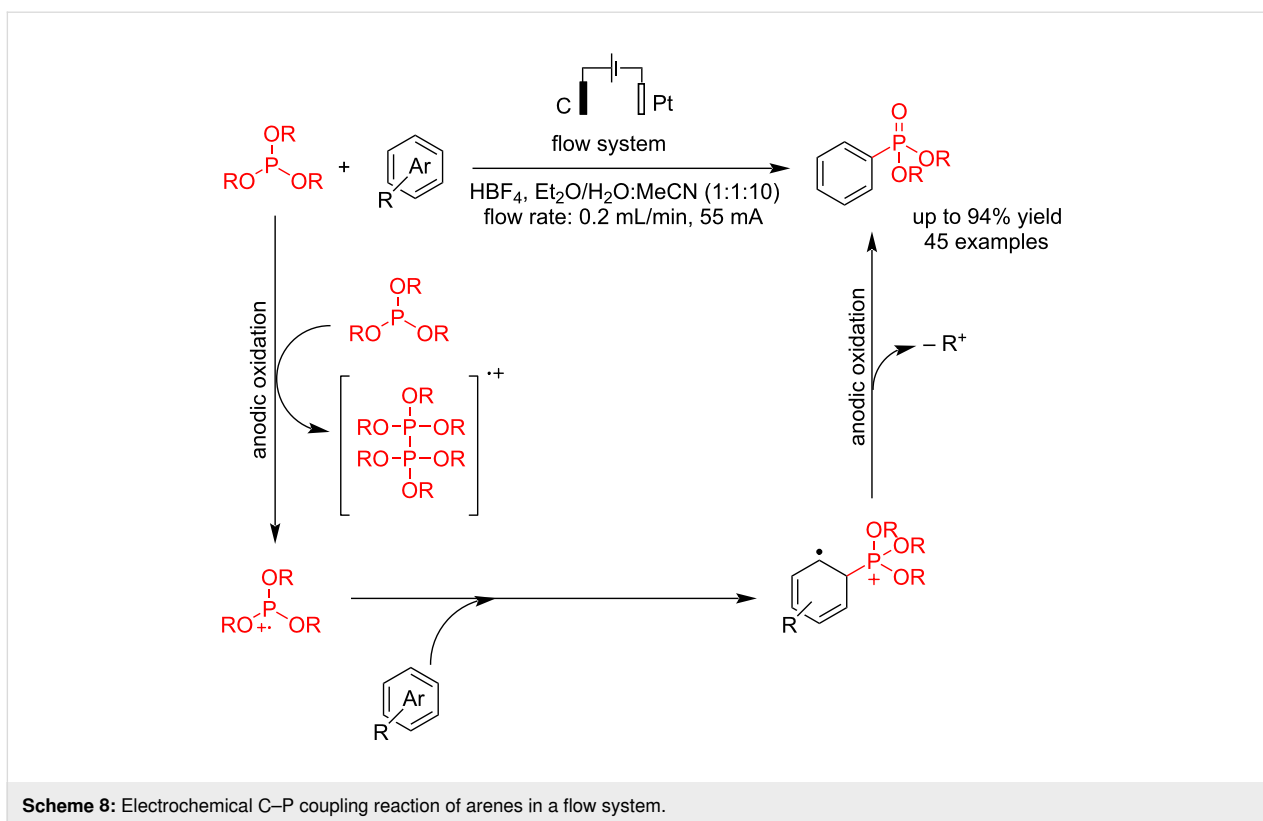
Arylphosphonates are essential compounds with a wide range of applications in pharmaceutical, biological, and agricultural materials. Therefore, finding new methods for preparing arylphosphonates is a significant challenge for scientists. Usually, metal catalysts are used to synthesize arylphosphonates via carbon–phosphorus bond formation. In 2021, Xu et al. [52] reported an electrochemical process for synthesizing arylphosphonates through the hetero-coupling reaction of CH of arenes with a trialkyl phosphite. They have prepared 45 arene phosphonates with good to excellent yields and reported the gram-scale preparation of some samples. An electrochemical flow system was



used in this method, in which carbon and platinum electrodes were used as the anode and cathode, respectively, at a constant current of 55 mA (Scheme 8). Due to the steric hindrance caused by the *tert*-butyl group, the reaction occurred at the *ortho* position relative to the ester group. Also, after a few hours, the reaction yield decreased when the reactants were pre-mixed with HBF_4 . A series of analyses revealed that $\text{P}(\text{OEt})_3$ decomposes into various phosphorus species without H_2O . Additionally, the studies showed that $\text{P}(\text{O})(\text{OR})_2$ is derived from the compound $\text{P}(\text{OR})_3$, not from $\text{HP}(\text{O})(\text{OR})_2$. Although the exact role of $\text{HP}(\text{O})(\text{OR})_2$ remains unclear, it has been established that its presence is essential for the C–H phosphorylation. In this case, a radical cation intermediate was suggested for this conversion.

Heteroaromatic compounds such as furan and thiophene can be critical materials if attached to the phosphorus group. Wang et al. [53] reported an electrochemical process for the coupling of five-membered heteroaromatic rings with the P–H bond of diarylphosphine oxide in the presence of $\text{Mn}(\text{OAc})_2$. This report found that using manganese acetate as a catalyst is essential, and the reaction failed to produce any product without the cata-

lyst. Other catalysts besides Mn were tested, but they showed poor reactivity. Other strong polar solvents were also used in this method, but they resulted in lower yields of the products. The reaction was carried out in an undivided cell with a graphite rod electrode as the anode and platinum as the cathode at a constant current of 7.5 mA under N_2 for 4 h. Thiophenes with strong electron-withdrawing groups, and halogens produced moderate yields. However, a good yield was achieved when more thiophene and catalyst were added. On the other hand, heteroaromatics could not generate the corresponding products, likely due to their electron-rich nature and the presence of the active N–H group. The researchers noted that just one methyl group did not affect the reactivity. However, good-yield products were obtained when two methyl groups were positioned at the *para* or *meta* positions. The evaluation of the synthetic potential of the C–P bond formation revealed that the cleavage of the C–H bond in thiophene likely does not participate in the rate-determining step. Based on the experiments, a radical process was proposed for this coupling reaction via an $\text{Mn}(\text{III})\text{–P}$ intermediate (Scheme 9). The method was also applied to scale up to gram-scale synthesis.



In 2023, Wu et al. [54] also reported another heteroaromatic C–P coupling of benzothiazole with diarylphosphine oxides by an electrochemical method. They have synthesized 30 different thiazole phosphine oxides with up to 91% yield at room temperature without using an external metal or oxidant. The reaction was carried out in an undivided cell using glassy carbon as the anode and foamed copper as the cathode electrodes at a constant current of 14 mA for 10 h (Scheme 10). Other electrodes, including platinum, nickel foam, and graphite, were also examined for this reaction. The reaction failed to give the corresponding product using graphite as the anode and platinum as the cathode. The reaction showed lower efficiency under a nitrogen atmosphere, indicating that anodic oxidation is the main pathway of the reaction, and oxygen may have a positive effect. (Table 3). Functional groups at the 4-position moderately reduced the reaction yield. The nitro group was incompatible in this system, likely due to its preferential reduction ability. Some other heteroarenes were also tested, but only quinoxaline was compatible with this system under the standard conditions. A radical pathway was proposed in this reaction. At first, a thiazole radical cation was formed via anodic oxidation, followed by a reaction with phosphine oxides to give a phosphine oxide radical. The coupling product was obtained via the reaction of a phosphine oxide radical with thiazole compound.

In another study on heteroaromatic compounds' electrochemical C–P coupling reactions, Gao et al. [55] reported an electrochemical reaction of indole derivatives with trialkyl phosphite

Table 3: Optimization studies.

Variation from the standard conditions	Yield (%)
none	91
C (+), Pt (-)	16
Pt (+), Pt (-)	22
CH ₃ OH instead of DMF	n.r.
CH ₃ CN instead of DMF	10
under N ₂	84
without electricity	n.r.

in an undivided cell. The C–P product was selectively produced using *n*-Bu₄NClO₄ as electrolyte and carbon and platinum electrodes as the anode and cathode at a constant current for 4 h. Using *n*-Bu₄NI instead of KI resulted in a similar outcome, but KBr was less effective (Table 4). The desired C2-phosphorylated indole was obtained with high selectivity when *n*-Bu₄NClO₄ was used as the electrolyte. Additionally, under certain conditions that reduced the reaction yield, the C3-phosphorylated product was also observed. Similar to previous heteroaromatic coupling reactions with phosphine oxides [53,54], this reaction proceeded via anodic indole oxidation, followed by a reaction with trialkyl phosphite to give the corresponding indole phosphonate (Scheme 11). Cyclic voltammetry experiments confirmed that free indole can oxidize at the anode and generate a radical-cation intermediate. Also, no product was detected when HP(O)(OEt)₂ was used as the starting material.

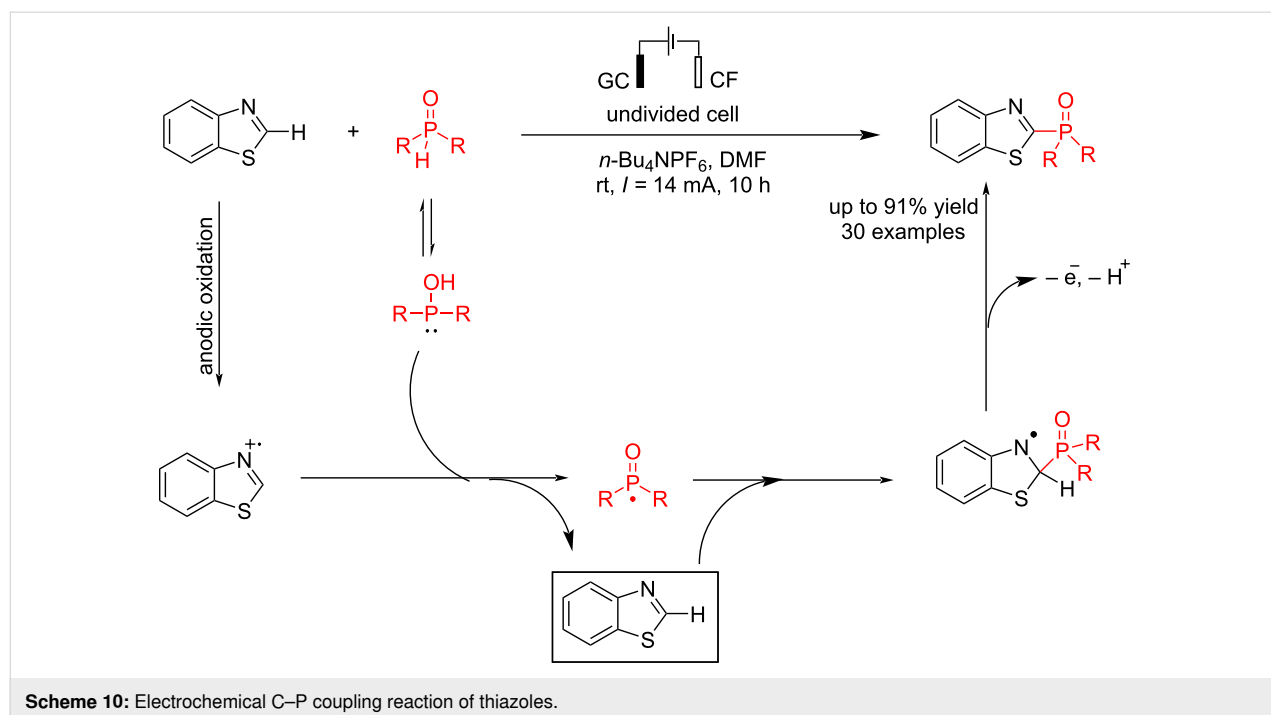


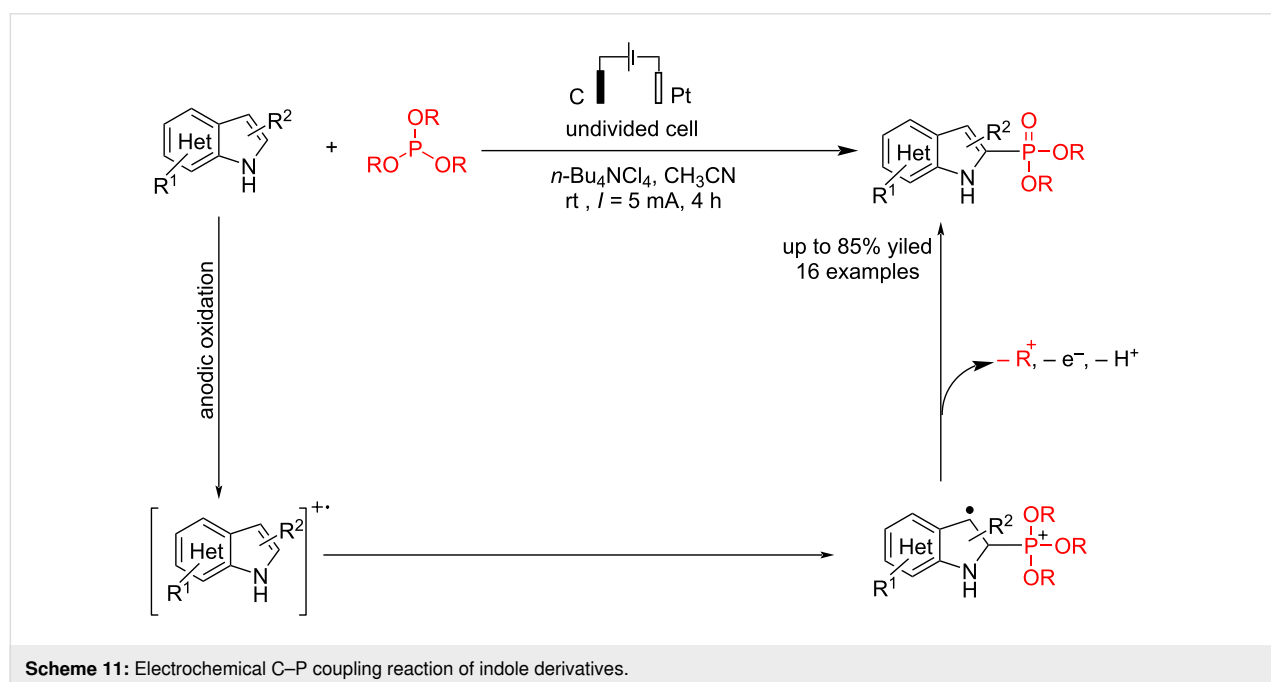
Table 4: Reaction parameters.

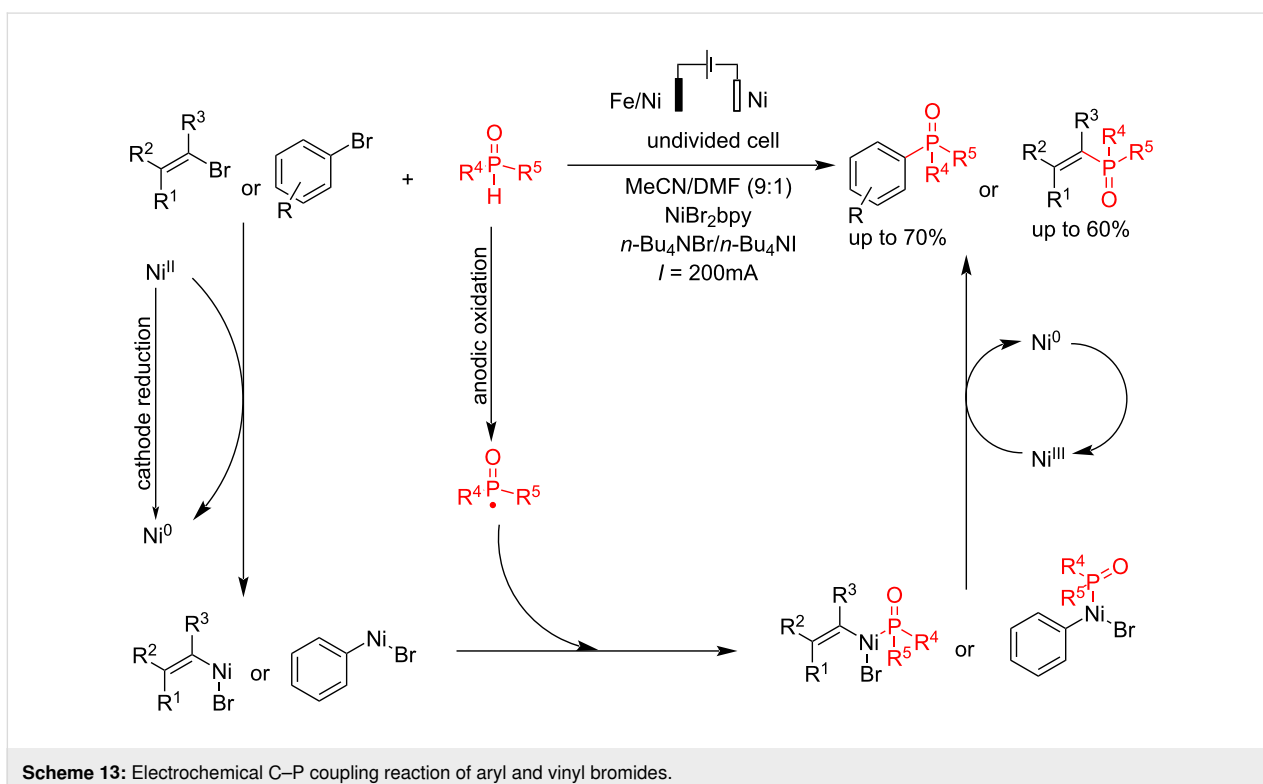
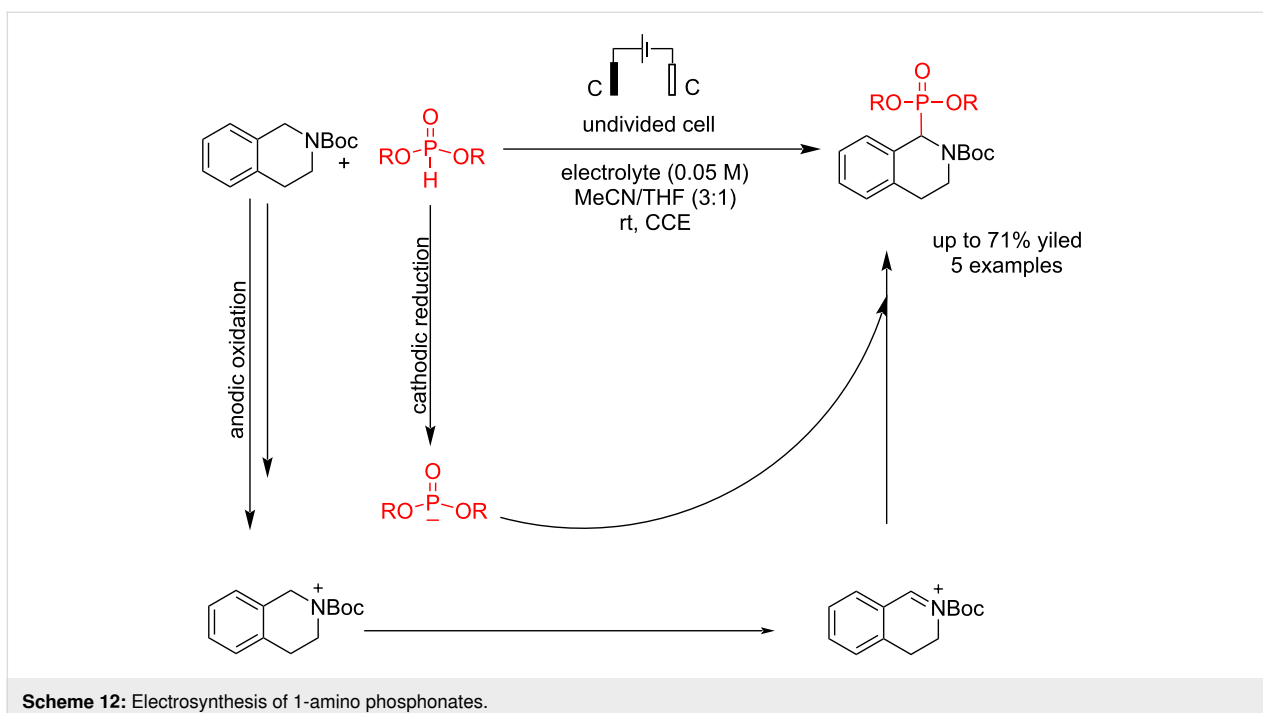
Electrolyte	P(OE) ₃	Yield (%)
KI	3 equiv	n.d.
<i>n</i> -Bu ₄ NI	3 equiv	n.d.
KBr	3 equiv	n.d.
<i>n</i> -Bu ₄ NClO ₄	4 equiv	75
<i>n</i> -Bu ₄ NBF ₄	4 equiv	30
NaBF ₄	4 equiv	58
KI	3 equiv	n.d.

Electrosynthesis processes of tetrahydroisoquinoline usually have a lower yield in the final product due to two electroactive positions in the molecule. Sengmany et al. [56] reported an electrochemical C–P bond formation of *N*-Boc-tetrahydroisoquinoline with dialkyl phosphites for synthesizing 1-amino phosphonates. The reaction was carried out at a constant current using graphite electrodes in both the anode and cathode. The optimal current intensity was observed when a 10 mA current was applied to the system. At higher current intensities, the reaction yield slightly decreased, and the formation of the Boc-deprotected product increased. When the reaction was performed in acetonitrile without THF, a greater quantity of the Boc-deprotected product was produced, which led to its degradation. This can be attributed to the Boc-deprotected compound being more easily oxidizable than the initial THIQ-N-Boc. Conversely, increasing the amount of THF relative to acetonitrile had the opposite effect on the yield. The use of diisopropyl phosphite decreased the reaction yield, which is presumed to be

due to its steric effects. Moreover, phosphorylation did not occur with diphenyl phosphite, which can be attributed to its oxidizability. Unlike other phosphites, diphenyl phosphite is more easily oxidized than THIQ-*N*-Boc, preventing the coupling reaction. The reaction proceeded by coupling a cation intermediate of *N*-Boc-tetrahydroisoquinoline at the anode and phosphonate anion at the cathode (Scheme 12).

The C(sp²)-X in aryl and vinyl halides is suitable in organic coupling reactions that are usually active in electrochemical environments. The use of combined electrodes is one of the creative methods in electrosynthesis processes. Léonel et al. [57] reported an electrochemical coupling reaction of aryl and vinyl bromides with different types of alkyl H-phenylphosphinates in the presence of NiBr₂ as a catalyst. The reaction was carried out with an alloy of Ni-Fe as the anode and nickel as the cathode in an undivided cell at a constant current for 0.5–2 h (Scheme 13). It should be noted that the reaction failed to give good results using Ni or Fe as the anode (<10%). However, using an iron/nickel alloy electrode with 64% iron and 36% nickel gave good results. This method can also be applied to heteroaromatic bromides, although it shows an increased tendency for hydro-dehalogenation. Tetrabutylammonium halide was chosen as the electrolyte due to its lower hygroscopicity and reduced tendency for reductive homocoupling of 4-bromobenzotrifluoride. In the presence of chlorinated substituents, neither the double coupling product nor the hydro-dechlorination product was observed. This notable result suggests performing a second coupling using conventional chemical methods, such as the Suzuki–Miyaura reaction. Further-





more, the coupling yield decreased for phenyl bromides bearing bulky *ortho*-substituents while hydrodehalogenation byproducts formed. The reaction proceeded via an oxidative addition and reductive elimination processed in the presence of Ni(0), which was produced in situ from NiBr₂ in the cathode.

Palladium is one of the most important metals used as a catalyst in non-electrochemical reactions. In 2020, Budnikova et al. [58] reported a coupling reaction of phenylpyridine with dialkylphosphonate in the presence of palladium. It should be noted that the presence of palladium is an essential factor, and

the results showed that the coupling reaction failed in the absence of palladium. Under pyridine-mediated conditions, the reaction follows a mononuclear palladacycle pathway, where a high electrolysis potential facilitates the formation of the *ortho*-phosphonate product with a favorable yield. On the other hand, when acid was used, forming a tetranuclear palladium intermediate led to the creation of a C–O–P bond. This reaction was carried out in a divided cell using platinum electrodes as the anode and cathode in the presence of pyridine as a base and ligand (Scheme 14). The catalyst behavior of palladium is attributed to its ability to form palladium clusters of specific sizes that exhibit high catalytic activity. However, this can lead to lower reaction yields because various reaction pathways, including those involving unstable metal-organic intermediates, may become involved. Cyclic voltammetry analysis in both solution and solid phases, using a carbon paste electrode (CPE), revealed that the nature of the bridging ligand and the overall structure of the complex highly influence the oxidation potential of Pd(II). At first, a complex of phenylpyridine with palladium (including insertion of Pd to C–H bond) and dialkyl phosphonate was formed, followed by anodic oxidation to give the final coupling product.

In 2023, Zhou et al. [59] reported an electrochemical method for the synthesis of phosphorylation of oxindoles and indolo[2,1-*a*]isoquinoline-6(5*H*)-ones using Cp_2Fe through a radical addition/cyclization reaction at room temperature under argon gas. This research shows that this method is effective with various functional groups and can help to find new drug candidates. The reaction was carried out in an undivided cell where the anode was platinum, and the cathode was graphite at a constant current of 5 mA. The mechanistic study showed that a radical process might be involved in the reaction, and the role of phosphorus-centered radical intermediates was confirmed. The importance of Cp_2Fe and the amount of diphenylphosphine oxide became evident when a significant decrease in reaction yield was observed both in the absence of Cp_2Fe (Table 5) and when a lower amount of diphenylphosphine oxide was used. Both electron-donating and electron-withdrawing groups produced products with yields ranging from 71% to 91%, and they were found to be effective in forming the corresponding polycyclic products (Scheme 15). Through cyclic voltammetry experiments, the researchers confirmed that since the oxidation potential of Cp_2Fe is lower than that of other substances, it is most likely oxidized first.

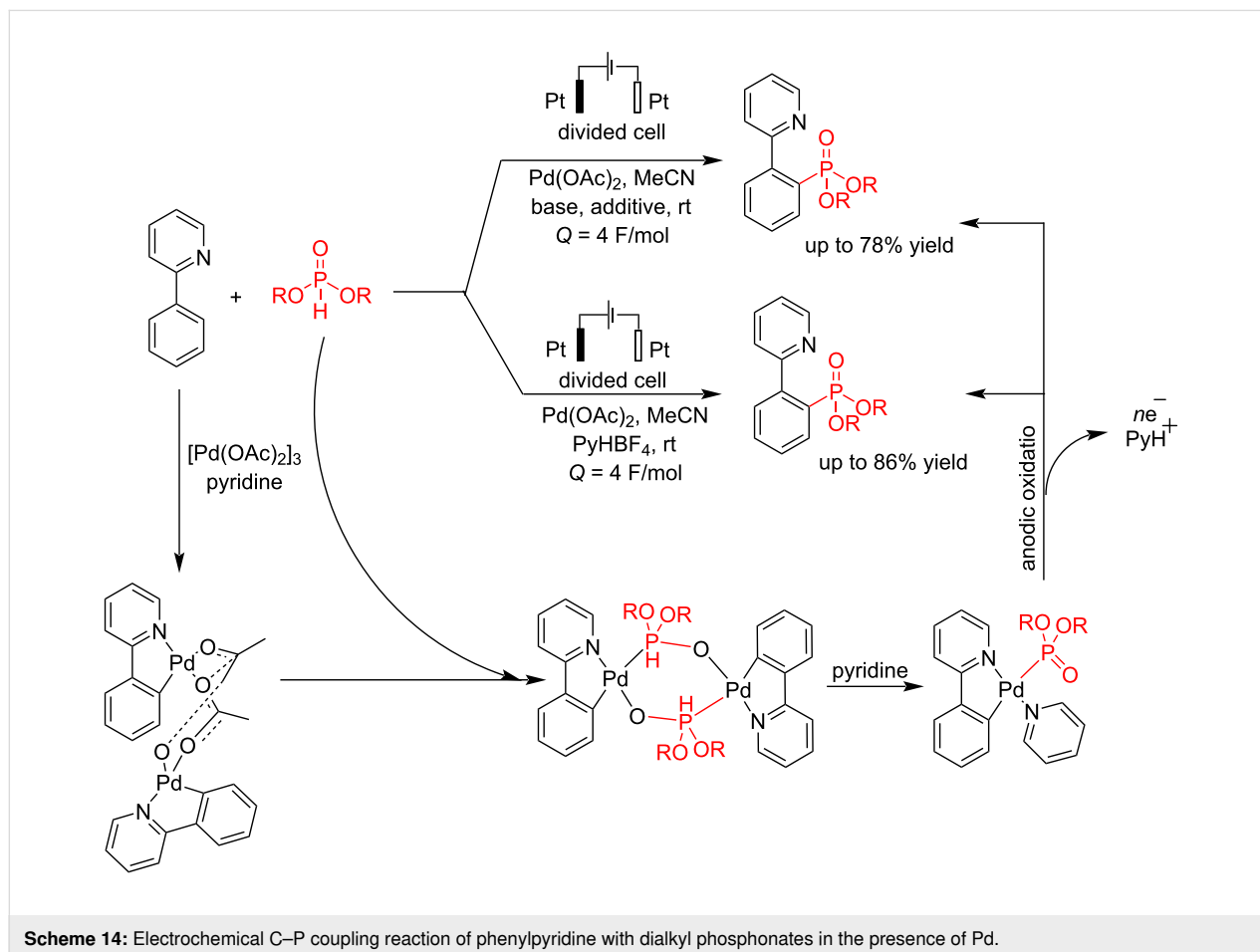
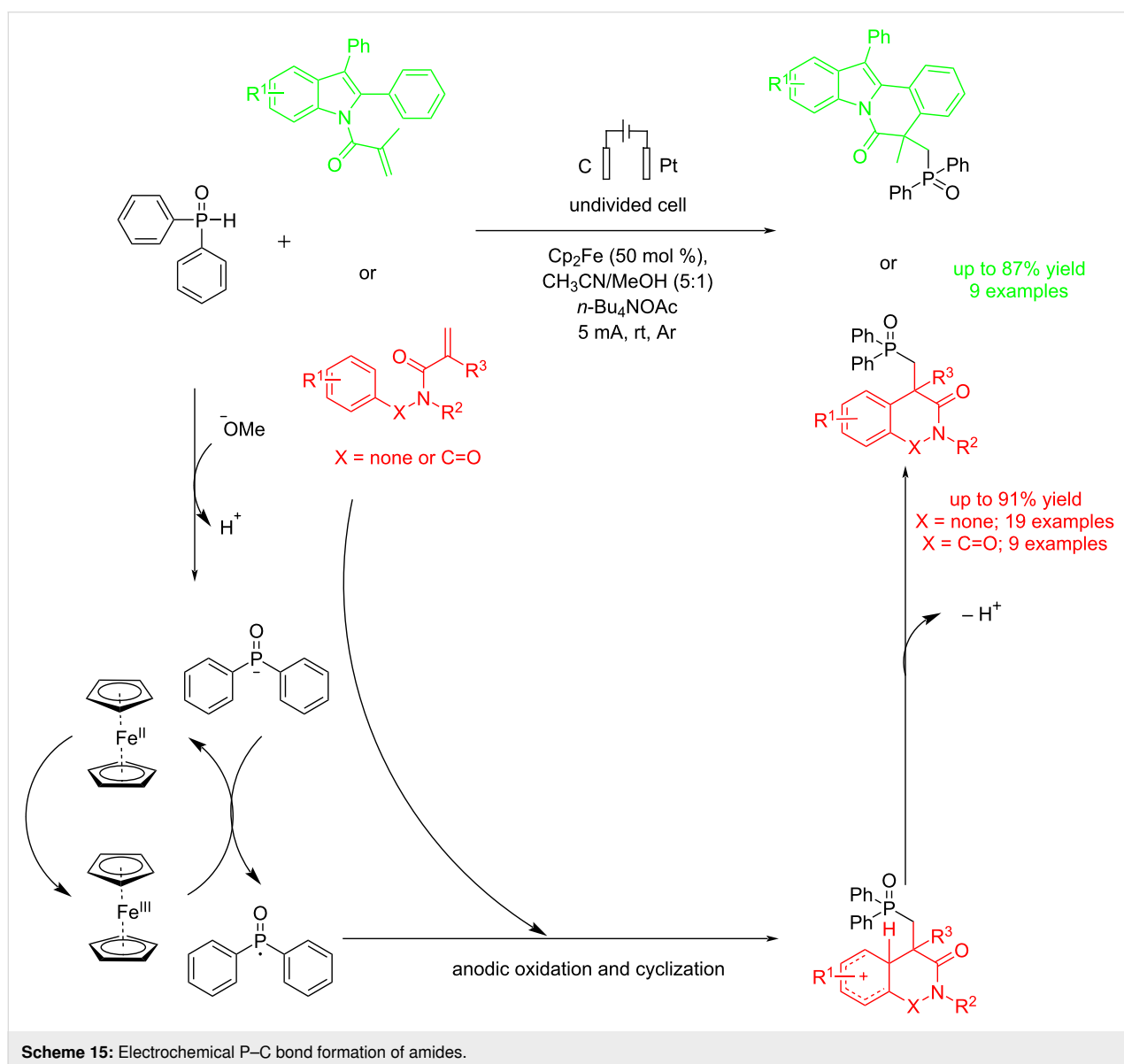


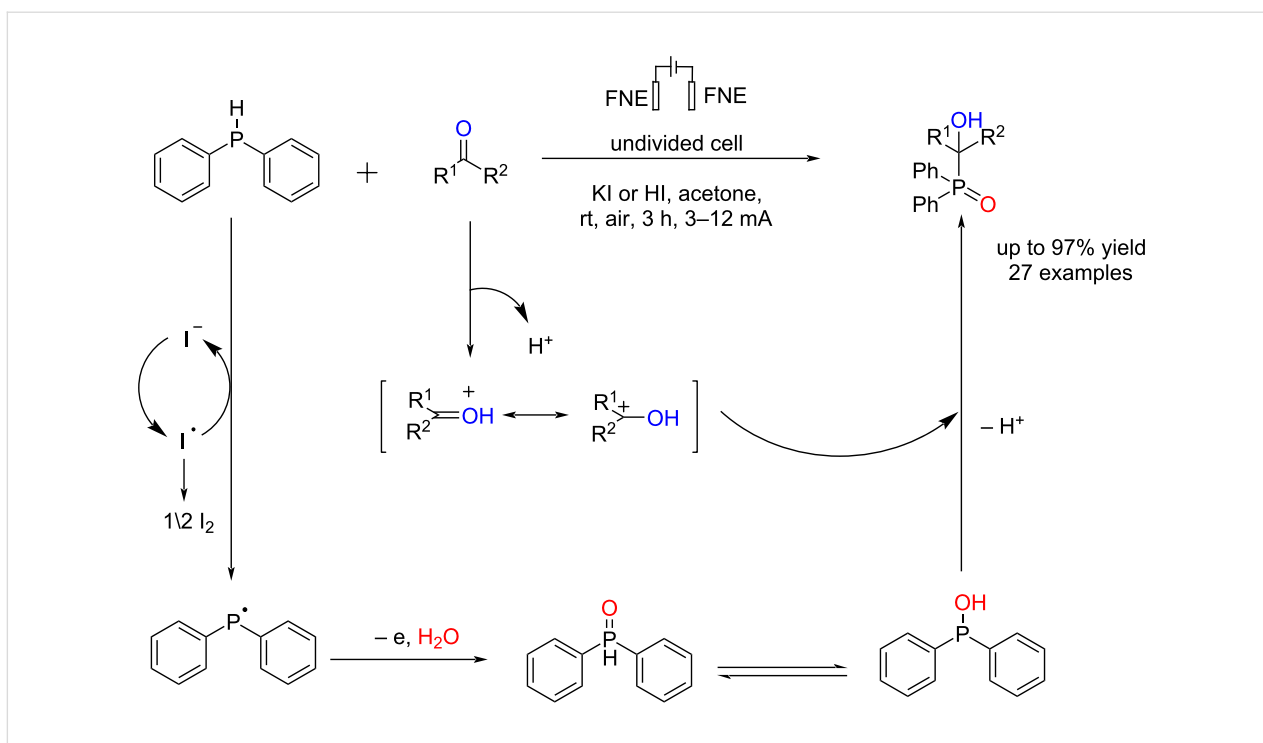
Table 5: Optimization studies.

Variation from the standard conditions	Yield (%)
without Cp ₂ Fe	<10
MnCl ₂ instead of Cp ₂ Fe	23
CH ₃ CN/HOAc instead of CH ₃ CN/MeOH	11
without electricity	n.r.

In 2023, Ma et al. [60] reported an electrochemically oxidative/metal catalyst-free method for the synthesis of the α -hydroxyphosphine oxides through the reaction of diphenylphosphine as a phosphine source with aldehydes or ketones. They used nickel foam as both anode and cathode electrodes in an undivided cell under air at room temperature. The reaction was carried out in

the presence of KI as an electrolyte, a key additive, and acetone as a solvent. HI increases the reaction yield due to the activation of the carbonyl group. The halide salts did not lead to product formation, indicating that chloride and bromide anions cannot generate the corresponding radicals to accelerate the conversion of diphenylphosphine. The reaction yield decreased when the methyl group was placed in *ortho*-position. Moreover, the desired products were obtained with moderate yields for aldehydes containing strong electron-withdrawing groups, indicating that this method is suitable for forming P–C bonds. Products with nitro, chlorine, or bromine groups at the *para* position had higher yields compared to those with the groups at the *meta* or *ortho* positions, which may be due to steric and electronic effects. The reaction proceeded via a radical process by forming Ph₂P(O)H (Scheme 16). The reaction failed to give





Scheme 16: Electrochemical synthesis of α -hydroxy phosphine oxides.

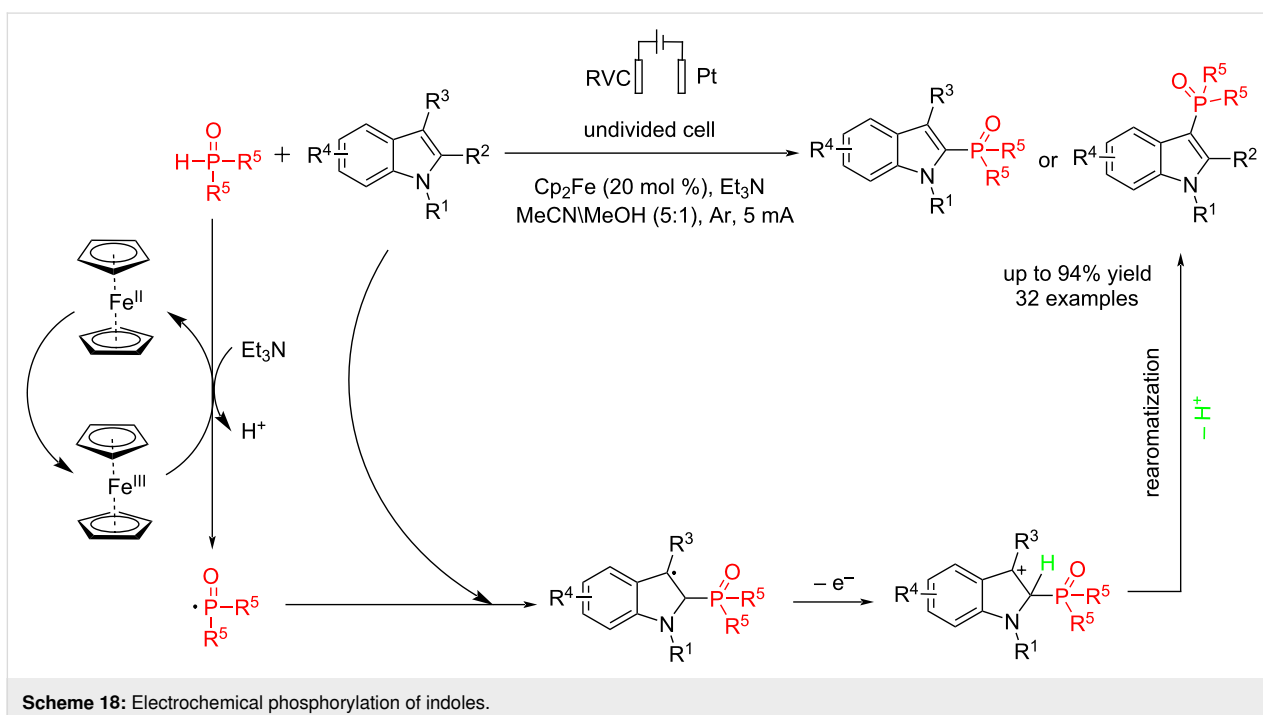
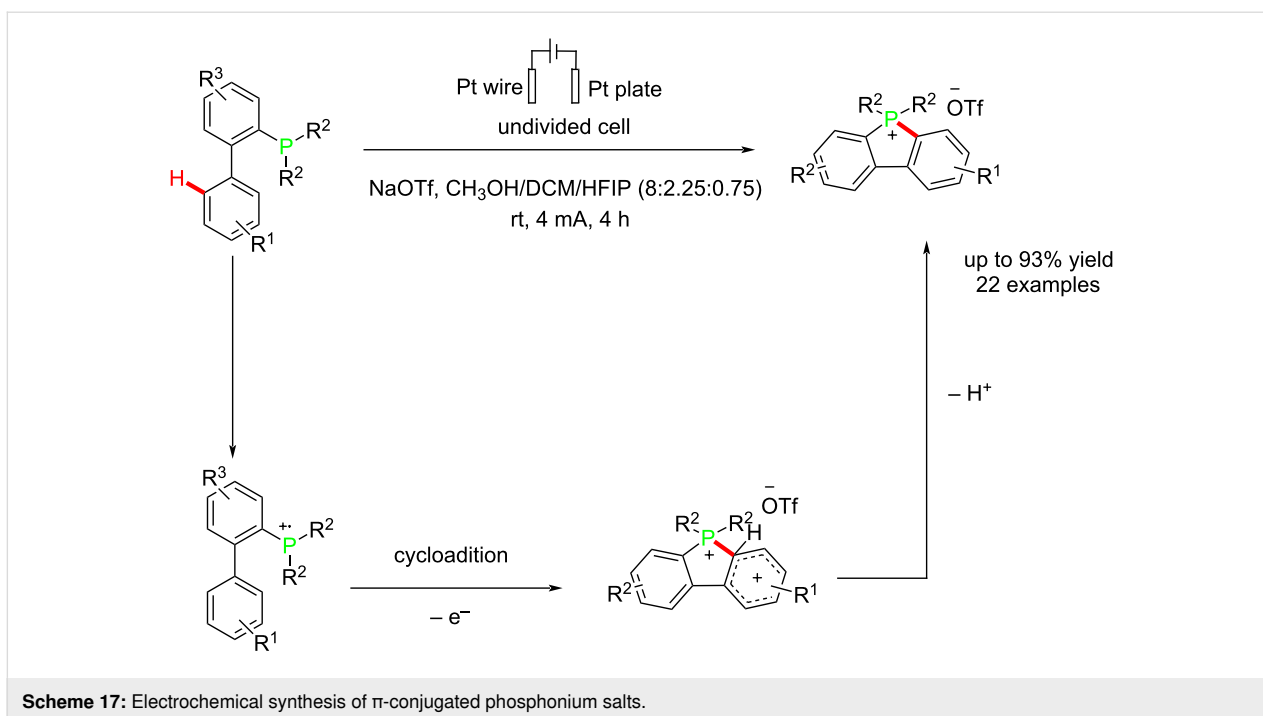
the corresponding product when using TEMPO in the reaction mixture.

Zhang and co-workers [61] reported an electrochemical process for synthesizing π -conjugated phosphonium salts at room temperature in catalyst/oxidant-free conditions. The reaction was carried out in an undivided cell using a platinum plate as the anode and platinum wire as the cathode at a constant current of 4 mA. The reaction proceeded via an anodic oxidation followed by an internal combination of the formed radical to give the corresponding product (Scheme 17). The oxidation reaction was probably ineffective in the absence of HFIP due to the stability of HFIP's radical cation ions. The efficiency of the reaction was dependent on the electrolyte concentration, with a decrease in efficiency observed at lower concentrations. The reaction proceeded well under nitrogen, indicating that the oxidation process is unrelated to the presence or absence of oxygen. The yields of some products are likely due to the strong electron-withdrawing effects of the electron-withdrawing groups.

In 2024, Wang et al. [62] reported an electrochemical method for the synthesis of phosphorylated indoles in the presence of Cp_2Fe as the mediator under mild reaction conditions without the need for external oxidants. This method improves the scalability of the resulting products, which also exhibit enhanced anticancer activity. The reaction was carried out in an undivided

cell where the anode was reticulated vitreous carbon (RVC), and the cathode was platinum. Mechanistic studies revealed that Cp_2Fe plays the main role in the reaction, and the reaction did not proceed without using Cp_2Fe . Replacing the Boc group with an acetyl group significantly decreased the reaction yield. Furthermore, the results showed that the reaction proceeded via a radical phosphorylation process (Scheme 18). Cyclic voltammetry experiments demonstrated that Cp_2Fe is likely to undergo oxidation first due to its lowest oxidation potential among the species.

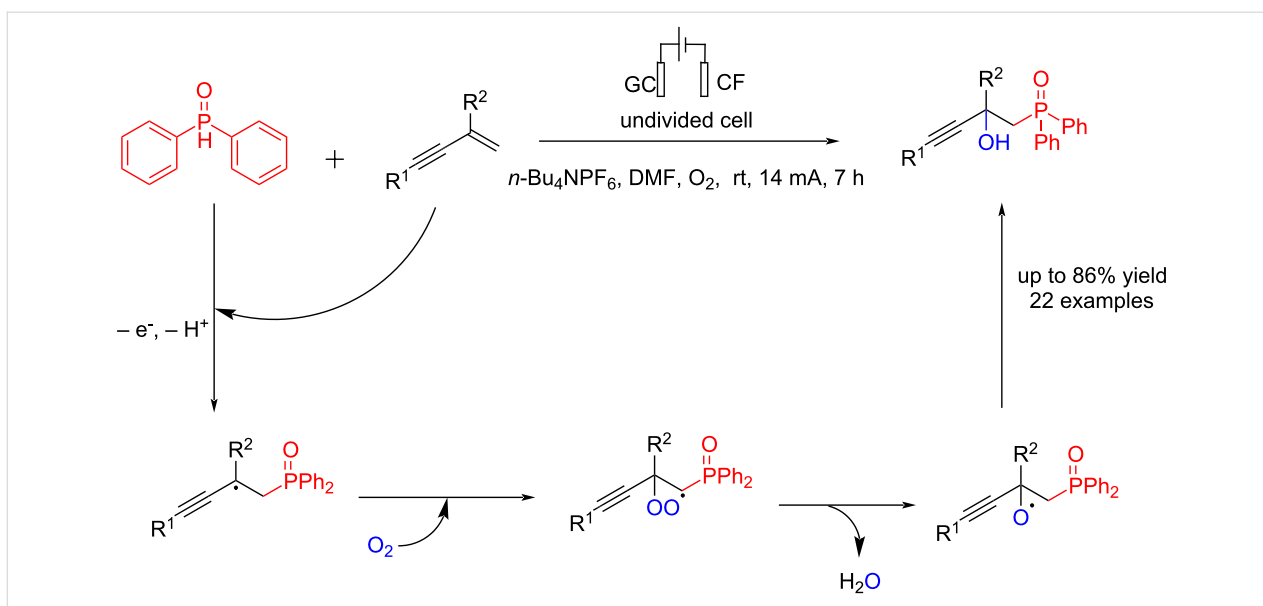
In 2024, Zhu et al. [63] reported an electrochemical transition-metal and additive-free synthesis of phosphorylated propargyl alcohols at room temperature. The reaction is carried out in an undivided cell using glassy carbon (GC) as an anode and copper foam (CF) as a cathode at a constant current of 14 mA. Experiments confirmed that the trace amount of copper dissolved from the cathode had no catalytic effect on the reaction. The reaction proceeded via anodic oxidation of diphenylphosphine followed by a reaction with alkynes to give corresponding phosphorylated propargyl alcohols (Scheme 19). The reaction yield showed that this method is not sensitive to electron-withdrawing or electron-donating groups at different positions on the aromatic ring. Most likely, the 3-substituted pyridine substrate and the enynes with nitro or carbonyl groups on the aromatic ring did not react in this system due to the incompatibility of the intermediate radicals.



Electrochemical N–P bond formation

Due to the importance of phosphoramidates in medicine and organic synthesis, Zhong et al. [64] reported an electrochemical P–N coupling of amines with dialkyl phosphonates for synthesizing phosphoramidates. The reaction was carried out in an undivided cell at a constant current of 10 mA using platinum electrodes as the anode and cathode and potassium iodide as a

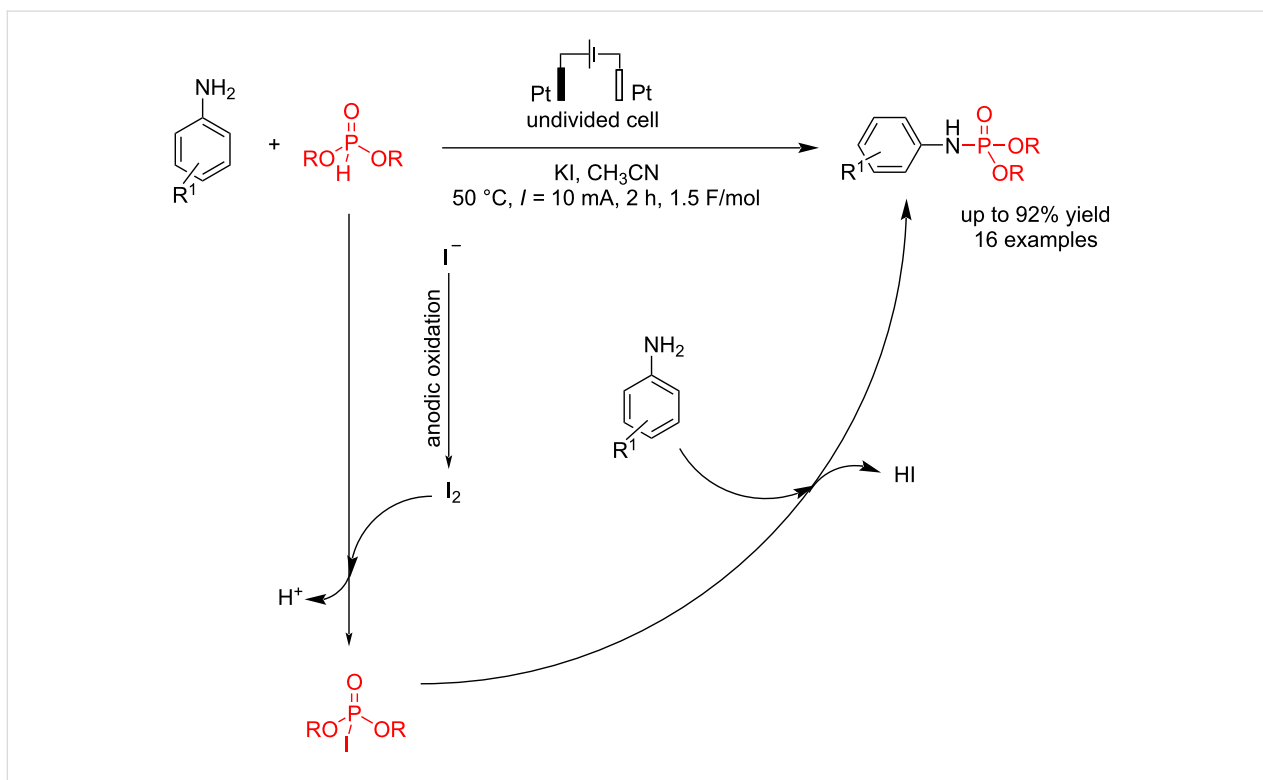
key additive. Studies have shown that the choice of solvent significantly impacts the reaction. Studies have shown that the choice of solvent significantly impacts the reaction. CH_3CN exhibits better than CH_3OH , with a wider electrochemical window and better reactant solubility. When the reaction was conducted in CH_3CN using $n\text{-Bu}_4\text{NPF}_6$ and $n\text{-Bu}_4\text{NBF}_4$ as electrolytes but without iodide salt and in the presence of air, no product was



Scheme 19: Electrochemical synthesis of phosphorylated propargyl alcohols.

formed. This finding indicates that iodide salt plays a crucial role in driving the reaction and acts as a catalyst in the reaction process. The electronic properties of the substituents on the compounds influenced the reaction yield. Phenol with the $-\text{OMe}$ group produced a lower yield than the $-\text{Me}$ group. This decrease in yield is likely due to the lower oxidation voltage of

the $-\text{OMe}$ group, which may lead to the formation of unwanted byproducts. The reaction began with an anodic oxidation of iodide to iodine, followed by a reaction with dialkyl phosphonate to give I-P(O)(OR)_2 . The final product was formed by a simple nucleophilic substitution of the phosphorus center (Scheme 20).

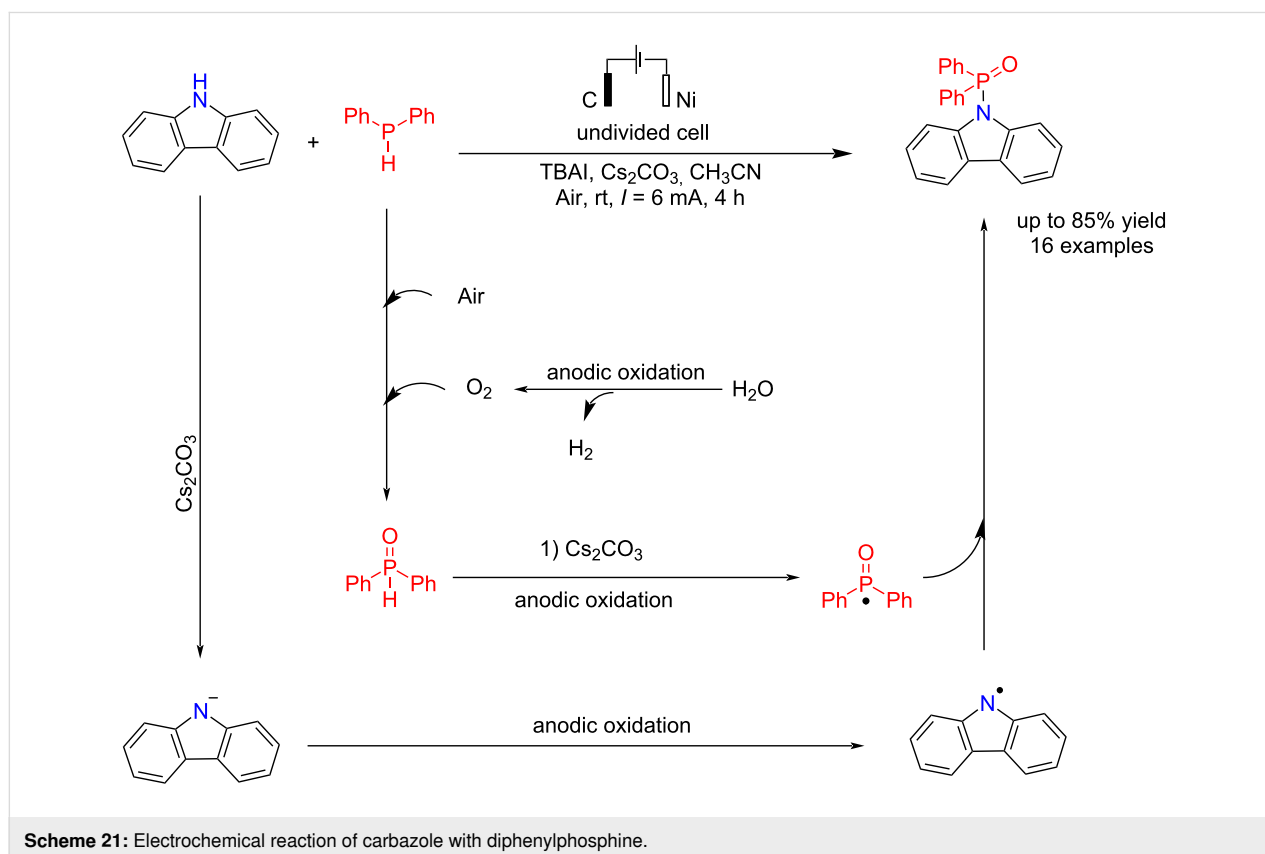


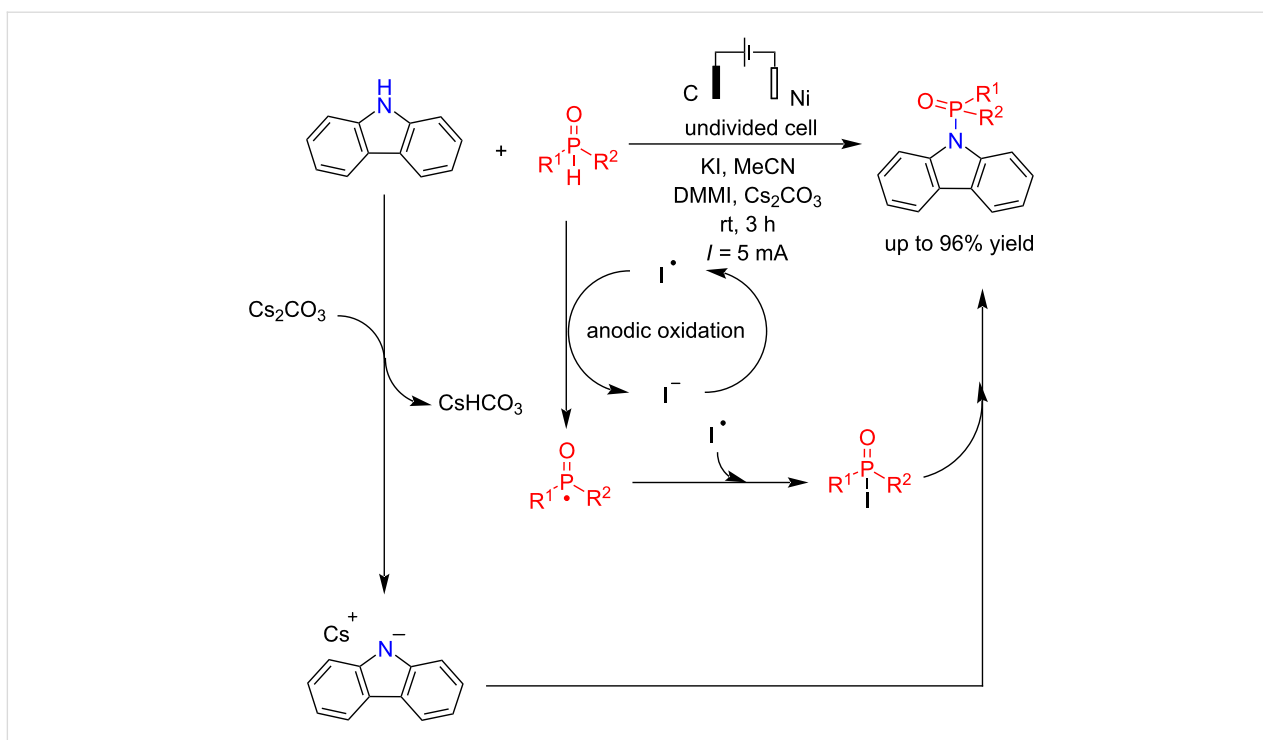
Scheme 20: Electrochemical synthesis of phosphoramidates.

The N–P bond formation is a critical process in organic synthesis due to the preparation of various materials with different biological and medicinal activities. In 2021 Wang et al. [65] reported a novel method for the N–P bond formation of carbazoles with diphenylphosphine using an electrochemical process. The main advantage of this method is its high selectivity, as only a 1:1 ratio of the starting materials was required for the reaction. The reaction was carried out in an undivided cell using TBAI as a key additive and carbon and nickel electrodes as the anode and cathode for 4 h at a constant current. Graphite, platinum, nickel, and reticulated vitreous carbon (RVC) electrodes were examined in this conversion. The platinum electrode did not perform well in the anode, and no reaction was performed. The use of graphite and RVC gave good results. Nickel, graphite, and platinum electrodes were examined as the cathodes. Results showed that platinum and nickel performed better than graphite in the cathode due to their higher conductivity and lower electrical resistance. When platinum and nickel were used as the cathode and graphite as the anode, the efficiencies of the processes were very close to each other. The use of dry acetonitrile as the reaction medium significantly reduced the yield. These results indicate that water plays a crucial role in this reaction, as its decomposition leads to the generation of O₂, the primary oxygen source in the reaction process. A radical process was proposed in the

reaction. Diphenylphosphine oxide and carbazole radicals were formed via anodic oxidation in the presence of a base, followed by a coupling reaction to give the final P–N product (Scheme 21).

In another attempt, Liu et al. [66] also reported the electrochemical phosphorylation of carbazoles and indoles in the presence of 1,3-dimethylimidazolium iodide (DMMI) as a mediator in the oxidation–reduction process. The reaction proceeded in an undivided cell using cesium carbonate as a base for 3 h with graphite and platinum electrodes as the anode and cathode, respectively (Scheme 22). In this reaction, a variety of substitutions were examined. The results showed that the reaction proceeded very well with electron-donating groups such as –OMe, –Me, and –CH₂CN and electron-withdrawing groups such as –Cl, –Br, and –CO₂Me. It was observed that carbazole derivatives with an extended conjugated system showed enhanced reactivity. Like the above P–N coupling mechanism, the reaction proceeded by an anodic oxidation of iodide to iodine followed by a reaction with dialkylphosphine oxide to give I–P(O)(R)₂. The exact mechanism of this coupling reaction is not yet fully understood; however, the possibility of direct radical cross-coupling between the nitrogen radical derived from carbazole and the phosphoryl radical intermediate cannot be completely ruled out.





Scheme 22: Electrochemical P–N coupling of carbazole with phosphine oxides.

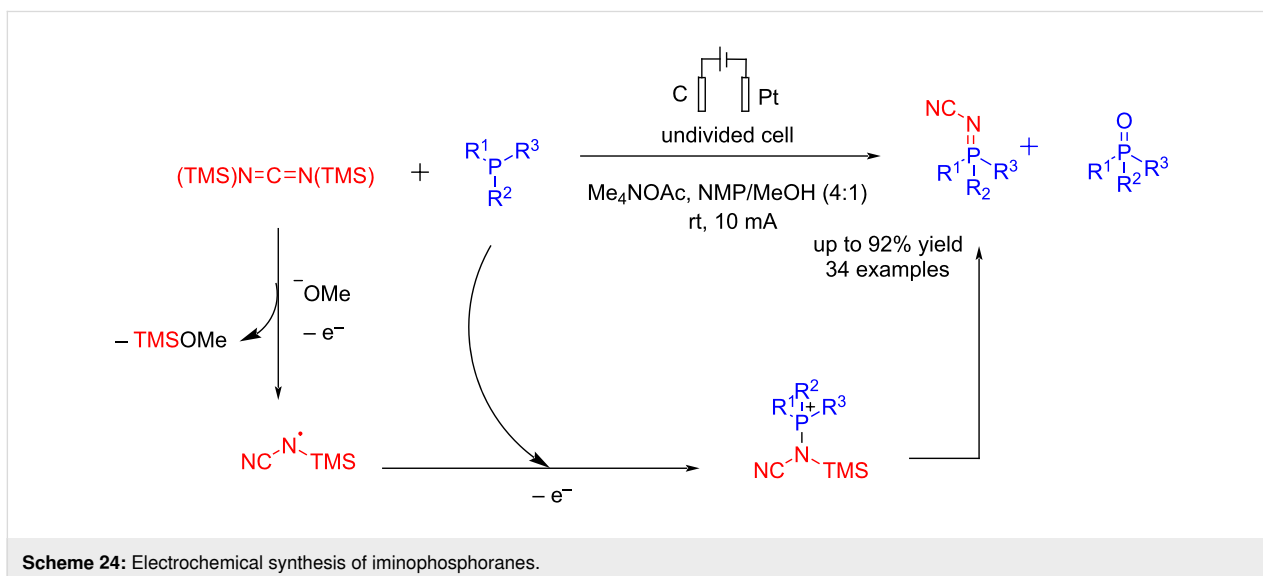
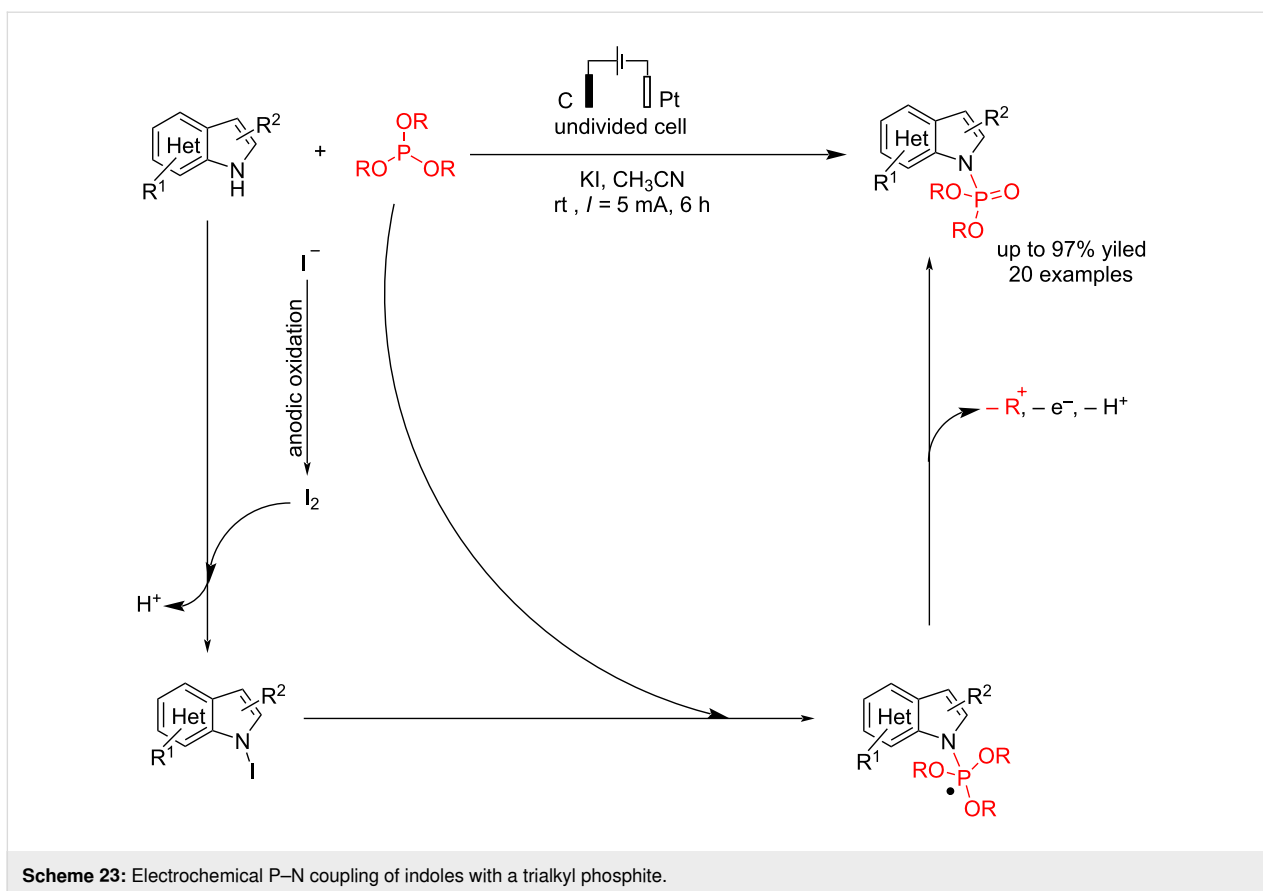
In another electro-synthesis report, Gao et al. [55] reported similar P–N coupling reactions of indoles with trialkyl phosphites in the presence of potassium iodide as a mediator and electrolyte. The carbon and platinum electrodes were used as the anode and cathode at a constant current of 5 mA for 6 h in acetonitrile as solvent. The results showed that potassium iodide is critical in this reaction, and the reaction failed to give a corresponding product without using KI. This reaction was quickly extended to a wide range of substituted indoles. Moreover, despite significant steric hindrance or the presence of a long alkyl chain, both $P(\text{O}i\text{Pr})_3$ and $P(\text{O}n\text{-Bu})_3$ proved effective in this reaction. In this reaction, the P–N coupling process proceeded via forming an *N*-indole iodide intermediate via anodic oxidation of iodide to iodine, followed by a reaction with indole (Scheme 23). Cyclic voltammetry demonstrated that in the presence of $n\text{-Bu}_4\text{NClO}_4$, free indole undergoes oxidation due to its electron-rich nature, forming a radical-cation intermediate. However, when KI was used instead, oxidation of free indole was not observed, indicating a different oxidation pathway. Additionally, under certain low-yield conditions, the C3-phosphorylated product was also formed.

In 2024, Mdluli et al. [67] reported an electrochemical method for synthesizing iminophosphoranes. In this method, iminophosphorane was investigated due to its air stability and the presence of a UV–vis chromophore, which enables the analysis of the reaction via UPLC. This reaction was carried out in

an undivided cell using graphite as the anode and platinum foil as the cathode at a constant current of 10 mA. In the presence of a graphite anode, a stainless-steel cathode, and Et_4NBr as the electrolyte, the oxidation of PPh_3 was observed. For optimization of the reaction, an H^+Te^- Chem reactor was used in the presence of Me_4NOAc as the electrolyte. The use of NMP and Me_4NOAc was beneficial, as in many cases, adding water led to the precipitation of the desired product from the reaction mixture. A wide range of iminophosphoranes were synthesized according to the following mechanism via an anodic trimethylsilyl cyanide radical formation (Scheme 24). The formation of the $\text{Ph}_3\text{P}=\text{O}$ as the side product was assumed to be due to the presence of water or oxygen in the reaction mixture, which competes with the aminating reagent.

Electrochemical O–P bond formation

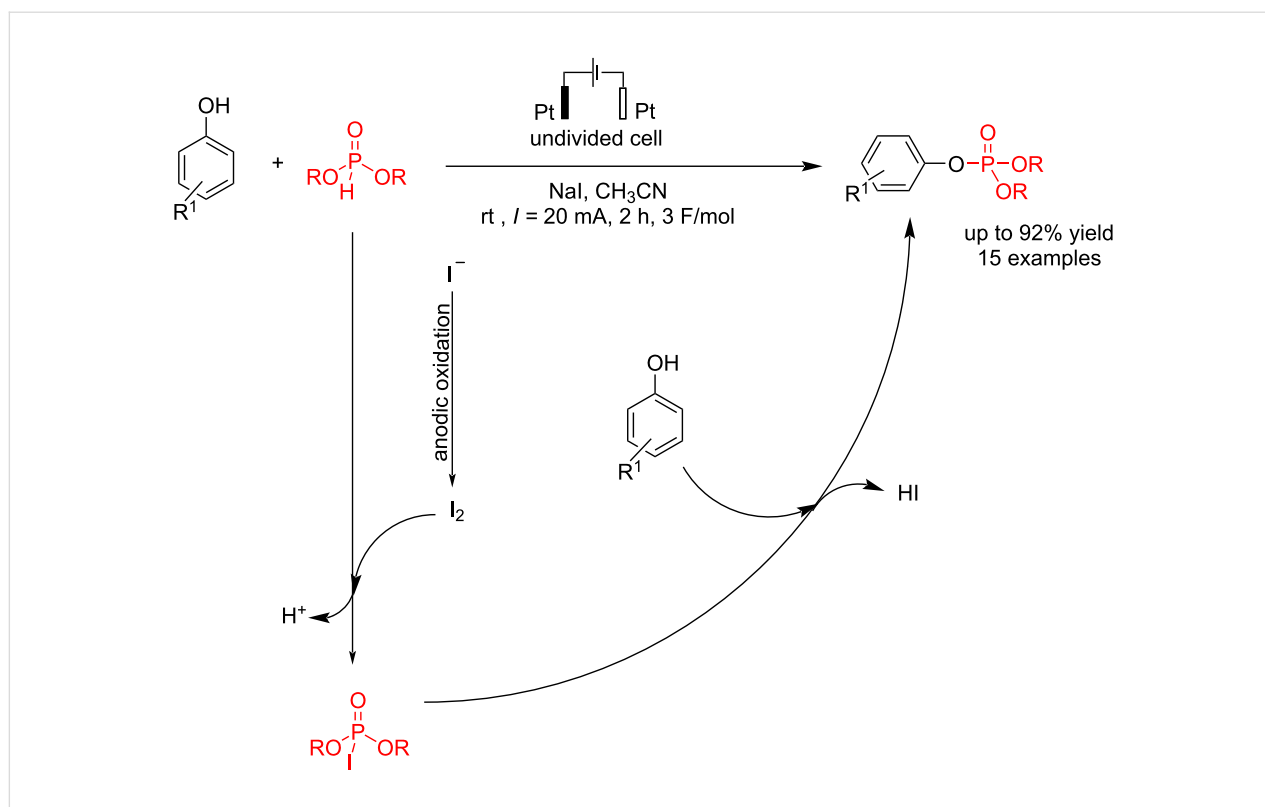
In 2021, Zhong et al. [64] reported an electrochemical coupling reaction of phenols with dialkyl phosphonates. The reaction was carried out in an undivided cell using platinum electrodes in the presence of sodium iodide at a constant current. Various electrodes were examined, and the best results were obtained using platinum electrodes as the anode and cathode (Scheme 25). Studies showed that CH_3CN performed better than CH_3OH due to its wider electrochemical window and better solubility. The results indicated that the electronic properties of substituents had no significant effect on the yield, and all substituted anilines afforded high yields of phosphoramidates. However,



N-methyl- and *N*-ethylanilines showed lower yields and electron-donating groups led to a reduced yield compared to unsubstituted aniline. Further, KI performed best as the electrolyte and catalyst at 50 °C (Scheme 20). The reaction proceeded with anodic oxidation of iodide to iodine, followed by a reaction with dialkyl phosphite to give I–P(O)(OR)₂. The final product

was formed by a simple nucleophilic substitution of phenols with I–P(O)(OR)₂.

In 2021, Wang et al. [65] presented a report on electrochemical P–O bond formation. In this method, they have reported an electrochemical reaction of alcohols (aliphatic and aromatic)



Scheme 25: Electrochemical P–O coupling of phenols with dialkyl phosphonate.

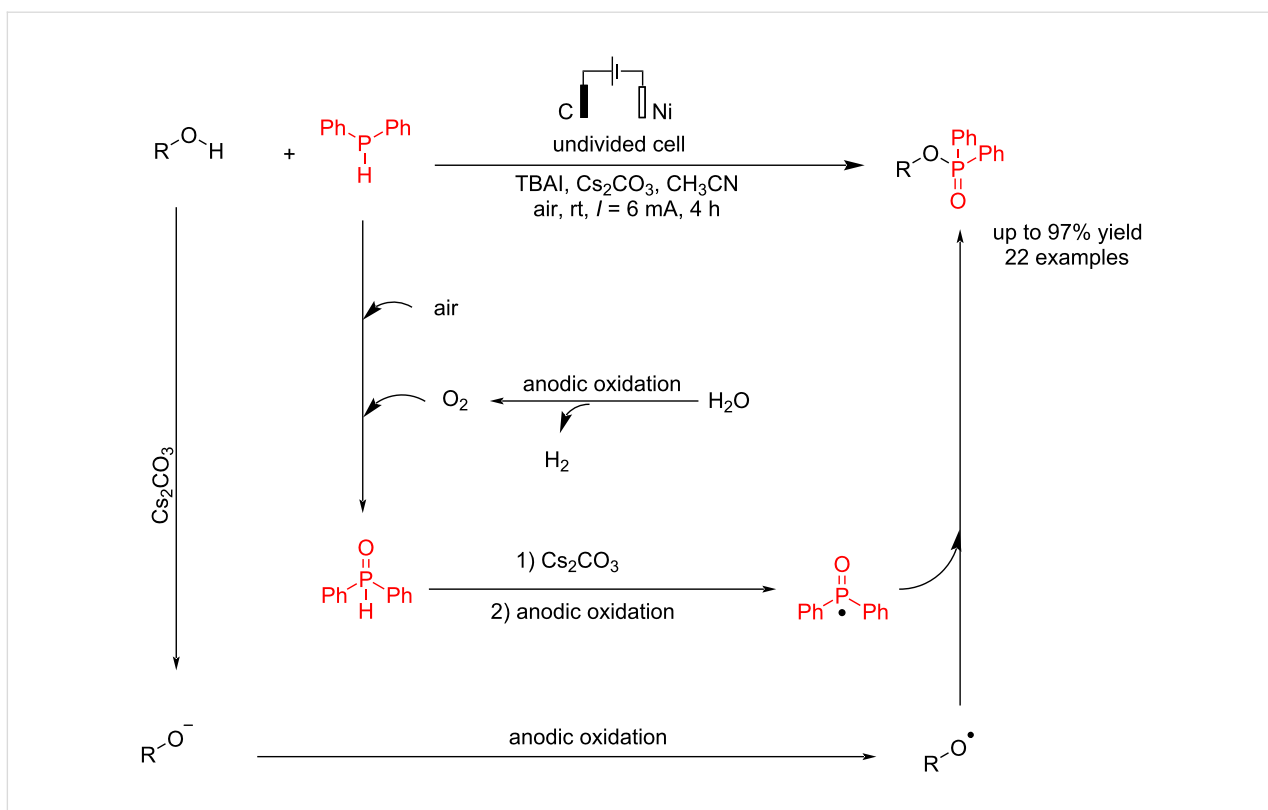
and diphenylphosphine in an undivided cell, using carbon and nickel electrodes as the anode and cathode, respectively, at a constant current for 4 h in the presence of cesium carbonate as a base. Various phenols, including those with electron-neutral, electron-donating, and electron-withdrawing groups, were efficiently converted into the target products in high yields. Phenols containing condensed aromatic and heterocyclic rings were also identified as suitable starting materials. The reaction proceeded via the anodic oxidation of diarylphosphines to diarylphosphine oxides, followed by further anodic oxidation to give phosphine oxide radicals. In the subsequent step treatment of alkoxide radicals (formed via the deprotonation of alcohols with cesium carbonate and anodic oxidation), the phosphine oxide radicals gave a corresponding phosphorylated products (Scheme 26).

Electrochemical S–P bond formation

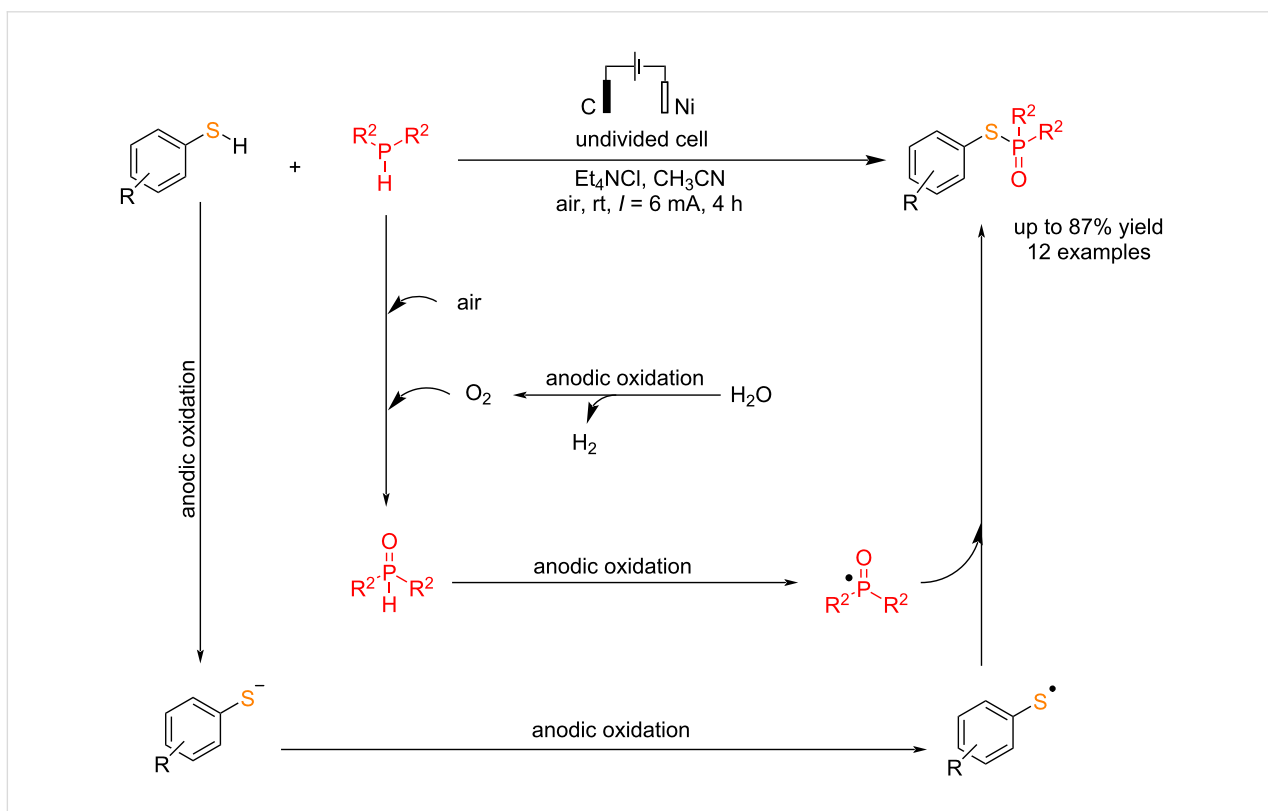
Phosphorothioates are essential compounds with broad applications in industry and medicine. Wang et al. [65] reported a novel electrochemical process for direct P–S coupling of thiols with dialkylphosphines. The reaction was carried out in an undivided cell using carbon and nickel electrodes as the anode and cathode in a constant current for 4 h. They have prepared various phosphorothioates in moderate to good yields. Methanol, as an environmentally friendly solvent, provided a satisfactory outcome. Changing the electric current intensity reduced

the yield, and using dry acetonitrile also led to a significant decrease in yield. These results indicate that water undergoes decomposition in the reaction, generating oxygen as the primary oxygen source in the system. The reaction proceeded via the anodic oxidation of phosphines to phosphine oxides, followed by further anodic oxidation to give a phosphine oxide radical. In the subsequent step, treatment of the thiol radical (formed via the deprotonation of thiols with cesium carbonate and anodic oxidation) with the phosphine oxide radical gave the corresponding thiophosphorylated product (Scheme 27).

Recently, a novel and one-pot electrochemical oxidation method was reported for synthesizing *S*-(hetero)aryl phosphorothioates without using any oxidants or transition metals at room temperature [68]. The reaction was carried out in an undivided cell. The anode and cathode electrodes were graphite felt (GF) and platinum, respectively, at a constant current of 7 mA. When a platinum plate or a carbon rod was used instead of GF for the anode, and a nickel plate or copper plate was used for the cathode, the efficiency of the reaction decreased (Table 6). Although using other solvents improved the solubility, it reduced the reaction yield. Ammonium thiocyanate is used as a sulfur source in the presence of DBU as a base and *n*-Bu₄NBF₄ as an electrolyte. When electron-donating groups were present at the C2-benzene ring, the reaction yield was higher than when the



Scheme 26: Electrochemical P–O coupling of alcohols with diphenylphosphine.



Scheme 27: Electrochemical P–S coupling of thiols with dialkylphosphines.

rings contained electron-withdrawing groups. It is suggested that the reaction proceeded via single-electron oxidation of thiocyanate at the anode. DBU was used in the reaction for a simple nucleophilic substitution of phosphonate with a cyanide group in the formed intermediate (Scheme 28).

Table 6: Optimization studies.

Variation from the standard conditions	Yield (%)
none	83
DMF as the solvent	36
without DBU	n.r.
Pt as the anode	78
C(rod) as the anode	69
Ni as the cathode	67
Cu as the cathode	56

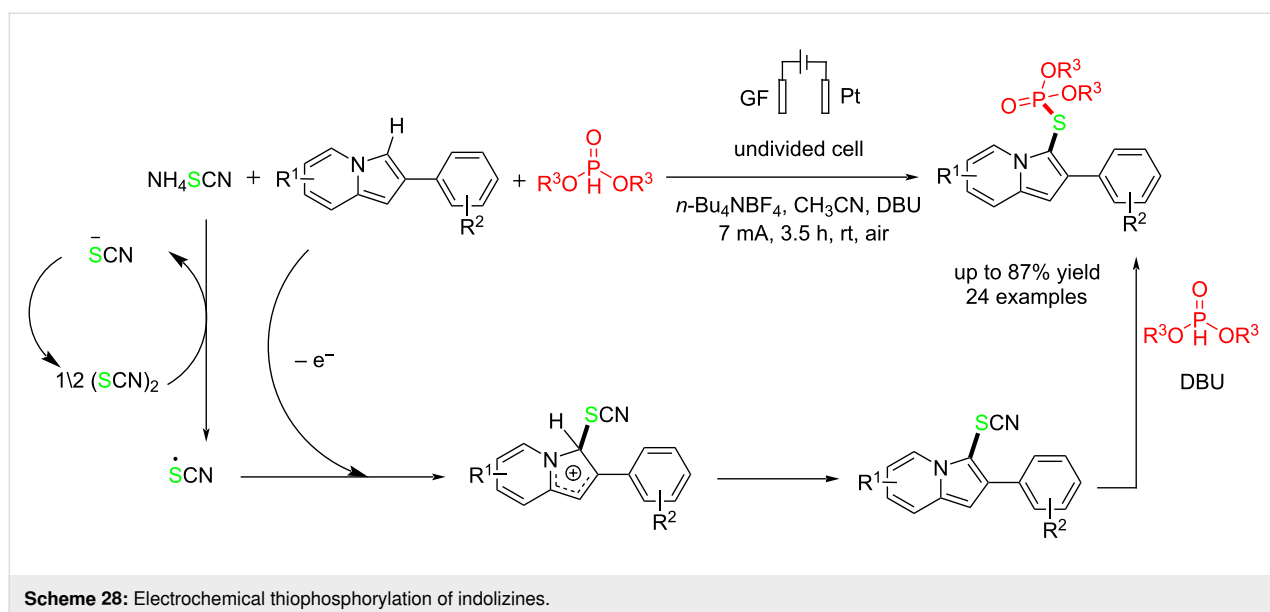
In another study, Ding and co-workers [69] recently reported an electrochemical method for synthesizing *S*-heteroaryl phosphorothioates without using any transition metal catalysts and oxidants at 90 °C. This method is compatible with various functional groups and can be easily scaled up to a gram scale. The reaction was carried out in an undivided cell using platinum electrodes as the anode and cathode. In this method, S₈ was used as a sulfur source and ammonium iodide as a mediator, which has a key role. Based on the control experiments, a nucleophilic substitution is the main pathway for the reaction. The reaction proceeded with electrophilic iodination of aromatic compounds followed by a nucleophilic reaction of the formed phosphorothioate intermediate to give corresponding

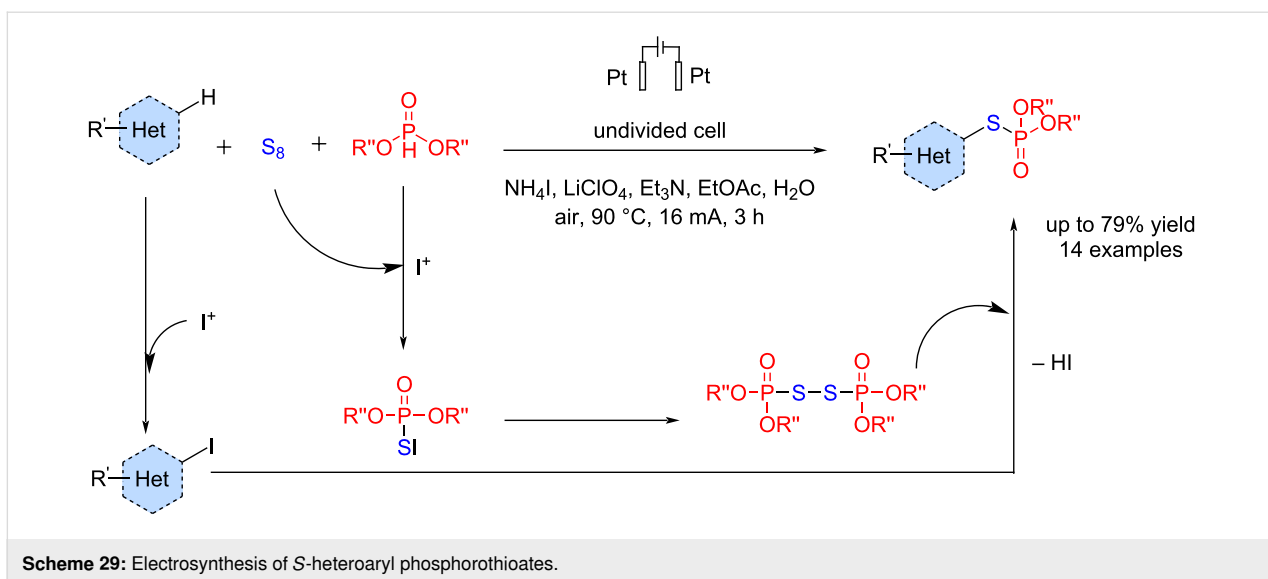
S-heteroaryl phosphorothioates in good to excellent yields (Scheme 29).

In 2023, Liu et al. [70] reported an interesting electrochemical process for the simultaneous C–H phosphorothiolation and *S*- to C-[1,4]-phosphoryl migration at room temperature. The reaction was carried out in an undivided cell using a carbon plate as the anode and platinum as the cathode at a constant current of 10 mA in the presence of KBr as a key mediator. Using a mixture of solvents, product **c** was obtained with a lower yield, and product **d**, which was formed via the 1,4-*S* → C phospho-Fries migration of product **c**, was also obtained in low yield (Table 7). By reducing the current to 5 mA, a mixture of products was obtained, and it also caused issues in the reaction system. The reaction proceeded via an anodic oxidation of bromide to bromine, followed by a reaction with sulfur and dialkylphosphite to give the corresponding dialkylphosphothioate. The reaction proceeded via an electrophilic aromatic substitution in the next step to provide the final product (Scheme 30). The experimental results showed that product **c** was initially formed and then continuously transformed into product **d** via the phospho-Fries rearrangement. This transformation was completed in the presence of Et₃N within 5 hours. Additionally, an excess of S₈ and (EtO)₂P(O)H likely inhibits the occurrence of this rearrangement.

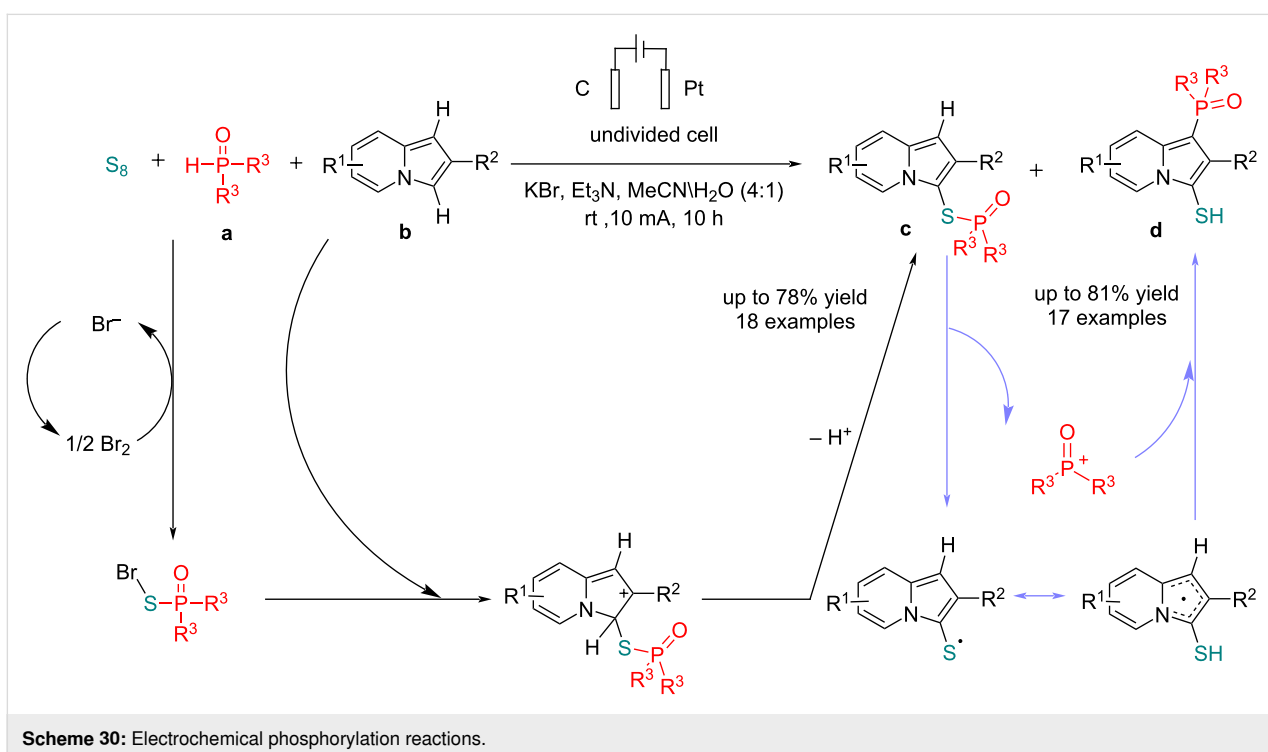
Electrochemical Se–P bond formation

In another study, Gu et al. [71] reported electrochemical P–Se bond formation of the reaction of elemental Se with diethyl phosphonate and aromatic compounds. In this method, potassium iodide acts as a key additive. The reaction is carried out in an undivided cell using graphite and platinum electrodes. Using



**Table 7:** Optimization studies.

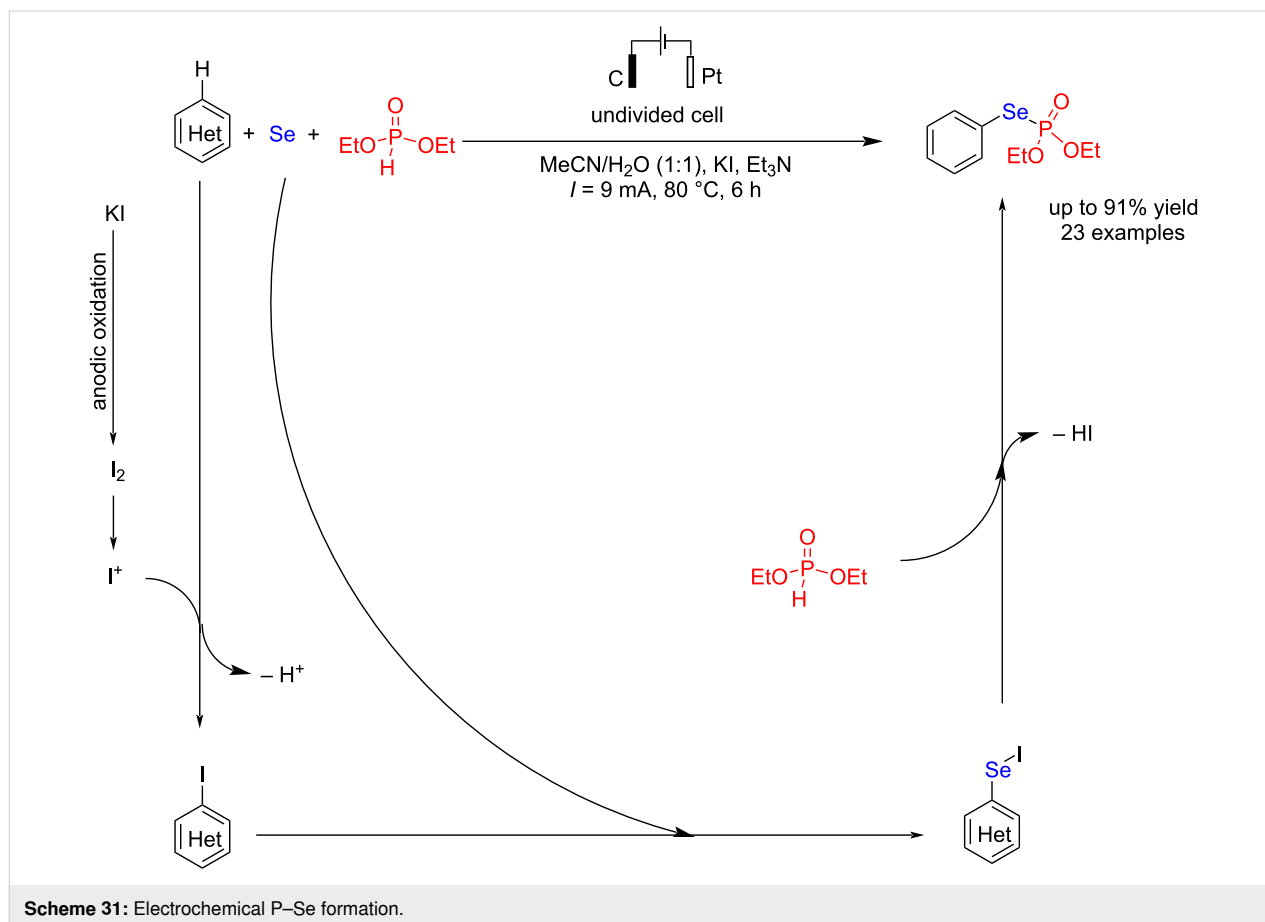
a/S ₈ /b/base	Variation from the standard conditions	Yield c (%)	Yield d (%)
1:2.2:2.2:2.2	none	78	–
1:2.2:2.2:2.2	MeOH/H ₂ O as the solvent	13	10
1:2.2:2.2:2.2	THF/H ₂ O as the solvent	20	16
1:2.2:2.2:2.2	constant current = 5 mA	27	30
1:1.1:1.1:1.1	none	–	81
1:1.1:1.1:1.1	constant current = 5 mA	25	47

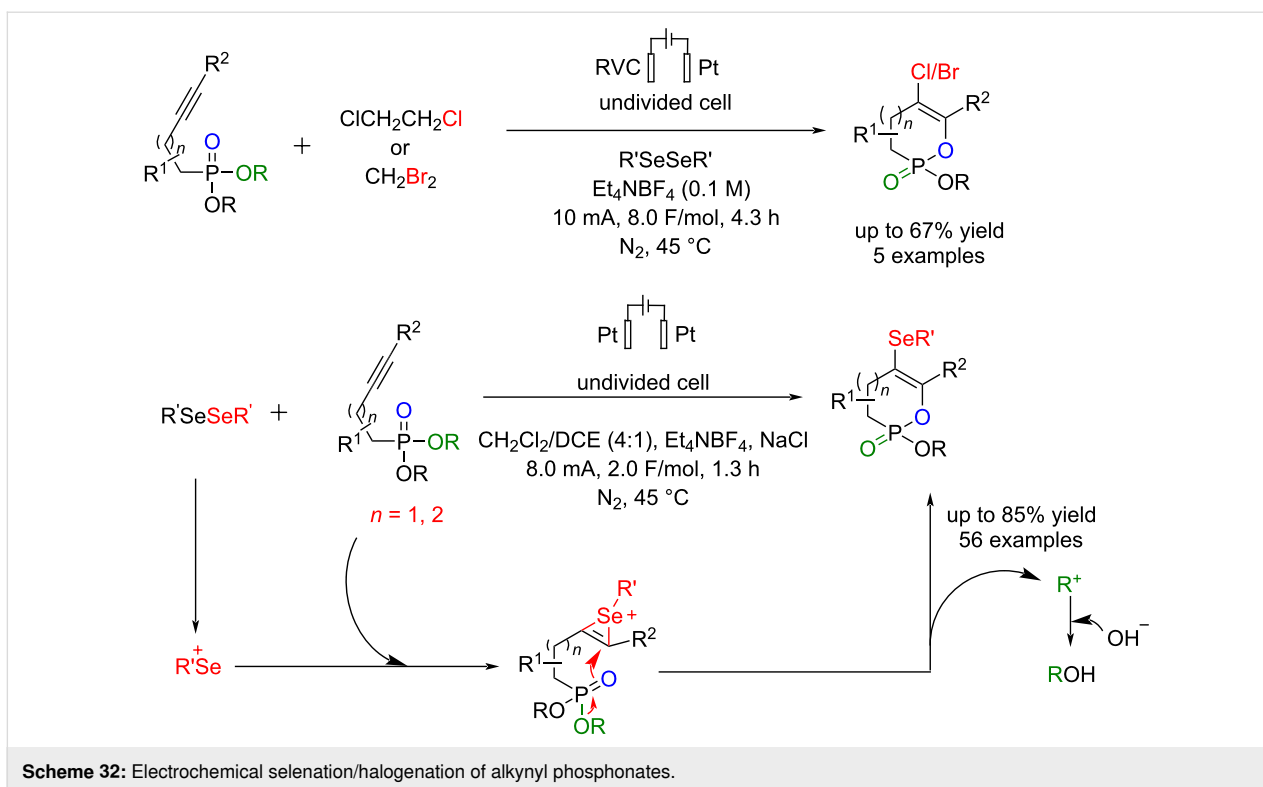


water as a co-solvent in the reaction was essential, as its absence led to a complete loss of efficiency. Lowering the reaction temperature and shortening the reaction time also reduced product yields. In this method, the target phosphoroselenoates were formed with moderate to high yields in the presence of electron-donating groups such as methyl (Me) and methoxy (OMe), as well as electron-withdrawing groups like fluorine (F), chlorine (Cl), bromine (Br), and nitro (NO₂) at the 5-position of the phenyl ring in indole. Studies showed that even unprotected anilines participated in this reaction, yielding the desired product with a 72% yield. Furthermore, anilines bearing electron-donating groups such as methyl or weak electron-withdrawing groups like chlorine at the *ortho* position were efficiently involved in the electrochemical phosphoroselenylation reaction, producing the corresponding products with satisfactory yields. These findings demonstrate this method's broad substrate scope and high functional group compatibility. The P–Se bond formation process proceeded by forming an aryl iodide intermediate via anodic oxidation of iodide to iodine, followed by the iodination reaction of aromatic compounds (Scheme 31). In the next step, elemental selenium was inserted into the aryl iodide bond to form the aryl iodoselenide intermediate for forming the P–Se bond.

Other electrochemical reactions of organophosphorus

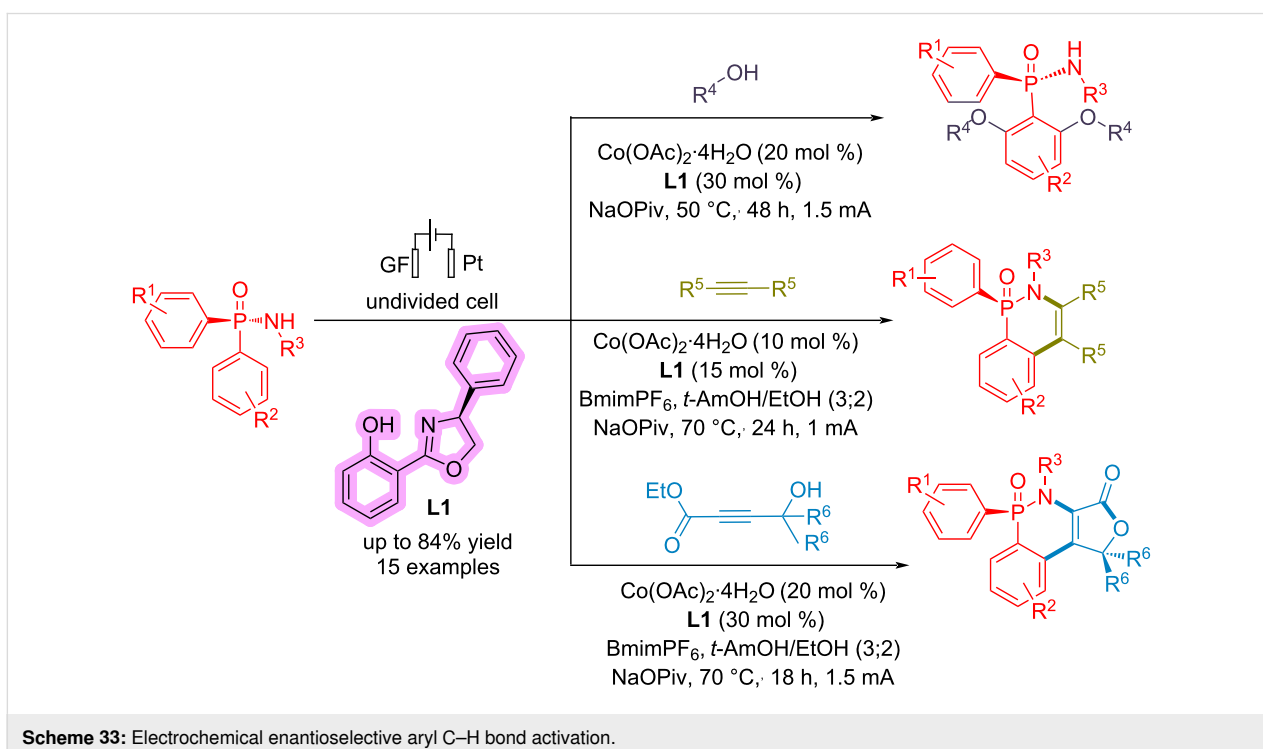
Li et al. [72] reported an electrochemical synthesis method for the transition-metal-free cyclization and selenation/halogenation of alkynyl phosphonates at 45 °C under N₂. This method can chemoselectively convert these products into halogen-functionalized cyclic enol phosphonates. The reaction is carried out in an undivided cell using platinum plates as the anode and cathode at a constant current of 8 mA. In addition to serving as an electrolyte, NaCl will likely facilitate the formation of PhSeCl, an active species in this reaction. The reactions proceeded smoothly, regardless of electron-donating or electron-withdrawing groups in the phenyl rings' *ortho*, *meta*, or *para* positions. This method proceeded via an anodic oxidation, followed by intramolecular cyclization (Scheme 32). The key role of anodic oxidation became evident when the annulation product was isolated exclusively from the anode chamber. Based on cyclic voltammetry experiments, the effect of diphenyl diselenide on the oxidative cyclization process was determined, showing that this compound enables the reaction to occur at a significantly lower electrode potential compared to what is required for the direct anodic oxidation of alkynyl phosphonates.





von Münchow and co-workers [73] reported an electrochemical process for enantioselective aryl C–H bond activation in the presence of a cobalt catalyst. The reaction is carried out in an undivided cell using graphite felt (GF) as an anode and platinum

as the cathode at a constant current of 1–1.5 mA (Scheme 33). They did not present any mechanism for the reaction; however, based on their control experiments, they have suggested that electricity is necessary for the reaction, which



makes the reductive elimination pathway easier, and Co undergoes oxidation state changes between Co(II), Co(III), and Co(IV).

Conclusion

Organophosphorous compounds are important materials with a wide range of applications in industry, agrochemicals, and drug design. Therefore, introducing new methods for the preparation of these compounds will remain an interesting research area in organic reactions. This review focuses on electrochemical methods for forming various phosphorus–carbon, phosphorus–nitrogen, phosphorus–oxygen, phosphorus–sulfur, and phosphorus–selenium bonds. The main goal of the electro-synthesis method is to introduce novel and green processes for synthesizing a wide range of organophosphorus compounds. Different types of electrodes were used, and graphite and platinum were the most used. Most of the reactions proceeded via an anodic oxidation of materials, followed by the reaction with other compounds to give the products.

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Data Availability Statement

Data sharing is not applicable as no new data was generated or analyzed in this study.

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Chitosan-supported CuI-catalyzed cascade reaction of 2-halobenzoic acids and amidines for the synthesis of quinazolinones

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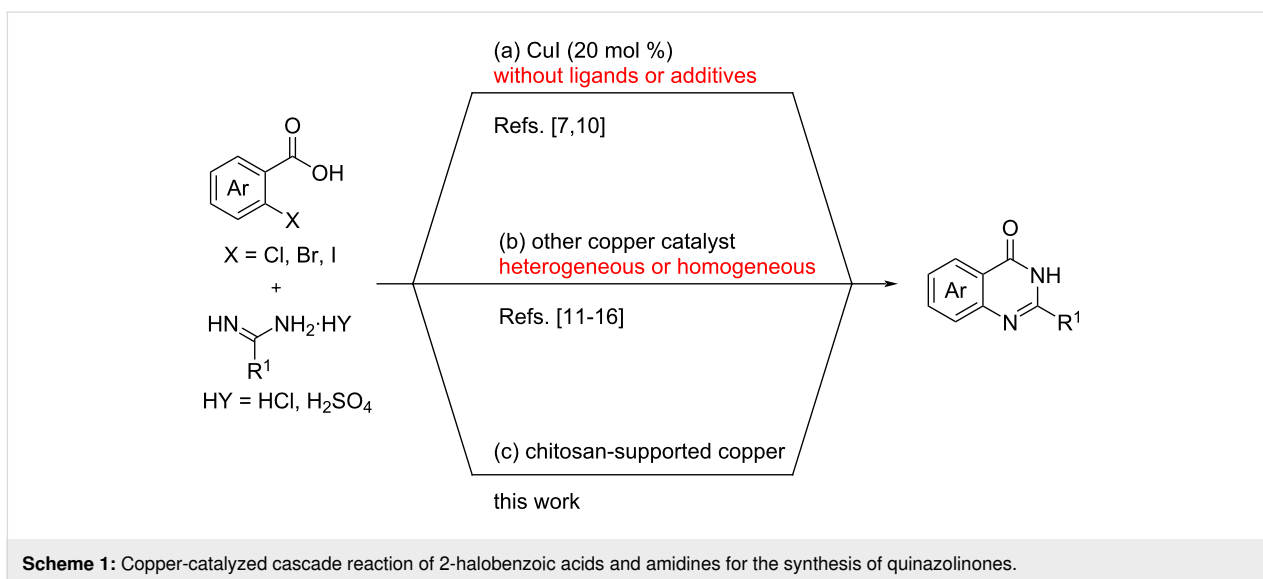
Abstract

A chitosan-supported CuI (CS@CuI) catalyst was developed for the synthesis of quinazolinones from 2-halobenzoic acids (including iodine and bromine) and amidines. The reaction proceeds under mild reaction conditions, demonstrating a broad substrate scope (30 examples) and good catalytic efficiency (up to 99% yield).

Introduction

Quinazolinones are not only a key core of nitrogen-containing benzo heterocyclic compounds found in many natural products and bioactive molecules [1-3], but can also be readily converted into other functional compounds under specific conditions [4,5]. Due to their significant biological relevance and potential applications, numerous synthetic methods have been recently developed to synthesize these useful intermediates [6-9]. Among these methods, the cascade reaction between *ortho*-halogen (e.g., chlorine, bromine or iodine) substituted benzoic acids and amidines has become a prominent route to

synthesize the corresponding quinazolinones [10-18]. In 2009, Fu and co-workers found that copper(I) could effectively promote this cascade reaction for the synthesis of quinazolinones without the need for additional ligands or additives (Scheme 1a) [7,10]. Since then, various copper-based catalysts, both homogeneous and heterogeneous, have been explored (Scheme 1b) [11-16]. For example, Wang's group developed a magnetically recoverable and reusable Fe₃O₄ nanoparticle-supported copper(I) catalyst with excellent catalytic efficiency for quinazolinone synthesis [11]. In addition, Cai et al. reported that



MCM-41-immobilized tridentate nitrogen-supported copper(I) [MCM-41-3N–CuI] served as a highly efficient, reusable heterogeneous catalyst for this cascade reaction, achieving good to excellent yields without any loss of activity even after ten cycles of simple filtration-based recovery [12]. Moreover, a copper catalyst has been shown to function effectively in both organic and aqueous media [13,14]. Furthermore, dicopper(I) complexes can also be used as an effective catalyst in Ullmann-type *N*-arylation/cyclization of 2-bromobenzoic acids with amidines, providing the corresponding quinazolinones in good yields [15]. Despite the high efficiency of the above-mentioned copper catalysts in the synthesis of quinazolinones, and the wide application of the chitosan-supported copper catalyst in various organic transformations [19–21], the use of chitosan-supported copper for quinazolinone synthesis has not been reported. As part of our ongoing research interest in chitosan and chitosan-supported copper catalysts in organic transformations [22–24], we intended to investigate the use of chitosan-supported copper as a catalyst for the synthesis of quinazolinones from 2-halobenzoic acids and amidines under mild reaction conditions (Scheme 1c).

Results and Discussion

The initial reactions commenced with 2-iodobenzoic acid (**1a**, 0.5 mmol, 1.0 equiv) and acetamide hydrochloride (**2a**, 0.75 mmol, 1.5 equiv) as model substrates, Na₂CO₃ (1.25 mmol, 2.5 equiv) as a base, and chitosan-supported copper (5.0 mol %) as the catalyst under an argon atmosphere (Table 1). First, various solvents were investigated. When nonprotonated solvents such as THF and toluene were used, the yields were relatively low (Table 1, entries 1 and 2, 39 and 27% yields), indicating poor catalytic activity in these solvents. In contrast, using proton solvents (MeOH, iPrOH and H₂O) led to

improved yields (Table 1, entries 3–5, 51–60% yields). Notably, the reaction was also successful in water, affording the target product in moderate yield (Table 1, entry 5, 51% yield). Next, to further improve the yield, a mixed solvent of iPrOH and H₂O was examined. The reaction conducted with a solvent ratio of iPrOH/H₂O = 4:1 gave an 83% yield (Table 1, entry 6), while a ratio of iPrOH/H₂O = 9:1 resulted in an 89% yield (Table 1, entry 7). In the optimal solvent (iPrOH/H₂O = 9:1), other chitosan-supported copper catalysts, such as chitosan-supported on CuBr (CS@CuBr), chitosan-supported on Cu(OAc)₂ (CS@Cu(OAc)₂), chitosan-supported on Cu(acac)₂ (CS@Cu(acac)₂) and chitosan-supported on CuSO₄ (CS@CuSO₄) were explored, and the results showed that CS@CuI was the most effective catalyst (Table 1, entries 7–11, 65–89% yields). To further enhance the reaction yield, the reaction temperature was increased to 90 °C, and the target product **3a** was obtained in 96% isolated yield (Table 1, entry 12). Control experiments indicated poor results when no catalyst was used, with the corresponding product obtained only in 31% yield (Table 1, entry 13). When CuI or chitosan alone was used as a catalyst, the reaction occurred but with less efficiency (Table 1, entries 14 and 15, 80 and 40% yields). In addition, when the reaction time was reduced, the yields decreased accordingly (Table 1, entries 16–18, 70–94% yields). Finally, when the reaction was carried out under open air, the catalytic activity decreased and only 45% yield of the target product was obtained (Table 1, entry 19).

With the optimized conditions in hand, we explored the substrate scope of the CS@CuI-catalyzed cascade reactions of 2-halobenzoic acids (including 2-iodobenzoic acid and 2-bromobenzoic acid) with amidines (Scheme 2). Initially, when the amidine substituent (R²) is a methyl group, we inves-

Table 1: Optimization of reaction conditions^a.

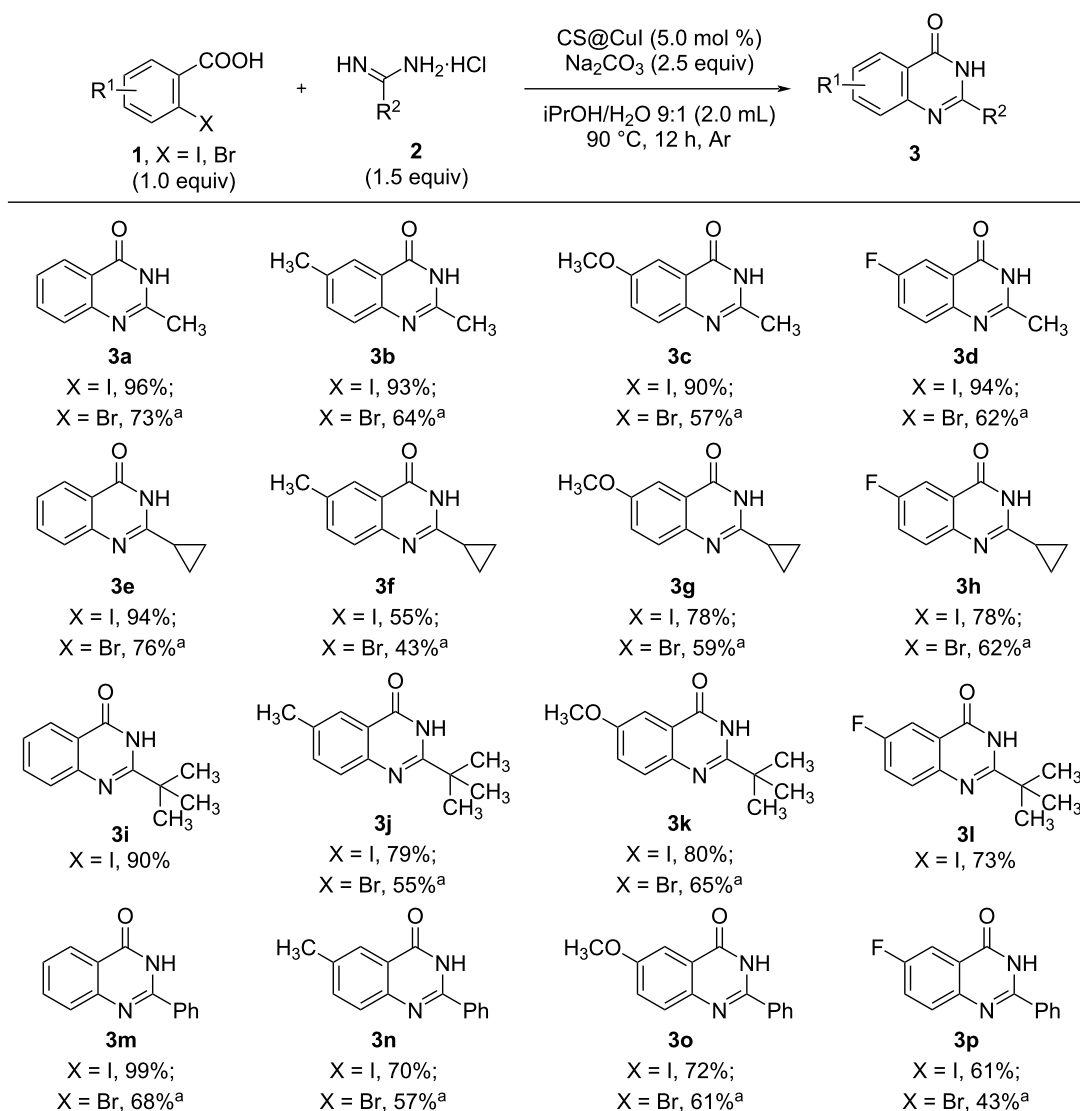
Entry	CS@Cu	Solvent	Temp. (°C)	Time (h)	Yield (%) ^b
1	CS@CuI	THF	80	12	39
2	CS@CuI	toluene	80	12	27
3	CS@CuI	MeOH	80	12	55
4	CS@CuI	iPrOH	80	12	60
5	CS@CuI	H ₂ O	80	12	51
6	CS@CuI	iPrOH/H ₂ O (4:1)	80	12	83
7	CS@CuI	iPrOH/H ₂ O (9:1)	80	12	89
8	CS@CuBr	iPrOH/H ₂ O (9:1)	80	12	87
9	CS@Cu(OAc) ₂	iPrOH/H ₂ O (9:1)	80	12	65
10	CS@Cu(acac) ₂	iPrOH/H ₂ O (9:1)	80	12	65
11	CS@CuSO ₄	iPrOH/H ₂ O (9:1)	80	12	67
12	CS@CuI	iPrOH/H₂O (9:1)	90	12	99 (96)^c
13	–	iPrOH/H ₂ O (9:1)	90	12	31
14	CuI	iPrOH/H ₂ O (9:1)	90	12	80
15	CS	iPrOH/H ₂ O (9:1)	90	12	40
16	CS@CuI	iPrOH/H ₂ O (9:1)	90	8	94
17	CS@CuI	iPrOH/H ₂ O (9:1)	90	5	83
18	CS@CuI	iPrOH/H ₂ O (9:1)	90	3	70
19 ^d	CS@CuI	iPrOH/H ₂ O (9:1)	90	12	45

^aReaction conditions: **1a** (0.5 mmol, 1.0 equiv), acetamidine hydrochloride **2a** (0.75 mmol, 1.5 equiv), CS@Cu (5.0 mol %), Na₂CO₃ (1.25 mmol, 2.5 equiv), solvent (2.0 mL) at argon atmosphere. ^bThe yield was determined by ¹H NMR analysis with dibromomethane as an internal standard. ^cIsolated yield in parentheses. ^dThe reaction was performed under open air.

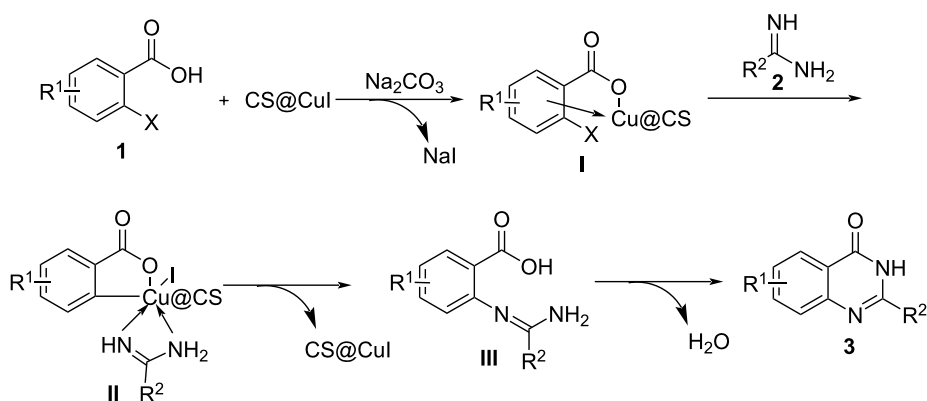
tingated the reactions with various substituted 2-halobenzoic acids. The reactivity of 2-iodobenzoic acid derivatives (**3a–d**, 90–96% yields) was higher than that of 2-bromobenzoic acid derivatives (**3a–d**, 57–73% yields), the electronic properties of the substituents on the benzene ring had little effect on the reactivity. When the amidine substituent (R²) was changed to a cyclopropyl group, the yields of all reaction decreased, especially when substituents were present on the benzene ring (**3e–h**, 55–94% yields for 2-iodobenzoic acid, 43–76% yields for 2-bromobenzoic acid). We then investigated the reactions of different 2-halobenzoic acid derivatives with amidines where R² was a *tert*-butyl group. The results showed that 2-bromobenzoic acid derivatives (**3j–k**, 55–65% yields) displayed lower activity compared to 2-iodobenzoic acid derivatives (**3i–l**, 73–90% yields), with a decrease in reaction activity observed when substituents were presented on the benzene ring. Finally, we examined reactions with 2-halobenzoic acid derivatives where the R² substituent was a phenyl group. In this case, the reactivity of 2-iodobenzoic acid derivatives (**3m–p**, 61–99%

yields) was again superior to that of 2-bromobenzoic acid derivatives (**3m–3p**, 43–68% yields). The reactivity of 2-halobenzoic acid without substituents was obviously better than that of substituted derivatives. Overall, these results demonstrate that the reaction has a broad substrate scope, with 2-iodobenzoic acid derivatives showing higher reactivity than 2-bromobenzoic acid derivatives.

Based on previously reported literature [7,13], a mechanism for the copper-catalyzed formation of quinazolinones is proposed in Scheme 3. Initially, the 2-halobenzoic acid **1** coordinates with CS@CuI to form intermediate **I** in the presence of Na₂CO₃, which acts as a base. Subsequently, **I** undergoes oxidative addition and complexation with the amidine **2** to generate intermediate **II**. This intermediate then undergoes reductive elimination to form intermediate **III**, releasing CS@CuI back into the system. Finally, the coupling reaction between the carboxyl and amino groups in **III** yields the target quinazolinone **3**.



Scheme 2: Substrate scope. Reaction conditions: **1** (0.5 mmol, 1.0 equiv), amidines hydrochloride **2** (0.75 mmol, 1.5 equiv), CS@CuI (10.0 mg, ICP: 14.6%, 5.0 mol %), Na₂CO₃ (1.25 mmol, 2.5 equiv), iPrOH/H₂O 9:1 (2.0 mL), 90 °C, 12 h, argon atmosphere; ^a1 (0.2 mmol), amidine hydrochloride **2** (0.3 mmol, 1.5 equiv), CS@CuI (5.0 mol %), Na₂CO₃ (1.25 mmol, 2.5 equiv), iPrOH/H₂O 9:1 (2.0 mL), 90 °C, 12 h, argon atmosphere.



Scheme 3: Proposed mechanism for the CS@CuI-catalyzed synthesis of quinazolinones.

To demonstrate the practicality of this reaction in organic synthesis, the reaction was scaled up to the gram level. For instance, the desired product **3a** was obtained in 91% yield (1.45 g) when the reaction was conducted on a 10.0 mmol scale under optimized conditions (Scheme 4a). The recyclability of heterogeneous catalysts is a critical factor in assessing their practical utility in transition metal-catalyzed reactions. Therefore, the recyclability of CS@CuI was evaluated in the reaction of 2-iodobenzoic acid (**1a**) with **2a**, as illustrated in Scheme 4b. In each cycle, the recovered CS@CuI was simply centrifuged, filtered, washed, dried, and then reused with fresh substrate under the optimized conditions. The results demonstrate that the catalyst retains good catalytic activity (yields no less than 86%) even after six cycles, and ICP analysis of the filtered aqueous solution after the reaction confirmed no detectable leaching of CuI.

Conclusion

In summary, we have developed a CS@CuI-catalyzed cascade reaction of 2-halobenzoic acids (including iodine and bromine derivatives) and amidines for the synthesis of quinazolinones. This approach features mild reaction conditions, broad sub-

strate scope (30 examples), and high efficiency (up to 99% yield). In a word, this work presents a novel and efficient protocol for the construction of quinazolinones and offers significant research value.

Supporting Information

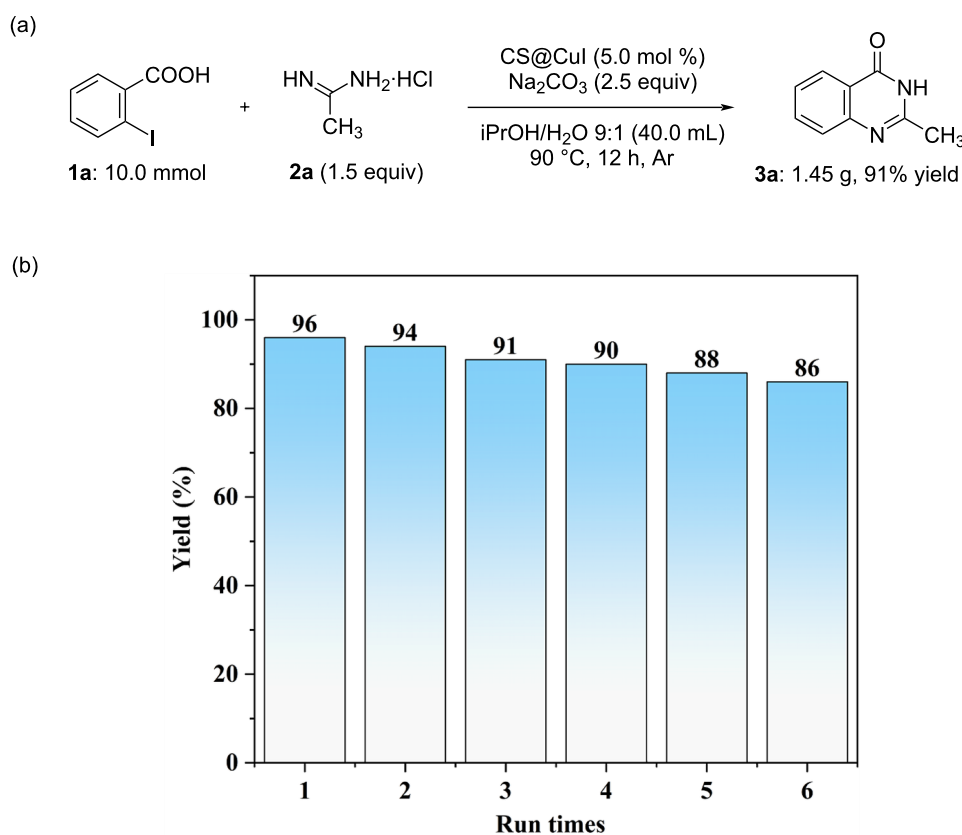
Supporting Information File 1

Full experimental details, characterization data and copies of NMR spectra of all products.

[<https://www.beilstein-journals.org/bjoc/content/supplementary/1860-5397-21-67-S1.pdf>]

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Scheme 4: Scaling-up experiment (a) and recyclability of CS@CuI (b).

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Data Availability Statement

All data that supports the findings of this study is available in the published article and/or the supporting information of this article.

Preprint

A non-peer-reviewed version of this article has been previously published as a preprint: <https://doi.org/10.3762/bxiv.2024.66.v1>

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A multicomponent reaction-initiated synthesis of imidazopyridine-fused isoquinolinones

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Full Research Paper

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Keywords:

Groebke–Blackburn–Bienaymé (GBB); imidazopyridine; intramolecular Diels–Alder (IMDA); isoquinolinone; multicomponent reaction (MCR); re-aromatization

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Abstract

A new synthetic route initiated with Groebke–Blackburn–Bienaymé (GBB) followed by *N*-acylation, intramolecular Diels–Alder (IMDA), and dehydrative re-aromatization reactions for the synthesis of imidazopyridine-fused isoquinolinones is developed. Gaussian computation analysis on the effect of the substitution groups for the IMDA reaction is performed to understand the reaction mechanism.

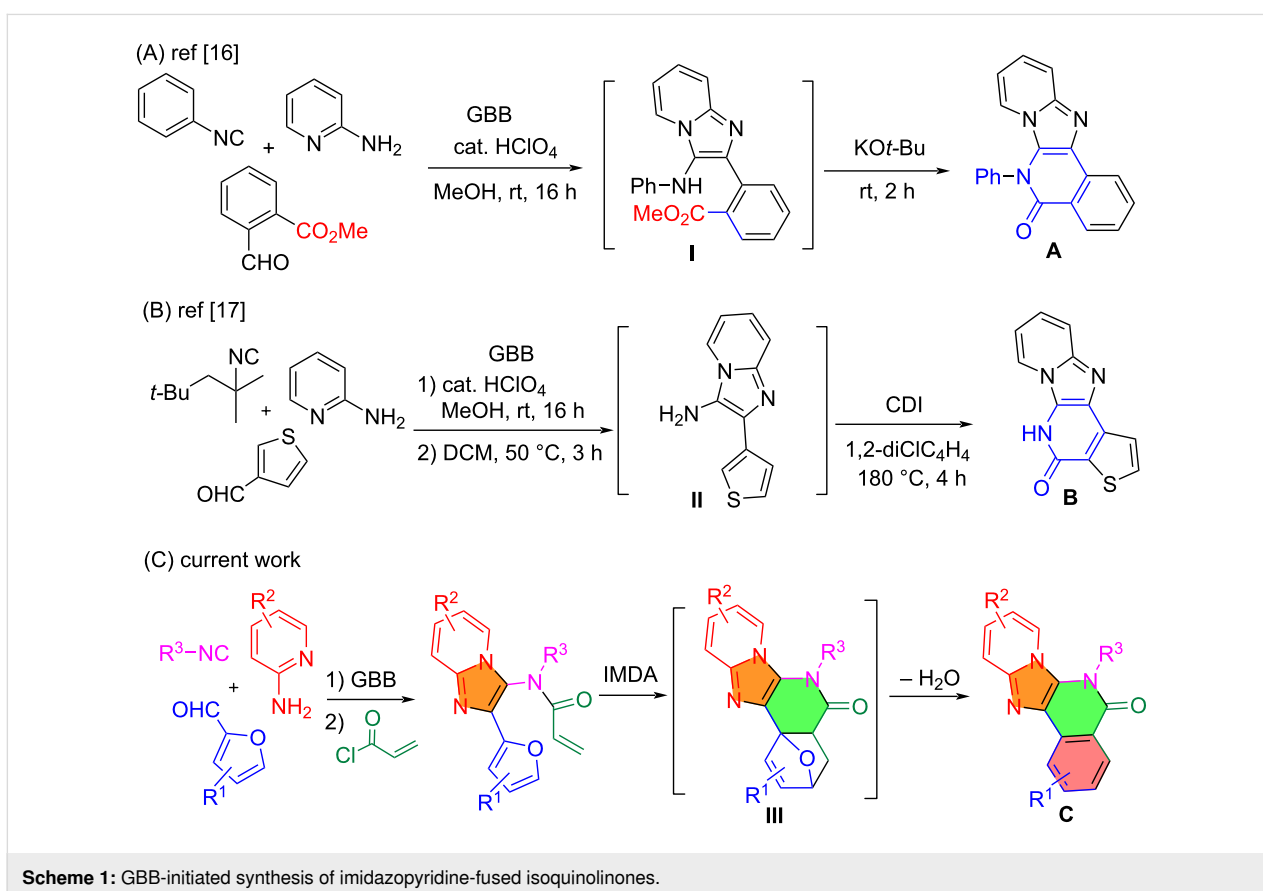
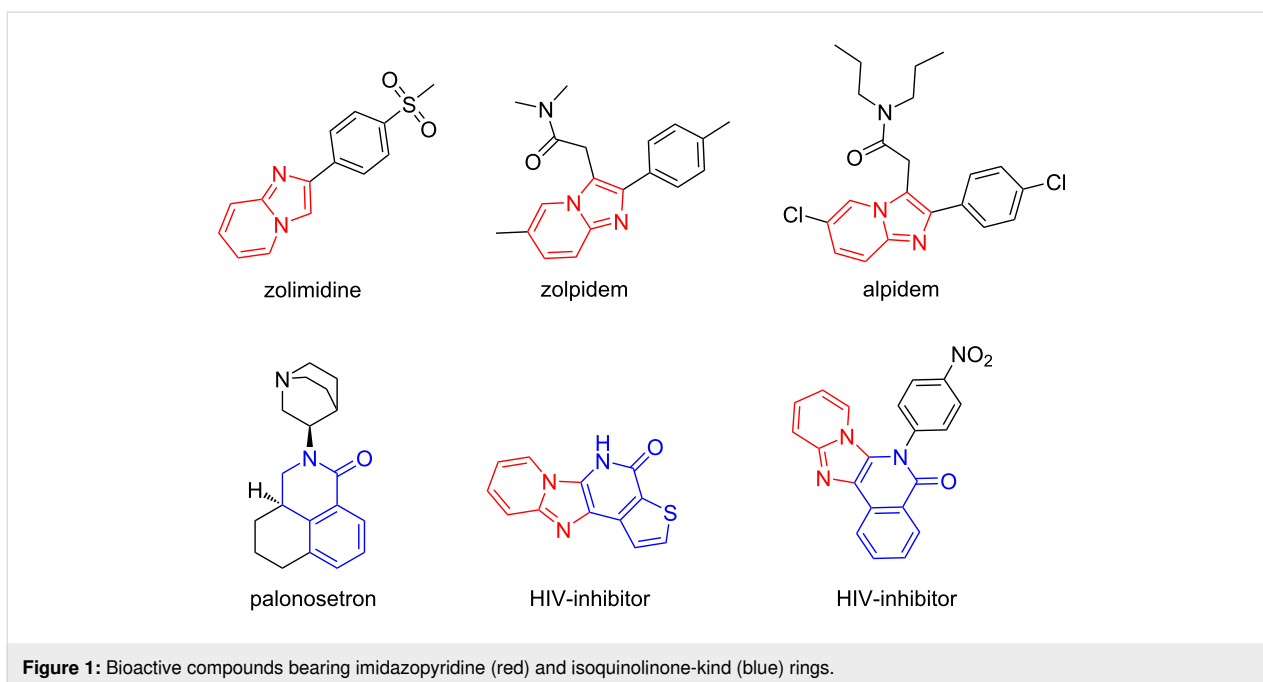
Introduction

Multicomponent reactions (MCRs) have intrinsic green chemistry advantages of synthetic efficiency and operational simplicity. Performing post-condensational modifications of MCRs could generate novel and complex molecular scaffolds [1–8]. Some MCR adducts generated from Ugi, Passerini, Gewald, Biginelli, and Groebke–Blackburn–Bienaymé (GBB) reactions have been modified to form chemically diverse heterocyclic scaffolds with potential biological activities [9,10].

Imidazo[1,2-*a*]pyridine and isoquinolinone-kind scaffolds are privileged rings which can be found in drug molecules such as zolimidine [11], zolpidem [12], alpidem and antiemetic drug 5-HT_{3A} antagonist palonosetron [13] (Figure 1). Imidazo-

pyridine-fused isoquinolinones have been developed as HIV inhibitors [14]. The imidazo[1,2-*a*]pyridine ring can be readily synthesized by the GBB reaction [10,15], while the isoquinolinone ring is commonly generated by a cyclative lactamization process. Performing a GBB reaction followed by an intramolecular amidation is a good approach for making imidazopyridine-fused isoquinolinones.

The Veljkovic group employed methyl 2-formylbenzoate for the GBB reaction to form adducts **I** which undergoes intramolecular amidation to afford product **A** (Scheme 1A) [16]. In a patent filed by Tibotec Pharmaceuticals, substituted alkyl isonitriles were used for the GBB reaction followed by the cleavage



of the alkyl group to give intermediate **II** as a free amine. Annulation of **II** with CDI gave product **B** which is an HIV reverse transcriptase inhibitor (Scheme 1B) [17]. We have reported a

three-component [3 + 2] cycloaddition followed by IMDA reaction for making heterocyclic compounds [18]. Presented in this paper is a new synthetic route involving GBB, *N*-acylation and

IMDA reactions for making intermediate **III** followed by dehydrative re-aromatization to give imidazopyridine-fused isoquinolinones **C** (Scheme 1C).

Results and Discussion

Following the reported procedures [10], the initial GBB reaction of aminopyridines **1** (0.5 mmol), isocyanides **3** (1.2 equiv), and furfuraldehydes **2** (1.2 equiv) was conducted in 3:1 CH₂Cl₂/MeOH (4 mL) using Yb(OTf)₃ (0.08 equiv) as a Lewis acid catalyst under microwave irradiation at 100 °C for 1 h (Scheme 2). Nineteen distinct adducts **4** were obtained in 89–98% yields. Reactions of **4** with acryloyl chloride (**5**, 1.5 equiv) in the presence of Et₃N (2 equiv) at room temperature in anhydrous CH₂Cl₂ for 6 h afforded 19 *N*-acylated compounds **6** in 80–90% yields [19].

With *N*-acylated GBB adducts **6** in hand, the synthesis of imidazopyridine-fused isoquinolinones **8** was explored by conducting IMDA and spontaneous dehydrative re-aromatization reactions. The IMDA reaction using **6a** as a model compound was systematically evaluated by varying catalysts, solvents, reaction temperatures and times (Table 1). The best conditions were found to use AlCl₃ as a catalyst in 1,2-dichlorobenzene at 180 °C for 4 h, which gave **8a** in 85% conversion and 82% isolated yield (Table 1, entry 3). Other solvents like toluene and xylene gave minimal or no product. Different combinations of temperature and reaction time couldn't improve the yield. Among the various Lewis acids tested, AlCl₃ gave the best result, while CuCl, ZnCl₂, PdCl₂ and Sc(OTf)₃ showed moderate conversions (30–55%), and InCl₃ had the lowest efficiency. Without any Lewis acid we observed no conversion by LC–MS (Table 1, entry 16). During the reaction, IMDA adduct **7a** was detected by LC–MS (Figure S1, Supporting Information File 1), but it was not stable enough for isolation. The structure of **8a** was confirmed by single crystal X-ray diffraction analysis.

The optimized reaction conditions were used to evaluate the substrate scope of the synthesis of imidazopyridine-fused

isoquinolinones **8** (Scheme 3). The R¹ residue on the furan ring was found to have the most significant impact on the IMDA reaction. A bromine atom at the 3- or the 4-position resulted in products **8a–i** in 66–86% yields, while a bromine atom or a methyl group at the 5-position inhibited the IMDA reaction in the preparation of **8j** and **8k**. A comprehensive DFT investigation of reactant **6** was carried out to analyze the transition state of the IMDA reaction for a Br-substituted diene and its charge distribution (Figure 2). The diene has a notable positive charge (+0.318, +0.098, **6a**), (+0.334, +0.082, **6h**) and (+0.316, +0.074, **6r**) whereas the dienophile presents a negative charge (−0.280 to −0.325, **6a**), (−0.280 to −0.327, **6h**) and (−0.280 to −0.327, **6r**), respectively. This structure induces electrostatic repulsion instead of the requisite attraction for a successful interaction between the electron-rich diene and the electron-deficient dienophile, characteristic of Diels–Alder processes. The incorporation of a bromine atom at the 5-position of the diene (+0.306, −0.041, **6j**) complicates the situation. As an electronegative element, Br exerts an inductive electron-withdrawing influence to enhance the electron shortage of the diene. This electronic imbalance reduces the diene's nucleophilicity, rendering it less reactive to the dienophile. The unfeasibility of the IMDA reaction in this system arises from inadequate interatomic distances, electrostatic repulsion from incompatible associated dienophile was conducted [19,20]. Firstly, the charge and the electronic consequences of the 5-Br substitution **6j** were considered, which were found to inhibit the system from attaining the requisite conditions for successful cycloaddition. Secondly, the interatomic distances between the reactive centers of the diene and dienophile are almost similar for all substitutes of **6a**, **6h**, **6r** and **6j**, which, are not ideal effective for IMDA cycloadditions compared to the other substitute cycloadditions.

The R² substituent on the imidazopyridine moiety in **6** was found to have a significant electronic impact on the IMDA cycloaddition. When R² is a halogen (Br or Cl), it withdraws electron density through its inductive (−I) effect to increase diene reactivity for the cycloaddition to form **7**. For example, **6l**

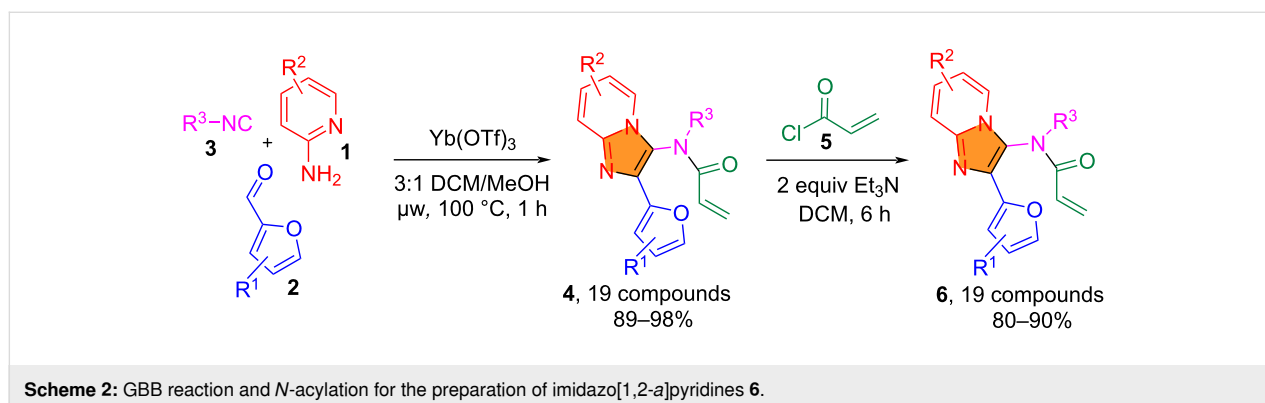
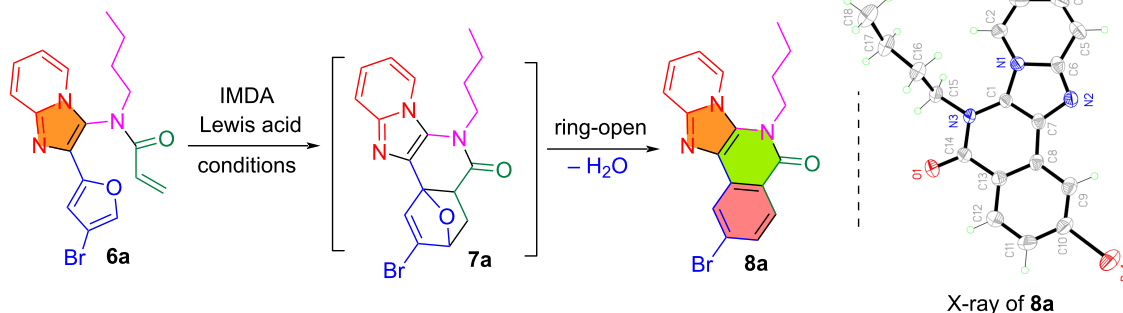


Table 1: Optimization of IMDA and re-aromatization reactions for the preparation of **8a**.

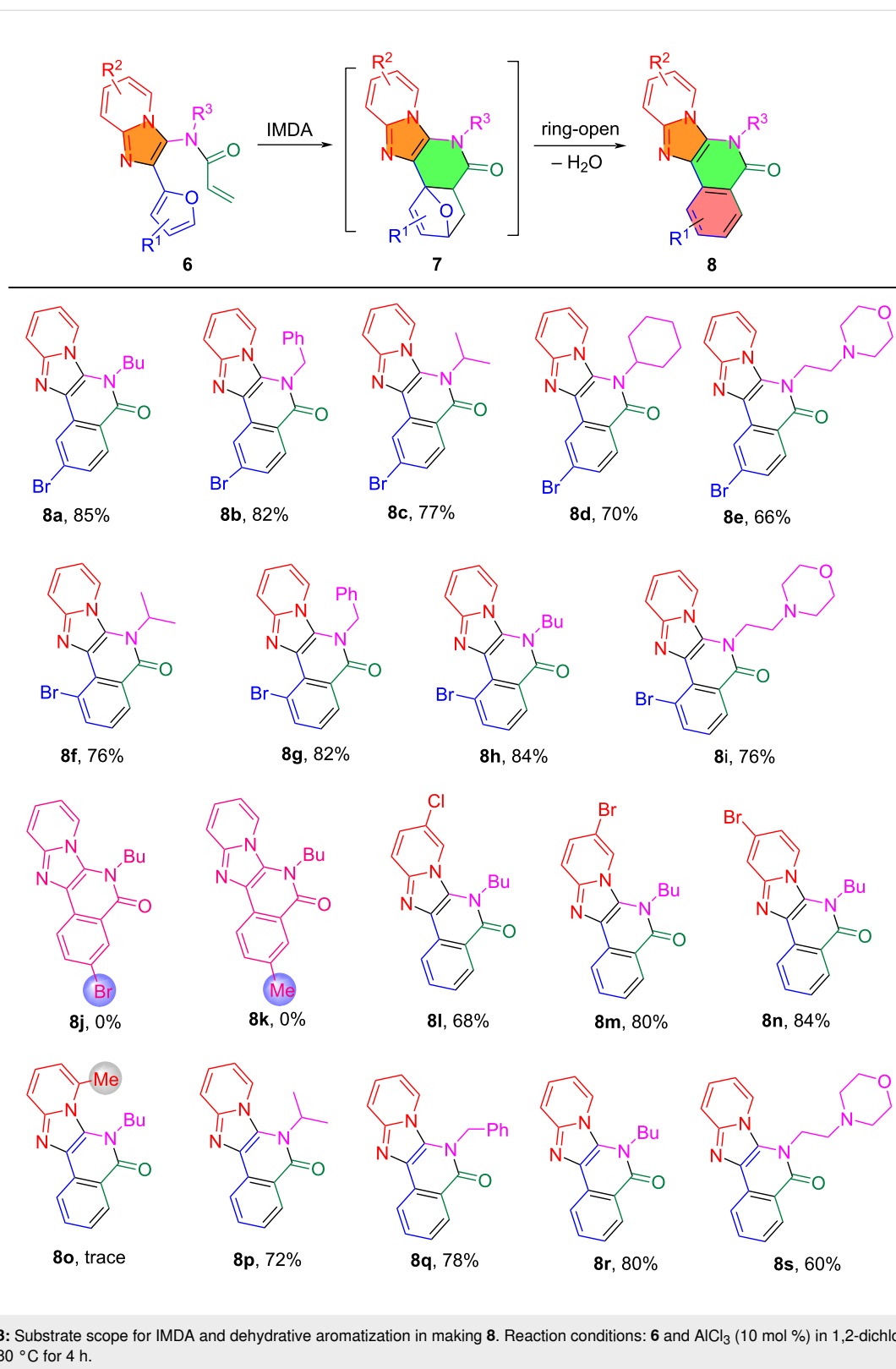
entry	catalyst (10 mol %)	solvent	temp (°C)	time	conversion (%)
1	AlCl ₃	toluene	120	12 h	0
2	FeCl ₃	1,2-dichlorobenzene	120 (μw)	1 h	0
3	AlCl₃	1,2-dichlorobenzene	180	4 h	85
4	AlCl ₃	1,2-dichlorobenzene	180 (μw)	1 h	5
5	AlCl ₃	1,2-dichlorobenzene	120 (μw)	2 h	15
6	AlCl ₃	xylene	140	4 h	0
7	ZnCl ₂	1,2-dichlorobenzene	140	4 h	50
8	CuCl	1,2-dichlorobenzene	180	4 h	55
9	PdCl ₂	1,2-dichlorobenzene	180	4 h	40
10	CsF	1,2-dichlorobenzene	180	4 h	30
11	Sc(OTf) ₃	1,2-dichlorobenzene	180	4 h	35
12	CsCO ₃	1,2-dichlorobenzene	180	4 h	30
13	InCl ₃	1,2-dichlorobenzene	180	4 h	20
14	Yb(OTf) ₃	1,2-dichlorobenzene	180	4 h	60
15	NiCl ₂	1,2-dichlorobenzene	180	4 h	47
16	no catalyst	1,2-dichlorobenzene	180	4 h	0

(R² = 6-Cl, 68% yield of **8l**), **6m** (R² = 6-Br, 80% yield of **8m**), and **6n** (R² = 7-Br, 84% yield of **8n**) are high-yielding substrates. But an electron-donating group in **6o** (R² = 5-methyl) lowers the dienophilic nature and gave no product **8o**. The R³ substituent from isocyanides is an important factor in forming intermediates **7** and promoting dehydrative aromatization for making products **8**. The reactions with R³ = *n*-butyl resulted in the high yielding formation of **8a,h,l,m,n** and **8r** (68–85%), R³ = phenyl resulted in **8b,g** and **8q** in 78–82% yields, R³ = isopropyl and cyclopentene gave **8c,f,p** and **8d** in greater than 70% yields, and R³ = 2-morpholinoethyl gave **8e,i** and **8s** in 60–76% yields.

The energy status for the transformation of compound **6a** to **8a** was calculated using the Gaussian 16 software (Figure 3) [21]. The *N*-acylated compound **6a** has a baseline relative energy of 0 kJ/mol, while the transition state of the Diels–Alder (TS-DA)

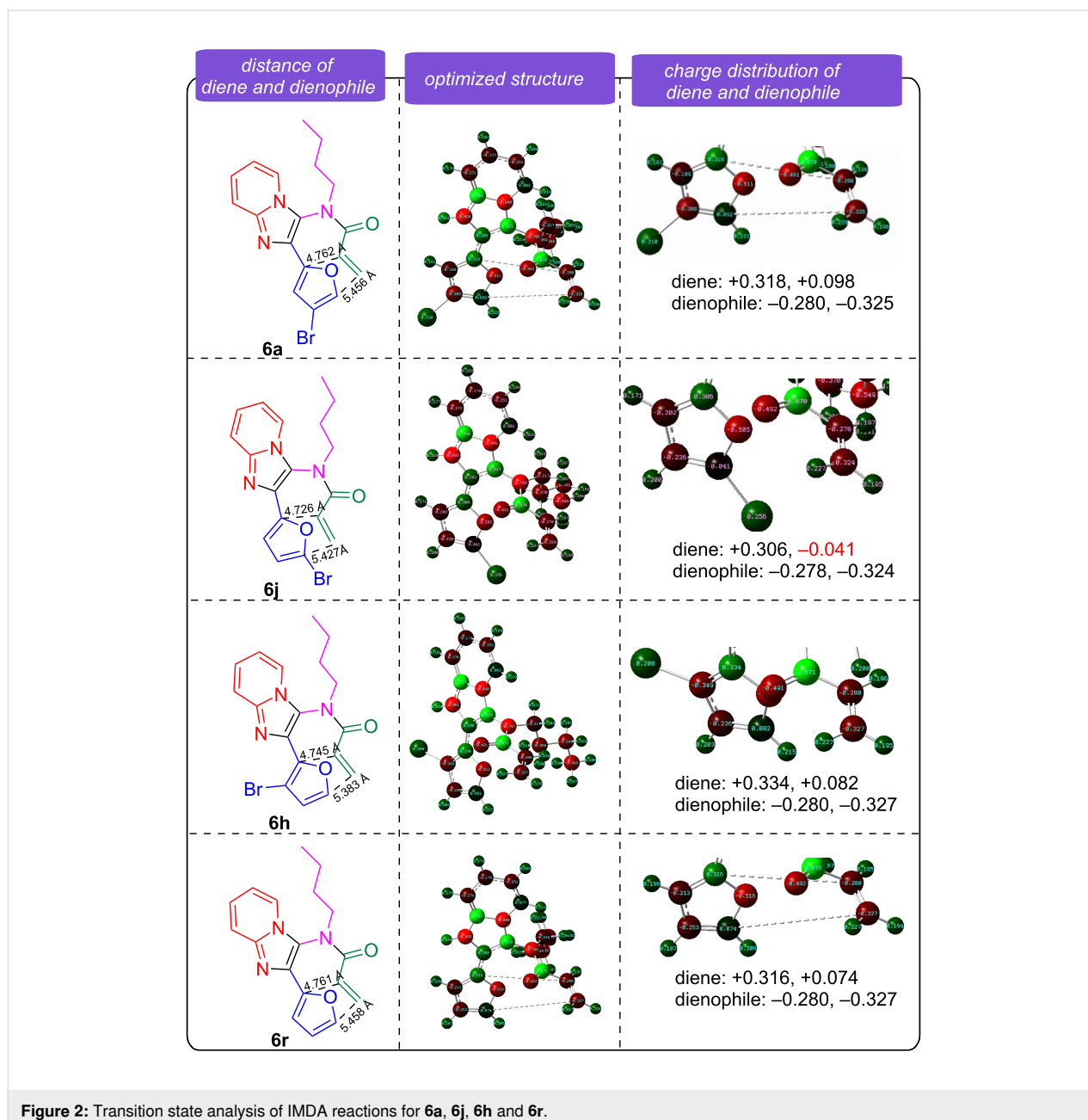
reaction presents the highest energy barrier at 1.221 kJ/mol. The DA adduct shows a little lower energy at 1.001 kJ/mol, indicating a smooth transition from the transition state to the product. The final dehydrative ring-opening gives products by decreasing the energy to 0.978 kJ/mol. Computational analysis indicates that the IMDA step has a high energy barrier which needs a catalyst, while the dehydrative re-aromatization step is energetically favorable.

Other than furfural, thiophene-2-carbaldehyde (**2s**) was used for the GBB and *N*-acylation reactions to make **6t** (Scheme 4). The IMDA reaction of **6t** was carried out under the catalysis of AlCl₃ in dichlorobenzene at 180 °C for up to 24 h, but no compounds **7t** and **8t** could be detected by LC–MS from the reaction mixture. The X-ray structure of **6t** indicated that the diene and dienophile are perpendicular to each other which prevents them from being properly aligned for the IMDA reaction. The



transition state of the IMDA is electronically destabilized by the sulfur group of the thiophene to reduce the diene's reactivity or altering the electrophilicity of the dienophile.

Based on the computational analysis of the transition states, reaction mechanisms for the IMDA and the dehydration re-aromatization process are proposed in Scheme 5. In the



IMDA reaction for the preparation of intermediate **7**, the carbonyl oxygen interacts with AlCl_3 , enhancing the electrophilicity and promoting the rearrangement to form stable oxonium ions. The removal of water from **7** is facilitated by protonation, producing reactive carbocations which undergo dehydrative aromatization to produce products **8**.

Conclusion

In summary, we developed a reaction sequence involving GBB, *N*-acylation, IMDA and dehydrative re-aromatization reactions for the synthesis of imidazopyridine-fused isoquinolinones. Computational studies of the IMDA reaction indicated that the

position of the R^1 group on the furan ring and the R^2 group on the imidazopyridine moiety have direct electronic impact on the IMDA reaction. This integrated reaction process provided a new avenue for the preparation of heterocyclic scaffolds with potential biological activity.

Experimental

General procedure for the synthesis of intermediates **4** and **6**

The GBB reactions for the preparation of imidazo[1,2-*a*]pyridines **4** were conducted using aminopyridines **1**

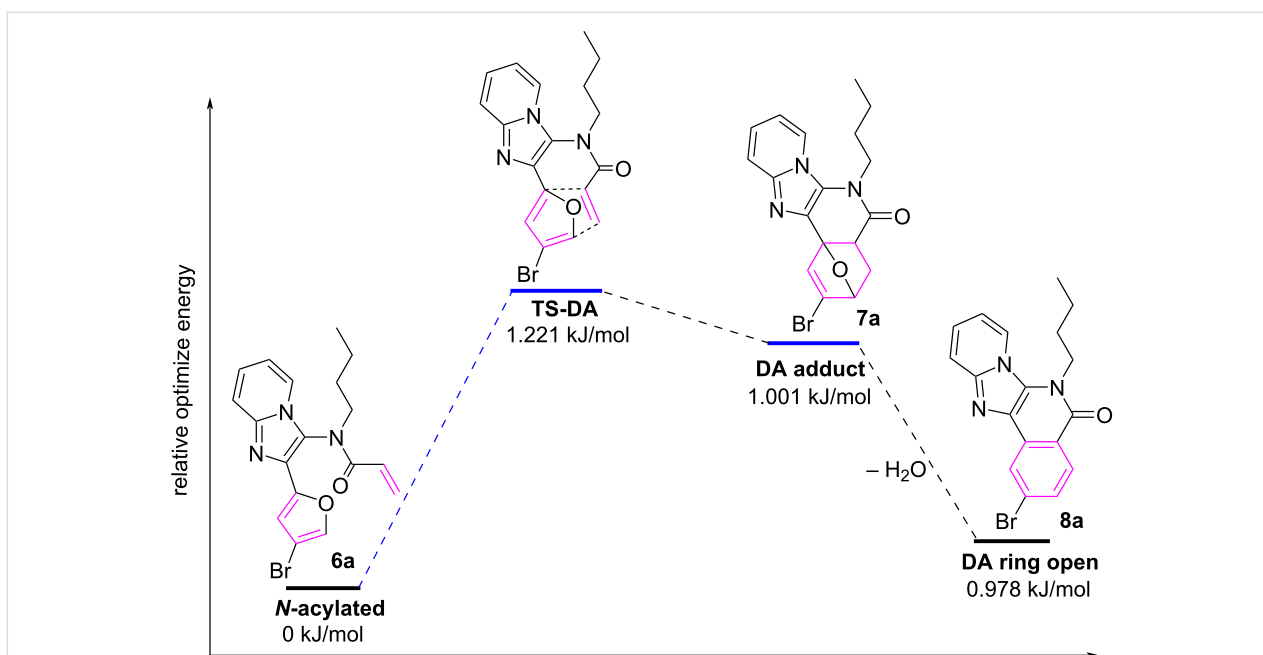
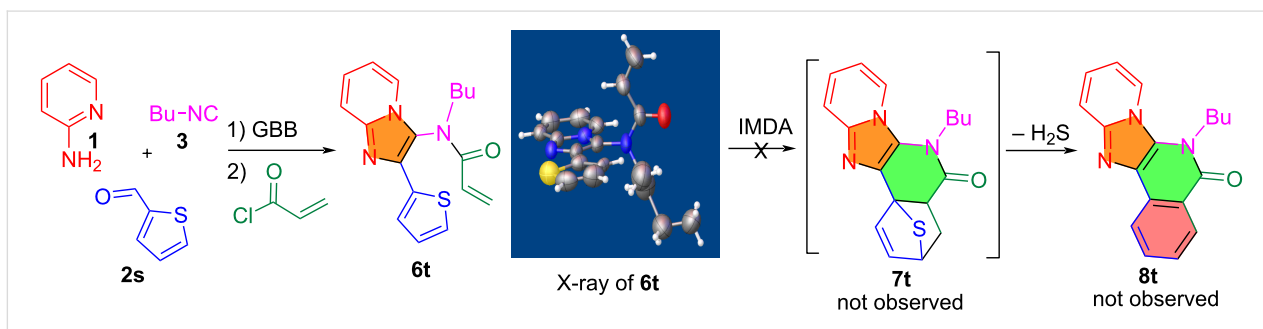
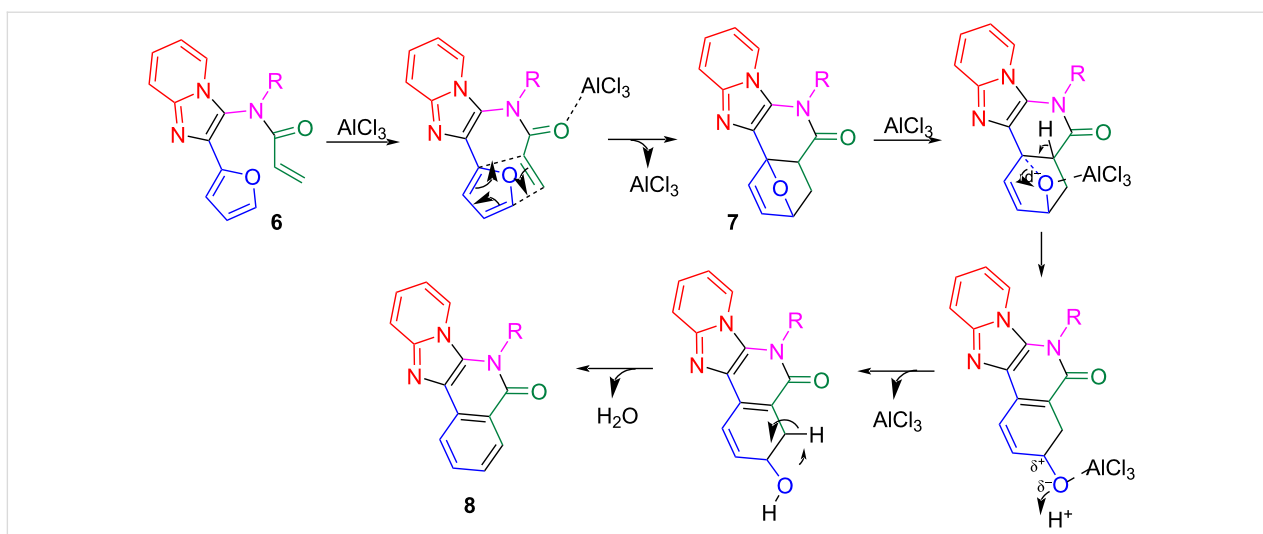


Figure 3: Relative energy diagram for the synthesis of **8a** from **6a**.



Scheme 4: Using thiophene-2-carbaldehyde for the synthesis of **8t**.



Scheme 5: Proposed mechanisms for IMDA reaction and dehydration-rearomatization.

(0.5 mmol), isocyanides **3** (0.6 mmol, 1.2 equiv), and furfuraldehyde **2** (0.6 mmol, 1.2 equiv) in 3:1 DCM/MeOH (4 mL) with Yb(OTf)₃ (0.04 mmol, 0.08 equiv) as a Lewis acid catalyst under microwave irradiation at 100 °C for 1 h (Scheme 2, Table S1 in Supporting Information File 1). Nineteen distinct adducts **4** were obtained in 89–98% yields. The reactions of GBB adducts **4** with acryloyl chloride (**5**, 1.5 equiv) in the presence of Et₃N (2 equiv) at room temperature in anhydrous CH₂Cl₂ for 6 h afforded 19 *N*-acylated compounds **6** in 80–90% yields after flash chromatography with 1:6 EtOAc/hexanes (Scheme 2, Table S2 in Supporting Information File 1) [19].

General procedure for the synthesis of products **8**

In the presence of 0.08 equiv of Lewis's acid AlCl₃, *N*-acylation products **6** (0.1 mmol) in dichlorobenzene were heated at 180 °C for 4 h (Scheme 3). The reaction mixtures were checked by LC–MS to follow the formation of DA adducts **7** and the ring opening products **8** (Figure S1, Supporting Information File 1). After 4 h, the reaction mixtures were worked up and the crude products were purified by flash chromatography with 30:70 EtOAc/hexanes. Product structures were confirmed by ¹H and ¹³C NMR analysis and X-ray crystal structure analysis of **8a**.

Density functional theory (DFT) calculations

DFT computations were conducted utilizing Gaussian 16W with the B3LYP functional and the 6-31G(d,p) basis set [21,22]. Geometry optimizations were performed without symmetry restrictions, and frequency analyses verified that all structures represented genuine minima. Charge distributions and interatomic distances were evaluated to determine reaction feasibility, utilizing GaussView for molecular visualization.

Supporting Information

Supporting Information File 1

General reaction procedures, compound characterization data, and copies of NMR spectra.

[<https://www.beilstein-journals.org/bjoc/content/supplementary/1860-5397-21-92-S1.pdf>]

Conflict of Interest

The authors declare no competing financial interest.

Author Contributions

Ashutosh Nath: data curation; formal analysis; investigation; methodology; software; validation; writing – original draft.

John Mark Awad: investigation; methodology; validation; writing – original draft. Wei Zhang: conceptualization; funding acquisition; supervision; writing – review & editing.

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Data Availability Statement

All data that supports the findings of this study is available in the published article and/or the supporting information of this article.

Preprint

A non-peer-reviewed version of this article has been previously published as a preprint: <https://doi.org/10.3762/bxiv.2025.20.v1>

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Recent advances in amidyl radical-mediated photocatalytic direct intermolecular hydrogen atom transfer

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Review

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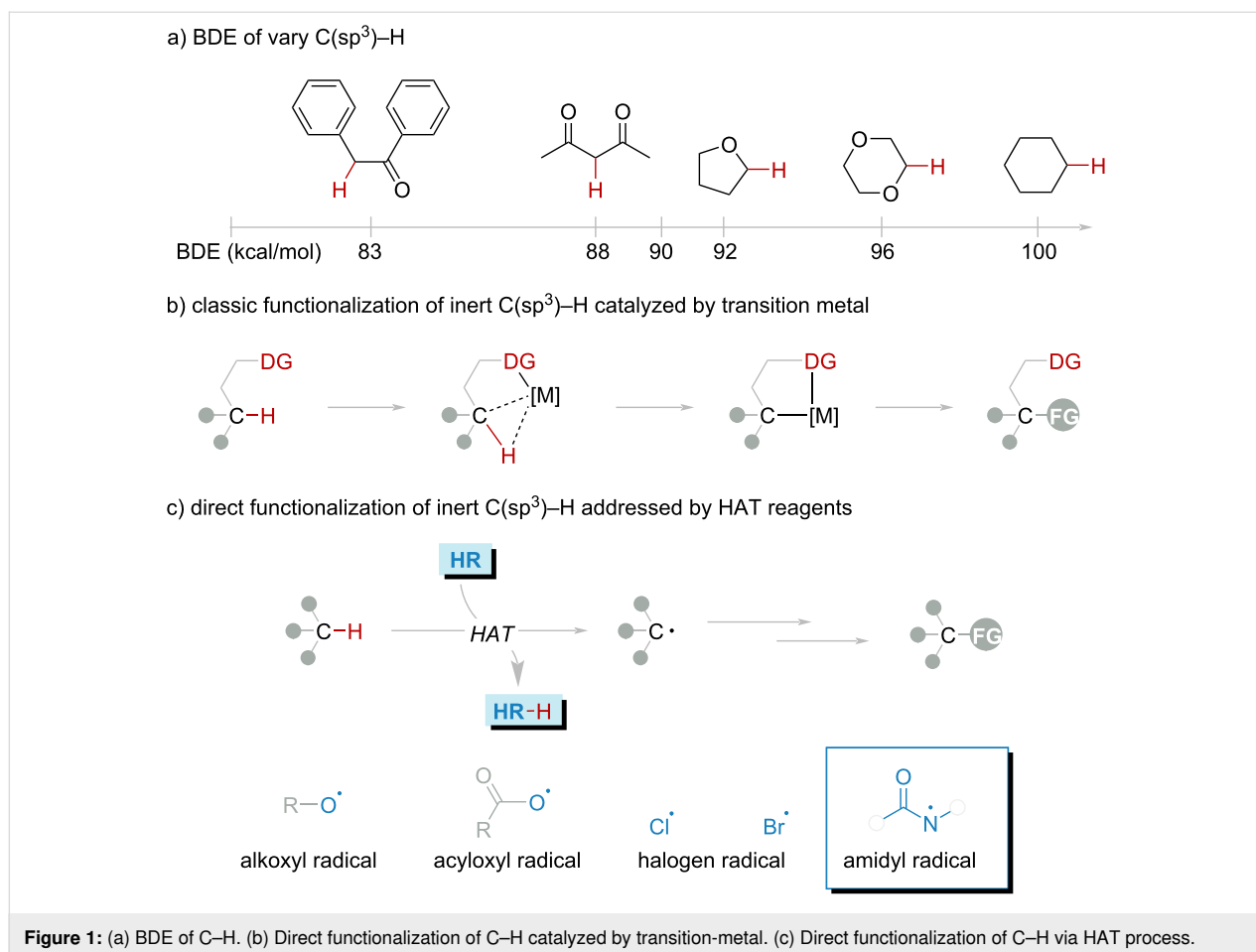
Abstract

In recent years, amidyl radicals have emerged as highly efficient and versatile reagents for hydrogen atom transfer (HAT) in photocatalytic reactions. These radicals display exceptional selectivity and efficiency in abstracting hydrogen atoms from C–H, Si–H, B–H, and Ge–H, positioning them as invaluable tools in synthetic chemistry. This review summarizes the latest advancements in the photocatalyzed generation of amidyl radicals as HAT reagents, with a particular emphasis on their role in the intermolecular HAT process. We highlight key developments, mechanistic insights, and emerging strategies that harness the unique reactivity of amidyl radicals in the selective functionalization of a variety of substrates.

Introduction

C–H bonds are the predominant chemical bonds in organic compounds, and their direct conversion can rapidly and efficiently increase the complexity and functionality of organic molecules. On the other hand, C–H bonds exhibit low reactivity due to their relatively high bond dissociation energy (BDE) (Figure 1a). Therefore, the direct functionalization of C–H bonds is extremely challenging [1–5].

In recent decades, transition-metal-catalyzed C–H bond functionalization demonstrated a decent methodology of organic synthesis. These elegant strategies presented powerful C–H bond transformation toolkits (Figure 1b) [6–8]. One of the exceptions to the perfection is the pre-functionalization of substrates. Current catalytic methodologies predominantly rely on substrate prefunctionalization through directing group (DG) incorpora-



tion, inevitably necessitating covalent DG-metal coordinative anchoring. This prerequisite fundamentally compromises both atomic efficiency and synthetic practicality, thereby imposing fundamental constraints on the catalytic system's intrinsic sustainability and operational scalability [9,10]. Moreover, a high temperature and additive oxidants are generally required, which would limit the substrate scope.

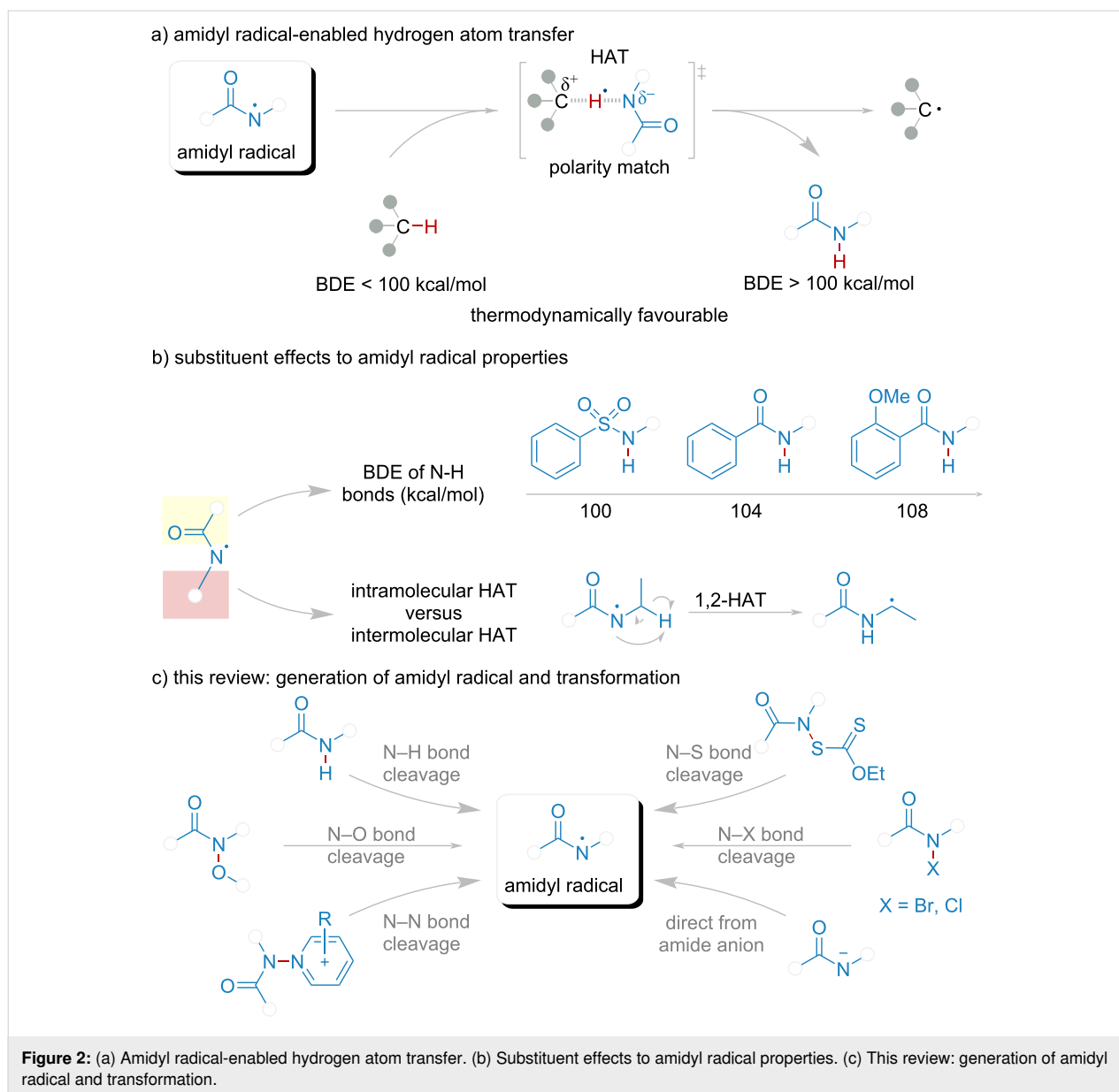
The hydrogen atom transfer (HAT) process has emerged as a powerful avenue for addressing these challenges, leveraging the HAT reagents to selectively abstract hydrogen atoms from these C-H bonds and directly functionalize these bonds via radical reactions (Figure 1c) [11-18]. This approach involves HAT reagents abstracting hydrogen atoms from C-H bonds to generate highly reactive C-centered radicals, which can subsequently form C-C or C-heteroatom bonds. The incorporation of HAT strategies into the functionalization of C-H bonds represents a significant advancement in synthetic organic chemistry for their high atom economy and step economy.

HAT reagents (HR), including alkoxy, acyloxy, halogen radicals, and amidyl (Figure 1c) [19-27], serve as key species for

the HAT process. These HR were generated from different HAT reagent precursors (HRP) in a variety of strategies. Among these, amidyl radical HRP have gained significant attention in recent years due to their ease of HRP synthesis and the relatively green chemistry of generating amidyl radicals. Amidyl radicals offer several advantages that enhance their applicability in organic synthesis:

1) The BDE of amidyl N-H bond is more than 105 kcal/mol, relative to the bond (C-H, Si-H, B-H, and Ge-H) which BDE is lower than 100 kcal/mol (Figure 2a) [28-30]. Almost 5 kcal/mol difference between two species could spontaneously undergo a HAT process. That also justifies the selectivity and efficiency of amidyl radical serving as HAT reagent.

2) Recent research indicated a critical correlation between electronic effects and activation energy modulation during transition state formation. Specifically, donor/acceptor electronic configurations in the substrate could either stabilize or destabilize the transient hybrid state, thereby thermodynamically governing the energy barrier for intermolecular HAT progression. When the partial positive and negative charges of two



species can be stabilized by the electronic effects, these species are considered to be polarity matched during the HAT process. Conversely, when there is a polarity mismatch, the HAT process is likely to be impeded (Figure 2a). C–H bonds predominantly prefer to be nucleophilic, which smoothly facilitates the HAT process with amidyl radical. This effect is also called a polarity match [31–39].

3) Considering the electronic effect, modifying the substituent of the N atom could tune the property of HAT capability (Figure 2b) [40–43]. The electron-withdrawing groups could stabilize the charge of the N-centered radical during the HAT process by decreasing the charge density [44]. Notably, the BDE of N–H in the corresponding amide might be too low to

ensure a spontaneous HAT process due to the electronic effect of the substituent. When introducing electron-donating groups to address this contradiction, another vital impact arises, the intramolecular HAT would take place. The amidyl radical would abstract a hydrogen atom from the nearest C–H, i.e., 1,2-HAT. Taken all these together, the substituent group should be decently modified.

In recent years, photocatalysis has been widely adopted due to its green and efficient nature [45–51]. The generation of amidyl radical is implemented by HRP. Six different methods (Figure 2c), which have been developed for visible-light mediated reactions, could generate amidyl radicals from HRP: (a) direct single-electron oxidation of amide HRP in the pres-

ence of photocatalyst and a base via a proton-coupled electron transfer (PCET) process by the cleavage of the N–H bond; (b) single-electron reduction of HRP catalyzed by photocatalyst via a single-electron transfer (SET) process by the cleavage of the N–O bond; (c) direct homolytic cleavage of weak N–S or N–X bonds in HRP initiated in the presence of visible light; (d) the intersystem crossing (ISC) of S_1 to T_1 state directly from the amide anion. This review is organized by bond cleavage type, offering a deep insight in the development of novel methods for amidyl radical-mediated photocatalytic direct intermolecular hydrogen atom transfer.

Although, amidyl radicals employed in many reactions as HAT reagents via heating conditions have been summarized in several studies [52–58]. To advance the research of direct functionalization via HAT processes and the development of green chemistry in photocatalysis, this review will focus on the generation of amidyl radicals and reaction mechanisms and highlight the photocatalyzed reaction characteristics. This review aims to provide researchers with a systematic understanding and strategic toolkit, thereby propelling the development of direct functionalization of C–H, B–H, Si–H, and Ge–H techniques in modern organic synthesis. Most of the photocatalysts used in this review are listed in Figure 3.

Review

Amidyl radical from N–H bond cleavage

N-Alkylbenzamide constitutes the primary structural unit of this class of compounds. The structures of these compounds are relatively simple and readily synthesizable. In these photocatalytic systems, direct single-electron oxidation of the amide HRP occurs in the presence of a photoredox catalyst and a base via a proton-coupled electron transfer process [59–69]. Following this process, the corresponding amidyl radical abstracts a hydrogen atom from the substrate, resulting in the conversion of the amidyl radical back to *N*-alkylbenzamide. This pathway creates a complete cycle in synchrony with the photocatalytic cycle, thereby allowing these HRPs to be consistently employed for catalytic equivalence.

In 2016, Knowles' group independently developed an oxidative photocatalytic system capable of directly generating amidyl radicals from *N*-ethyl-4-methoxybenzamide, utilizing the photocatalyst $[\text{Ir}(\text{dF}(\text{CF}_3)\text{ppy})_2(4,4'\text{-d}(\text{CF}_3)\text{bpy})]\text{PF}_6$ in combination with a base ($\text{NBu}_4\text{OP}(\text{O})(\text{OBu})_2$) (Scheme 1) [59]. The generation of amidyl radical **5** involved a stepwise PCET process catalyzed by the combined effect, in the presence of photocatalyst and the base. Subsequently, amidyl radical **5** abstracted a hydrogen atom from substrate **1**. This HAT process returned the

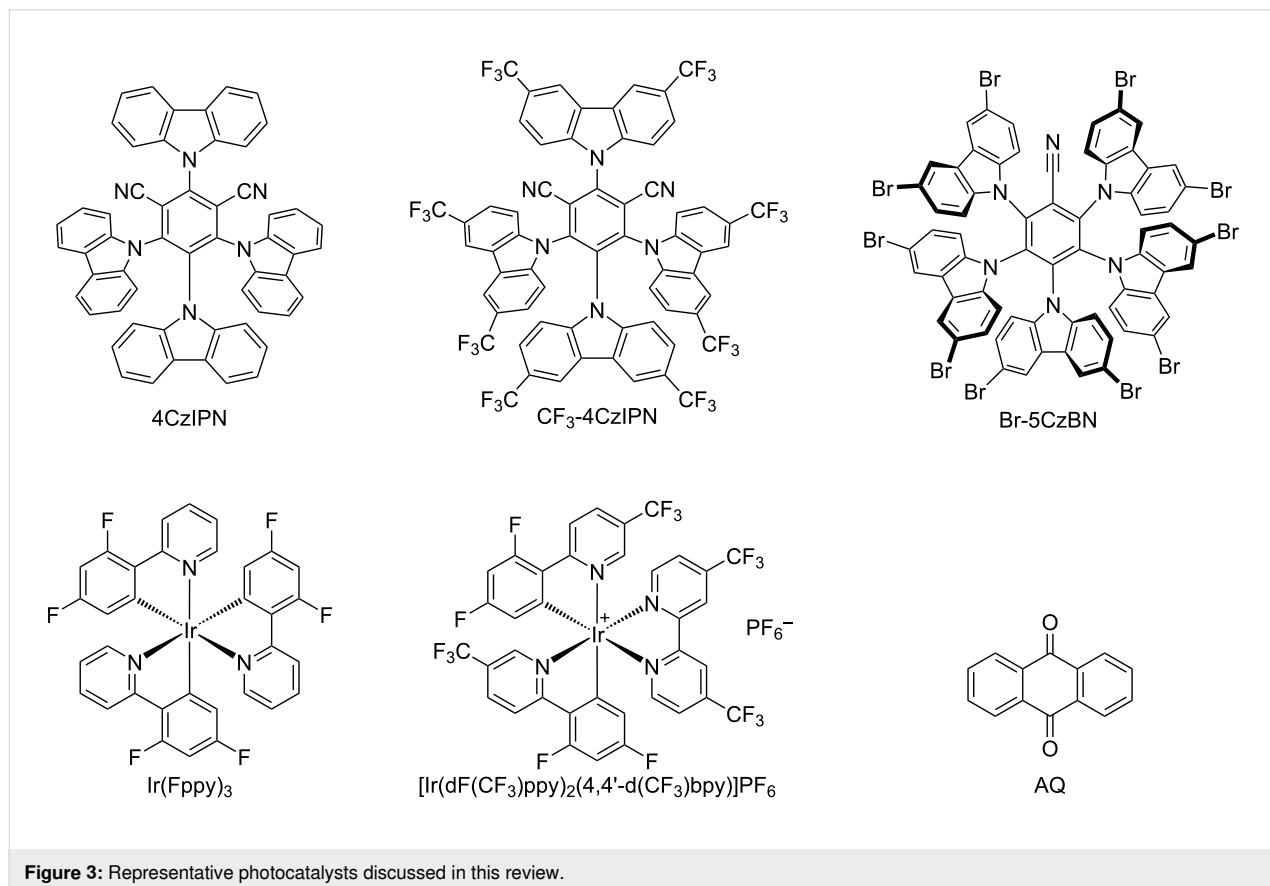
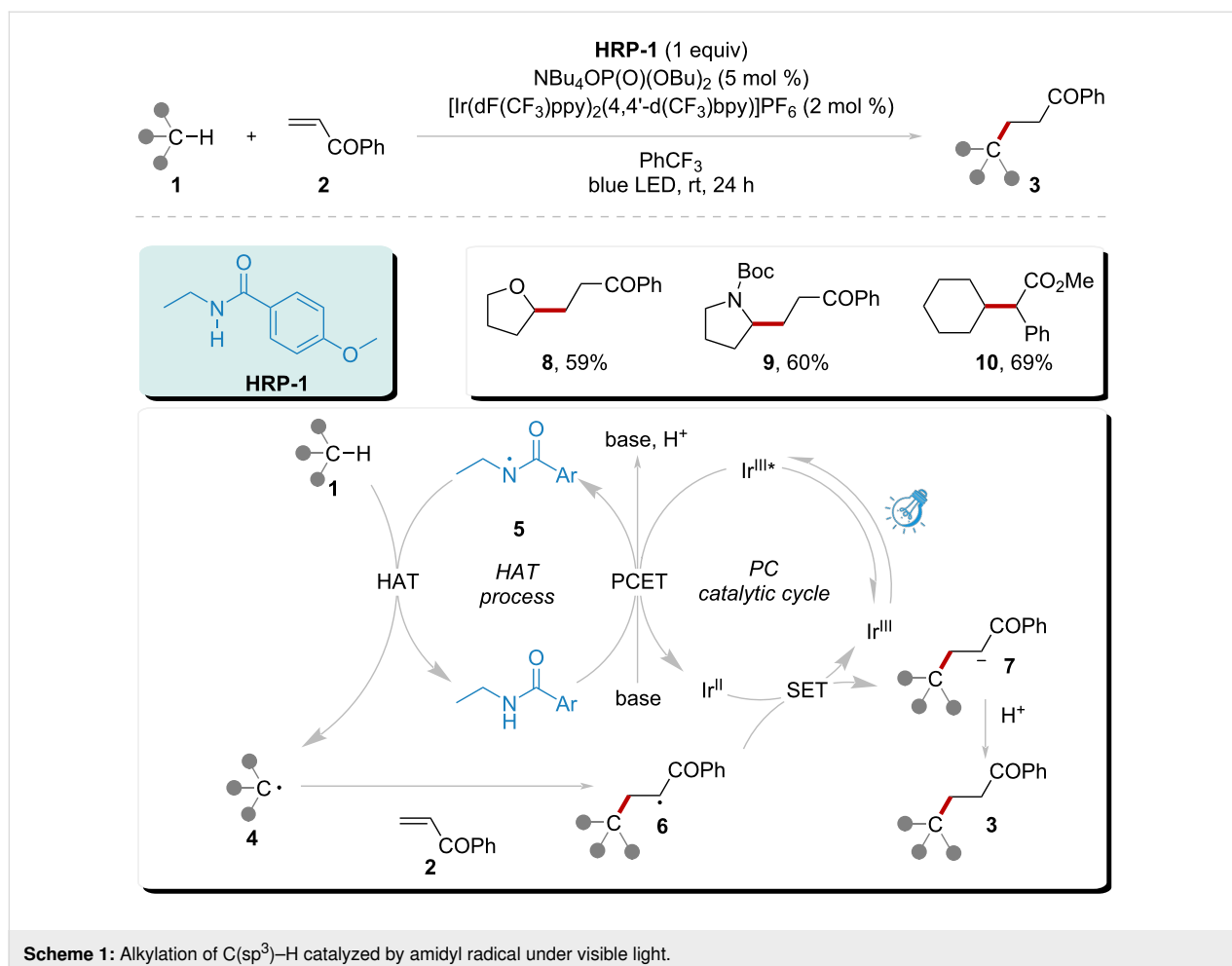


Figure 3: Representative photocatalysts discussed in this review.

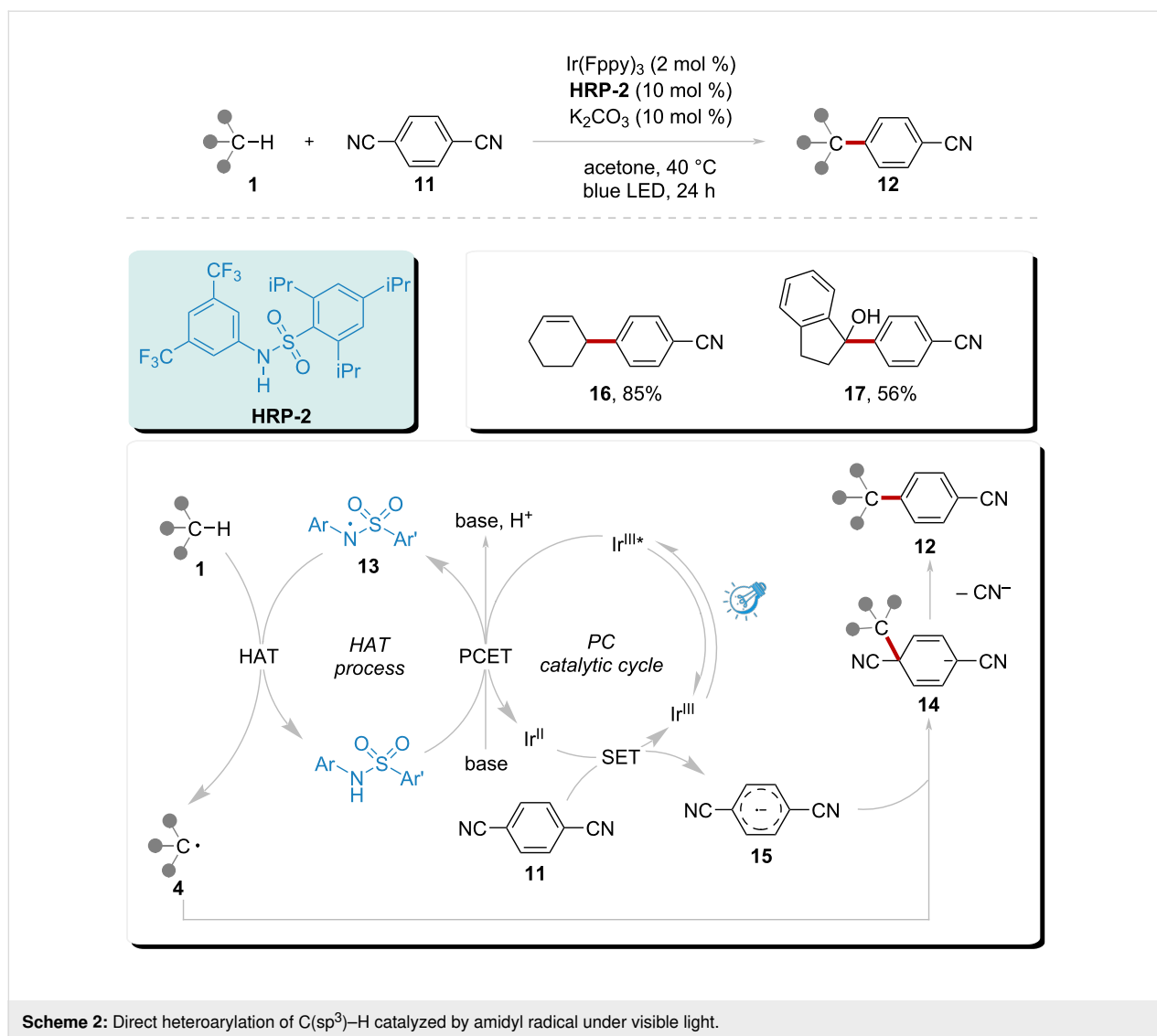


amidyl radical **5** to **HRP-1**, enabling the continuation of the HAT cycle in synchronization with the photocatalytic cycle. The resulting radical **4** then underwent Giese addition with activated alkenes, leading to the formation of products **8**, **9**, and **10** with 59%, 60%, and 69% yields. This powerful and efficient toolkit effectively overcame the limitations of intramolecular HAT processes.

Building on this strategy, Kanai's group reported a novel HAT method employing a new radical precursor in an oxidative photocatalytic system in 2018 (Scheme 2) [70]. Through a similar oxidative pathway, amidyl radical **13** was generated directly from the amide **HRP-2**, facilitating a smooth HAT process with substrate **1** while simultaneously regenerating **HRP-2**. The resulting radical **4** then participated in an addition reaction with radical anion **15**. The radical anion **15** was reduced by the photocatalyst Ir(Fppy)₃ from the reagent **11**. The resulting anion **14** underwent aromatization to release a nitrile anion, subsequently yielding product **12**. This strategy also successfully produced products **16** and **17** with yields of 85% and 56%, respectively, from cycloalkenes and alcohols.

To eliminate the need for noble metal photocatalysts in the system, Duan's group employed 2,4,5,6-tetra-9*H*-carbazol-9-yl-1,3-benzenedicarbonitrile (4CzIPN) as a metal-free photocatalyst (Scheme 3) [71]. This system initiated the formation of amidyl radical **20** from **HRP-3** through a PCET process, involving the oxidation of excited 4CzIPN* and deprotonation by a base. The resulting amidyl radical **20** smoothly abstracted a hydrogen atom from the substrate via a HAT process, generating a radical **4**. This C-centered radical subsequently underwent Giese addition with activated alkenes, resulting in the formation of radical **21**. Radical **21** then oxidized the photocatalyst radical anion to its ground state while simultaneously generating anion **22**. Ultimately, anion **22** yielded product **19** through protonation. This system demonstrated good applicability, achieving yields of 53% to 60% for products **23**, **24**, and **25**.

To further investigate the scope of substrates, Selvakumar's group employed **HRP-4** in combination with 4CzIPN (Scheme 4) [72]. This system examined the applicability of Si-H and Ge-H bonds through a HAT process. As seen in previous strategies, **HRP-4** was converted into amidyl radical



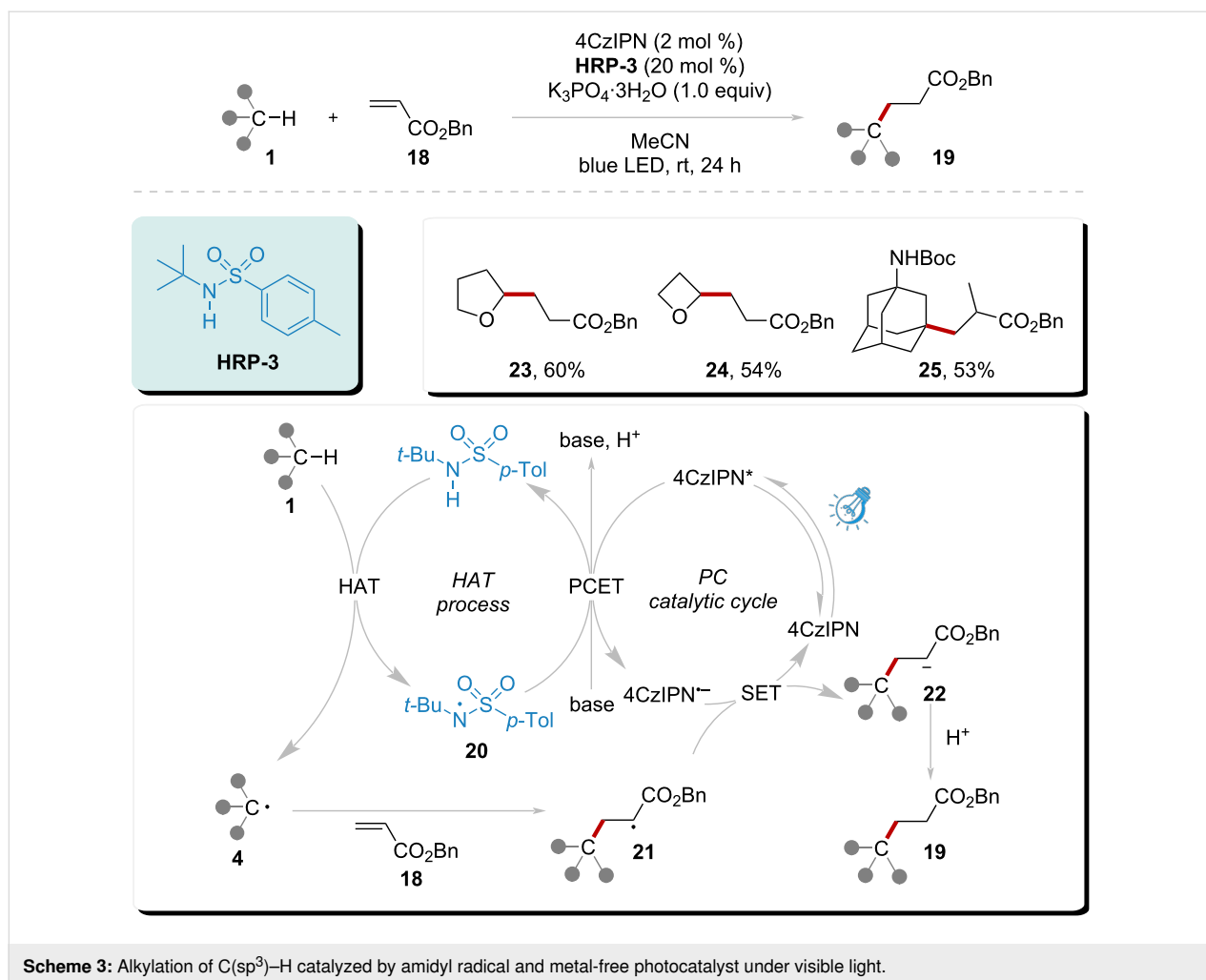
29 in the presence of 4CzIPN and a base via a PCET process. Radical **29** subsequently engaged in a HAT process with substrate **26**, generating either a Si radical or a Ge radical **28**. Following this, radical **28** underwent Giese addition with activated alkenes. The reduction of species **31** was efficiently promoted by the PC radical anion. The resulting anion **32** ultimately produced product **27** through protonation. This system demonstrated the significant HAT capability of amidyl radical **29**, as evidenced by the synthesis of products **33**, **34**, and **35** with yields reaching 70% to 78%.

Amidyl radical from N–N bond cleavage

N-Amidopyridinium salts are known to undergo SET reduction, leading to the formation of amidyl radicals. Hong's group has made significant advances in the cleavage of N–N bonds in recent years. Through SET reduction of *N*-amidopyridinium salts to generate amidyl radicals, Hong's group has accom-

plished various remote functionalizations of C–H bonds via 1,5-hydrogen atom transfer processes [73–76].

In 2021, Hong's group reported a HAT combined with a reverse hydrogen atom transfer (rHAT) system (Scheme 5) [77]. By utilizing anthraquinone (**AQ**) as the HAT photocatalyst, activated **AQ** was able to abstract a hydrogen atom from substrate **1**. The addition of the corresponding radical **4** to **HRP-5** facilitated the release of amidyl radical **36**, which simultaneously produced the final product **35**. Amidyl radical **36** was capable of abstracting hydrogen atoms from both substrate **1** and **AQ-H**. The HAT process between substrate **1** and amidyl radical **36** initiated a chain reaction pathway leading to the formation of product **35**. Conversely, the rHAT process between amidyl radical **36** and **AQ-H** allowed for the regeneration of the photocatalyst and the completion of the catalytic cycle. Amidyl radical **36** played a central role in this photocatalytic



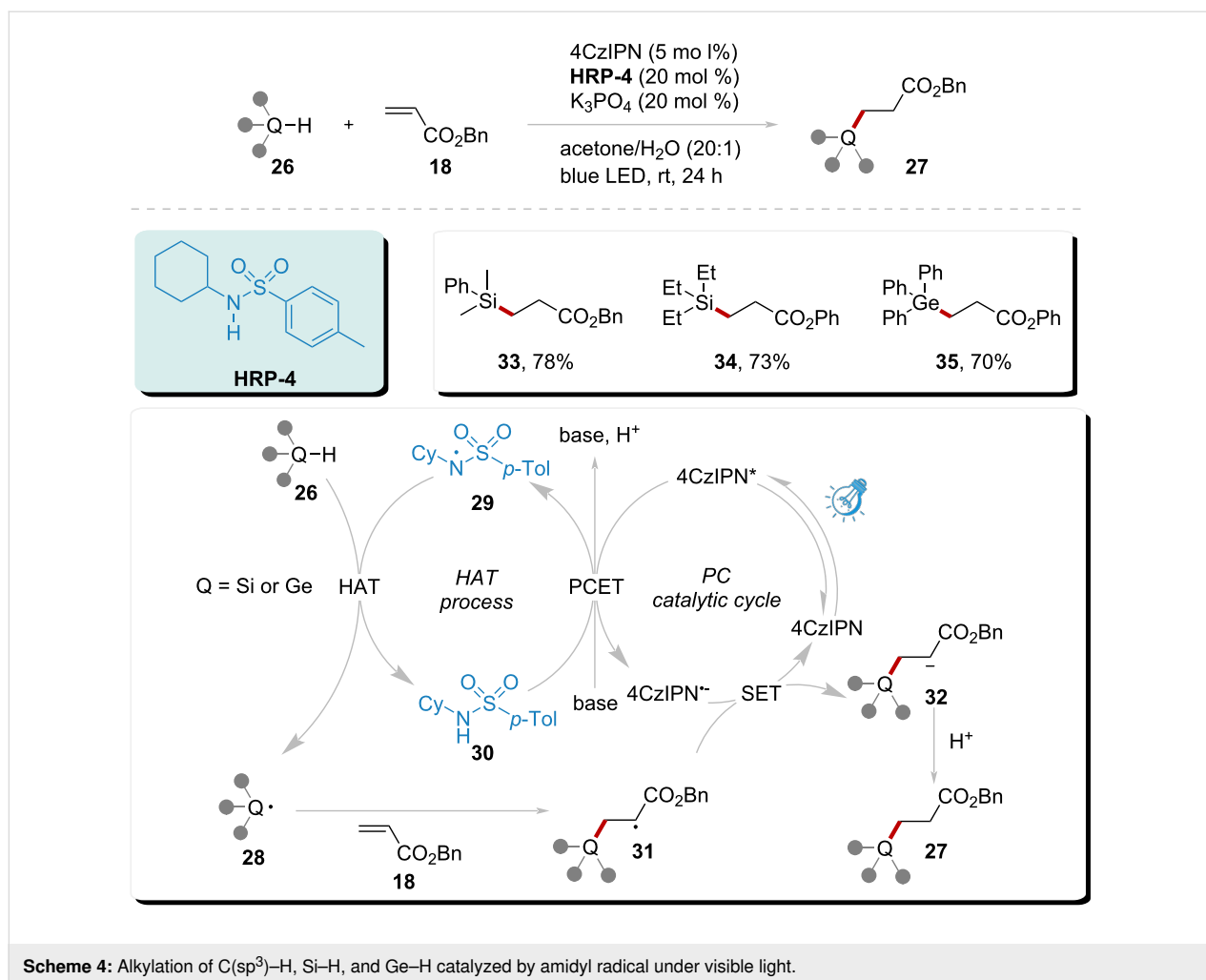
system. This strategy demonstrated good chemical selectivity for the functionalization of pyridine and alkanes, resulting in 55% to 86% yields of products **38**, **39**, **40**, and **41**, respectively.

Amidyl radical from N–O bond cleavage

In 2022, Alexanian's group demonstrated the homolytic cleavage of the N–O bond using *N*-(*tert*-butyl)-*O*-(1-phenylvinyl)-phenylhydroxyamide as a HAT reagent [78,79]. This compound was capable of initiating the formation of amidyl radicals through visible light activation. Although their controlled experiments showed that this method was effective, the use of heating conditions resulted in a higher yield of the corresponding products. This advancement prompted scientists to explore alternative pathways for generating amidyl radicals, as an alternative to the traditional SET reduction of the N–O bond [80–82]. The SET reduction is able to produce amidyl radicals and oxygen anions in the presence of photocatalysts activated by visible light. Two representative cases illustrating this approach were reported in 2023.

Building upon the experiments conducted by Alexanian's group, Yan's group extended the applicability of carborane as a HAT substrate (Scheme 6) [83]. Initially, under optimized conditions, **HRP-6** was employed to generate amidyl radical **45**, which subsequently participates in the HAT process with the carborane substrate. This process results in the formation of borone radical **47**, accompanied by amide **46**. The resultant radical **47** can be intercepted by species **43**, simultaneously releasing radical **48** and product **44**. Radical **48** reacts with **HRP-6**, leading to the regeneration of amidyl radical **45**, the release of byproduct **49**, and the initiation of a chain reaction pathway. Notably, this system could give rise to the formation of the highly applied value products **50**, **51**, and **52**, with the 39% to 60% yields. The work by Yan demonstrated the HAT capabilities of amidyl radical **45** and significantly broadened the substrate scope of amidyl radical-enhanced photocatalytic systems.

The reduction of the N–O bond through traditional SET processes is effectively illustrated by *N*-(acyloxy)phthalimides

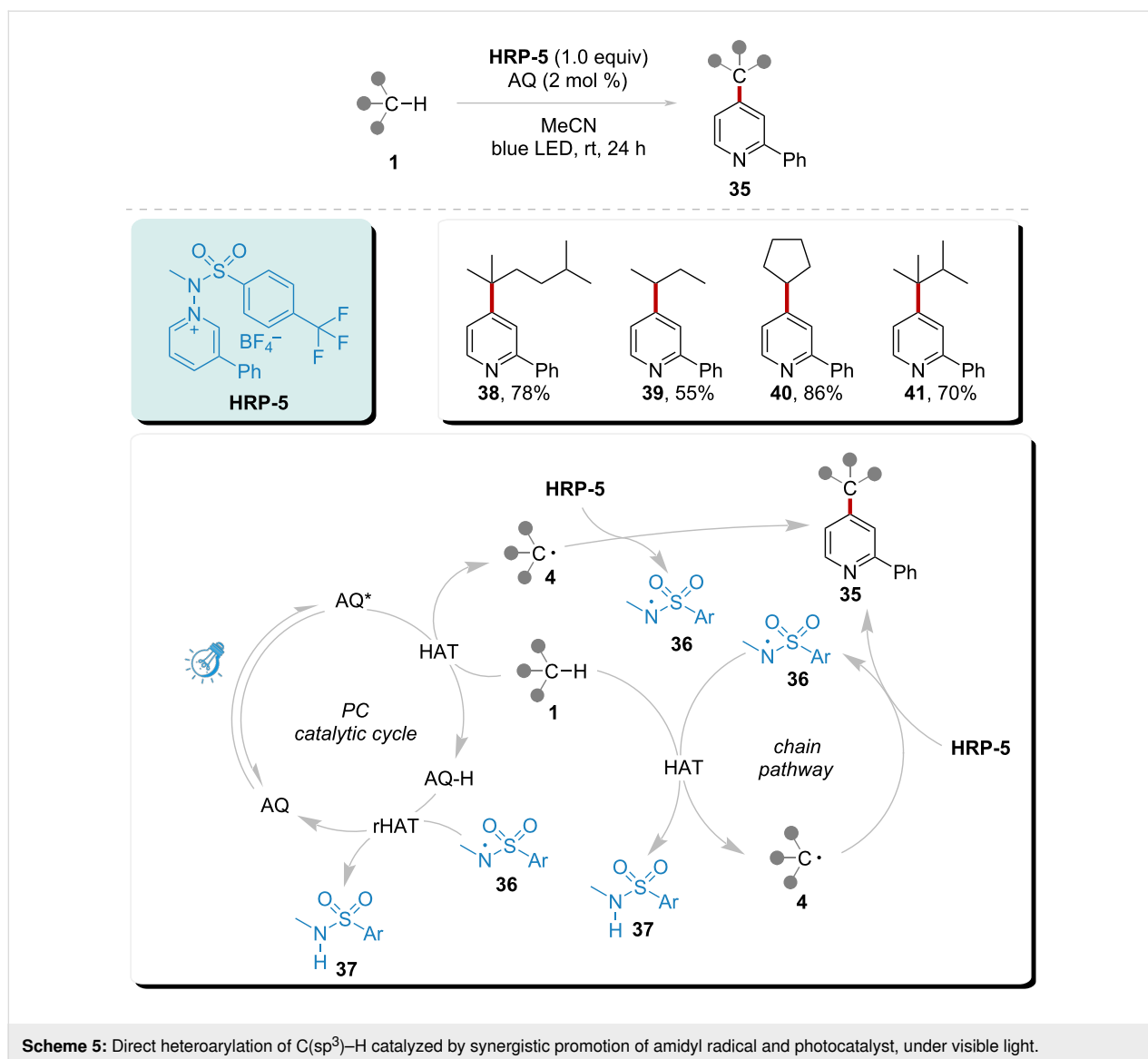


[84]. These compounds preferentially undergo SET reduction, resulting in the cleavage of the N–O bond. Typically, this cleavage generates an amidyl anion and an O radical [5,85–88]. Conversely, it is also possible for the N–O bond to produce an amidyl radical alongside an O anion.

In 2023, Doyle's group reported a novel system initiated by an off-cycle reductive quenching of the activated CF₃-4CzIPN* species, leading to the generation of a ground state photocatalyst radical anion (Scheme 7) [89]. This radical anion subsequently underwent SET reduction of **HRP-7**, resulting in the liberation of amidyl radical **45**. The amidyl radical **45** efficiently abstracted a hydrogen atom from substrate **1**, yielding radical **4** and byproduct amide **46**. Furthermore, the resultant radical **4** was oxidized by the excited photocatalyst, resulting in the concurrent generation of the carbon cation **55**. This cation was subsequently trapped by a nucleophile, leading to the formation of product **54**. This system demonstrated a broad applicability for the general nucleophilic amination of benzylic C–H bonds. The substrate's scope and selectivity were exemplified

by the satisfactory yields of products **55–57**, and **58**, which achieved yields of 43–85%.

Inspired by these previous work, Yu's group devised a new photocatalyzed system catalyzed by a newly designed photocatalyst Br-5CzBN. This robust strategy implements direct heteroarylation of C(sp³)-H and C(sp³)-H without the presence of strong bases, acids, or oxidants (Scheme 8) [90]. The reaction is initiated by SET reduction of **HRP-8** via excited photocatalyst Br-5CzBN*, subsequently generating HAT reagent **45**, O-anion **64**, and Br-5CzBN^{•+}. HAT reagent **45** engages a HAT event with the substrate, converting it into the byproduct **46** and generating a carbon-centered radical **62**. Species **62** is trapped by heteroarene **60**, leading to the formation of the intermediate **63**. This intermediate **63** undergoes SET and proton transfer with the assistance of O-anion **64** and the Br-5CzBN^{•+} radical cation, delivering the final product **61** and regenerating photocatalyst Br-5CzBN. **HRP-8** functions as an oxidizing agent, facilitating the generation of a highly active HAT reagent, while the O-anion **64** serves as a base. This eluci-



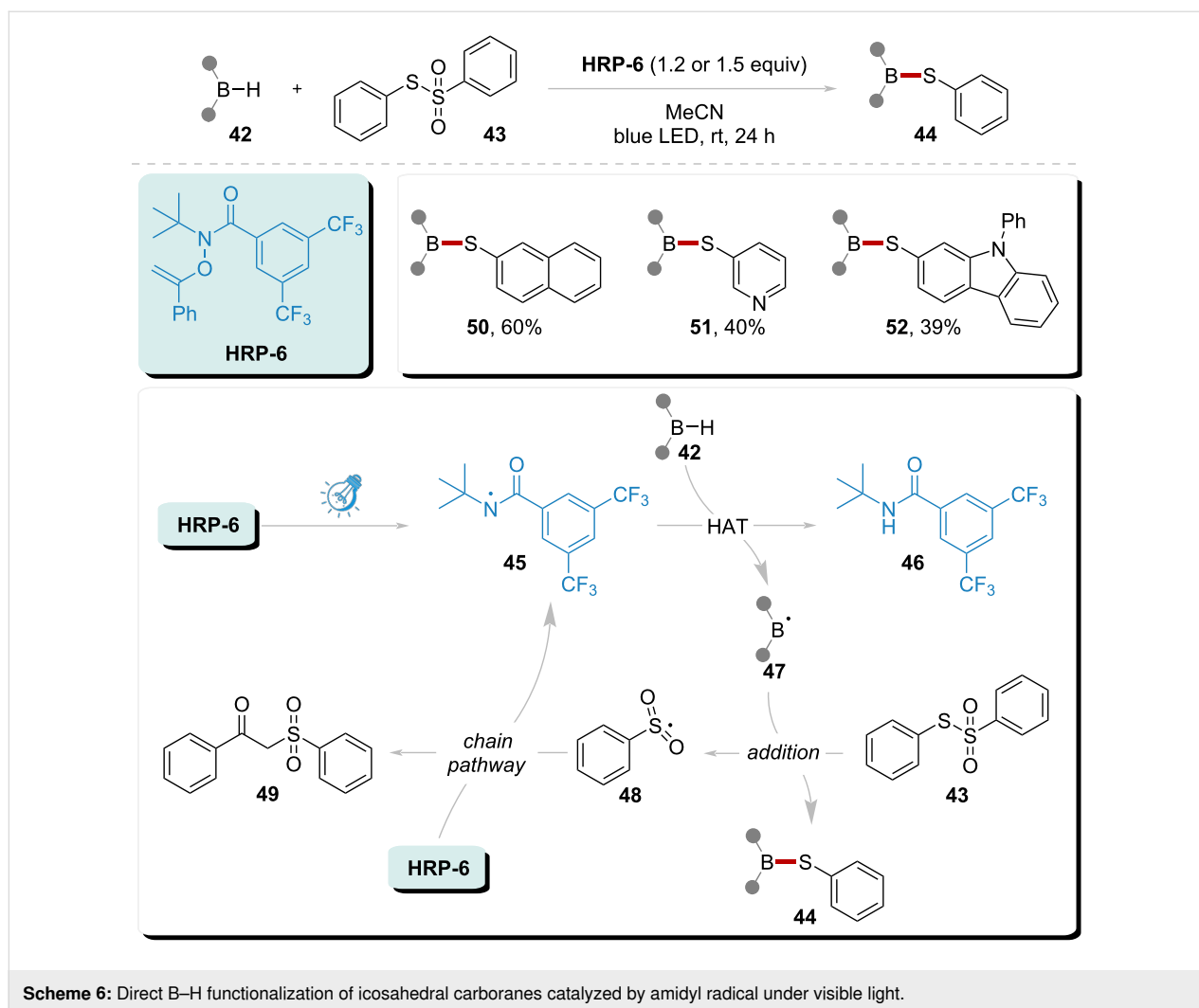
dates the rationale behind the self-sufficiency of this photocatalytic system, obviating the need for supplementary oxidants and bases, thereby enabling broad substrate adaptability. This streamlined approach demonstrates significant potential for extended utility in pharmaceutical late-stage functionalization (LSF), particularly evidenced by the synthetically valuable yields (60–80%) obtained for structurally diversified target molecules **65–68** under optimized reaction conditions. In these cases, the high polarity of the radical intermediates of CF₃CH₂OH and 4-methylbenzonitrile, combined with the poor solubility of adamantane, may explain the possible reasons behind the reaction process [91].

Amidyl radical from N–S bond cleavage

The work of Alexanian's group has significantly advanced the field of organic synthesis over recent years, particularly in the

area of N–S bond homolytic cleavage [92–94]. Initial studies demonstrated that high-temperature conditions were required to facilitate this reaction; however, such extreme conditions limited the practical applicability of the reactions. To address this limitation, Alexanian's research has shifted toward the principles of green chemistry, utilizing visible light to achieve mild reaction conditions. This approach has not only enhanced the feasibility of the reactions but has also led to the establishment of a comprehensive platform for C–H functionalization through the introduction of the highly versatile xanthy functional group.

In 2016, Alexanian's group successfully implemented a method for the direct xanthylation of C–H bonds with high selectivity and efficiency (Scheme 9) [95]. This process is initiated through a visible light-triggered chain reaction, involving the homolytic cleavage of **HRP-9**. The liberation of amidyl radical **45** facili-



tates hydrogen atom abstraction from the substrate, resulting in the formation of radical **4** and the concurrent generation of by-product **46**. Subsequent trapping of radical **4** by **HRP-9** leads to the generation of product **69**. This methodology demonstrates a broad substrate scope and exhibits significant synthetic utility, particularly for the generation of products **70**, **71**, and **72** with yields ranging from 54% to 59%.

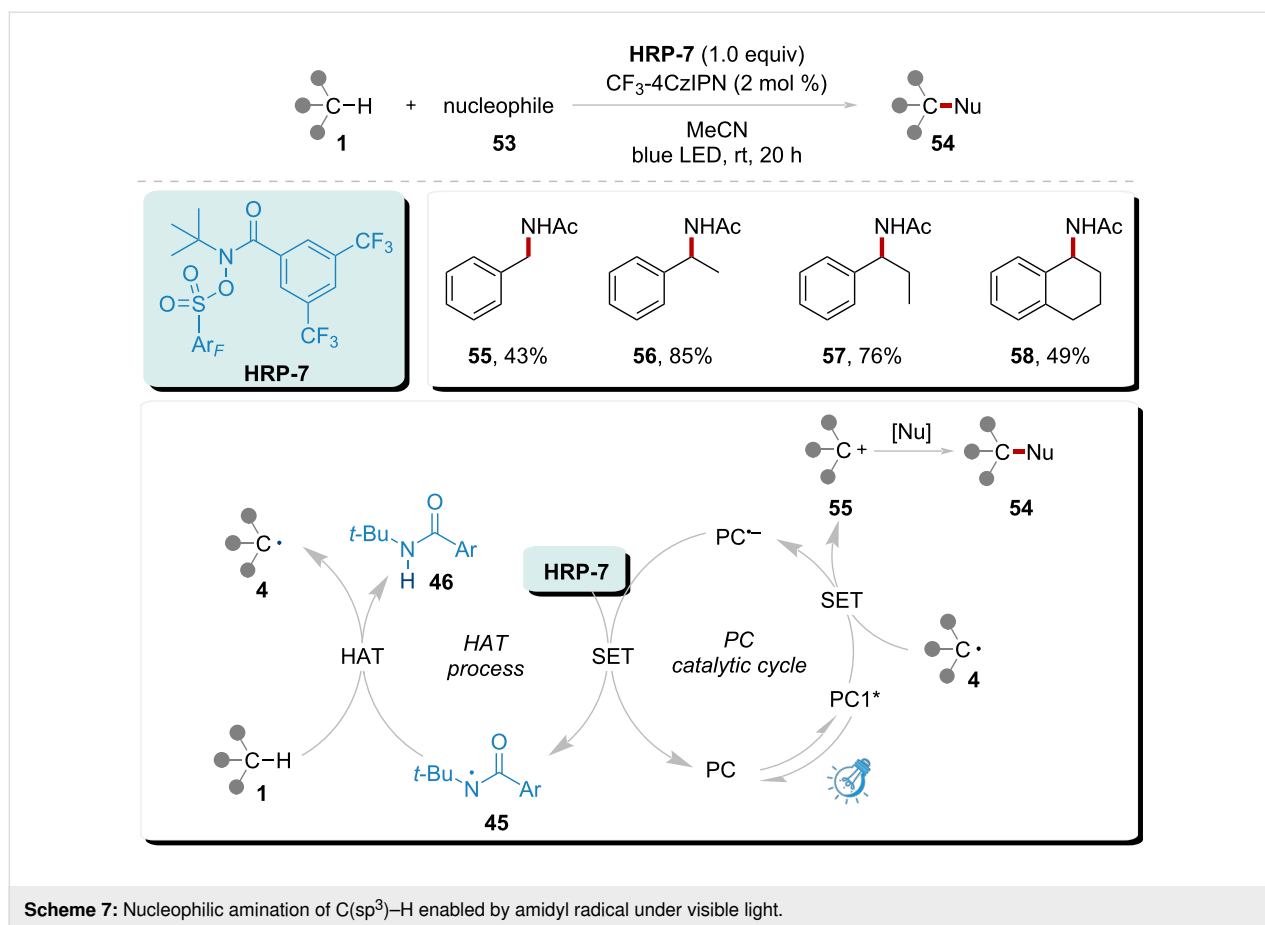
In an effort to evaluate the practicality of visible light-promoted xanthylation, Alexanian's group conducted a regioselective C–H xanthylation of polyolefins (Scheme 10) [96]. Building on previous findings, the **HRP-9** undergoes homolytic N–S cleavage, yielding amidyl radical **45**. This radical effectively abstracts a hydrogen atom from the polyolefin substrate, demonstrating notable regioselectivity. The xanthylation reaction preferentially generates main products **76**, and byproducts (**77** and **78**). Furthermore, the successful implementation of this methodology facilitates the production of a diverse range of functionalized polyolefins, showcasing the applicability of this

xanthylated polyolefin in various reactions, including trifluoromethylthiolation, polymer grafting, Michael addition, and epoxide opening.

Amidyl radical from N–X bond cleavage

Direct halogenation of C–H bonds is of significant value in organic synthesis. Introducing a bromine or chlorine atom into aliphatic C–H bonds with high site selectivity and efficiency poses a formidable challenge. Traditional strategies for the halogenation of aliphatic C–H bonds typically rely on biomimetic iron-catalyzed oxidation systems that require electrophilic heterocycles. These limitations hinder the broader application of such systems.

Alexanian's group modified amidyl radical precursors by incorporating halogen atoms, transforming them into bifunctional reagents. The HAT component of amidyl radical precursors was facilitated by amidyl radicals, while halogenation was promoted by the introduced halogen atom [26].



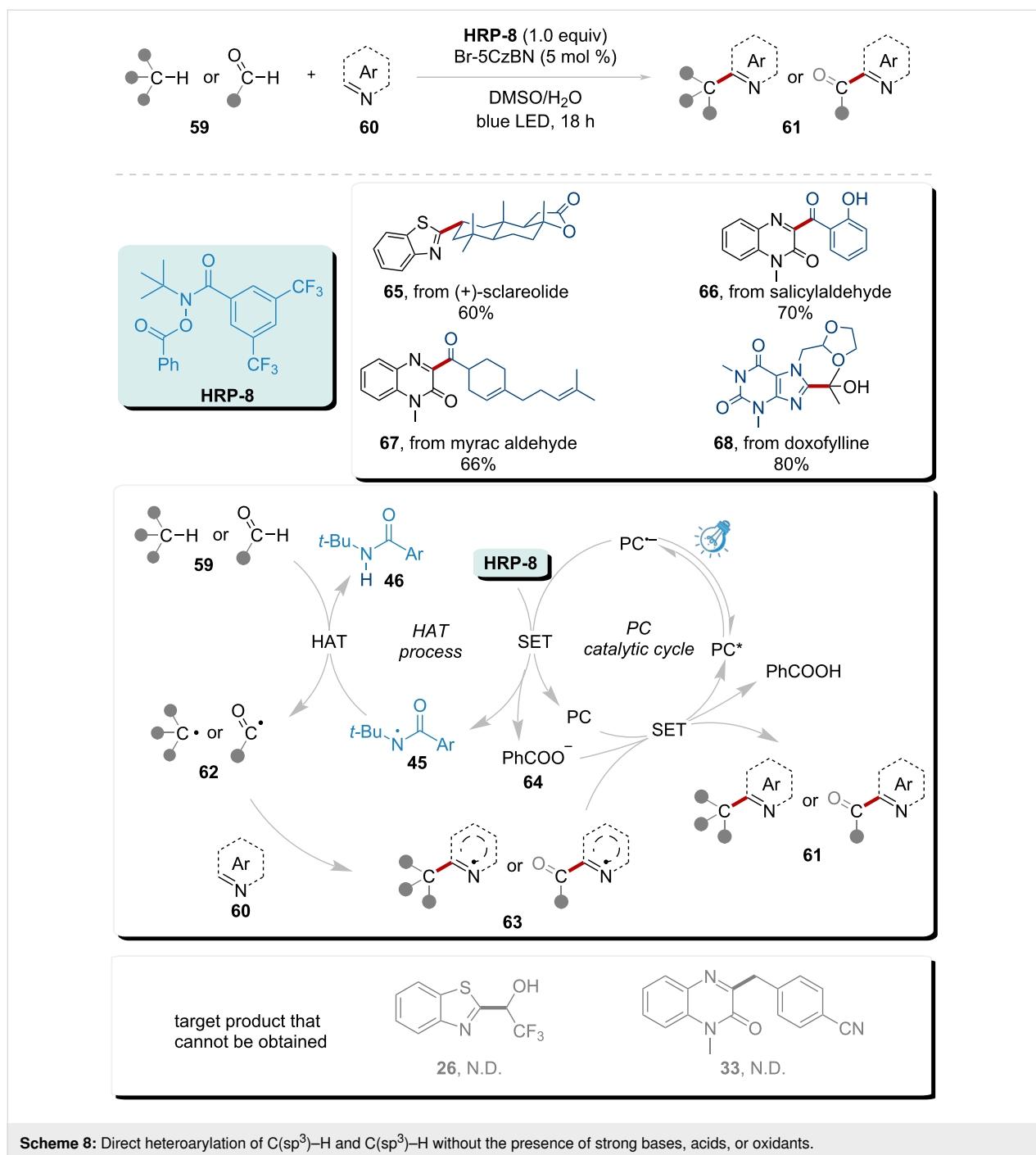
In 2014, Alexanian's group reported a site-selective aliphatic C-H bromination utilizing modified HRP as both the bromination reagent and HAT reagent (Scheme 11) [25]. Initiated by visible light, **HRP-10** underwent homolytic cleavage of the N-Br bond, generating amidyl radical **45**. This amidyl radical subsequently participated in a HAT process with the aliphatic substrate **1**, leading to the formation of radical **4** and byproduct amide **46**. The corresponding radical **4** was then trapped by **HRP-10**, thereby triggering a chain reaction that regenerated amidyl radical **45**. This system effectively examined the site selectivity of aliphatic C-H bromination, yielding products **80–82**, and **83** with 54% to 63% yields at selective positions. This bromination system provided a mild reaction environment suitable for aliphatic C-H bonds.

In 2016, Alexanian's group reported a chlorination method for aliphatic C-H bonds with high site selectivity to expand the halogenation capabilities and applicability of their system (Scheme 12) [97]. Consistent with previous experiments, the reaction was initiated by visible light, generating amidyl radical **45** from **HRP-11**. The resulting radical **45** abstracted a hydrogen atom from substrate **1**, simultaneously generating radical **4**. Subsequently, radical **4** was trapped by **HRP-11**, leading to the

formation of chlorinated product **84**. In this system, monochlorinated products were obtained with good selectivity, evidenced by yields of 69% and 54% for products **85**, and **86**, respectively. Notably, the natural product sclareolide underwent chlorination with an impressive selectivity, achieving an 82% yield for the product **87**.

Amidyl radical from amide anion

In 2024, Ooi and colleagues established a pivotal advancement in catalytic methodology through the rational design of zwitterionic acridinium amidates. These photoactive amidyl radical precursors demonstrated exceptional HAT reactivity, enabling efficient functionalization of unactivated C-H bonds under mild irradiation conditions (Scheme 13) [98]. The mechanistic pathway initiates with ground-state complexation **90** between **HRP-12** and HFIP via hydrogen bonding. Following visible-light excitation of **90**, intersystem crossing (ISC) from the S1(LE) to T2 state generates the catalytically competent triplet excited state **91**. This N-centered radical species subsequently abstracts a hydrogen atom from substrate **1** through HAT, producing an α -amido-acridinyl radical intermediate **92** and a substrate-derived carbon-centered radical **4**. Radical **4** undergoes regioselective addition to the acceptor, forming transient radical adduct



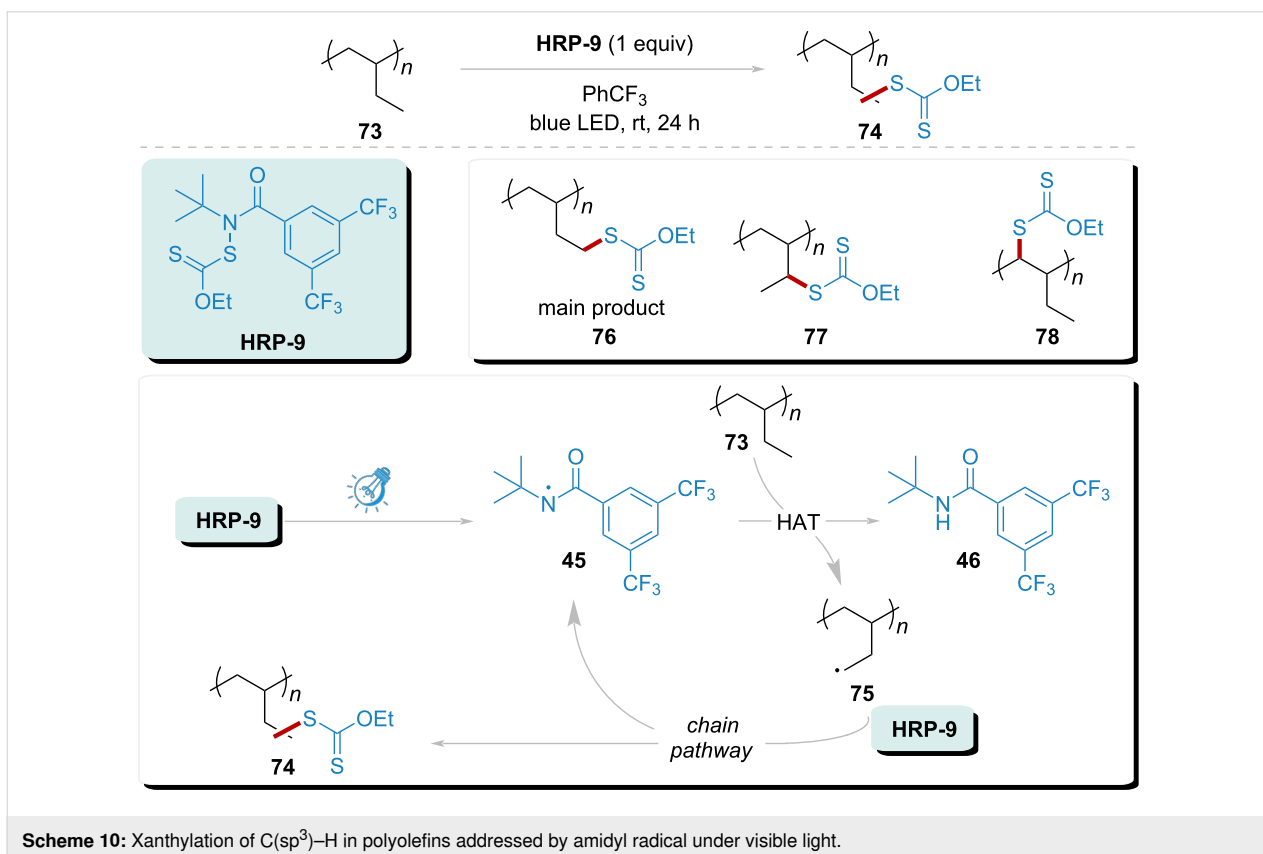
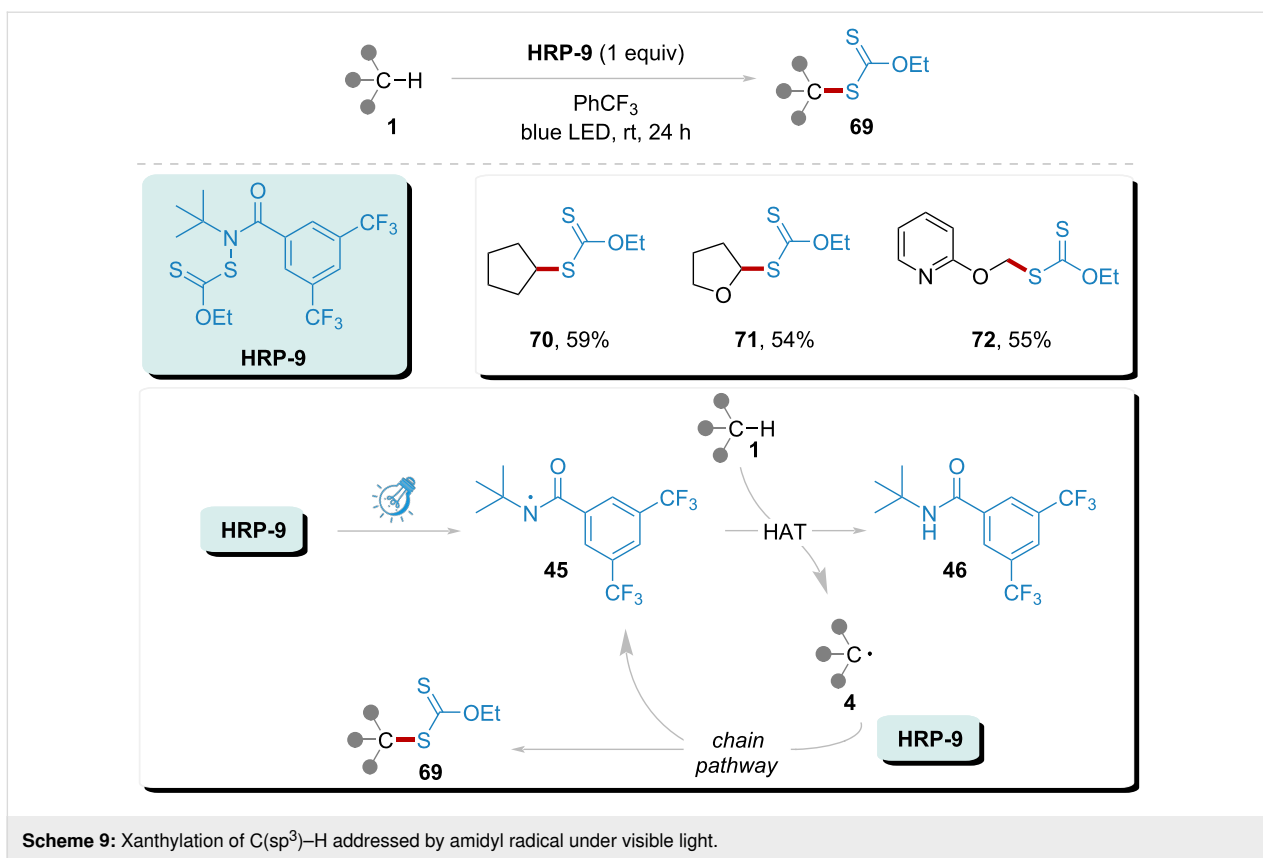
93. A concomitant SET from **92** to **93** generates a carbanion, which undergoes either solvent-mediated protonation or direct proton transfer from the acridiniumamide, ultimately delivering product **89** while regenerating the zwitterionic **HRP-12** catalyst.

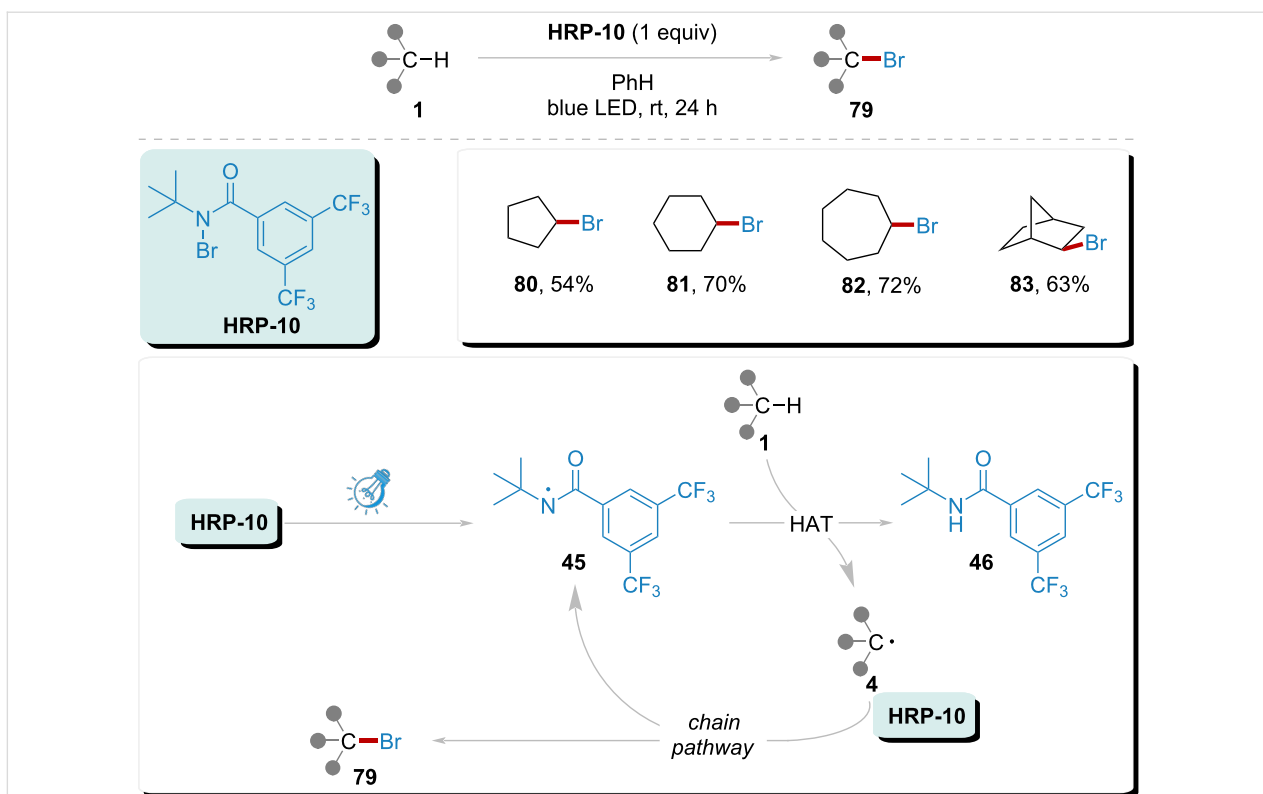
This catalytic platform demonstrated exceptional site selectivity in aliphatic C–H bromination under ambient temperature and visible-light irradiation, achieving site-selective bromination (products **94–96**) in 51–99% yields across electronically

differentiated positions. The system's operational mildness and functional group tolerance highlight its suitability for late-stage functionalization of complex aliphatic architectures.

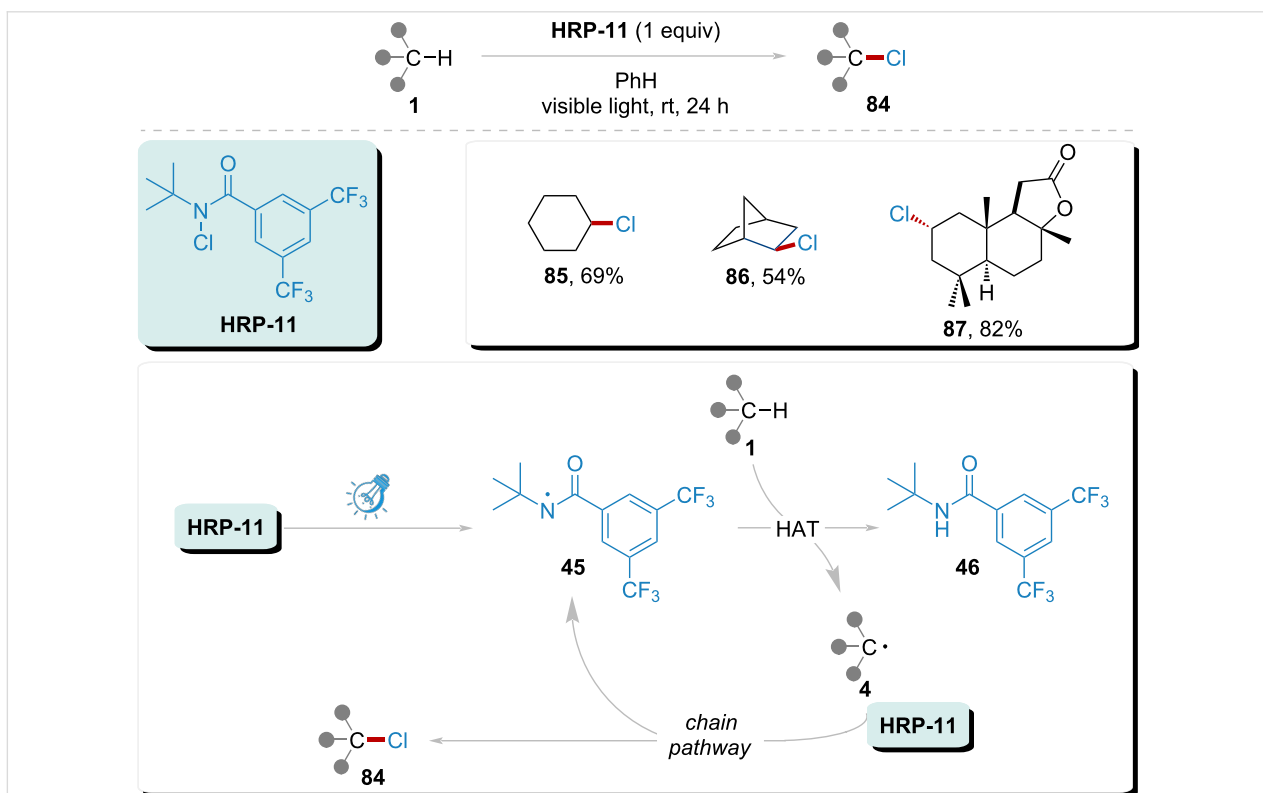
Conclusion

In this review, we highlight recent advances in the use of visible light to enhance amidyl radical-mediated direct intermolecular HAT for the functionalization of C–H, Si–H, Ge–H, and B–H bonds. These robust strategies hold the promise of the direct

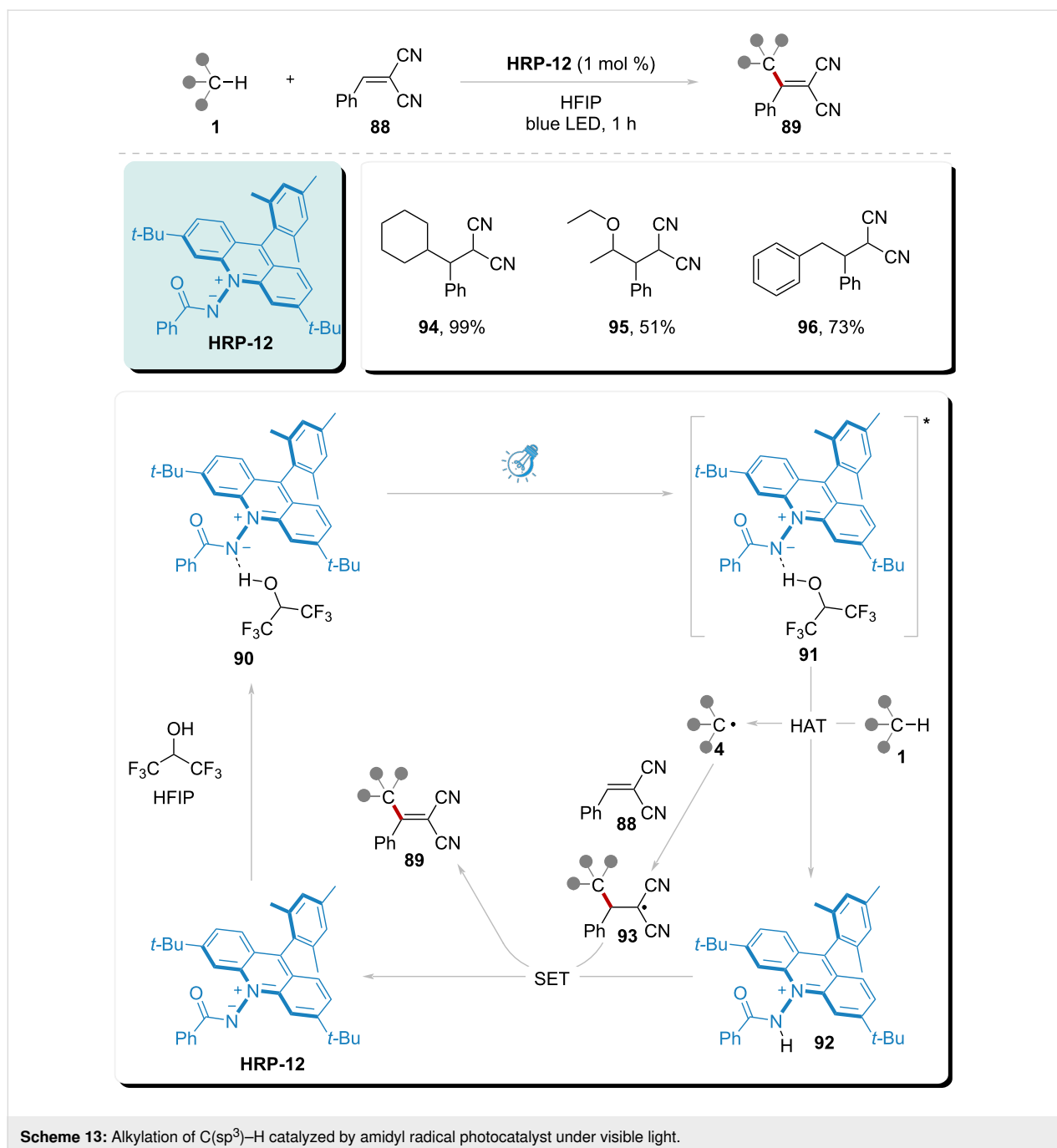




Scheme 11: Site-selective C(sp³)-H bromination implemented by amidyl radical under visible light.



Scheme 12: Site-selective chlorination of C(sp³)-H in natural products implemented by amidyl radical under visible light.



functionalization of these bonds through high selectivity, efficiency, and a stepwise approach.

In the presence of amidyl radicals, hydrogen atoms are directly abstracted from C–H, Si–H, Ge–H, and B–H bonds, leading to the formation of corresponding radicals. We summarize and emphasize notable pioneering experiments in this area. Switchable amidyl radicals provide an effective toolkit for completing hydrogen atom transfer processes. Transitioning from noble metal photocatalysts to organic photocatalysts and from HAT

reagents to bifunctional reagents, these remarkable photocatalytic systems have inspired innovations across various fields of organic synthesis methodology.

Despite significant research achievements of amidyl radicals in the photocatalytic transformation of C(sp³)–H, C(sp²)–H, S–H, Ge–H, and B–H bonds, they still face considerable challenges in the application of hydrogen abstraction from electron-deficient C–H bonds due to their inherent polarity. Furthermore, amidyl radicals encounter difficulties in regioselectivity when

applied to structurally complex C–H substrates, which limits their utility in modifying intricate molecular architectures. Future advancements are anticipated through structural modifications of amidyl radicals aimed at optimizing their polarity via electronic effects, which may enhance their effectiveness in hydrogen abstraction from electron-deficient substrates. Additionally, strategies to optimize steric effects could improve their regioselectivity in hydrogen abstraction from complex substrates.

Crucially, structural optimization of HRP components could potentially overcome current mechanistic limitations, establishing a generalized platform for hydrogen atom transfer (HAT)-enabled direct functionalization. This advanced methodology would demonstrate unprecedented versatility across diverse bond activation challenges, particularly in C(sp³)–H, C(sp²)–H, S–H, Ge–H, and B–H bond transformations. The proposed system architecture emphasizes synergistic reagent cooperation rather than isolated component performance, representing a paradigm shift in photoredox catalysis design principles.

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Data Availability Statement

Data sharing is not applicable as no new data was generated or analyzed in this study.

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High-pressure activation for the solvent- and catalyst-free syntheses of heterocycles, pharmaceuticals and esters

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Abstract

High hydrostatic pressure (HHP) was found to be an efficient activation method in several catalyst- and solvent-free reactions and has found application for the syntheses of heterocycles and the preparation of active pharmaceutical ingredients (APIs) via acylation and acid- and solvent-free esterification. The reactions were carried out at ambient pressure (control) and under HHP (up to 3.8 kbar) conditions. These representative reactions provided higher yields for the products and HHP enabled truly green processes that are catalyst- and solvent-free, to occur with high yields and producing only non-toxic by-products. A computational study accompanies the experimental data to interpret the outcome of the reactions.

Introduction

Non-traditional activation methods are one of the major driving forces in green synthesis [1,2]. High hydrostatic pressure (HHP) activation, one of such methods, is based on mechanical compression force. The typical pressure range is 2–20 kbar that is orders of magnitude greater than the conditions traditionally employed in chemistry with pressurized gases (0.01–0.1 kbar). The first reports of using HHP were related to food industry applications [3,4], and later in chemical synthesis [5,6]. While the technique had been known since the late 1800s, and it had

become popular in materials science and inorganic synthesis, it has largely gone unnoticed as an activation method for organic synthesis. A large number of applications have been reported in solid state, homogeneous and heterogeneous systems to prepare inorganic compounds and materials [7–9]. In contrast, high pressure organic chemistry, or HHP-initiated organic synthesis is still in its infancy. Despite the recent advances [10,11], the HHP applications in this field are still being developed. The first HHP-assisted organic syntheses were reported in the 1970s

[12,13]. Although the mechanism of how pressure enables reactions is not fully elucidated, there is a broad agreement that a decrease in the activation volume (ΔV^\ddagger) and reaction volume (ΔV) is the driving force for these reactions [14–16]. A recent computational analysis of high pressure reactions by the extreme pressure polarizable continuum model (XP-PCM) improved the theoretical understanding of the phenomena [17]. In addition, due to the availability of commercially accessible instruments, the applications of HHP in synthetic chemistry have expanded in the past decades. The studied reactions include hydrogenation [18], the addition of enamines to Michael acceptors [19], enantioselective Mannich reactions [20], lipase-catalyzed esterification [21], nitro-aldol [22], Michael [23], and aza-Michael reactions [24,25], Diels–Alder reactions [26,27] and Friedel–Crafts alkylation of indoles [28]. Many high pressure reactions were applied in natural product synthesis [29]. The high pressure protocol was even applied in the elegant syntheses of platencin [30], and steroid derivatives [31]. Despite these encouraging applications, there is a broad area to be developed for the advancement of green synthesis efforts.

Continuing our program on developing environmentally benign synthetic methods [32–35], especially in the realm of high pressure chemistry [36,37], in the present work we demonstrate a variety of new, catalyst- and additional solvent-free applications of HHP to develop green synthesis methods. Here, we describe several cyclization reactions for the preparation of a variety of important heterocycles, the synthesis of well-known

APIs, such as acetaminophen and acetylsalicylic acid, a variety of esterification reactions and the successful scale up (up to 100 g scale) of the Paal–Knorr reaction. The use of HHP appears to provide several advantages, for example, resulting in higher reaction rates. Given that every reaction in the present work has been carried out without a catalyst, in many instances this contrast is even greater since the non-pressurized reactions simply did not take place. In other applications when ambient pressure reactions yielded product, HHP still offered a reasonable increase in rates and thus, in yields. In addition to the synthetic advantages, the benefits HHP offers can also translate to green chemistry. The use of HHP extends the scope of solvent-, reagent- and catalyst-free reactions that significantly reduce workup and waste generation. Using water as pressure transmitting fluid, the reaction vessel is immersed in water minimizing fire hazard during the reactions. Finally, most procedures can be carried out at ambient temperature, improving safety and energy efficiency. Energy efficiency is also supported by the nature of the reactions; although pressurizing the system requires energy, once the system is pressurized it does not need energy to maintain it. This can result in remarkable energy saving especially in long reactions. In order to aid the understanding of this technique a schematic design of a high hydrostatic pressure instrument is depicted in Figure 1.

The core of the instrument is the intensifier which generates the required pressure by amplifying the relatively low pressure (about 140 psi) supplied by an air compressor. The intensifier pressurizes the water in the pressure chamber where the sealed

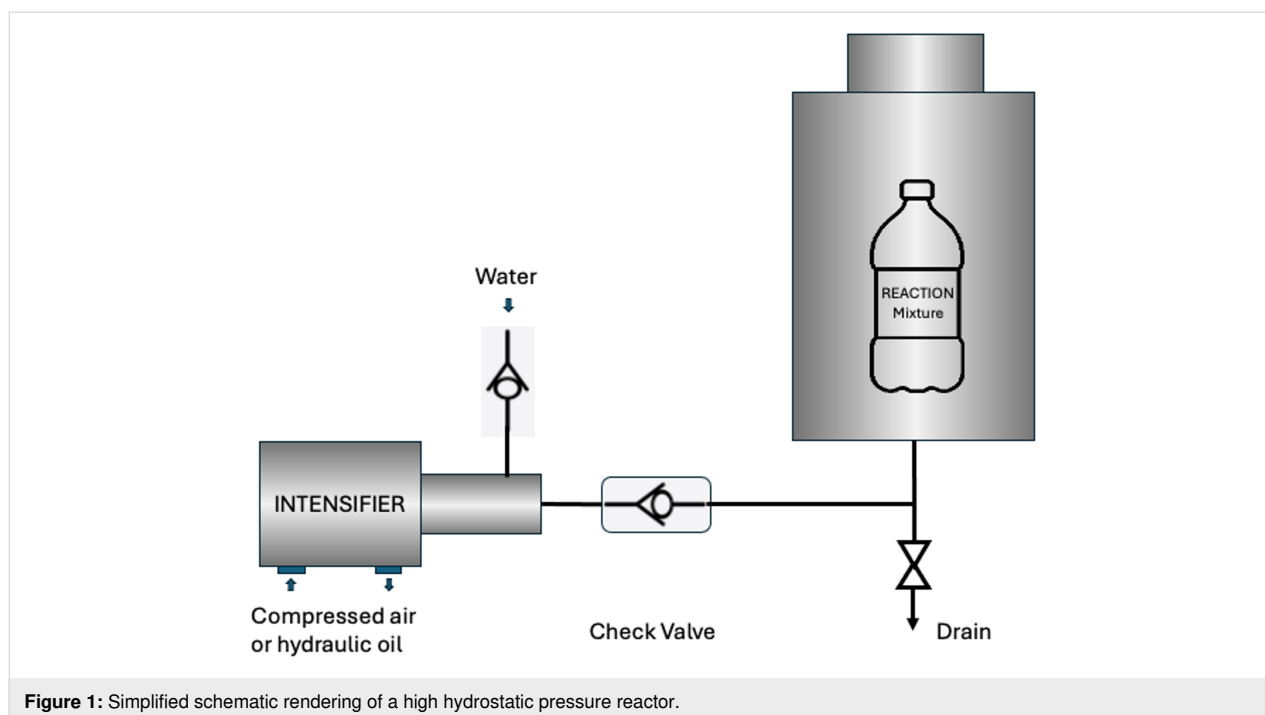


Figure 1: Simplified schematic rendering of a high hydrostatic pressure reactor.

samples are placed. Water is used as a pressure transmitting medium that has relatively low compressibility, readily available, and non-toxic.

Results and Discussion

HHP-assisted synthesis of 1,3-dihydrobenzimidazoles

Heterocycles are extremely versatile compounds and are used as building blocks for fine chemicals and conducting polymers or scaffolds for drug synthesis. Among them, 1,3-dihydrobenzimidazoles are widely found in many materials, drug candidates, and catalysts. For instance, they can be used in organic light-emitting diodes (OLEDs) [38], as water-soluble antitrypanosomatid agents [39], or in the synthesis of imidazole-based N-heterocyclic carbene (NHC)–CuCl complexes [40]. However, their synthesis is often tainted by the use of toxic reagents and solvents. In addition, when *o*-phenylenediamine reacts with ketones, the common catalytic methods yield benzodiazepine products [41]. In our case the reaction of *o*-phenylenediamine and acetone was selected as a model reaction. The optimization of the reaction conditions is summarized in Table 1. All reactions were carried out at room temperature without involving any catalyst or additional solvent. While *o*-phenylenediamine is solid, it dissolves well in the reactant acetone, thus the actual reaction mixture remains in a liquid state, making it ideal for HHP-assisted reactions. The first reaction in the optimization effort was the control experiment at atmospheric pressure. Under these conditions, no product formation was observed even after 10 h reaction time. However, 8% of products were generated when 2.8 kbar was applied after only 1 h reaction

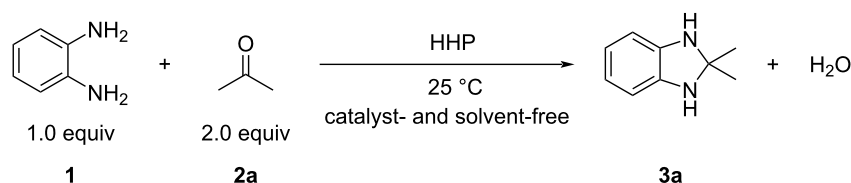
time. By gradually increasing both pressure and reaction time, higher yields were obtained. The data shows that increasing the pressure up to 3.8 kbar nearly linearly increases the product yield. Meanwhile, the pressure effect appears to work synergistically with reaction time.

The optimization data indicate that the use of HHP resulted in the formation of 1,3-dihydro-2,2-dimethylbenzimidazole (**3a**) in excellent yield (90%) whereas, in contrast, the ambient pressure reaction did not provide any product. The reaction appears to be applicable for other substrates as well, although the yields were lower, which is mainly due to the decomposition of the products (Scheme 1).

HHP-assisted cyclization of chalcones with hydrazines for the synthesis of pyrazoles

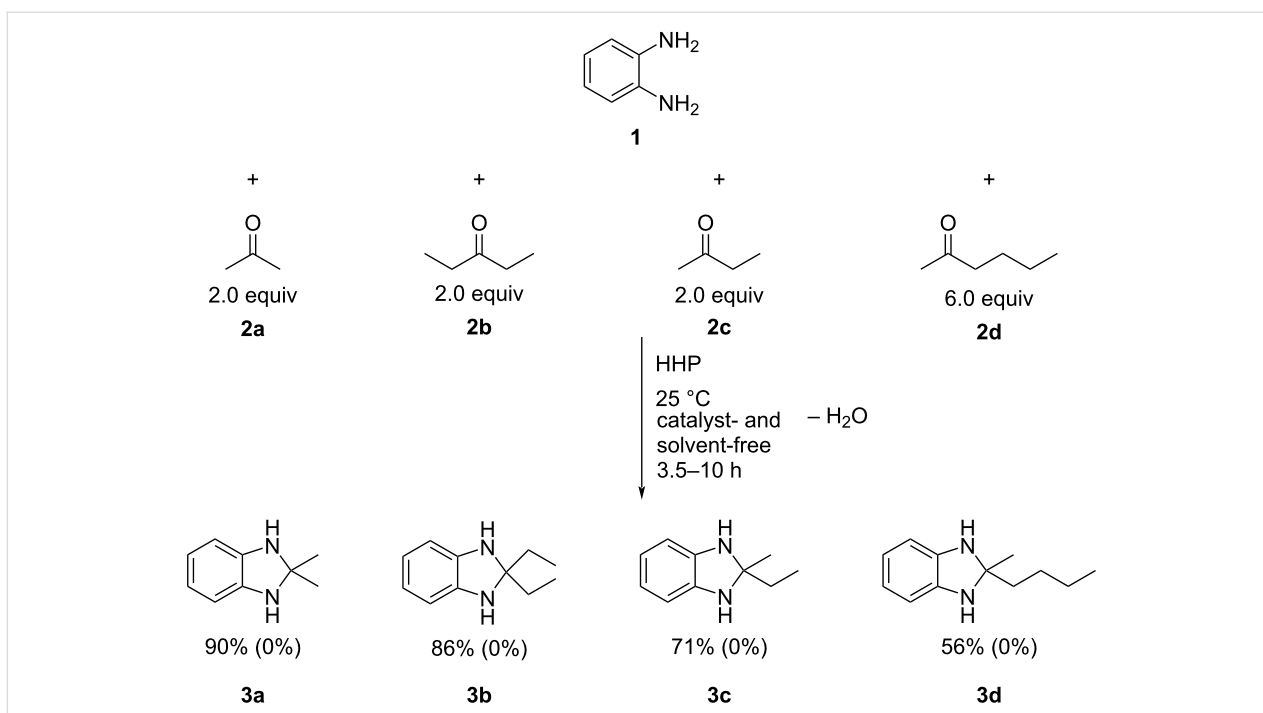
The cyclization of 1,3-bifunctional compounds, such as chalcones, with two N-containing substrates can yield a variety of valuable heterocycles, including pyrazoles. Accordingly, their syntheses attracted extensive interest, and several green procedures have been published [42]. Many of these reactions still apply catalysts and organic solvents, thus the development of catalyst- and solvent-free processes is desirable. Chalcones are a privileged scaffold in medicinal chemistry and are used for the synthesis of a multitude of products [43]. The cyclization between chalcones and hydrazines usually occur via a C=O/NH₂ condensation and a subsequent NH addition to the C–C double bond, that most commonly require some form of catalysis. Thus, developing catalyst-free processes presents significant challenges, although there are few successful examples in the literature [44]. Similar to the dihydrobenzimidazoles above, the

Table 1: Optimization of the HHP-initiated synthesis of 1,3-dihydro-2,2-dimethylbenzimidazole (**3a**).



Entry	Pressure (kbar)	Time (h)	Yield (%) ^a
1	0.001	10	0
2	2.8	1	8
3	3.4	1	11
4	3.8	1	25
5	3.8	2	32
6	3.8	10	90
7 ^b	3.8	10	54

^aGC yields; ^b1.0 equiv acetone.



Scheme 1: High pressure-initiated synthesis of 1,3-dihydrobenzimidazoles **3a–d**. The yields are GC yields and the numbers in parentheses show the yields of the control reactions (1 bar pressure).

investigations here also started with an optimization of the reaction conditions, including substrate ratio, pressure, and reaction time. The cyclization of chalcone with 3-(trifluoromethyl)phenylhydrazine was selected as a test reaction. This reaction is relatively easy to follow even visually. Once the reaction mixture is subjected to 3.8 kbar pressure, the originally

liquid mixture turns to a semisolid, viscous oily product, as shown in Figure 2. The numerical values are presented in Table 2.

The data shows that using excess hydrazine under pressure of 3.8 kbar resulted in the best performance. Based on the opti-

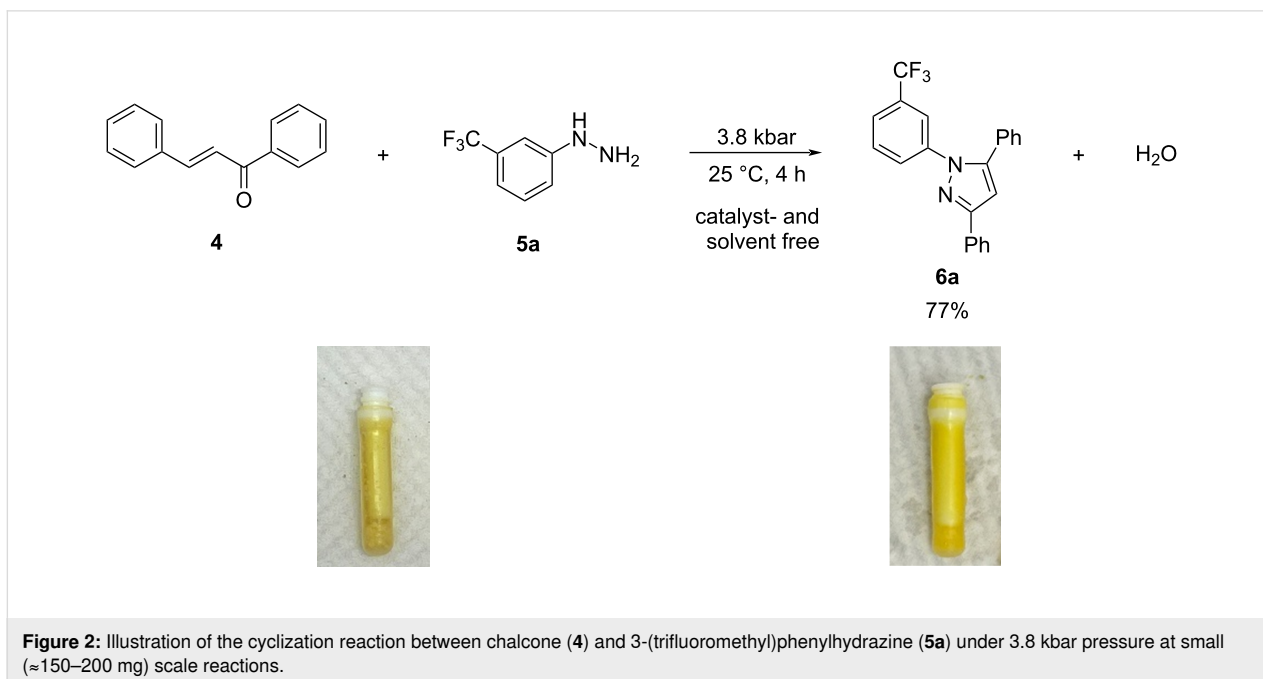
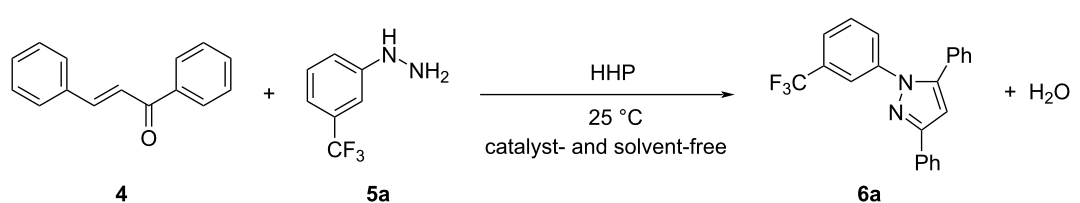


Figure 2: Illustration of the cyclization reaction between chalcone (**4**) and 3-(trifluoromethyl)phenylhydrazine (**5a**) under 3.8 kbar pressure at small (≈ 150 – 200 mg) scale reactions.

Table 2: Optimization of the reaction conditions for the synthesis of 3,5-diphenyl-1-(3-(trifluoromethyl)phenyl)-1H-pyrazole (**6a**) under ambient pressure (0.001 kbar) and high hydrostatic pressure from chalcone (**4**) and 3-(trifluoromethyl)phenylhydrazine (**5a**).


Entry	Molar ratio (chalcone/hydrazine)	Pressure (kbar)	Time (h)	Yield (%) ^a
1	1:2	0.001	4	12
2	1:1	0.7	1	14
3	1:2	1.4	1	12
4	1:1	2.1	1	12
5	1:1	2.8	1	14
6	1:1	3.8	1	56
7	1:3	3.8	1	57
8	1:1	3.8	4	60
9	1:2	3.8	4	78

^aGC yield.

mized data several compounds were subjected to those conditions to provide a representative scope of the reactions and the results are summarized in Scheme 2. For comparison, the yields obtained under ambient pressure (1 bar) are provided in parentheses.

The data show that the reactions readily occur at room temperature providing good yields. Although the reactions do occur at ambient pressure, the pressurized reactions generally provide higher yields under the otherwise same conditions. It also appears that the reactivity of the hydrazine plays a significant role. In the case of the highly reactive hydrazine, the positive effect of HHP is somewhat diminished and only about 10% increase in yield was observed. In contrast, when using substituted phenylhydrazines of lower reactivity HHP results in more significant benefits, for example a nearly 70% higher yield in the case of the chalcone/3-(trifluoromethyl)phenylhydrazine reaction (Scheme 2).

HHP-assisted acylation of NH and OH groups: synthesis of acetaminophen (paracetamol) and acetylsalicylic acid

Tylenol[®] and Aspirin[®] are two popular drugs used as pain killers as well as antipyretics [45]. Although their industrial production is straightforward, these syntheses include the use of catalysts and solvents that must be neutralized and recycled. Given the large scale these compounds are prepared at, even a small green improvement in their synthesis might yield great environmental, as well as financial benefits. Thus, these two compounds were selected as model compounds to investigate the potential benefits of the HHP-assisted synthesis. Similar to the other reactions, preliminary optimization experiments have been carried out as described in Table 3.

The results clearly indicate that the product yields improve under high pressure conditions. The best performance was ob-

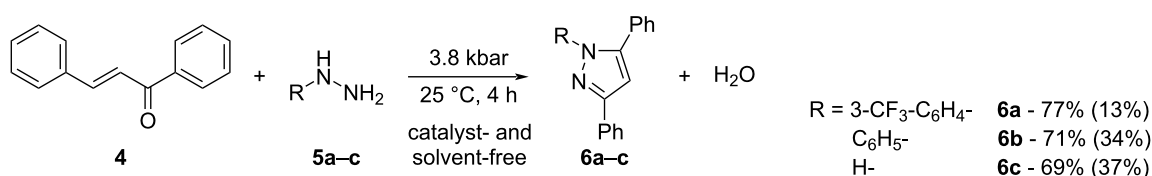
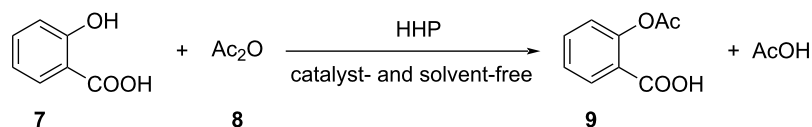
**Scheme 2:** High pressure-initiated catalyst- and solvent-free synthesis of pyrazoles **6a–c** from chalcone (**4**) and hydrazines **5a–c**. The GC yields at high pressure are shown for each compound, and the numbers in parentheses represent the yields of the respective control reactions at 1 bar pressure. In the case of the unsubstituted hydrazine (NH₂-NH₂), the non-aromatic product, dihydropyrazole, formed.

Table 3: Optimization of the high pressure-assisted catalyst- and additional solvent-free synthesis of acetylsalicylic acid (9).

Entry	Molar ratio (7/8)	Pressure (kbar)	Time (h)	Temperature (°C)	Yield (%) ^a
1	1:2	0.001	0.5	80	30
2	1:1	3.8	1.5	25	6
3	1:2	3.8	1.5	25	8
4	1:1	3.8	12	25	24
5	1:2	3.8	12	25	36
6	1:1	3.8	2	50	36
7	1:2	3.8	2	50	41
8	1:2	3.8	2	80	64
9	1:2	2.8	0.5 ^b	80	100

^aGC yield; ^b30 × 1 min cycles, with 5 s decompression.

tained under pressure cycling conditions as illustrated in Figure 3.

It has been observed that pressure cycling resulted in a quantitative yield after 30 1-min cycles (Table 3, entry 9), that would account for 30 min reaction under pressure, and provides a significantly better yields than the reaction conducted under ambient pressure (30%, Table 3, entry 1) or a 2 h reaction at static higher pressure (64%, Table 3, entry 8). While the exact nature of this phenomenon is not fully understood, it is hypothesized that the pressure cycling protocol causes periodic change in the volume of the reaction vessel that could lead to molecular re-alignments during compression and decompression steps that are beneficial for reaction kinetics.

Under optimized conditions, the products were isolated with good to excellent yields under a catalyst- and solvent-free envi-

ronment (Scheme 3). The data in Scheme 3 illustrate that HHP provides some advantage in both reactions, more evident in the case of OH acylation. The NH₂ acylation occurred much more rapidly in 10 s at room temperature, while also generating the product nearly quantitatively. The OH group is significantly less reactive under such conditions; therefore, the use of pressure resulted in a more substantial improvement in the OH acylation reaction.

HHP-assisted esterification of alcohols: synthesis of fragrances

Esterification is one of the most common organic reactions and there are a multitude of processes available. However, most require some form of catalysis from simple acids to metal catalysts [46]. Similar to the previous examples, the first step was the optimization of the conditions using the esterification of benzyl alcohol (**12a**) with acetic anhydride (**8**) and acetic acid

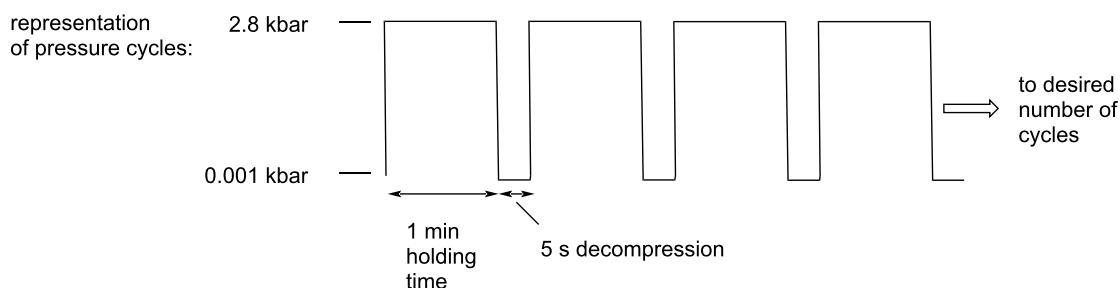
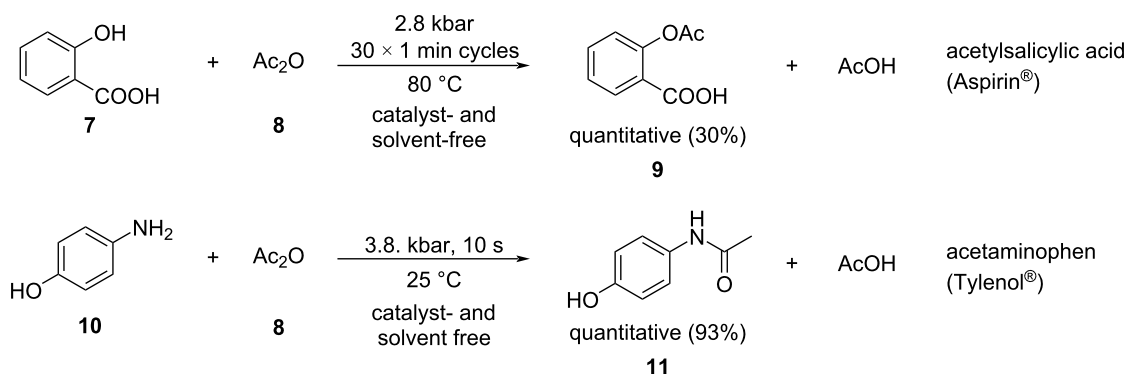


Figure 3: Schematic representation of the cycling experiments: the major variables are the applied pressure, the holding time, the length of decompression and the number of cycles in the sequence.



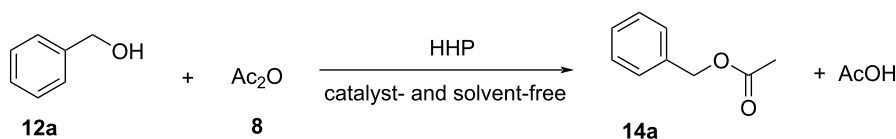
Scheme 3: High pressure-initiated synthesis of the active pharmaceutical ingredients in Tylenol® and Aspirin®. The yields refer to GC yields and the numbers in parentheses represent the yields of the control reactions at 1 bar pressure.

(13), respectively. The optimization data are summarized in Table 4.

The data indicates that the use of pressure is beneficial for the esterification reaction. The ambient pressure reaction only yields 52% product (Table 4, entry 1) compared to the 92% obtained under 3.8 kbar. Increasing the ROH/Ac₂O ratio (1:3, Table 4, entry 9) or the reaction time (2 h, Table 4, entry 5) under pressure yielded quantitative product formation. The optimized conditions allowed the extension of the protocol and a selection of alcohols was investigated. The results are illustrated in Scheme 4. For comparison, results obtained from reactions at ambient pressure are given in parentheses.

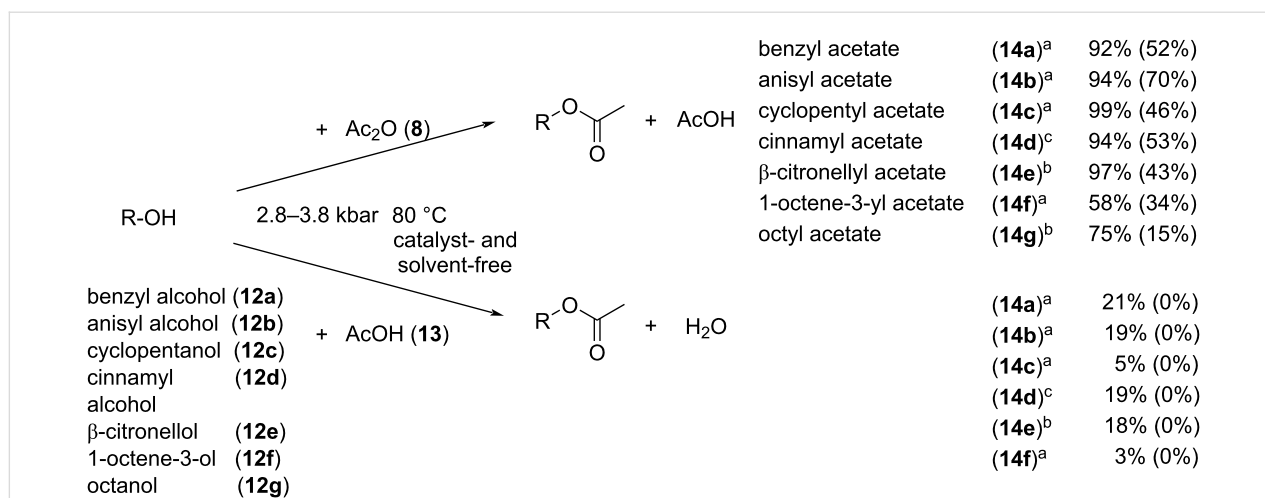
As shown in Table 4 and Scheme 4, the HHP reactions occurred at 50 °C with low to moderate yields, and with nearly quantitative yields at 80 °C. HHP reactions afforded the products in higher yields than those of the control experiments under the same conditions (time, temp. etc.) but only at 1 bar (atmospheric) pressure. It appears that the pressurized reactions provided about 40–60% yield improvements in the same timeframe as the controls. The reactions gave significantly higher yields when using Ac₂O, than with AcOH. However, while the reactions with acetic acid after 1 h under pressure all yielded the expected products to some extent, no product formation was observed at the ambient pressure control reactions.

Table 4: Optimization of the HHP-assisted catalyst-free esterification of benzyl alcohol (12a).



Entry	Molar ratio (12a/8)	Pressure (kbar)	Time (h)	Temperature (°C)	Yield (%) ^a
1	1:2	0.001	1	80	52
2	1:1	3.8	2	50	38
3	1:2	3.8	2	50	46
4	1:1	3.8	2	80	52
5	1:2	3.8	2	80	100
6	1:3	3.8	2	80	100
7	1:1	3.8	1	80	92
8	1:2	3.8	1	80	80
9	1:3	3.8	1	80	100

^aGC yield.



Scheme 4: High pressure-initiated esterification of alcohols **12a–g** in a catalyst- and additional solvent-free reactions with either acetic anhydride (**8**) or acetic acid (**13**). All reactions were carried out at 80 °C and at (a) 3.8 kbar, 1 h, (b) 2.8 kbar, 30 × 1 min cycles, (c) 2.8 kbar, 40 × 1 min cycles. The yields were determined by GC.

Unlike water and a few elements (silicon, bismuth, gallium, etc.) that tend to form tetrahedral crystal lattices and exhibit lower density upon freezing, most organic compounds are expected to form crystals of higher density, compared to their liquid state. However, phase transitions of the vast majority of organic compounds at high pressure are not yet adequately studied. Data on their behaviour when pressurized are not readily available, except for some long chain aliphatic hydrocarbons, due to their propensity to sedimentation in pressurized oil pipelines. Attempts to correlate known phase transition parameters of reactants and products at atmospheric pressure, such as their respective melting point and boiling point at 1 bar, have not revealed any conclusive trends with respect to the observed reaction yields shown in Scheme 4. While Ac₂O is generally more reactive than AcOH, it is conceivable that the significant difference in esterification yields with acetic anhydride vs. acetic acid is further enhanced due to acetic acid forming solid crystalline state during pressurization even at 80 °C. However, the reaction rate with AcOH is still much improved under high pressure, as none of the alcohols formed any product under 1 bar pressure.

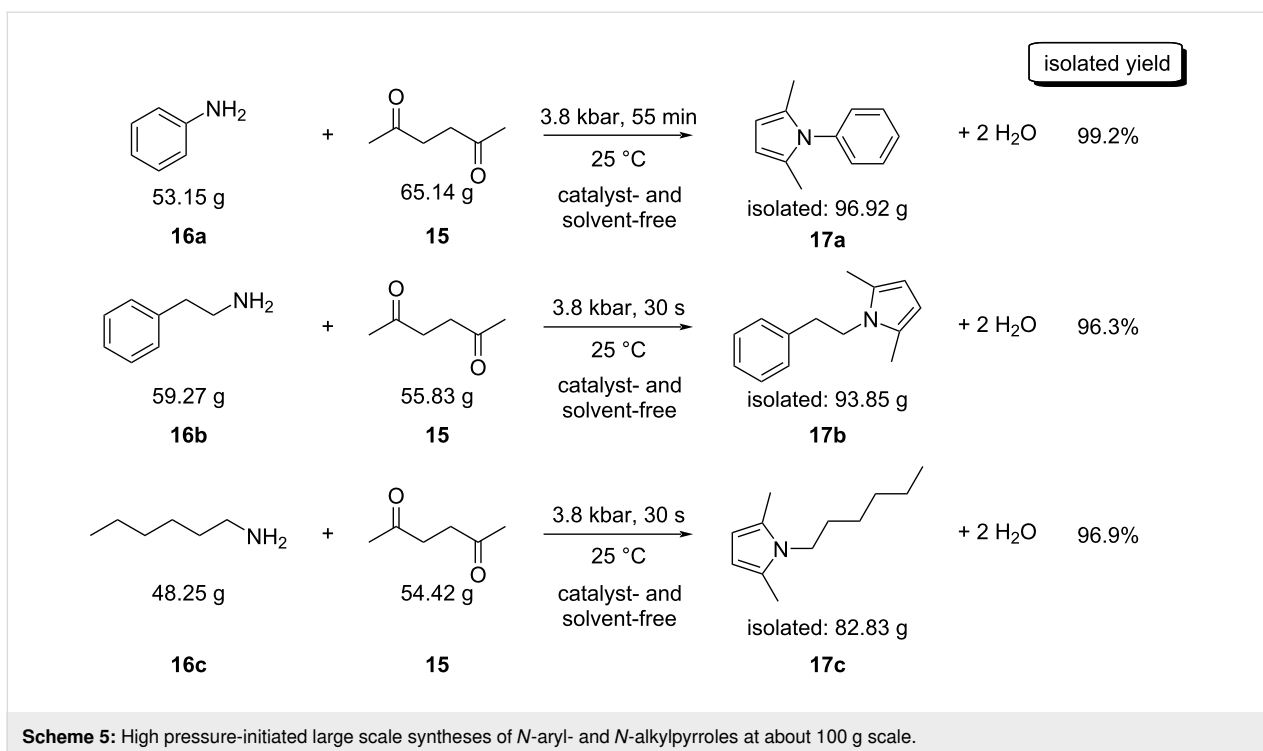
Scale up of the HHP-assisted reactions

The above protocols were carried out at a small scale (mg to g) and thus, an effort was made to investigate the scale-up potential of the HHP-assisted method. Although the HHP-assisted organic syntheses are relatively rare, the large (often ton) scale-capable equipment is readily available in the food industry. Accordingly, industrial level organic syntheses are attainable in a large scale. In an earlier work we already demonstrated that such reactions, e.g. the HHP-assisted Paal–Knorr reaction can be easily scaled up to a multigram level [36]. Although the reac-

tion occurred in catalyst-free systems without pressure the reaction times were 24 h or more [47]. Thus it was attempted to provide some examples for the further scale-up of the Paal–Knorr reaction in a much larger scale, at this time, up to a 100 g level. The experiments were carried out in a 5-L capacity high pressure instrument (Pressure BioSciences) using commercially available low-density polyethylene (LDPE) bottles as reaction vessels and afforded the products with good yields (Scheme 5).

Although the chemically inert nature of reaction vessels is essential, the ductile amorphous property of the material is also of the utmost importance, making the vessel flexible enough to handle the decreasing volume of the reaction mixture under pressure: contrary to a common misconception that liquids are incompressible, a significant (5–12%) decrease in the volume is generally observed at the pressure levels studied here.

The results of these experiments show that the reaction scale can be readily increased to the ≈100 gram level, suggesting that achieving these reactions at even higher scales is reasonable. The reactions occurred in a similar fashion as they did at the mg scale [36] and all reactions produced higher than 96% isolated yield of the product. Where the two alkyl amines were used, the reactions were complete within 30 s of reaction time. Even the less reactive aniline gave nearly quantitative isolated yields in less than an hour, while the 1 bar control reaction yielded no detectable products. In addition to the excellent yields, the products were isolated without any purification, using a simple air-drying process to remove the water that forms as a by-product during the cyclization–aromatization sequence. The gas chromatograms of the three crude products appeared essentially



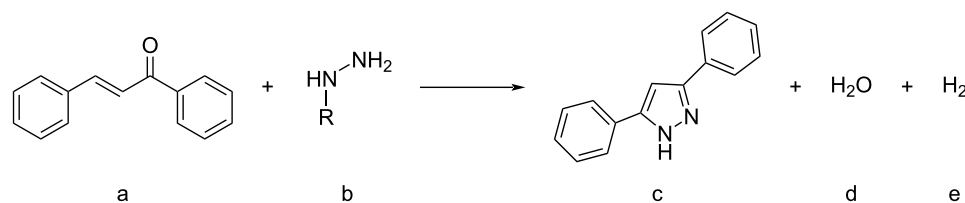
100% pure, neither starting material nor other by-products were observed.

In order to explain the outcome of the above reactions, the reaction volume data (ΔV) was calculated for each reaction. Gaussian 09 was used to carry out the calculations at the b3lyp/6-311++g(d,p) level of theory. The molecular volume of each reactant and product (including all by-products that are part of the reaction scheme) was calculated and the overall volume difference of the starting materials and the products was determined. The obtained values are summarized in Tables 5–10.

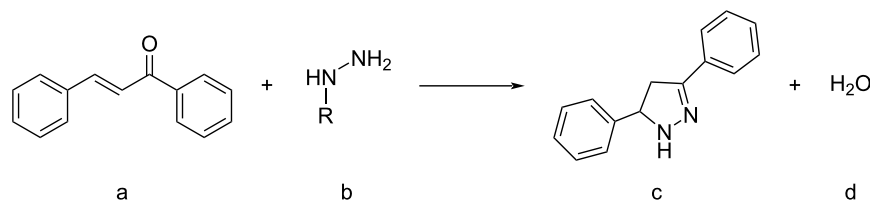
The data in Tables 5–10 indicate that the reaction volumes appear to be in the negative region for the large majority of examples, some being 0 or a small positive number. Based on the theoretical models briefly discussed in the introduction, the negative ΔV values suggest that these reactions respond well to pressurized conditions by improved yields, and increased reaction rates. One must note, however, that ΔV is just one of the characteristic descriptors of high pressure reactions, and full analysis can be obtained by also evaluating the activation volume (ΔV^\ddagger) data. Considering that all of the above studied reactions are multistep processes with several elementary steps,

Table 5: Molecular volumes of the reactants and products and the reaction volume (ΔV) for the reaction of *o*-phenylenediamine with ketones to form 1,3-dihydrobenzimidazoles.

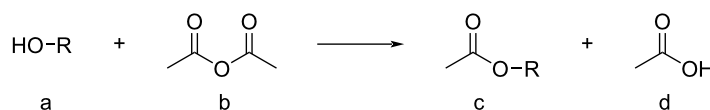
Entry	R ¹	R ²	V _a (cm ³ /mol)	V _b (cm ³ /mol)	V _c (cm ³ /mol)	V _d (cm ³ /mol)	$\Delta V_{(g+d)-(a+b)}$ (cm ³ /mol)
1	Me	Me	94	60	130	18	-6
2	Et	Et	94	81	150	18	-7
3	Me	Et	94	66	140	18	-2
4	Me	<i>n</i> -Bu	94	100	140	18	-36

Table 6: Molecular volumes of the reactants and products and the reaction volume (ΔV) for the reaction of chalcone with hydrazines to form pyrazoles.

Entry	R	V_a (cm ³ /mol)	V_b (cm ³ /mol)	V_c (cm ³ /mol)	V_d (cm ³ /mol)	V_e (cm ³ /mol)	$\Delta V_{(g+d)-(a+b)}$ (cm ³ /mol)
1	3-CF ₃ -C ₆ H ₄ -	180	110	260	18	13	1
2	C ₆ H ₅ -	180	92	230	18	13	-11
3	H-	180	37	160	18	13	-26

Table 7: Molecular volumes of the reactants and products and the reaction volume (ΔV) for the reaction of chalcone with hydrazines to form dihydropyrazoles.

Entry	R	V_a (cm ³ /mol)	V_b (cm ³ /mol)	V_c (cm ³ /mol)	V_d (cm ³ /mol)	$\Delta V_{(g+d)-(a+b)}$ (cm ³ /mol)
1	3-CF ₃ -C ₆ H ₄ -	180	110	270	18	-2
2	C ₆ H ₅ -	180	92	230	18	-24
3	H-	180	37	190	18	-9

Table 8: Molecular volumes of the reactants and products and the reaction volume (ΔV) for the esterification reaction of alcohols with acetic anhydride.

Entry	alcohol	R	V_a (cm ³ /mol)	V_b (cm ³ /mol)	V_c (cm ³ /mol)	V_d (cm ³ /mol)	$\Delta V_{(g+d)-(a+b)}$ (cm ³ /mol)
1	benzyl alcohol	-CH ₂ C ₆ H ₅	81	73	120	38	4
2	anisyl alcohol	-CH ₂ C ₆ H ₄ OCH ₃	130	73	140	38	-25
3	cyclopentanol	-C ₅ H ₉	76	73	100	38	-11
4	cinnamyl alcohol	C ₆ H ₅ CH=CHCH ₂ -	120	73	140	38	-15
5	β-citronellol	C ₉ H ₁₉ CH ₂ -	160	73	180	38	-15
6	1-octene-3-ol	H ₂ C=CHCH ₂ CH-(CH ₂) ₃ CH ₃	120	73	140	38	-15
7	octanol	CH ₃ (CH ₂) ₇ -	140	73	190	38	-25

Table 9: Molecular volumes of the reactants and products and the reaction volume (ΔV) for the esterification reaction of alcohols with acetic acid.

Entry	alcohol	R	V_a (cm^3/mol)	V_b (cm^3/mol)	V_c (cm^3/mol)	V_d (cm^3/mol)	$\Delta V_{(g+d)-(a+b)}$ (cm^3/mol)
1	benzyl alcohol	$-\text{CH}_2\text{C}_6\text{H}_5$	81	38	120	18	19
2	anisyl alcohol	$-\text{CH}_2\text{C}_6\text{H}_4\text{OCH}_3$	130	38	140	18	-10
3	cyclopentanol	$-\text{C}_5\text{H}_9$	76	38	100	18	4
4	cinnamyl alcohol	$\text{C}_6\text{H}_5\text{CH}=\text{CHCH}_2-$	120	38	140	18	0
5	β -citronellol	$\text{C}_9\text{H}_{19}\text{CH}_2-$	160	38	180	18	0
6	1-octene-3-ol	$\text{H}_2\text{C}=\text{CHCH}_2\text{CH}-(\text{CH}_2)_3\text{CH}_3$	120	38	140	18	0
7	octanol	$\text{CH}_3(\text{CH}_2)_7-$	140	38	190	18	-10

Table 10: Molecular volumes of the reactants and products and the reaction volume (ΔV) for the Paal–Knorr reaction of amines with 2,5-hexanedione.

Entry	R	V_a (cm^3/mol)	V_b (cm^3/mol)	V_c (cm^3/mol)	V_d (cm^3/mol)	$\Delta V_{(g+d)-(a+b)}$ (cm^3/mol)
1	$\text{C}_6\text{H}_5-(\text{CH}_2)_2$	130	93	160	18	-45
2	C_6H_5-	90	93	150	18	-15
3	$\text{CH}_3-(\text{CH}_2)_5-$	110	93	170	18	-15

the determination of ΔV^\ddagger requires a detailed theoretical reaction mechanism study that is beyond the scope of the current work. Nonetheless, since the ΔV values provide clear support for the beneficial effect of pressure, it is reasonable to predict that the activation volumes are also either negative or near zero to explain to observed experimental data.

Conclusion

The high hydrostatic pressure activation has been successfully applied to several reactions; cyclizations of various types, acylations and esterifications. In addition to being efficient at a small scale, the scale up of the Paal–Knorr reaction was also achieved. Compared to the traditional benchtop alternatives the HHP-assisted approach provided several benefits, such as (i) improved reactions rates and yields in catalyst and solvent-free reactions, which enables easy isolation, and simplified work-up procedure; (ii) the neat reactions of the substrates eliminates

solvents and thus reduces environmental and hazard impact; (iii) the HHP conditions enable many reactions to proceed at ambient temperature, resulting in convenient, safe, and energy-efficient protocols; and finally, (iv) HHP instruments allow broadly tuneable procedures that include modifying pressure, temperature, reaction time, or pressure cycles to ensure easy process optimization. Based on our earlier publications and the data presented here, it is reasonable to predict that the high-pressure activation strategy will gain broad application possibilities in the future.

Experimental

Materials and methods

Materials: All substrates (aldehydes, hydrazones, alcohols, *p*-aminophenol, salicylic acid, acetic anhydride, acetic acid, hexan-2,5-dione, aniline, hexylamine and 2-phenylethylamine) were purchased from Aldrich and used without any purification.

Ethyl acetate, used to dissolve the products for GC–MS analysis (minimum purity of 99.5%) was purchased from ThermoFisher Scientific. The small scale reactor tubes “PCT Micro-Tubes” were made of FEP and PTFE Teflon® and obtained from Pressure BioSciences Inc., while the larger scale bulbs and bottles used as reaction vessels were made of low-density polyethylene and purchased from ThermoFisher Scientific.

Analysis: All compounds are common, known entities and they were identified based on their high-resolution mass spectra. The mass spectrometric identification and purity determination of the products have been carried out by using an Agilent 7250 GC-QTOF mass spectrometer operated in electron impact ionization (EI, 70 eV) mode using a 30m long DB-5 type column (J&W Scientific).

General protocols for HHP reactions

The high pressure syntheses can be carried out in two different ways, in manners analogous to ref. [37]. A bench-top Barocycler 2320EXT was used to initiate HHP reactions. (i) *Constant pressure* means that the system is pressurized to the desired pressure that is maintained through the reaction and decompressed, when complete. (ii) *Pressure cycling*. Another, HHP approach is the pressure cycling, when compression–decompression cycles are repeated. In these investigations, 2.8 kbar was selected as applied pressure based on the optimization experiments (Table 3).

General procedure for the catalyst and solvent-free reactions of chalcone with hydrazines under HHP conditions

To a 150 μ L high-pressure FEP reaction tube was added chalcone (52.0 mg, 0.25 mmol) and 3-(trifluoromethyl)phenylhydrazine (65 mg, 0.37 mmol) which could just fill up the entire reaction tube, and the tube was sealed by a PTFE PCT MicroCap and secured in the MicroTube carrier to ensure the secure seal. Then, the tube was placed in the chamber compartment of a Barocycler 2320EXT (Pressure BioSciences Inc.). The chamber was filled with water and pressurized up to the desired pressure and the pressure was maintained for the predetermined time. After removing the reaction tube, from the pressure chamber, the product was dissolved in ethyl acetate and the yield was determined by an Agilent 7250 GC-QTOF mass spectrometer operated in electron impact ionization (EI, 70 eV) mode using a 30 m long DB-5 type column (J&W Scientific).

General procedure for the high hydrostatic pressure-initiated catalyst and solvent-free synthesis of 1,3-dihydrobenzimidazoles

The appropriate amount of the reactants was added into a 3.5 mL volume LDPE reaction vial. The well-sealed reaction

mixtures were placed into the chamber. Water is filled into the HHP vessel and pressurized to the desired pressure up to 3.8 kbar. After the reactions are completed, the pressure was released and the reaction products are isolated.

General procedure for the catalyst and solvent-free acylation of hydroxyl compounds and *p*-aminophenol under HHP conditions

To a 150 μ L high-pressure FEP reaction tube was added the corresponding hydroxy compound or *p*-aminophenol (0.25 mmol) and acetic anhydride or acetic acid in a 1.5 molar excess (0.37 mmol). The tube was sealed by a PTFE PCT MicroCap and secured in the metal MicroTube cassette. Then, the cassette with the reaction tubes was placed in the chamber of the Barocycler. The chamber was pressurized up to the desired pressure which was maintained for the desired time. After depressurizing the pressure chamber, the tubes were removed and the product was isolated by aqueous wash, neutralization with NaHCO₃ and then extracted with ethyl acetate. The yield was determined by an Agilent 7250 GC-QTOF mass spectrometer operated in electron impact ionization (EI, 70 eV) mode using a 30m long DB-5 type column (J&W Scientific).

General procedure for the catalyst and solvent-free large-scale reaction of hexan-2,5-dione and aniline under high hydrostatic pressure

To a 100 mL low-density polyethylene (LDPE) bottle was added hexan-2,5-dione (65.14 g, 0.57 mol) and 1.0 equiv aniline (59.27 g, 0.57 mol) to fill up the entire reaction vessel, then the bottle was sealed by an LDPE screw cap. Afterward, the vessel was placed in the chamber compartment of a Pressure BioSciences HHP instrument with a 5 L chamber. The chamber was filled up with water and pressurized up to 3.8 kbar. The reaction mixture was reacted under 3.8 kbar at room temperature for 55 min. After removing the reaction vessel from the pressure chamber, the cap was removed and the solid material was collected and dried by a simple air-drying. After drying 96.92 g of the product was obtained. The purity of the product was determined by an Agilent 7250 GC-QTOF mass spectrometer operated in electron impact ionization (EI, 70 eV) mode using a 30m long DB-5 type column (J&W Scientific).

Computational details

To determine the reaction volume (ΔV), the volumes of the starting materials and products were calculated separately using the Gaussian 09 program suite [48]. Geometry optimizations were performed using density functional theory (DFT) with the Becke three-parameter exchange and Lee–Yang–Parr correlation (B3LYP) functional [49], along with the 6–311++G(d,p) basis set for gas-phase calculations of all compounds. After completing the molecular geometry optimizations, vibrational

frequency calculations were conducted to ensure that none of the optimized structures exhibited imaginary frequencies confirming that all structures corresponded to real local minima on the potential energy surface. For the volume calculations, the Gaussian keyword “volume” was used, which defines the molar volume as the region inside a contour of 0.001 electrons/Bohr³ density [50].

The reaction volume was calculated as:

$$\Delta V = \sum V_{\text{products}} - \sum V_{\text{reactants}} \quad (1)$$

Supporting Information

Supporting Information File 1

Materials and methods, spectral data of new compounds, and HRMS spectra of known products.

[<https://www.beilstein-journals.org/bjoc/content/supplementary/1860-5397-21-102-S1.pdf>]

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Author Contributions

Kelsey Plasse: data curation; investigation; writing – review & editing. Valerie Wright: data curation; investigation; writing – review & editing. Guoshu Xie: data curation; investigation; writing – review & editing. R. Bernadett Vlocskó: data curation; investigation; writing – review & editing. Alexander Lazarev: investigation; methodology; resources; writing – review & editing. Béla Török: conceptualization; funding acquisition; methodology; project administration; resources; writing – original draft; writing – review & editing.

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Data Availability Statement

All data that supports the findings of this study is available in the published article and/or the supporting information of this article.

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Azide–alkyne cycloaddition (click) reaction in biomass-derived solvent Cyrene™ under one-pot conditions

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Full Research Paper

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Abstract

It was demonstrated that Cyrene™, as a biomass-originated polar aprotic solvent, could be utilized as an alternative reaction medium for one-pot copper(I)-catalyzed azide–alkyne cycloaddition (click or CuAAC) reactions, for the synthesis of various 1,2,3-triazoles under mild conditions. Nineteen products involving *N*-substituted-4-phenyl-1*H*-1,2,3- and 1-allyl-4-substituted-1*H*-1,2,3-triazoles were synthesized under one-pot conditions and isolated with good to excellent yields (50–96%) and purity (>98%). The observed results represent an example that proves that biomass-derived safer solvents can be introduced into a synthetically important transformation exhibiting higher chemical and environmental safety.

Introduction

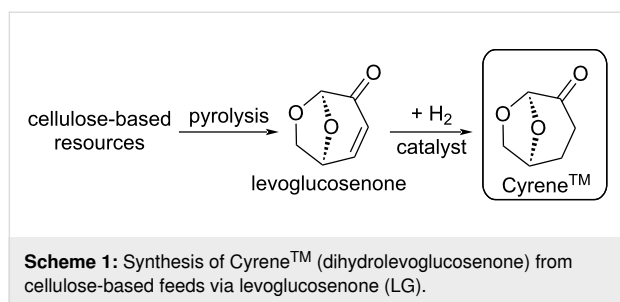
In the past few decades, transition-metal-catalyzed coupling and addition reactions have represented one of the most powerful and atom-economical strategies for efficiently assembling new carbon–carbon [1–3] and carbon–heteroatom [4–6] bonds. Thus, it has become the most attractive and facile methodology for creating various complex organic molecular structures from the laboratory to the industrial scale. Among these methods, the copper(I)-catalyzed azide–alkyne cycloaddition (CuAAC) reaction, the so-called click reaction [7], has received substantial attention for the selective synthesis of various 1,2,3-triazoles that are of utmost importance in the synthesis of biologically

active compounds such as active pharmaceutical ingredients (APIs) [8–11] and pesticides [12], or metabolic labeling molecules in plant science [13], to name a few important applications. The CuAAC reactions can be easily carried out under mild reaction conditions and exhibit excellent functional group tolerance [7].

While water has been characterized as an ideal solvent for click reactions, the limited solubility of most organic substrates could limit its application. Thus, the transformations of either water-insoluble or solid compounds require a solvent to establish high

reaction performance, i.e. homogeneous solutions with low viscosity. Accordingly, the CuAAC reactions are usually performed in fossil-based common organic reaction media having high vapor pressure, toxicity, flammability, etc., which could result in several serious environmental concerns. According to the FDA guideline [14], common polar aprotic organic solvents used for click reactions such as chlorinated hydrocarbons [15,16], toluene [16], tetrahydrofuran (THF) [17,18], *N,N*-dimethylformamide (DMF) [19,20], *N*-methylpyrrolidone (NMP) [21], dimethyl sulfoxide (DMSO) [17,19,22], or acetonitrile [23] are classified into Class 1 and 2, of which applications should be strictly limited, particularly in the pharmaceutical industry. To develop an environmentally benign alternative to this useful method, the identification of an alternative reaction medium is highly desired.

Among the recently characterized biomass-based potential solvents dihydrolevoglucosenone (1*R*,5*S*)-7,8-dioxabicyclo-[3.2.1]octan-2-one, CAS: 53716-82-8) or CyreneTM (Scheme 1) has received increasing interest over the last few years. It can be produced from cellulose-containing feedstocks, through pyrolysis and a selective hydrogenation of levoglucosenone (Scheme 1). Regarding the market position, the Circa Group announced the production of CyreneTM of 1 ton/year in 2020, signifying the large-scale production of this new biobased molecule [24]. CyreneTM is a non-toxic substance with an LD_{50,oral} > 2000 mg/kg (OECD No. 423, acute toxicity method). E(L)C₅₀ > 100 mg/L (daphnia and algae), and it is negative in the Ames test [25]. Recently, we determined key physicochemical properties of CyreneTM and showed that it has a negligible vapor pressure (<9.6 kPa) and low viscosity (<6.8 mPa·s) at typical transition-metal-catalyzed reaction temperatures (30–140 °C) [26].



CyreneTM has been successfully introduced into homogeneous [26–29] and heterogeneous [30,31] carbon–carbon and carbon–heteroatom bond-forming protocols. Although its reactive carbonyl group could limit its application when a strong base (aldol condensation [29]) or amines (potential Schiff-base formation) are present, a wide range of organic reactions, e.g., urea and amide formation [32,33], amide coupling [34], aldol condensation [35], C–H difluoromethylation [36], aromatic substitution [37], and MOFs synthesis [38] were demonstrated in CyreneTM. Very recently, Fasano and Citarella first reported a CuAAC reaction in CyreneTM using 10 mol % Cu load, sodium ascorbate as base, and 24 h reaction time [39]. The protocol was successfully applied to synthesize more than 20 1,2,3-triazoles with excellent yields and purity.

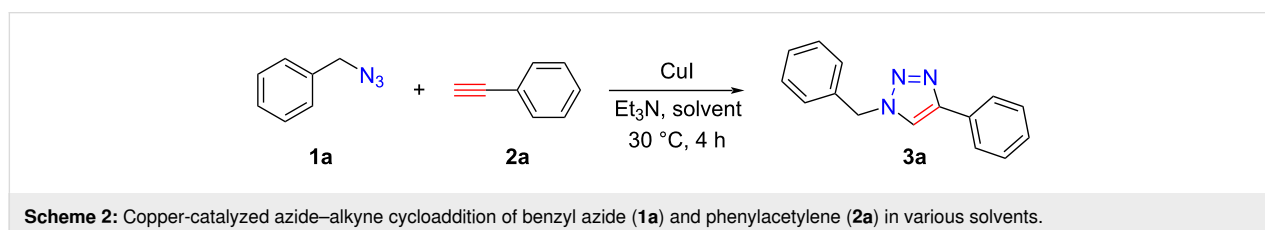
Because the CuAAC reaction is a well-studied transformation, preparing various 1,2,3-triazoles in a less toxic and recyclable medium could further control and reduce the environmental impacts of this synthetically very important transformation.

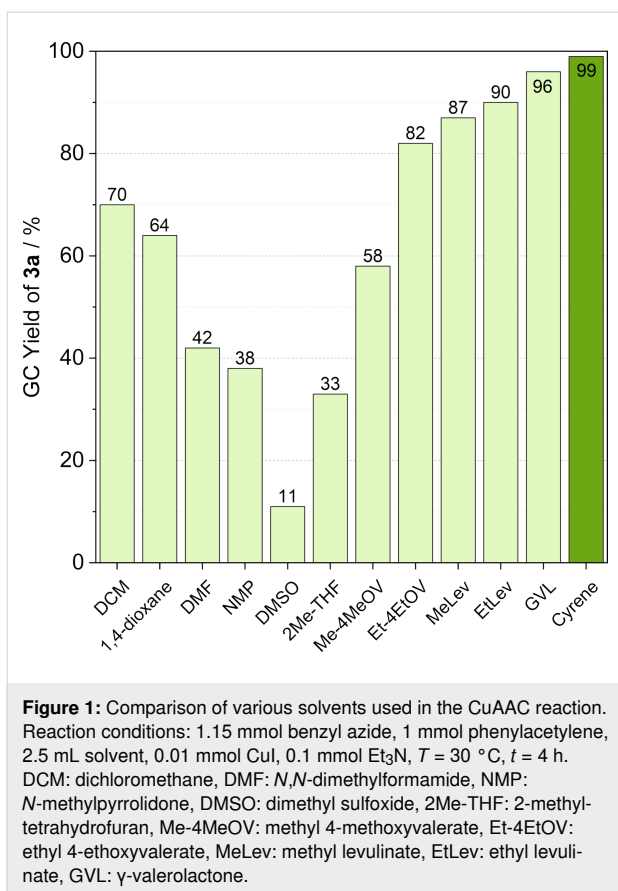
Herein, we report a study on the copper(I)-catalyzed azide–alkyne cycloaddition (CuAAC) reaction in CyreneTM under mild conditions.

Results and Discussion

We recently demonstrated that Pd-catalyzed Heck reactions could be performed in CyreneTM [26]. To extend its applicability, we first compared the typical conventional organic media, selected biomass-derived solvents (i.e., levulinic acid and γ -valerolactone-based solvents), and CyreneTM in the transformation of 1.15 mmol benzyl azide (**1a**) and 1 mmol phenylacetylene (**2a**) in the presence of 0.01 mmol CuI and 0.1 mmol Et₃N as a model reaction (Scheme 2) under typically used “click conditions” [7].

In common organic solvents, the yields of 1-benzyl-4-phenyl-1*H*-1,2,3-triazole (**3a**) were moderate (DCM, 1,4-dioxane) or low (DMF, NMP, DMSO) (Figure 1). While low conversion was still detected in biomass-originated 2-MeTHF, MeLev, and EtLev established better performance. When their corresponding 4-alkoxy derivatives were applied, moderate (Me-4MeOV) or slightly lower (Et-4EtOV) conversions could be observed. However, significantly higher efficiencies were detected in

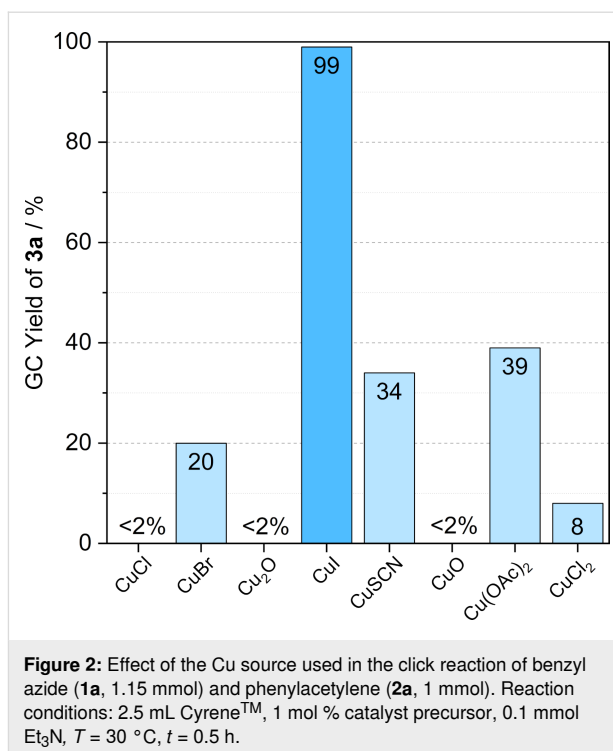




GVL and CyreneTM, which clearly verify that both solvents are appropriate for click chemistry.

The source of copper could also have a significant effect on the reaction's performance [40]. Accordingly, both Cu(I) and Cu(II) salts (1 mol % Cu) were tested in the conversion of 1.15 mmol benzyl azide (**1a**) and 1 mmol phenylacetylene (**2a**) in 2.5 mL CyreneTM at 30 °C. All the selected Cu salts catalyzed the cycloaddition; however, Cu chlorides and oxides resulted in unexpectedly low product yields after 0.5 h. The solubility of Cu oxides was significantly lower in CyreneTM, indicated by a slightly turbid, inhomogeneous initial reaction mixture. Copper(I) bromide, thiocyanate, and acetate also gave low yields. However, CuI afforded almost complete conversion of **1a** under identical conditions (Figure 2). The results are in accordance with those obtained for Cu sources in different solvent systems [40,41].

Although CuAAC reactions can be efficiently performed in water, the moisture content of the organic reaction environment could have a significant effect on the efficiency of a transition-metal-catalyzed reaction. Because CyreneTM is fully miscible in water, investigating the possible effect of the water content on the reaction was highly desired. We found that a slight decrease



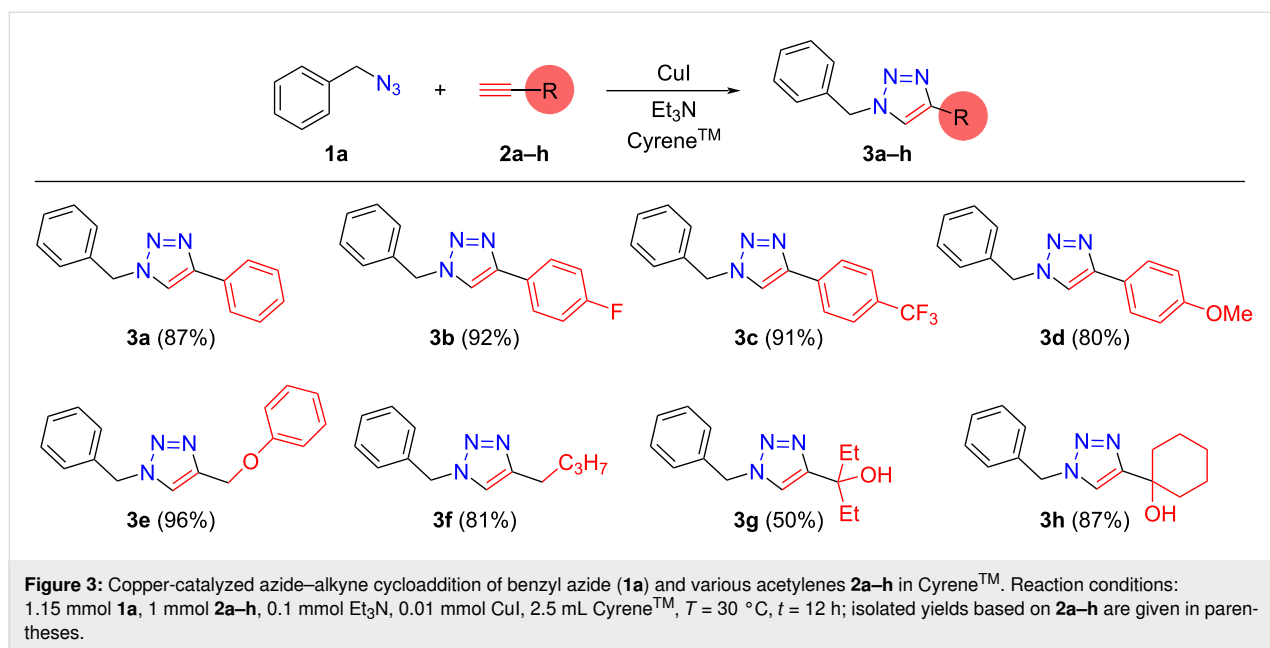
in formation of product **3a** was detected when the moisture content was varied between 0.05 and 3.0 wt % (Table 1). A higher moisture content reduces the product formation; thus, keeping water content below 1% is necessary to maintain high reaction efficiency. The negative effect could be due to the decreased solubility of **2a** at higher water content [42-44].

Table 1: Effect of the water content on the CuAAC reaction of benzyl azide (**1a**) and phenylacetylene (**2a**).^a

Entry	Water content/wt %	Yield 3a ^b /%
1	<0.05	>99
2	1.0	88
3	2.0	86
4	3.0	70
5	4.0	47
6	5.0	29

^aReaction conditions: 1.15 mmol benzyl azide (**1a**), 1 mmol phenylacetylene (**2a**), 2.5 mL CyreneTM, 1 mol % CuI, 0.1 mmol Et₃N, T = 30 °C, t = 1 h. ^bGC yield.

Hereafter, the readily available CuI was selected as a catalyst precursor to facilitate click reactions involving benzyl azide (**1a**) and various acetylenes **2b–h** in CyreneTM at 30 °C for 12 h (Figure 3). It should be noted that all components readily dissolved in CyreneTM, resulting in clear, homogeneous reaction mixtures. With the exception of 3-(1-benzyl-1*H*-1,2,3-triazol-4-



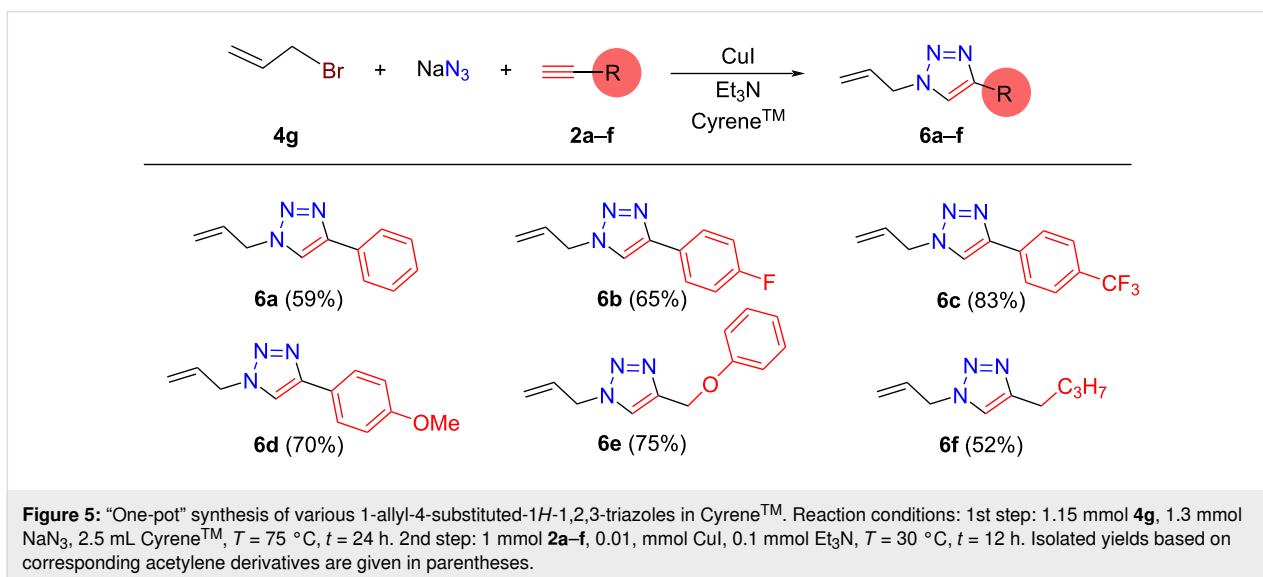
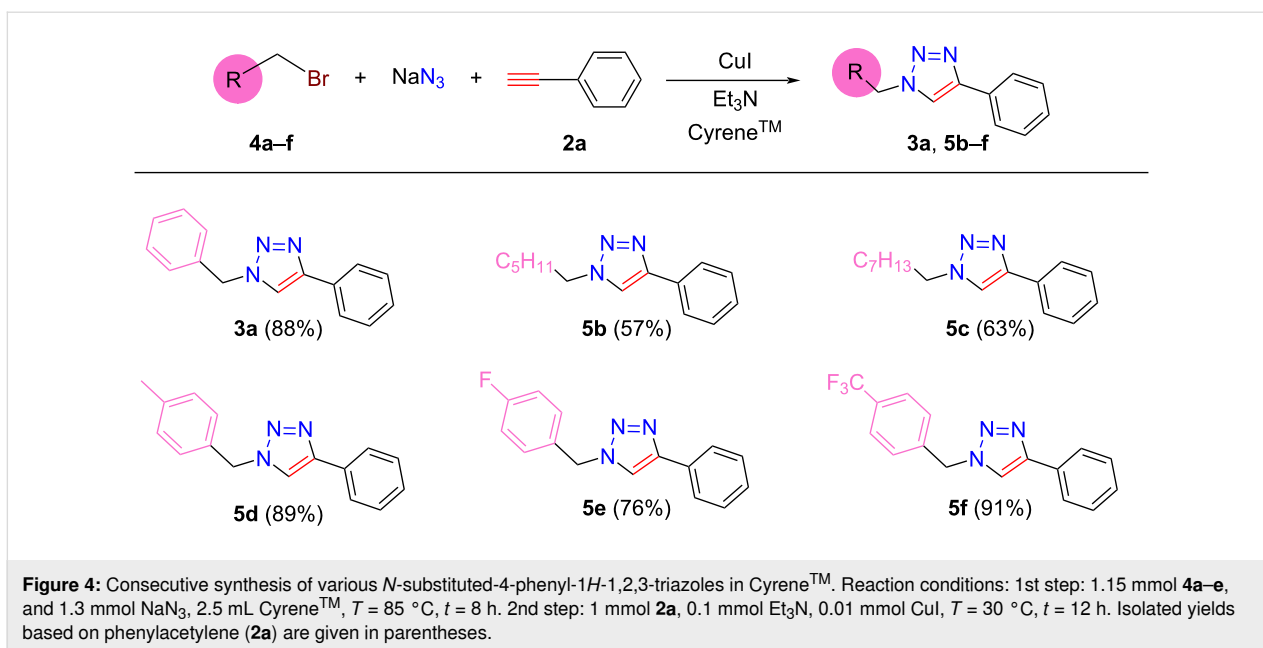
yl)pentan-3-ol (**3g**), the isolated 1,2,3-triazole derivatives were generally obtained with good to excellent yields (50–96%). Both electron-withdrawing (fluoro (**3b**) or trifluoromethyl (**3c**)) and electron-donating (methoxy, phenoxy, and alkyl (**3d–h**)) groups were tolerated on the alkyne reaction partner species. In accordance with results reported by Citarella et al. [39], excellent functional group tolerance was verified and the isolated yields are in the same range as reported by Citarella et al. (for **3a**, 90% [39] and 87% [39]). It should be noted that no Cu-catalyzed Glaser-coupling of acetylenes [45] was observed, indicating further the applicability of the present synthetic method.

Due to the excellent solvating power of CyreneTM, the “one-pot” synthesis of 1,2,3-triazoles could be proposed to eliminate the preparation and isolation steps of azide components. This could open an even greener and facile protocol for CuAAC reactions. To demonstrate the one-pot synthesis of 1-benzyl-4-phenyl-1*H*-1,2,3-triazole (**3a**), 1.23 mmol benzyl bromide (**4a**), 1.57 mmol NaN₃, 1.06 mmol phenylacetylene (**2a**), 0.011 mmol CuI, and 0.1 mmol Et₃N were mixed in 2.5 mL of solvent and stirred at 85 °C. After 24 h, GC analysis verified a 90% yield of **3a**, which proves that the CuAAC reaction was completed in CyreneTM under one-pot conditions. After the work-up procedure, a satisfactory 84% yield of **3a** was obtained. When the one-pot reaction was sequenced, it first involved the synthesis of benzyl azide (**1a**) using 1.17 mmol benzyl bromide (**4a**) and 1.31 mmol NaN₃ at 85 °C. After 8 h, 1.06 mmol phenylacetylene (**2a**), 0.01 mmol CuI, and 0.1 mmol Et₃N were added to initiate the click reaction. The mixture was stirred at 30 °C for 12 h. The GC analysis showed complete conversion, and

after the workup procedure, 88% **3a** was isolated with 98.5% purity. It is important to note that there are no differences between the isolated yields (cf. Figure 3 and Figure 4 for **3a**). According to the observation that the consecutive synthesis could be more efficient, the scope of the method was extended to synthesizing various *N*-substituted-4-phenyl-1*H*-1,2,3-triazoles in CyreneTM (Figure 4). It was shown that the protocol resulted in the formation of products **3a** and **5b–f** with yields of 57–91% depending on the structure of the bromide derivatives. The isolated yields were in the same range as reported by Citarella et al. [39].

The presence of a terminal carbon–carbon double bond in a certain molecular structure could allow for efficient subsequent functionalization via, for example, an addition reaction, opening possibilities for building even more complex molecular skeletons involving 1,2,3-triazole units. Using allyl bromide in the reaction sequence results in the formation of 1-allyl-4-substituted-1*H*-1,2,3-triazoles bearing a terminal C–C double bond moiety. Thus, we attempted to prepare a series of 1-allyl-4-substituted-1*H*-1,2,3-triazoles (Figure 5, **6a–f**) from allyl bromide (**4g**) and selected acetylenes **2a–f**. Similar to the formation of *N*-substituted-4-phenyl-1*H*-1,2,3-triazoles, the method exhibits good functional group tolerance and gives the corresponding products **6a–f** with moderate and/or good isolated yields (52–83%).

Our investigation finally focused on the solvent recovery and reuse, which is a key issue for large-scale applications. When 5 mmol **1a** and 5.75 mmol of **2a** were reacted in the presence of 1 mol % CuI and 0.5 mmol Et₃N at 30 °C for 2 h, a >99.9%



conversion was detected. After the reaction, 25 mL of cold water was added to precipitate **3a**, which was subsequently filtered, dried, and isolated with a yield of 93.7%. After the removal of volatile compounds from the aqueous phase by vacuum distillation, 88% of CyreneTM (13.7 g) was recovered. The reaction was repeated four times with the same procedure under identical conditions (same catalyst and substrate concentration). It was shown that CyreneTM could be successfully recovered for 4 consecutive runs, which resulted in high conversion of **1a** in each run (Figure 6).

To evaluate and compare the one-pot protocol with published methods, the environmental factor (E-factor) was calculated for

the synthesis of **3a**. Considering an average 88% solvent recovery, the E-factor is 76. It is lower than that obtained in the conventional organic medium DMSO (E-factor = 104, [46]) and higher than the one calculated by Citarella (E-factor = 24, [39]) for the CyreneTM-based protocol. However, they used a 15 times higher substrate loading.

Conclusion

In conclusion, we have demonstrated that biomass-derived CyreneTM can be utilized as an alternative reaction medium for the one-pot copper(I)-catalyzed azide–alkyne cycloaddition (CuAAC) reaction of various acetylenes and azides. Due to the strong solvating power of CyreneTM, a sequenced one-pot syn-

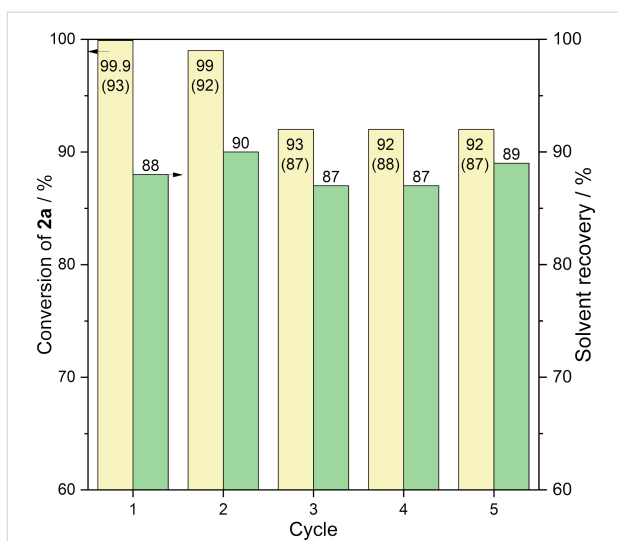


Figure 6: Solvent recovery for the CuAAC reaction of **1a** and **2a**. Reaction conditions: 12.5 mL CyreneTM, 1 mol % CuI, 0.5 mmol Et₃N, T = 30 °C, t = 2 h. Isolated yields are given in parentheses.

thesis of triazoles was successfully demonstrated. The protocol was tested for a wide range of substrates, and successful synthesis and isolation of nineteen 1,2,3-triazole derivatives **3a–h**, **5b–f**, and **6a–f** with moderate to excellent isolated yields (50–96%) and purity (>98%) was shown.

Experimental

The sources of chemicals are listed in Supporting Information File 1. ¹H, ¹³C, and ¹⁹F NMR spectra were collected on a Bruker Avance 300 MHz or Bruker Avance-III 500 MHz instrument and processed by MestReNova v. 14.3.1-31739 (2022) MestreLab Research S. L.

GC analyses were performed on an HP 5890 N Series II instrument with Restek RTX[®]-50 capillary column (15 m × 0.25 mm × 0.25 μm) using H₂ as a carrier gas. For the analysis, 10 μL of the reaction mixture was dissolved in 1 mL of ethyl acetate, followed by adding 10 μL toluene as the internal standard. Heating profile of GC–FID analysis: The initial temperature was 100 °C and was hold for 0.5 min. Heating rate: 40 °C/min up to the final temperature of 270 °C. The final temperature was held for 4.25 min.

The water content of CyreneTM was measured on a Methrom 684 KF Coulometer at Balint Analitika Ltd, Budapest, Hungary.

CyreneTM was purchased from Sigma-Aldrich Kft. Budapest, Hungary. Its purification was performed by vacuum distillation (18–20 mmHg, 114–116 °C) and stored under argon before subsequent use. The purity (>99.99%) was checked by ¹H and ¹³C NMR spectroscopy. ¹H NMR (300 MHz, CDCl₃, TMS) δ

5.10 (s, 1H, CH), 4.71 (s, 1H, CH), 4.05 (d, J = 7.3 Hz, 1H, CH), 3.96 (t, J = 6.3 Hz, 1H, CH), 2.73–2.02 (m, 4H, CH₂); ¹³C NMR (75 MHz, CDCl₃, TMS) δ 200.3 (CO), 101.5 (CH), 73.1 (CH), 67.6 (CH₂), 31.1 (CH₂), 29.9 (CH₂). The NMR data correspond to the published results.

Methyl 4-methoxyvalerate and ethyl 4-ethoxyvalerate were prepared using the published method [47].

The synthesis of benzyl azide, detailed experimental procedures, and characterization of prepared compounds are reported in Supporting Information File 1.

General procedure for click reaction in CyreneTM

In a 4 mL screw-cap vial, 1.15 mmol of benzyl azide (**1a**), 1 mmol corresponding acetylene, 0.1 equiv Et₃N, and 0.01 mmol CuI, were dissolved in 2.5 mL CyreneTM. The reaction mixture was stirred overnight at a given temperature. After the reaction, 20 mL of cold distilled water was added, followed by intensive stirring. The solid product was filtered, washed with distilled water (3 × 5 mL), and dried until constant weight under the fume hood. The detailed experimental procedure, as well as the characterization of isolated compounds, are provided in Supporting Information File 1.

General procedure for click reaction in CyreneTM under one-pot conditions

In a 4 mL screw-cap vial, 1.15 mmol of corresponding bromine and 1.3 mmol NaN₃ were dissolved in 2.5 mL CyreneTM and stirred at a given temperature. After a given reaction time, 1 mmol of the corresponding acetylene compound, 0.1 mmol of Et₃N, and 0.01 mmol of CuI were added to the reaction mixture and reacted for a given time at a given temperature. The work-up procedure is similar to the one given above. The detailed experimental procedure, as well as the characterization of isolated compounds, are provided in Supporting Information File 1.

Supporting Information

Supporting Information File 1

Source of chemicals, detailed experimental procedure, and characterization of isolated compounds.

[<https://www.beilstein-journals.org/bjoc/content/supplementary/1860-5397-21-117-S1.pdf>]

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Author Contributions

Zoltán Medgyesi: formal analysis; investigation; writing – original draft. László T. Mika: conceptualization; funding acquisition; project administration; supervision.

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Data Availability Statement

All data that supports the findings of this study is available in the published article and/or the supporting information of this article.

Preprint

A non-peer-reviewed version of this article has been previously published as a preprint: <https://doi.org/10.3762/bxiv.2025.21.v1>

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Fe-catalyzed efficient synthesis of 2,4- and 4-substituted quinolines via C(sp²)-C(sp²) bond scission of styrenes

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Full Research Paper

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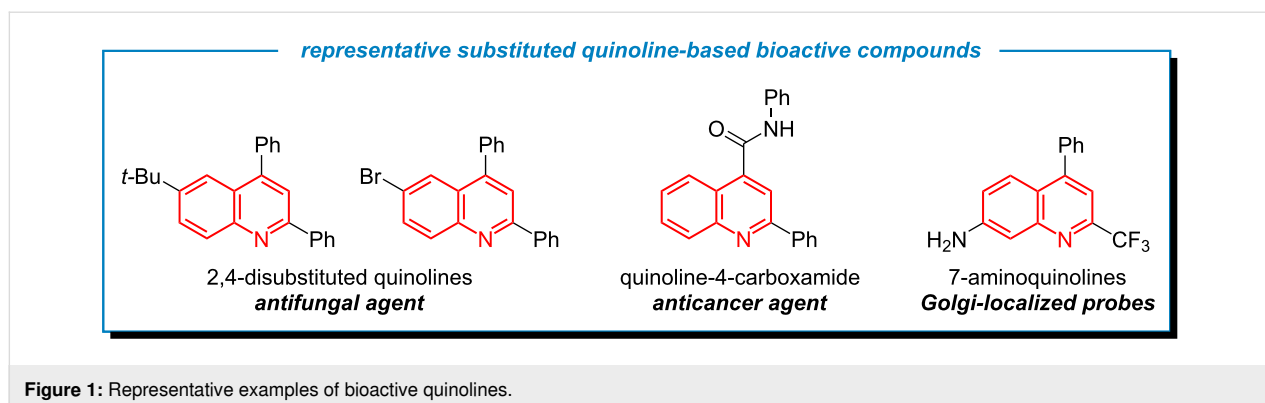
Abstract

Herein, we report a highly efficient, environmentally benign protocol for the domino synthesis of 2,4-disubstituted and 4-substituted quinoline molecules. The developed strategy involves an earth-abundant Fe-catalyzed C(sp²)-C(sp²) bond cleavage of styrene, followed by the hydroamination of the cleaved synthons with arylamines and subsequent C-H annulation to yield two valuable quinoline derivatives. Key features of this protocol include the use of O₂ as an ideal, green oxidant, operational simplicity and scalability, high atom- and step-economy, and cost-effectiveness, collectively enabling the single-step synthesis of two medicinally relevant N-heterocycles in excellent combined yields.

Introduction

Quinolines are one of the essential heteroaromatic motifs that play a crucial role across diverse scientific fields due to their wide range of applications. In contemporary medicine, quinoline derivatives frequently appear in active pharmaceutical ingredients, therapeutic agents, and agrochemical formulations [1-9]. Around 60% of recently FDA-approved drugs contain heterocyclic compounds, with quinoline recognized as a key structural motif due to its significant anticancer, antifungal, antibacterial, and anti-inflammatory activities [10-13]. In the

field of optoelectronics, especially with 2,4-diarylquinoline derivatives, extensive studies have highlighted their applicability in organic light-emitting diode (OLED) systems as functional materials [14,15] and cutting-edge fluorescent probes for sensing and bioimaging applications (Figure 1) [16,17]. Quinoline-derived metal complexes have also demonstrated broad utility, functioning as effective catalysts in organic synthesis and finding applications across medicinal chemistry, materials science, photovoltaics, and chemical sensing [18].

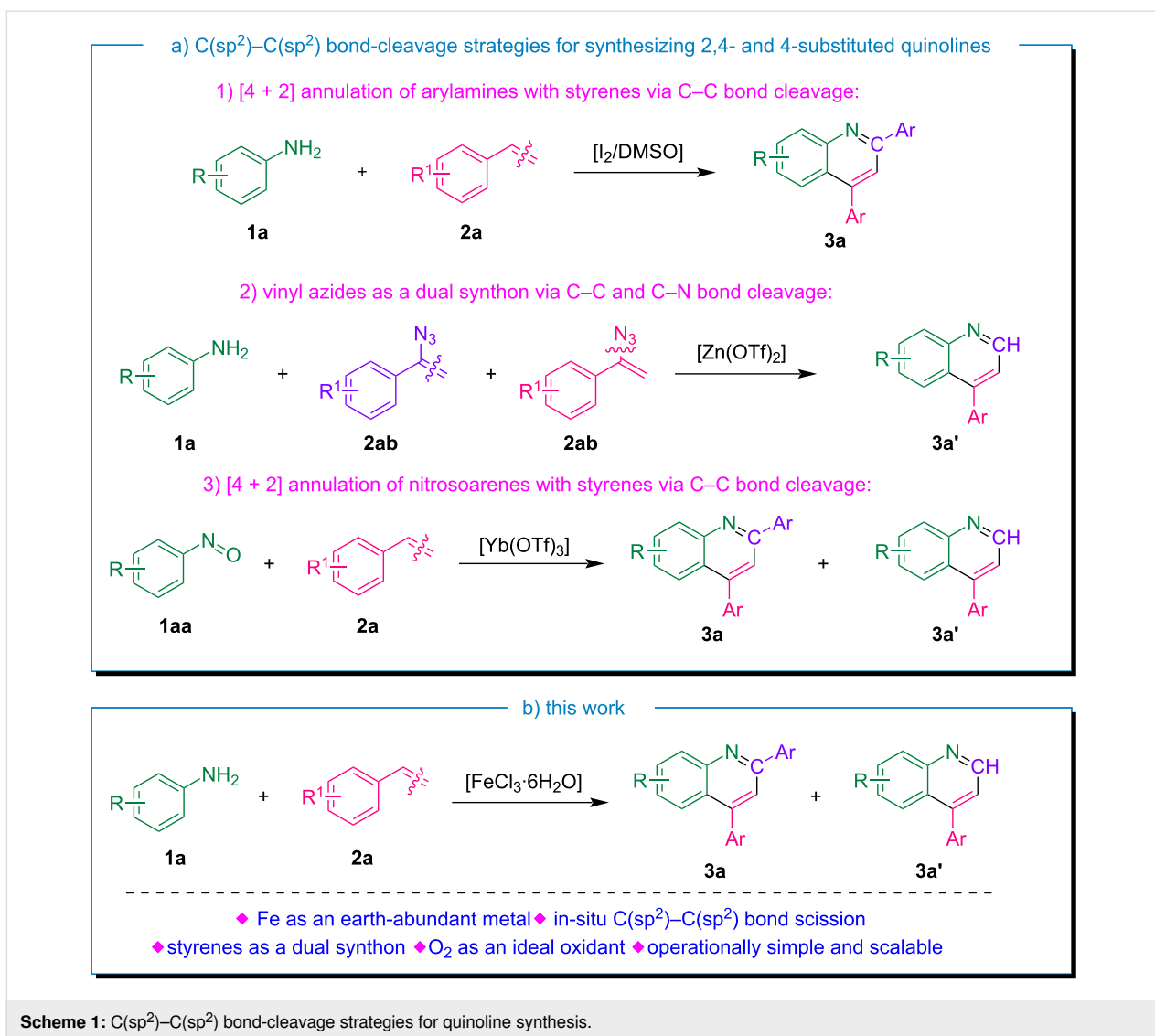


Due to its wide range of applications, several methods for synthesizing substituted quinoline derivatives have been developed in recent decades, based on mechanisms such as Conrad–Limpach–Knorr [19], Friedländer [20], Doebner–Miller [21], Pfitzinger [22], Skraup [23], Povarov [24], and Combes [25]. However, these methods often require multiple synthetic steps and demanding conditions such as elevated temperatures, strong acidic or basic environments, and the use of expensive metal catalysts, which limit their broader applicability. To overcome these limitations, numerous catalytic strategies have been explored in recent decades for the synthesis of structurally diverse quinolines. Among them, transition-metal-catalyzed multicomponent reactions (MCRs) have emerged as particularly effective for constructing complex quinoline-based heterocycles [26–28]. Catalytic pathways such as cycloaddition, tandem annulation, intramolecular cyclization, and cross-coupling reactions are commonly employed under thermal conditions, utilizing metal catalysts based on Pd, Ru, Au, Cu, and Fe to access a wide array of substituted quinoline frameworks [29–38].

Conversely, in light of climate change and the ongoing energy crisis, there is an urgent need to reform energy and chemical production by prioritizing environmentally sustainable methods that are both practical and broadly implementable. Styrenes are industrially important bulk chemicals [39], with an estimated global production of approximately 30 million tons annually [40]. Their low cost and widespread availability make them highly valuable as fundamental building blocks in organic synthesis. Over the past few decades, the direct functionalization of styrenes has emerged as a prominent research area due to its promising industrial relevance. Oxidative cleavage of alkenes to yield carbonyl compounds is one of the key transformations in synthetic organic chemistry [41,42]. Over the past two decades, this field has witnessed significant advancements, primarily through the use of organic oxidants and transition-metal catalysts. One of the key transformations in organic synthesis is the selective oxidative cleavage of alkenes to yield ketones or alde-

hydes [43–47]. Traditionally, such transformations have been achieved using various oxidizing agents, transition-metal-based systems, organo- and biocatalysts, as well as enzymatic processes. Among these, molecular oxygen stands out as a greener and more sustainable oxidant due to its natural abundance, low cost, and environmentally friendly properties, making it an appealing option for both academic research and industrial applications. Recently, some advanced strategies have been developed for cleavage of alkenes [48–51].

Owing to the abundance and versatile applications of styrenes in diverse fields of organic chemistry, some strategies have recently been developed for synthesizing 2,4-disubstituted and 4-substituted quinoline derivatives via the C(sp²)–C(sp²) bond-scission approach. A summary of known procedures for synthesizing these derivatives via C(sp²)–C(sp²) bond cleavage is presented in Scheme 1a. In 2015, Shah et al. documented the first two-component, metal-free approach for accessing 2,4-disubstituted quinolines [52]. They employed an I₂/DMSO-facilitated C–C bond-scission strategy of styrenes, followed by C–N bond formation and subsequent [4 + 2] annulation. Jiang and co-workers developed a method for synthesizing 4-substituted quinolines using vinyl azides as dual synthons, facilitating both the C–C and C–N bond cleavage [53]. In this work, the authors used a stoichiometric amount of Zn(OTf)₃ as a Lewis acid catalyst and air as the oxidant for the reaction. Jana and colleagues demonstrated an atom-efficient pseudo-three-component C–H annulation reaction catalyzed by Yb and Cu, which involved nitrosoarenes and styrene or epoxystyrene as coupling partners to yield substituted aryl quinolines [54]. However, the methods discussed in the literature often have limitations, such as reliance on stoichiometric amounts of reagents, expensive metal triflates, poor atom economy, and long reaction times. Moreover, many existing methodologies suffer from poor atom utilization, leading to increased waste and reduced overall efficiency, which can be challenging to manage, especially when scaling up.



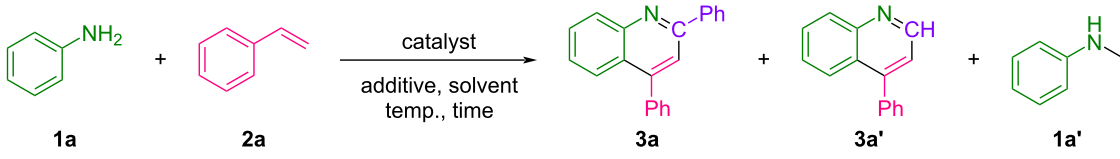
Scheme 1: C(sp²)–C(sp²) bond-cleavage strategies for quinoline synthesis.

Building on previous studies, we envisioned a novel reaction system that facilitates the C(sp²)–C(sp²) bond cleavage of styrene, leading to the in situ generation of two valuable intermediates that act as dual synthons for the synthesis of 2,4- and 4-substituted quinolines. As part of our ongoing efforts to develop innovative C–C and C–H activation strategies for constructing nitrogen-containing heterocycles [55,56], we herein report the first example of the earth-abundant iron-catalyzed oxidative cleavage of C(sp²)–C(sp²) bonds of styrenes and further utilization of the intermediates as dual synthons for the synthesis of two essential quinoline moieties as shown in Scheme 1b.

Results and Discussion

To validate our hypothesis, we commenced our investigation by employing arylamine **1a** and styrene (**2a**) as model substrates. The optimized reaction conditions are presented in Table 1. Building upon our previous studies, where we investigated how

solvent selection can influence the selective formation of quinoline scaffolds, the present C–H annulation reaction of aniline (**1a**) with styrene (**2a**) was initially carried out in TFE (trifluoroethanol) as solvent in the presence of 25 mol % FeCl₃·6H₂O as a catalyst and 1.5 equiv of TFA (trifluoroacetic acid) as an additive (Table 1, entry 1). For this reaction, 12% of 2,4-disubstituted quinolone **3a** along with 36% of 4-substituted quinolone **3a'** were observed during GC and GC–MS analysis, and the structures of both quinoline moieties were identified by ¹H and ¹³C NMR spectroscopy. Encouraged by this result, we next examined the feasibility of the reaction with methanol as solvent (Table 1, entry 2). We were delighted to observe that, in methanol, the reaction proceeded smoothly, affording 41% and 51% isolated yields of **3a** and **3a'**, respectively. We further explored the effect of other catalysts on the reaction. As listed in Table 1, entries 3–6, among the Lewis acids surveyed, FeCl₃·6H₂O provided the best results (Table 1, entry 2). A further reduction in

Table 1: Optimization of reaction conditions.^a


Entry	Catalyst	Additive	Solvent	Conv. (%) ^b	Selectivity (%) ^b			
					3a	3a'	1a'	1a''
1	FeCl ₃ ·6H ₂ O	TFA	TFE	94	12	36	–	–
2	FeCl ₃ ·6H ₂ O	TFA	MeOH	100	44 (41) ^c	56 (51) ^c	–	–
3	FeCl ₃	TFA	MeOH	96	46	48	–	6
4	FeBr ₃	TFA	MeOH	89	37	42	6	15
5	FeCl ₂ ·4H ₂ O	TFA	MeOH	94	50	47	3	–
6	Fe(OAc) ₂	TFA	MeOH	82	34	45	4	17
7 ^d	FeCl ₃ ·6H ₂ O	TFA	MeOH	99	41	55	4	–
8 ^e	FeCl ₃ ·6H ₂ O	TFA	MeOH	78	45	50	5	–
9	–	TFA	MeOH	10	–	–	–	100
10	FeCl ₃ ·6H ₂ O	AcOH	MeOH	92	37	58	5	–
11	FeCl ₃ ·6H ₂ O	TsOH	MeOH	91	35	59	1	5
12 ^f	FeCl ₃ ·6H ₂ O	TFA	MeOH	96	40	56	4	–
13	FeCl ₃ ·6H ₂ O	–	MeOH	56	40	47	8	5
14 ^g	FeCl ₃ ·6H ₂ O	TFA	MeOH	84	40	58	2	–
15 ^h	FeCl ₃ ·6H ₂ O	TFA	MeOH	75	32	36	10	22

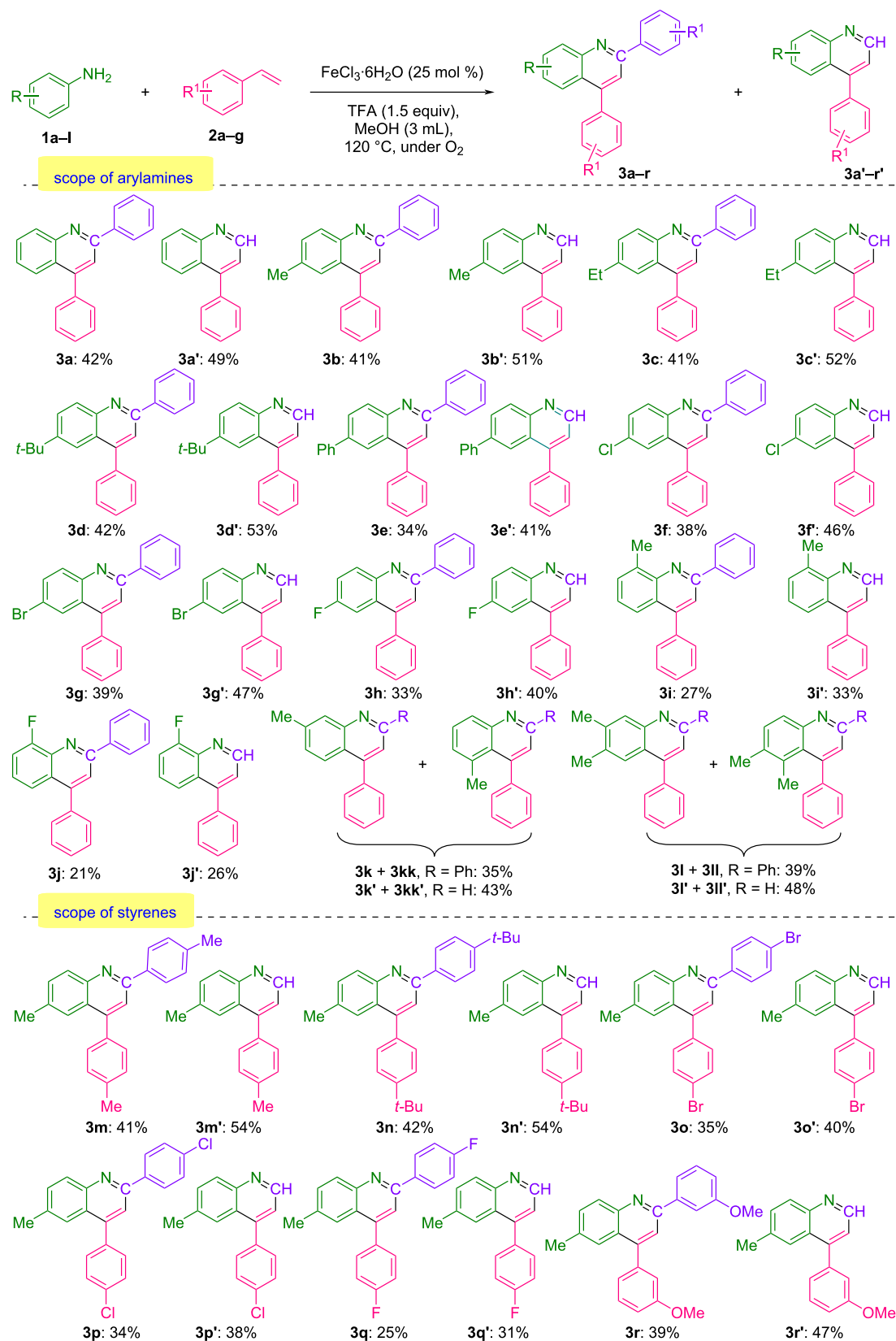
^aReaction conditions: **1a** (0.5 mmol, 1 equiv), **2a** (2.2 equiv), catalyst (25 mol %), TFA (1.5 equiv), in solvent (2 mL), and allowed to stir under an O₂ (≈1 atm), at 120 °C for 24 h; ^bconversion and selectivity were determined through GC and GC–MS analysis; ^cisolated yields after column chromatography; ^d20 mol % FeCl₃·6H₂O; ^e10 mol % FeCl₃·6H₂O; ^f1 equiv TFA; ^g100 °C; ^h1 equiv K₂S₂O₈ as oxidant instead of O₂. (**1a''**: other side products of **1a**).

catalyst loading from 25 mol % to 20 mol % and 10 mol % resulted in a noticeable decrease in both selectivity and conversion efficiency (Table 1, entries 7 and 8). This is likely due to insufficient C(sp²)–C(sp²) bond cleavage as well as inadequate Lewis acid activation of *N*-methylaniline (**1a'**), which hinders its further cyclization into the final product **3a'**.

The reaction, when conducted in the absence of a catalyst, failed to proceed, thereby highlighting the crucial role of catalytic activation in facilitating the transformation under the given reaction conditions (Table 1, entry 9). The effect of additives on this transformation was then investigated, and it was found that utilizing AcOH and TsOH additives instead of TFA failed to reach optimal yields (Table 1, entries 10 and 11). A noticeable decrease in yield was observed when the amount of trifluoroacetic acid (TFA) was reduced from 1.5 equiv to 1.0 equiv (Table 1, entry 12). Consequently, in the absence of the additive, the yield was significantly diminished due to the incomplete consumption of **1a** (Table 1, entry 13). Lowering the temperature to 100 °C considerably reduced both the conversion and selectivity (Table 1, entry 14). Furthermore, when K₂S₂O₈ was

used as the oxidizing agent instead of O₂, a considerable drop in both conversion and selectivity was observed.

Having identified satisfactory conditions, we sought to examine the scope and generality of this methodology. As summarized in Scheme 2, we initially investigated the scope and effect of arylamine functionalities on this transformation. Under optimized reaction conditions, arylamines bearing either electron-rich or electron-deficient substituents at the *para*-position demonstrated good tolerance, producing both mono- and disubstituted quinolines with high overall yields. Substrates bearing electron-rich groups at *para*-position such as -Me, -Et, and -*t*-Bu (**1b–d**) showed excellent compatibility, producing both 2,4-disubstituted quinolines **3b–d** and 4-substituted quinolines **3b'–d'** with consistently high combined yields, ranging from 92% to 95%. Probably due to steric hindrance, when the phenyl group was attached to the *para*-position of the aniline (**1e**), the corresponding products **3e** along with **3e'** could be obtained in 75% yields. Electron-deficient groups at the *para*-position, such as -Cl, -Br, and -F (**1f–h**), were next evaluated using the optimized conditions, resulting in the isolation of the correspond-



Scheme 2: Substrate scope of various arylamines and styrenes.

ing quinolines **3f–h** and their 4-substituted analogs **3f'–h'** with satisfactory yields ranging from 73% to 86%. *ortho*-Substituted arylamines **1i** and **1j** showed sensitivity, likely due to steric hindrance, leading to a moderate combined yield. Moreover, when the *meta*-substituted arylamine **1k** was reacted under standard conditions, regioisomeric forms of quinolines were observed during GC and GC–MS analysis. Nearly identical results were obtained when 3,4-dimethylaniline (**1l**) was used.

An additional effort was made to broaden the scope of this transformation by exploring various functionalities on the styrene substrate as well. Styrene with an electron-rich group at *para*-position such as *-Me* and *-t-Bu* (**2b** and **2c**) also reacted smoothly to afford the corresponding quinolines **3m** and **3n** along with **3m'** and **3n'** in high yields. Slightly lower yields were observed when styrenes bearing electron-withdrawing substituents (**2d** and **2f**) were examined, giving the final products ranging from 56% to 75% isolated yields. Nevertheless, *meta*-substituted styrene (**2g**) was also evaluated in this reaction, resulting in the formation of both **3r** and **3r'**, which were obtained in 86% combined yield.

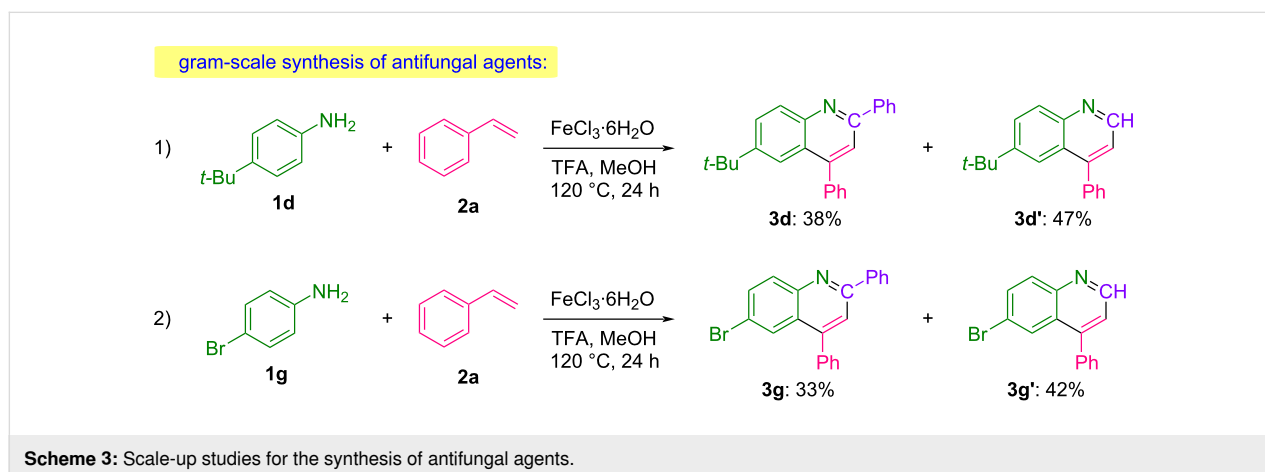
Gram-scale studies were conducted to further demonstrate the synthetic potential and practical utility of the developed methodology.

The biologically significant compounds 6-(*tert*-butyl)-2,4-diphenylquinoline (**3d**) and 6-bromo-2,4-diphenylquinoline (**3g**), both recognized for their antifungal activity [10], were successfully synthesized on a gram scale, showcasing the scalability and efficiency of the process (Scheme 3).

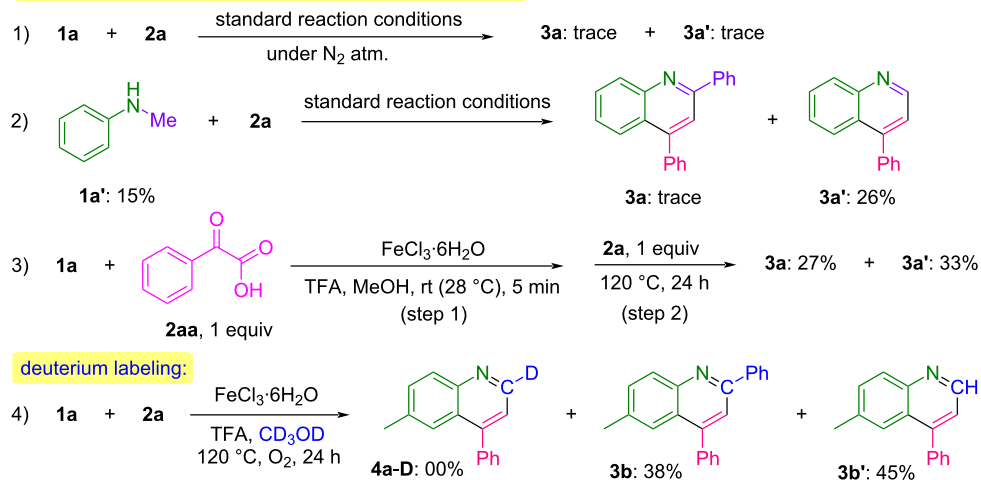
After having demonstrated the broad substrate compatibility and the synthetic potential of this protocol, a set of control experiments was conducted to gain insight into the reaction mechanism, as depicted in Scheme 4.

To clarify the significance of oxidative conditions, the standard reaction was initially conducted under an inert atmosphere by replacing oxygen with nitrogen (reaction 1). Under these conditions, the reaction led to a complete suppression in the yield of **3a** and **3a'**. The above results reveal that O₂ plays a remarkable role in this transformation. Subsequently, the reaction was performed using *N*-methylaniline (**1a'**) under the optimized conditions (reaction 2), which afforded product **3a'** in 26% yield. Then, in reaction 3, a one-pot, two-step reaction involving phenylglyoxalic acid (**2aa**) was carried out. In step 1, compounds **1a** and **2aa** were stirred at room temperature for 5 minutes in the presence of a catalyst and an additive. Subsequently, in step 2, styrene (**2a**) was added, and the reaction mixture was stirred at 120 °C for 24 hours, resulting in the formation of 27% of **3a** along with 33% of **3a'**. To further investigate the role of the solvent in the reaction mechanism, a deuterium-labeling experiment was performed by reacting **1a** with **2a** in deuterated methanol (CD₃OD) under standard conditions. In this reaction, **4a–D** was not detected, indicating that methanol is not utilized as a C1 source.

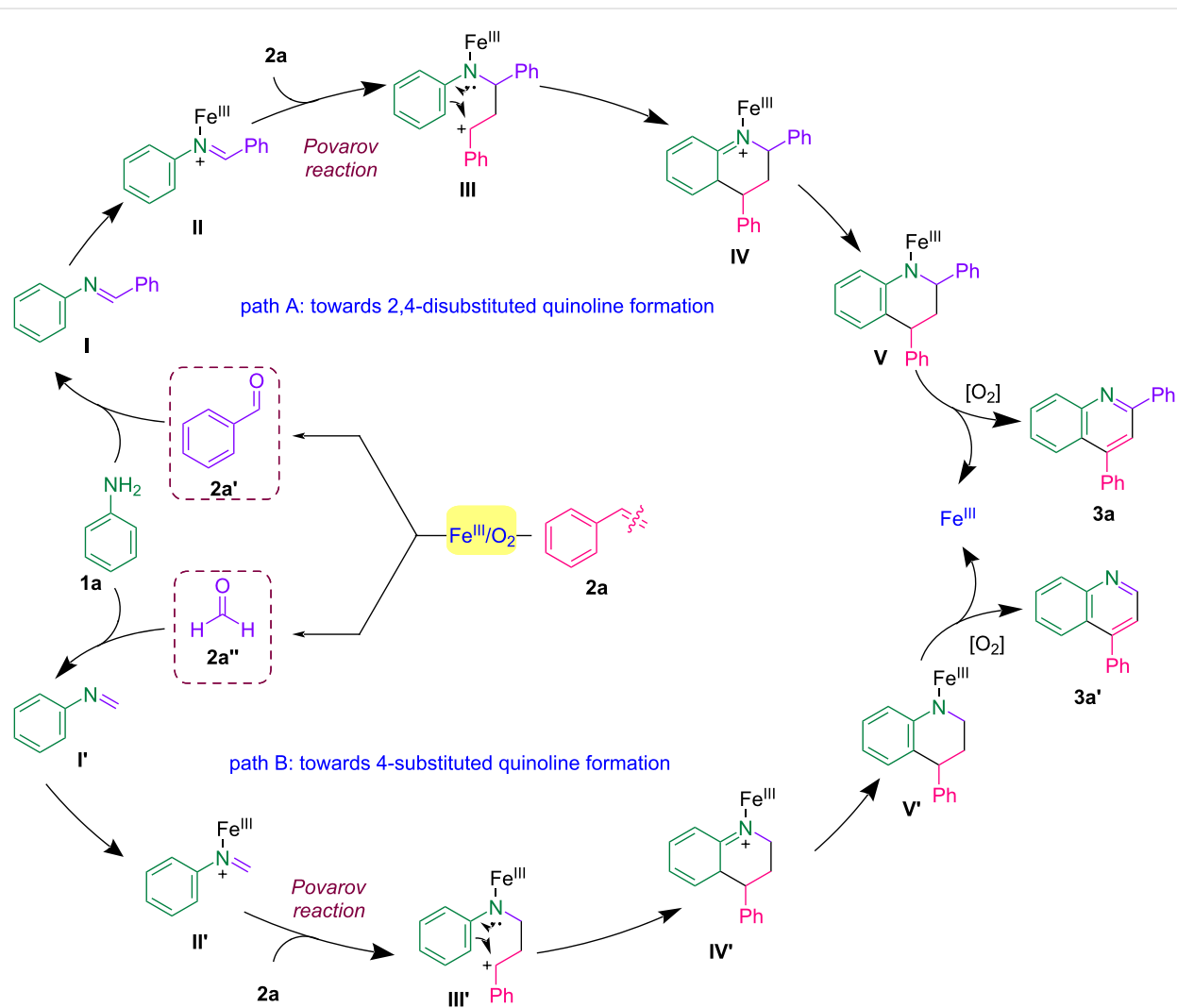
Considering this experimental evidence and existing literature [54,57], a plausible mechanism is depicted in Scheme 5. The reaction likely proceeds through forming benzaldehyde (**2a'**) (detected during GC–MS analysis) and formaldehyde (**2a''**) via C–C bond scission of styrene in the presence of Fe^{III}/O₂, possibly through a 1,2-addition of O₂ to styrene [49,58–60]. This in-situ generated aldehyde species then undergoes condensation with the amine **1a**, leading to the formation of the corresponding imines **I** and **I'**, as supported by the detection of imine **I** during GC–MS analysis. Both imines coordinate with the Lewis acid Fe^{III} forming intermediates **II** and **II'** with enhanced electrophilicity, respectively. Another equivalent of styrene (**2a**) attacks the electrophilic carbon, leading to the formation of intermediates **III** and **III'**. Subsequent electrophilic cyclization/C–H annulation of the aromatic amine, followed by



control experiments to identify key intermediate species:



Scheme 4: Mechanistic investigations.



Scheme 5: Plausible reaction mechanism.

aromatization, afford intermediates **V** and **V'**. The oxidative dehydrogenation of intermediates **V** and **V'** then results in the formation of products **3a** and **3a'** and the regeneration of the Fe^{III} species. An alternative mechanism involving a concerted [4 + 2] cycloaddition between the aza-butadiene moiety in **II** and the alkene, leading to intermediate **IV**, cannot be ruled out.

Conclusion

In summary, we have successfully developed a highly efficient method for the oxidative C–C bond cleavage of styrenes, catalyzed by earth-abundant iron, followed by the in-situ utilization of the resulting cleaved synthon in a domino process to synthesize highly substituted quinoline derivatives. We have demonstrated that this process can efficiently convert readily available feedstocks, including a broad range of styrenes and arylamines, into valuable quinolines with good to excellent yields under environmentally benign and mild reaction conditions. The successful execution of a scale-up reaction to synthesize single-step antifungal agents emphasizes the significant synthetic potential of this approach in chemical synthesis and drug discovery.

Supporting Information

Supporting Information File 1

Experimental section, characterization of synthesized compounds, and copies of spectra.

[<https://www.beilstein-journals.org/bjoc/content/supplementary/1860-5397-21-142-S1.pdf>]

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Author Contributions

Prafull A. Jagtap: conceptualization; data curation; formal analysis; investigation; methodology; software; validation; visualization; writing – original draft; writing – review & editing. Manish M. Petkar: data curation; formal analysis; investigation. Vaishnavi R. Sawant: data curation; formal analysis; investigation. Bhalchandra M. Bhanage: project administration; resources; supervision; validation; writing – review & editing.

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Data Availability Statement

All data that supports the findings of this study is available in the published article and/or the supporting information of this article.

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Stereoselective electrochemical intramolecular imino-pinacol reaction: a straightforward entry to enantiopure piperazines

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Full Research Paper

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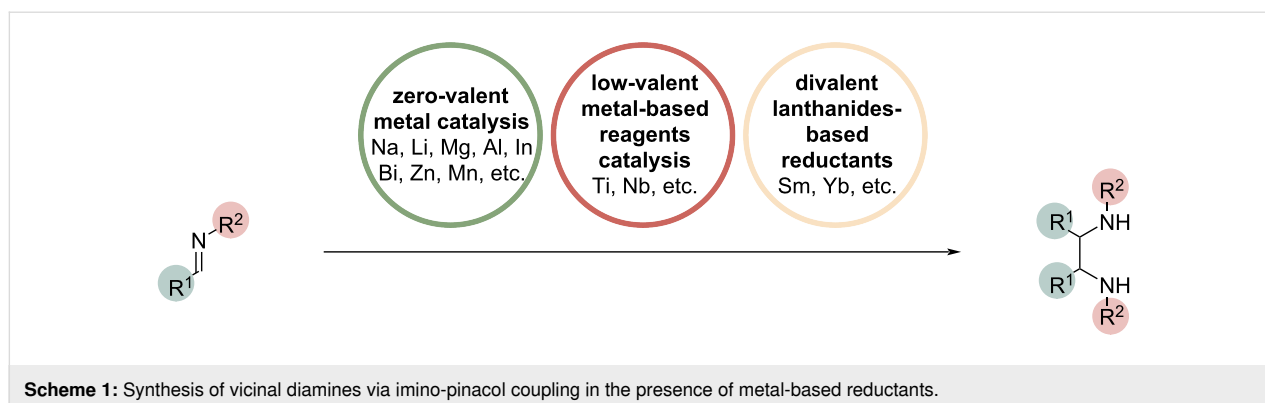
Abstract

The stereoselective electroreductive intramolecular coupling of chiral diimines of aromatic aldehydes with *trans*-1,2-diaminocyclohexane for the synthesis of enantiopure tetrasubstituted piperazines has been investigated by an electrochemical approach. The methodology was successfully developed under both batch and continuous flow conditions, and afforded enantiomerically pure products with complete stereoselectivity. Substrates bearing electron-donating or electron-withdrawing groups on the aromatic rings provided good to excellent yields, indicating that both types of substituents are well tolerated under the reaction conditions. Although modest yields were obtained under flow conditions, the continuous process afforded higher productivities and space-time yields than the batch reactions due to a short residence time. This work provides a mild, efficient, and scalable alternative to traditional methods for the synthesis of tetrasubstituted enantiopure piperazines, with potential applications in the preparation of chiral ligands.

Introduction

Vicinal diamines represent a highly valuable class of compounds that, over the past decades, have found widespread application in natural products, agrochemicals, and pharmacologically active compounds. Enantiomerically pure 1,2-diamines and their derivatives are also increasingly used in stereoselective synthesis, particularly as chiral auxiliaries or as ligands for metal complexes in asymmetric catalysis [1]. Metal-based

reductants represent the most established approach to imino-pinacol coupling (Scheme 1), with zero-valent metals traditionally employed as reductants and various strategies extensively explored [2]. The use of alkali metals [3-5], as lithium and sodium, and alkaline earth metals [6], as magnesium, are characteristic of the earliest versions of this transformation. Several metals from the p- and the d-blocks of the periodic table, such



as aluminum [7], indium [8], bismuth [7], zinc [9-17], and manganese [18,19], were later studied. The use of zinc, in particular, has attracted significant attention in this context due to its flexibility, efficiency, and practical applicability. Since the late 1980s, many research groups have investigated the use of in situ-generated low-valent titanium [20-25] and niobium [26] reagents to promote the pinacol-type coupling of imines. Among the metal-based reducing agents explored for this transformation, divalent lanthanide-based reductants derived from samarium and ytterbium have received significant attention since the early 90's [27-34].

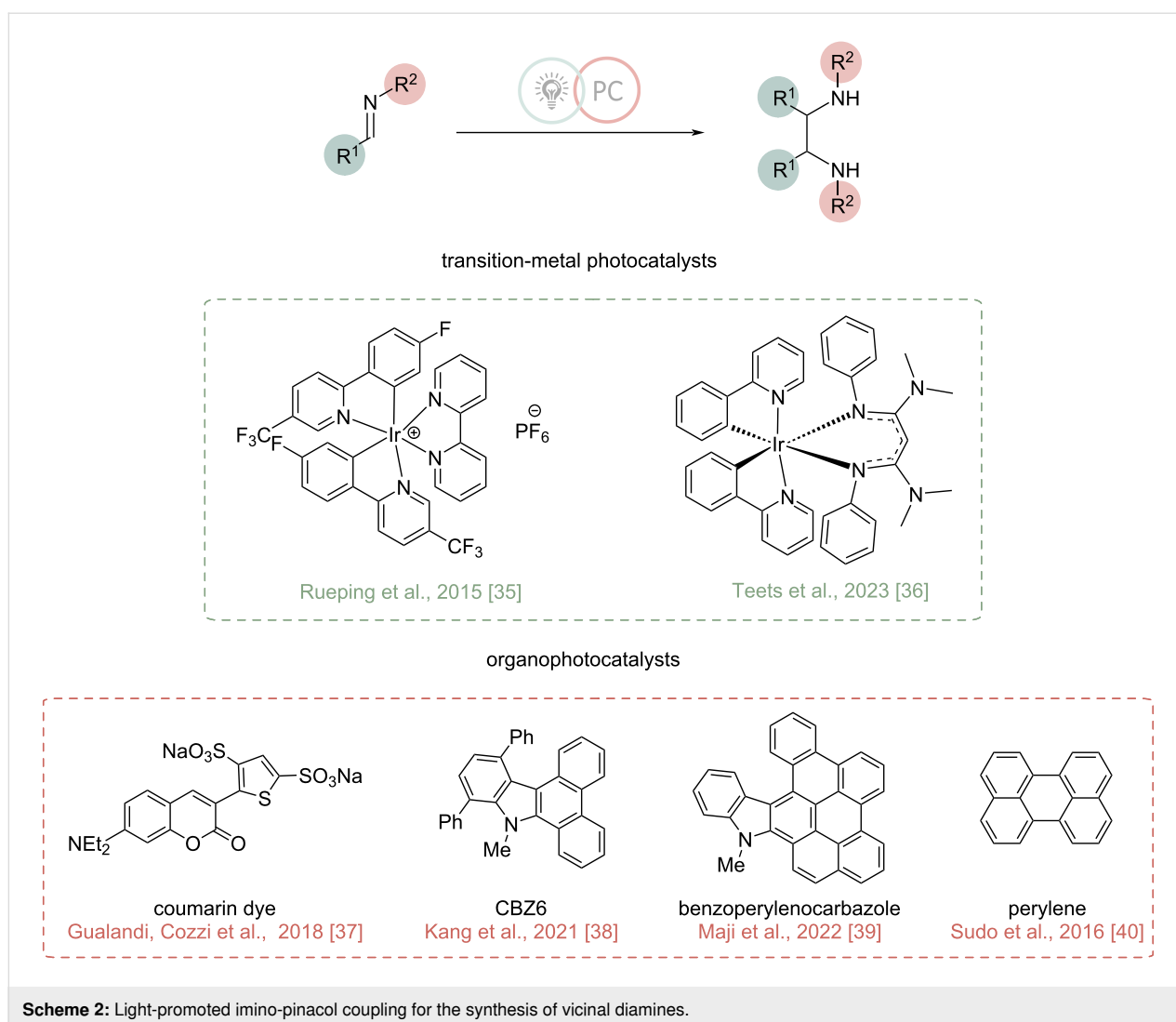
Although traditional well-established procedures for the imino-pinacol coupling reaction are efficient, they often require more than stoichiometric amounts of metal reductants and produce significant quantities of metal waste, which in some cases can present considerable environmental and safety concerns. To mitigate this issue, more sustainable approaches, such as photochemical and electrochemical methods, have been explored. Over the past two decades a variety of light-promoted imino-pinacol coupling reactions have been developed, involving the use of catalytic transition-metal complexes [35,36], organic dyes [37-39], and polyaromatic compounds [40,41] as photocatalysts (Scheme 2). The combination of photoredox catalysis with imine activation enabled the reductive coupling of imines under mild reaction conditions, providing direct access to benzyl and aryl vicinal diamines with good to excellent yields.

Organic electrochemistry represents an attractive and sustainable alternative; however, unfortunately, the electrochemical application is limited to only a few examples (Scheme 3). The electroreductive coupling of imines was first reported in the early 20th century by Law [42]. However, this initial method led to the formation of vicinal diamines with low to moderate yields and required geometrically complex divided cells. In 1989, a more efficient procedure was introduced by Torii et al. [43], who simplified the process by using PbBr_2 , TFA and THF, in a beaker-type undivided cell equipped with two platinum

electrodes. This approach afforded vicinal diamines for different *N*-benzyl benzaldimines in moderate to good yields. In 1991, Shono's research group described the electroreductive intramolecular coupling of aromatic diimines, carried out in DMF in the presence of methanesulfonic acid in a divided cell equipped with a lead cathode, a carbon rod anode, and a ceramic diaphragm [44]. This method was found to be effective for synthesizing *trans*-2,3-diarylpiperazines through the intramolecular reductive coupling of 1,2-diimines and seven- and eight-membered heterocycles which were obtained in moderate to good yields via the cyclization of 1,3- and 1,4-diimines.

Later, in 2001, Yudin and co-workers described the parallel reductive coupling of aldimines using a spatially addressable electro-synthesis platform, which employed a stainless steel cathode, a sacrificial aluminum anode and a procedure adapted from Torii's earlier work including the use of PbBr_2 , TFA, and Bu_4NBr [45]. Under these conditions, parallel electro-synthesis allowed the synthesis of up to 16 vicinal diamines in good yields in 30 minutes. To the best of our knowledge, the most recent example of electroreductive coupling of imines was reported in 2025 by Wang's research group [46], who described a new electrochemical procedure to provide vicinal diamines involving the use of Sn electrodes as both anode and cathode in a divided cell, $\text{Mn}(\text{OAc})_3 \cdot 2\text{H}_2\text{O}$ as additive and *n*- Bu_4NOAc as electrolyte. Under these conditions, using aldehydes and amines as starting materials to form the imines in situ resulted in a wide range of diamines, obtained in moderate to good yields.

Inspired by these works, we aimed to investigate and optimize the stereoselective electrochemical intramolecular imino-pinacol coupling reaction under both batch and continuous flow conditions as a direct approach to enantiopure scaffolds that are commonly used as chiral ligands. In this context we report the development of a more sustainable method – which avoids the use of lead bromide or lead electrodes – employing an undivided cell with two glassy carbon electrodes for the electroreductive intramolecular coupling of aromatic diimines



(Scheme 4). This methodology has been successfully applied to the synthesis of enantiopure tetrasubstituted piperazines, featuring both electron-withdrawing and electron-donating groups on the aromatic rings.

Results and Discussion

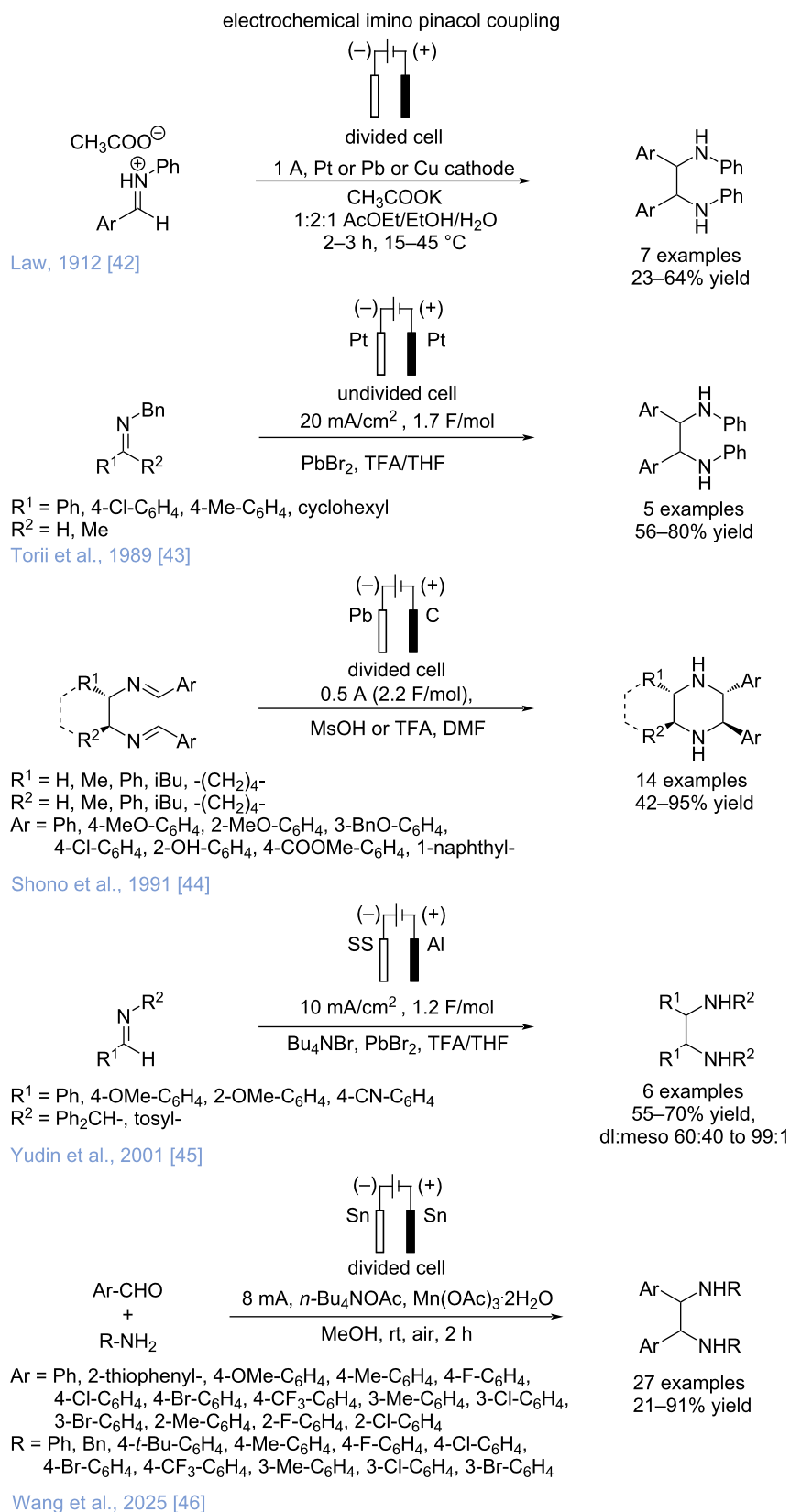
The stereoselective electroreductive intramolecular coupling of chiral diimines of aromatic aldehydes with *trans*-1,2-diaminocyclohexane was initially investigated under traditional batch conditions through a series of screening experiments designed to find the optimal reaction conditions.

Diimine **1a** was selected as model substrate for the intramolecular coupling reaction and a screening of reaction parameters such as solvents, electrodes materials, electrolytes, total charges, and concentrations of the reaction mixture was performed. All optimization studies were carried out using 0.5 mmol of **1a** in the presence of methanesulfonic acid in an

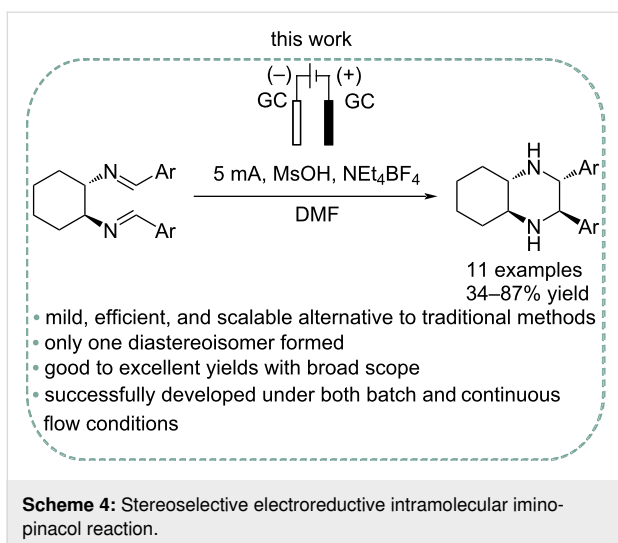
undivided cell (5 mL volume) equipped with two electrodes under galvanostatic conditions (constant current 5 mA, 2.5 mA/cm²) and the results are summarized in Table 1.

Using platinum electrodes and NEt₄BF₄ as electrolyte, the tetrasubstituted piperazine **2a** was obtained as a single stereoisomer in 51% yield in CH₃CN, which increased to 68% when DMF was used (Table 1, entry 2). The replacement of the platinum anode with graphite or zinc (Table 1, entries 4 and 5) had no significant effect on the yield. Similarly, increasing the total charge from 2.2 to 2.5 F/mol (Table 1, entries 6 and 7) resulted in only marginal changes. Doubling the substrate concentration (Table 1, entry 7) also failed to provide a significant advantage in terms of yield, leading to the formation of the desired product in 64% yield.

The replacement of both working cathode and counter anode to stainless steel electrodes caused a decrement of the yield to 47%



Scheme 3: Historical perspective on electrochemical imino-coupling protocols.



(Table 1, entry 8), while with two glassy carbon electrodes desired product **2a** was isolated in 85% yield (Table 1, entry 9).

Reducing the electrolyte loading from 2.6 to 1.3 equivalents significantly affected the reaction outcome, leading to a notice-

able decrease in the yield suggesting that sufficient ionic conductivity is crucial to achieve efficient conversion (Table 1, entry 10).

Lastly, replacing tetraethylammonium tetrafluoroborate with tetrabutylammonium tetrafluoroborate resulted in a negligible reduction of the chemical efficiency (Table 1, entry 9 vs 11).

As demonstrated by Shono and co-workers [44], the presence of a strong protic acid, such as methanesulfonic acid, MsOH, is essential to promote the intramolecular coupling. A control experiment revealed that when the electroreduction of **1a** was performed in the absence of MsOH (Table 1, entry 3), no formation of the desired product was observed and the starting diimine **1a** was quantitatively recovered.

The optimized reaction conditions, consisting in the use of GC electrodes, NEt_4BF_4 (2.6 equiv) as electrolyte, MsOH (3 equiv) as additive, constant current of 5 mA (2.5 mA/cm^2), total charge of 2.2 F/mol, dry DMF as solvent (0.125 M), were then applied to evaluate the scope of the reaction. Under these conditions a small library of chiral enantiopure tetrasubstituted piper-

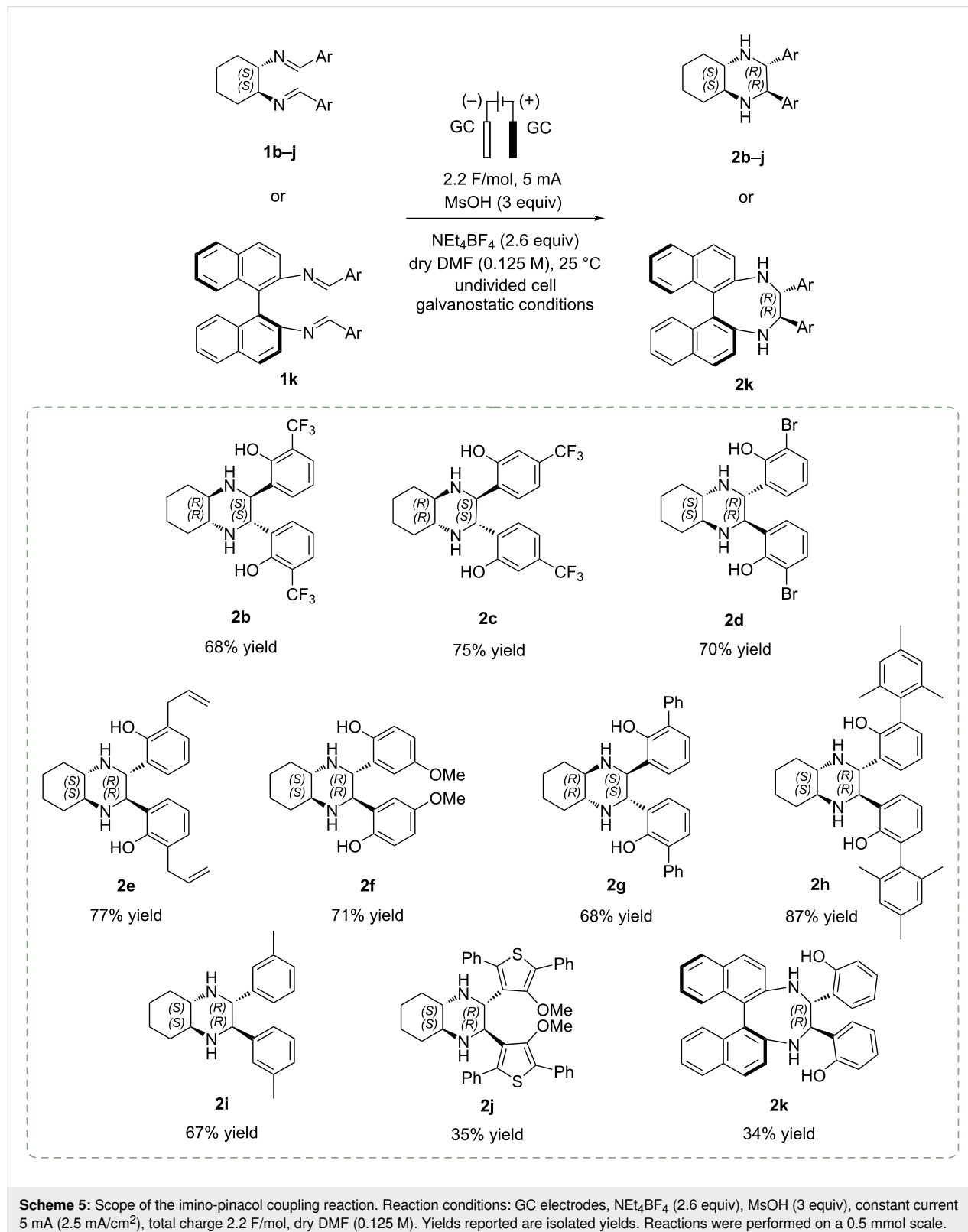
Table 1: Screening of the imino-pinacol reaction conditions.

Entry	Working (cathode)	Counter (anode)	Electrolyte	Electrolyte equivalents	Total charge (F/mol)	Solvent	Substrate concentration (M)	Yield 2a (%) ^a
1	Pt	Pt	NEt_4BF_4	2.6	2.2	MeCN	0.125	51
2	Pt	Pt	NEt_4BF_4	2.6	2.2	DMF	0.125	68
3 ^b	Pt	Pt	NEt_4BF_4	2.6	2.2	DMF	0.125	–
4	Pt	Gr	NEt_4BF_4	2.6	2.2	DMF	0.125	64
5	Pt	Zn	NEt_4BF_4	2.6	2.2	DMF	0.125	64
6	Pt	Zn	NEt_4BF_4	2.6	2.5	DMF	0.125	61
7	Pt	Zn	NEt_4BF_4	2.6	2.5	DMF	0.250	64
8	SS	SS	NEt_4BF_4	2.6	2.2	DMF	0.125	47
9	GC	GC	NEt_4BF_4	2.6	2.2	DMF	0.125	85
10	GC	GC	NEt_4BF_4	1.3	2.2	DMF	0.125	50
11	GC	GC	NBu_4BF_4	2.6	2.2	DMF	0.125	72

^aIsolated yields. Reactions were performed on a 0.5 mmol scale. ^bReaction performed without MsOH.

azines **2b–j** bearing both electron-withdrawing and electron-donating substituents, was synthesized. The results are summarized in Scheme 5.

The chiral tetrasubstituted piperazines **2b–j** were obtained as a single, enantiopure diastereoisomer from diimines **1b–j**, prepared from the enantiopure *trans*-1,2-diaminocyclohexane and



aromatic aldehydes, in good to excellent yields. Substrates bearing electron-withdrawing groups such as trifluoromethyl (**2b,e**) and bromine (**2d**), as well as electron-donating groups like methoxy (**2f**), aryl/alkyl (**2h,i**), and alkenyl substituents (**2e**), were well tolerated. Notably, the sterically hindered derivative **2h** was isolated with the highest yield of 87% indicating that bulky *ortho*-substituents on the aromatic rings do not influence the electrochemical cyclization process.

In contrast, more complex and extended heterocyclic electron-rich π -systems such as compound **2j** was obtained in 35% yield only, presumably as a result of electronic factors affecting the efficiency of the initial radical formation [47].

To further extend the scope of this methodology, the *trans*-1,2-diaminocyclohexane was replaced with (*S*)-1,1'-binaphthyl-2,2'-diamine. Under the optimized conditions, the corresponding cyclic product **2k** was obtained in 34% yield, consistent with previous observations reporting reduced efficiency as the ring size increases [44].

To confirm the absolute configuration of the piperazine formed, a pure sample of compound **2b** derived from (*1R,2R*)-*trans*-diaminocyclohexane was crystallized from a chloroform solution resulting in the formation of white crystals suitable for single crystal X-ray diffraction studies. The absolute configuration of the product was unambiguously determined, by XRD analysis, to be (*2S,3S,4aR,8aR*)-**2b** as shown in Figure 1.

Following the promising results obtained from the batch approach, the electroreductive coupling was investigated under continuous flow conditions [48-50], with the aim to improve process efficiency and in view of a possible scale up of the reaction [51,52]. Flow experiments were performed using the commercially available pre-configured Syrris-Asia electrochemical flow module, a user-friendly solution for continuous flow electrochemical synthesis which is part of the Asia platform [53].

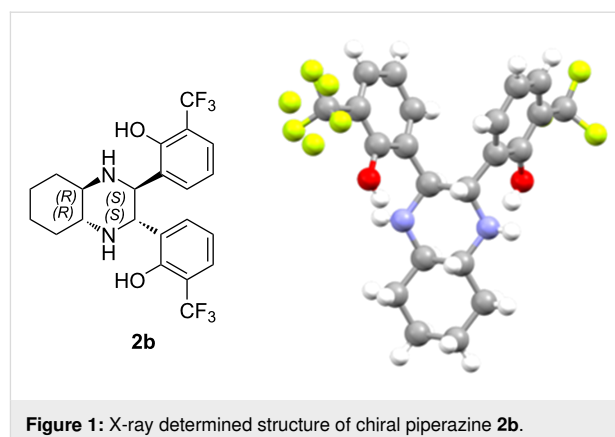


Figure 1: X-ray determined structure of chiral piperazine **2b**.

A comprehensive optimization of the flow reaction conditions was carried out using the model substrate **1a** systematically varying key parameters such as the working electrodes, the current intensity, the total charge, the flow rate, and the equivalents of the employed electrolyte. Following this in-depth screening, optimal conditions were identified: Carbon filled PPS electrodes, a constant current of 80 mA, a total charge of 4.15 F/mol, a flow rate of 96 μ L/min, and 1.3 equivalents of NEt_4BF_4 as electrolyte. Under these optimized conditions, the desired tetrasubstituted piperazine **2a** was obtained as single stereoisomer in 56% yield with a residence time of 2.34 minutes (Scheme 6).

Although the yield appears lower compared to the batch process, it is important to highlight that the reaction time has been reduced by nearly a factor of 150, resulting in a substantial increase in terms of productivity, which is 6.2 times higher than the batch protocol. Moreover, the significantly shorter residence time in the flow setup led to an improved space-time yield (STY), a key metric for comparing reactors of different volumes. Under these conditions, the STY of the flow process was 112.2 times higher than that achieved in the batch reactor (Table 2).

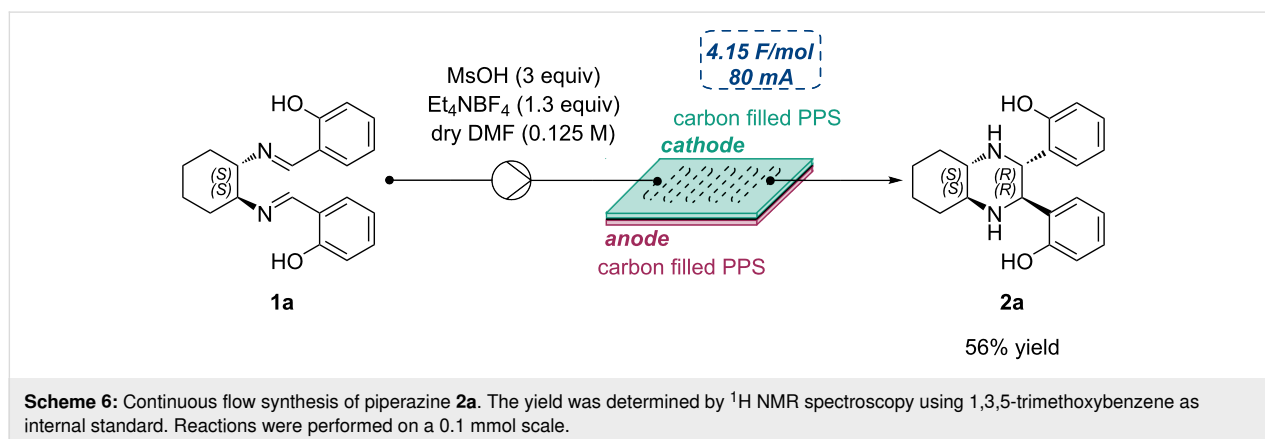


Table 2: Comparison of productivity and space-time yield of batch and flow processes for the synthesis of piperazine **2a**.

Entry	Method	Productivity ^a (mmol/h)	Productivity rel. factor	STY ^b (mmol/mL*h)	STY rel. factor
1	batch	0.065	1	0.016	1
2	flow	0.403	6.2	1.795	112.2

^aProductivity: moles of product divided by the collection time required to collect the product obtained by the reaction of 0.5 mmol of diimine **1a**.

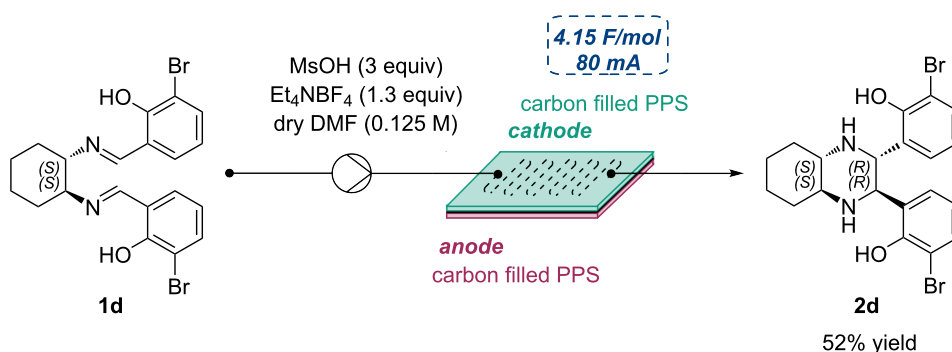
^bSpace-time yield: moles of product in the reactor, divided by residence time and reactor volume (for details on calculations see Supporting Information File 1).

The scalability of the reaction was further demonstrated by performing the electroreduction of diimine **1d** on a 2.5 mmol scale under flow conditions. Compound **2d** was obtained in 52% isolated yield achieving productivity and STY values that were 10.4 and 184.7 times higher, respectively, compared to those obtained in the batch reaction (Table 3).

To gain a deeper understanding of the mechanism behind the observed reaction, a plausible reaction pathway is proposed, as illustrated in Scheme 7. The process presumably involves the activation of the diimine substrate **1a** by methanesulfonic acid, which acts as a strong Brønsted acid to selectively protonate the imine nitrogen atoms. This protonation step increases the electrophilicity of the adjacent imine carbons by inductive effect, leading to the formation of a highly reactive diiminium intermediate **4a**. When formed, compound **4a** is electrochemically reduced to give the carbon-centered diradical intermediate **5a** and the spatial proximity of these two radical centers allows a rapid intramolecular radical–radical coupling resulting in the

formation of the desired piperazine **2a**. The feasibility of this mechanism is supported by literature precedents involving electroreduction of activated imines and diiminium species [19]. Furthermore, control experiments conducted in the absence of methanesulfonic acid (Table 1, entry 3) resulted in no observable product formation, highlighting the acid activation in driving this transformation.

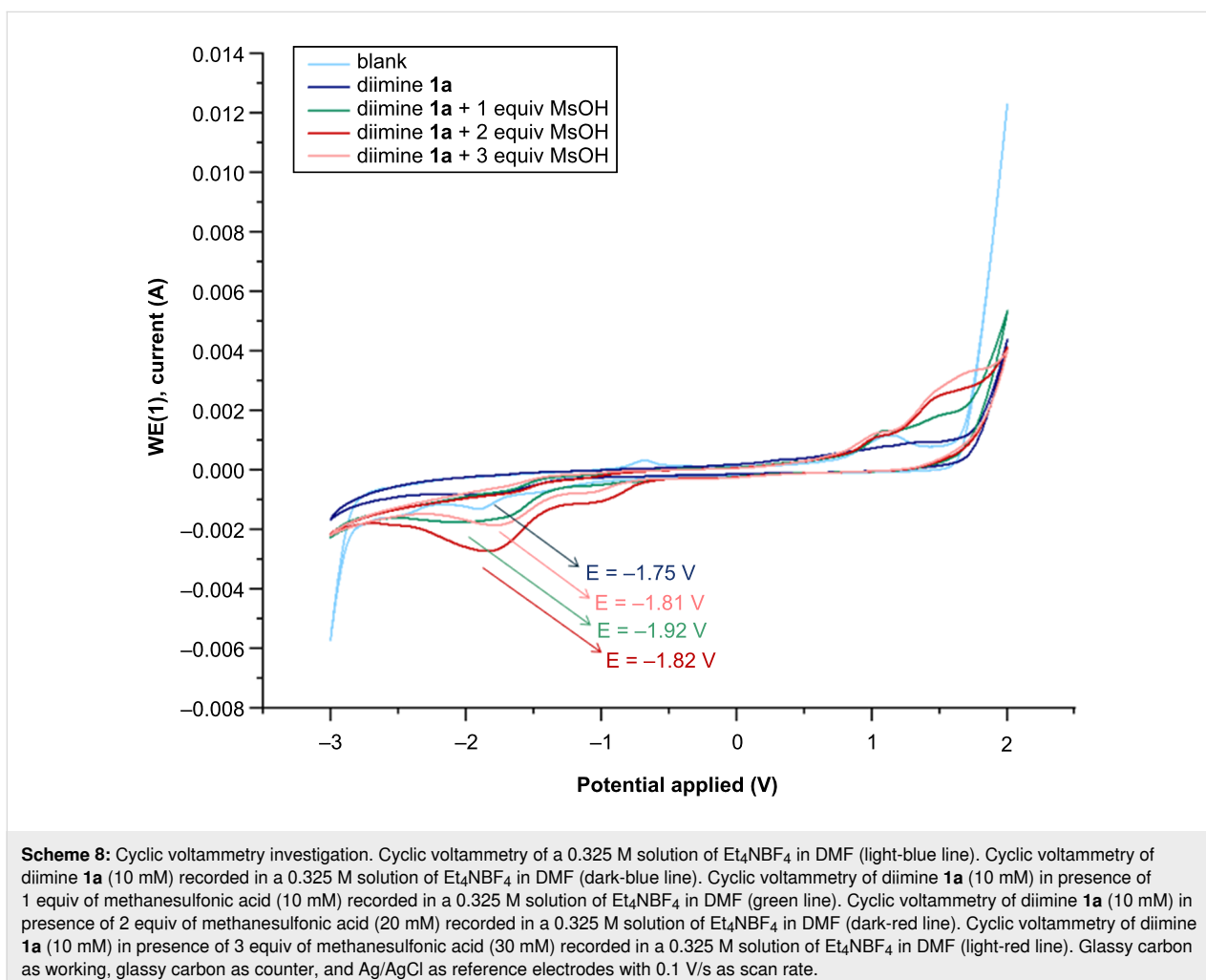
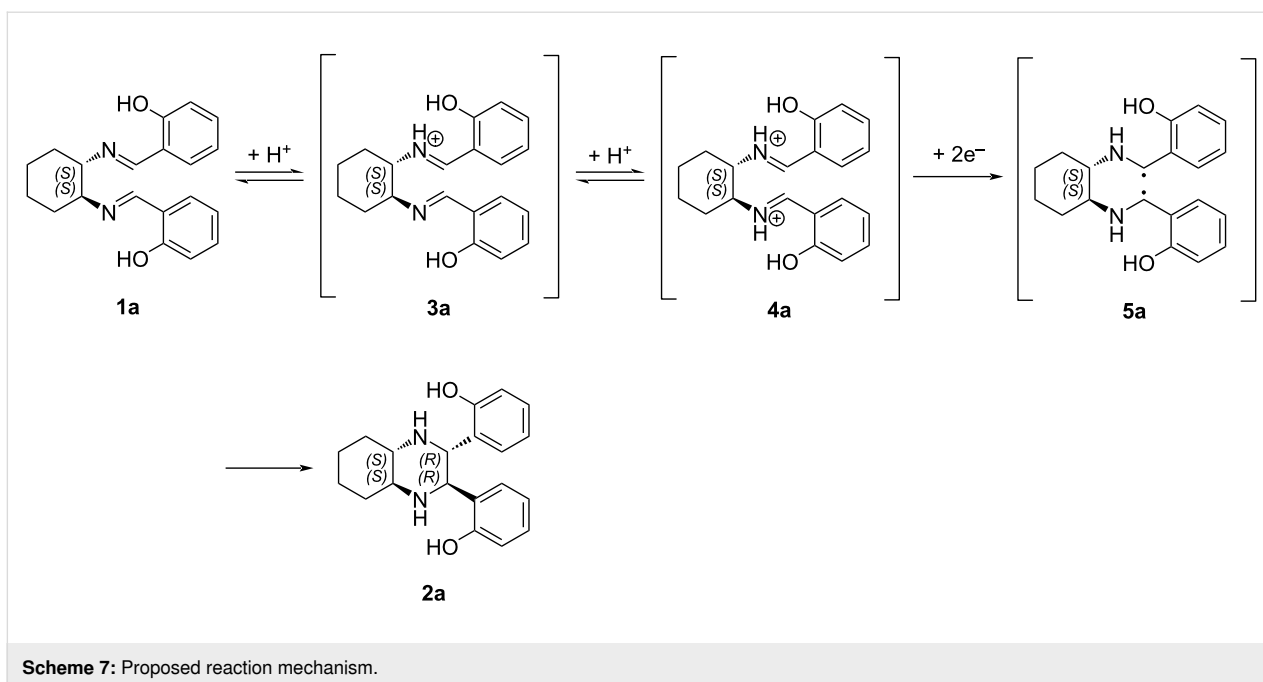
Cyclic voltammetry measurements were also carried out in order to evaluate the electrochemical redox properties of the species involved in the process and to provide evidence for the behavior of the monoprotonated and the bisprotonated diimines, **3a** and **4a** (Scheme 8). The cyclic voltammogram of the starting diimine **1a** shows one distinct reductive peak at -1.75 V. Upon addition of one equivalent of MsOH, monoprotonated species **3a** is formed, and a shift of the reduction peak to -1.92 V was observed. Subsequent addition of a second equivalent of acid leads to the formation of the bisprotonated species **4a**, and as consequence, a shift of the reduction peak to -1.82 V was ob-

Table 3: Comparison of productivity and space-time yield of batch and flow processes for the synthesis of piperazine **2d**.

Entry	Method	Productivity ^a (mmol/h)	Productivity rel. factor	STY ^b (mmol/mL*h)	STY rel. factor
1	batch	0.036	1	0.009	1
2	flow	0.374	10.4	1.662	184.7

^aProductivity: moles of product divided by the collection time required to collect the product obtained by the reaction of 0.5 mmol of diimine **1d**.

^bSpace-time yield: moles of product in the reactor, divided by residence time and reactor volume (for details on calculations please see Supporting Information File 1).



served. However, the addition of three equivalents of methanesulfonic acid (corresponding to the typical reaction conditions) results in a decrease in the intensity of the reduction peak at -1.81 V. This observation is presumably associated with the initiation of the SET reduction process, which leads to the consumption of the diiminium salt **4a** and to the formation of the diradical intermediate **5a**.

Conclusion

In conclusion, we have successfully developed a simple and mild electroreductive, stereoselective intramolecular coupling of aromatic diimines, performed under batch and flow conditions. The reaction provided good to excellent yields across a wide range of substrates with both electron-withdrawing and electron-donating groups being well tolerated. As a result, a series of chiral enantiopure tetrasubstituted piperazines was efficiently synthesized. Significantly higher productivities and space-time yields were achieved under continuous conditions compared to the batch process. Furthermore, the scalability of the reaction was successfully demonstrated, highlighting its potential for larger-scale applications.

Experimental

General procedure in-batch electrochemical imino-pinacol coupling

In a flame-dried, undivided cell equipped with two GC electrodes and a stirring bar, diimine (0.5 mmol, 1 equiv) and NET_4BF_4 (1.3 mmol, 2.6 equiv) were added, followed by two vacuum–nitrogen cycles. Under nitrogen atmosphere, dry DMF (0.125 M) and methanesulfonic acid (1.5 mmol, 3 equiv) were then added to the electrochemical cell. The reaction mixture was degassed by bubbling with argon for 20 minutes under vigorous stirring. The undivided cell was then connected to the Autolab power supply and stirred at 25 °C under galvanostatic conditions at 5 mA (2.5 mA/cm²) until a total charge of 2.2 F/mol was delivered. The reaction mixture was poured into a beaker with 10 mL of distilled water, and a saturated solution of NaHCO_3 was added to adjust the pH to ≈ 7 . 10 mL of ethyl acetate were then added, and the two phases were separated. The aqueous phase was then extracted with CH_2Cl_2 (3×10 mL). The combined organic layers were dried over Na_2SO_4 , filtered and concentrated under vacuum. The reaction crude was purified by flash column chromatography on silica gel (*n*-hexane/ethyl acetate 8:2) to give the desired pure product.

General procedure for in-flow electrochemical imino-pinacol coupling

Diimine (1 equiv) and NET_4BF_4 (1.3 equiv) were added in a flame-dried Erlenmeyer flask with a stirring bar, followed by

two vacuum–nitrogen cycles. Under nitrogen atmosphere, dry DMF (0.125 M) and methanesulfonic acid (3 equiv) were then added to the reaction mixture, which was degassed by bubbling with argon for 20 minutes under vigorous stirring. In-flow experiments were performed using the Asia (Syrriis) modular system with two carbon filled PPS electrodes, a constant current of 80 mA, a flow rate of 96 $\mu\text{L}/\text{min}$, a residence time of 2.34 minutes, and 1.3 equivalents of NET_4BF_4 . The experiments were conducted until a total charge of 4.15 F/mol was delivered. The reaction mixture was then poured into a beaker with distilled water, and a saturated solution of NaHCO_3 was added to adjust the pH to ≈ 7 . Ethyl acetate was added, and the two phases were separated. The aqueous phase was then extracted three times with CH_2Cl_2 . The combined organic layers were dried over Na_2SO_4 , filtered and concentrated under vacuum. Yields were calculated on the reaction crude by ^1H NMR spectroscopy using 1,3,5-trimethoxybenzene as internal standard. In the case of the large-scale reaction the crude was purified through flash column chromatography on silica gel to give the isolated pure product.

Supporting Information

Supporting Information File 1

Synthetic procedures and physical data for the new compounds, copies of ^1H and ^{13}C NMR spectra of the prepared compounds.

[<https://www.beilstein-journals.org/bjoc/content/supplementary/1860-5397-21-147-S1.pdf>]

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Author Contributions

Margherita Gazzotti: investigation; visualization; writing – review & editing. Fabrizio Medici: conceptualization; investigation; methodology; writing – review & editing. Valerio Chiroli: data curation; investigation; validation. Laura Raimondi: data curation; methodology; writing – review & editing. Sergio Rossi: methodology; supervision; writing – review & editing. Maurizio Benaglia: conceptualization; funding acquisition; methodology; supervision; writing – original draft; writing – review & editing.

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Data Availability Statement

Data generated and analyzed during this study is available from the corresponding author upon reasonable request.

Preprint

A non-peer-reviewed version of this article has been previously published as a preprint: <https://doi.org/10.3762/bxiv.2025.34.v1>

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C2 to C6 biobased carbonyl platforms for fine chemistry

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Review

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Abstract

The carbonyl group is central in organic synthesis, thanks to its ability to undergo a vast range of different chemical transformations on its carbon center or at the neighboring positions. Due to the high level of oxygen content in biomass, small molecules arising from biomass often possess a carbonyl group. This is why biobased platform molecules possessing a carbonyl group, either under the form of an aldehyde, a ketone, an acid or an ester, play a dominant role in biobased chemistry. This review aims at illustrating how the chemistry of biobased carbonyl platform molecules with backbones from C₂ to C₆ offers opportunities to reach all kinds of chemical architectures, sometimes even complex ones benefiting from the ability of the carbonyl group to be involved in multicomponent reactions.

Introduction

Shifting towards sustainable practices in the chemical industry relies on continued advancement of green chemistry sciences which aim to minimize the global environmental impact while maintaining the efficiency of the chemical processes [1]. Green Chemistry principles outlined by Anastas and Warner in their work "Green Chemistry: Theory and Practice (2000)" [2] notably highlight atom economy, waste minimization and the

use of renewable feedstock as pillars of sustainable chemistry [3-6]. Indeed, the use of biobased feedstocks provide a promising substitute for traditional petroleum-based resources [7]. Particularly lignocellulosic materials have emerged as a key source of producing fine chemicals and fuels [8,9], relying on the advances in catalytic processes dedicated to the conversion of biomass-derived platform molecules [10,11]. Recent studies

have also highlighted the potential of biobased aromatic compounds as sustainable alternatives to their fossil-derived counterparts [12-15]. Developing biobased solvents [16,17] and platform chemicals from biomass shows how renewable carbon sources can replace non-renewable chemicals in important industrial processes [18-20].

Many, if not most, biobased platform molecules contain carbonyl groups. Carbonyl compounds have unique electrophilic and nucleophilic reactivity which make them central players in organic synthesis. Therefore, synthetic chemists can base strategies on the existing reactivity of carbonyl compounds for defining novel routes converting biobased platform molecules containing carbonyl groups to prepare fine organic chemicals [21,22]. Following this approach, researchers can obtain diverse downstream products from biomass, with efforts for using clean and sustainable conditions in terms of solvents, reagents and catalysts. The topic of biomass conversion has been explored in multiple perspectives [23-27], and the purpose of the present review is to offer a specific viewpoint by focusing on the reactivity of biobased platform molecules containing carbonyl

groups. This review, which does not pretend to be comprehensive, attempts to highlight the central role of carbonyl-containing biobased platform molecules notably those having a C₂ to C₆ backbone shown in Figure 1, and illustrating their high-value conversion methods towards fine chemicals.

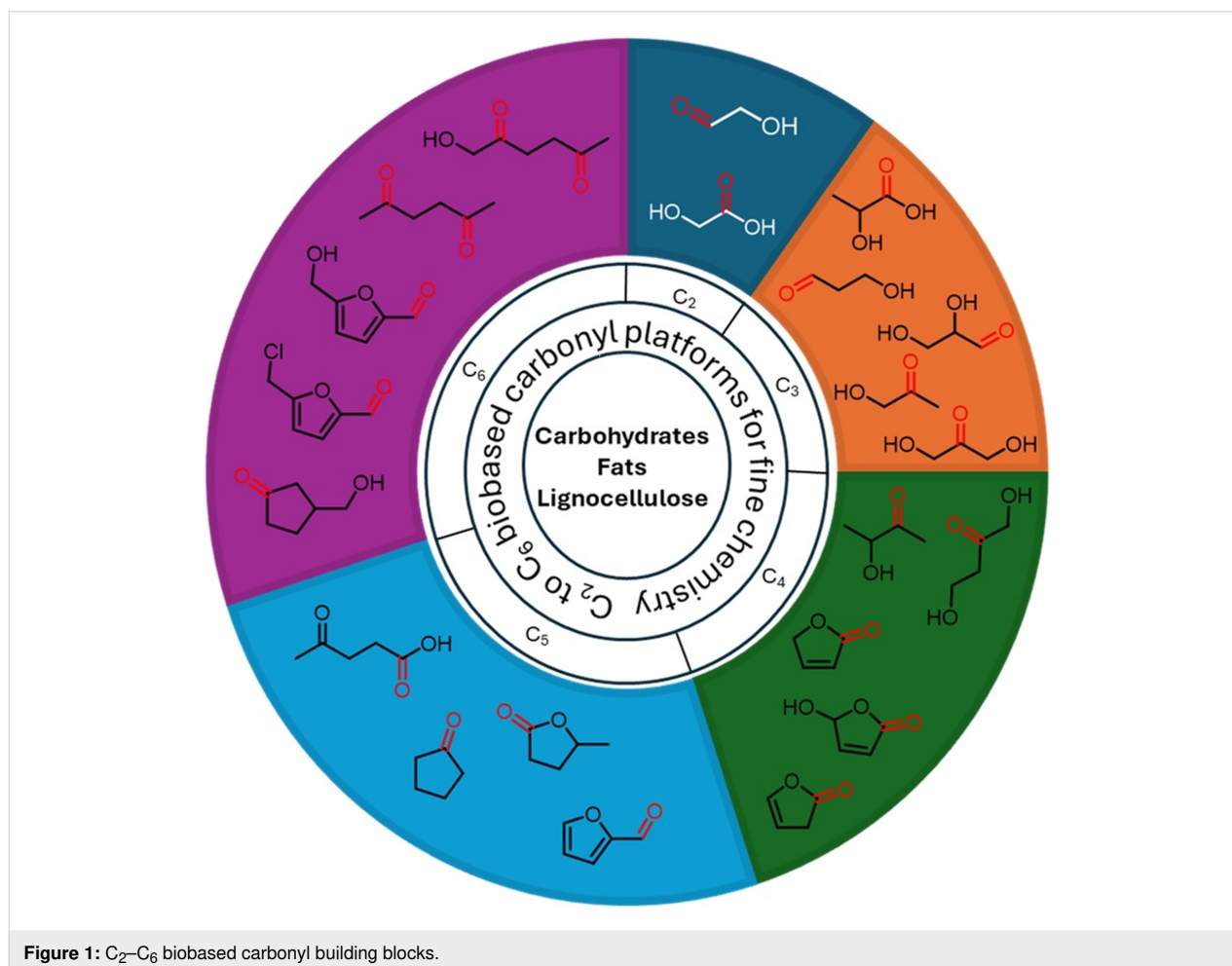
Review

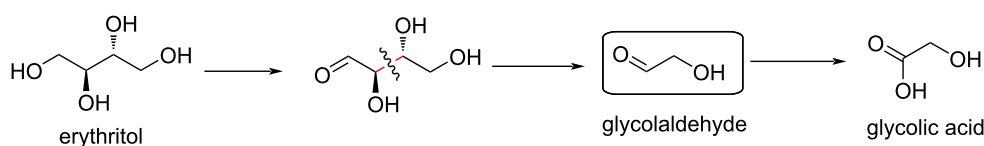
C₂ biobased carbonyl platforms

Glycolaldehyde

Developing accesses from biomass to structures such as glycolic acid (GA) and glycolaldehyde (GCA) with high atomic economy is challenging. Hu et al. reported a new route for the synthesis of GA and GCA using activated carbon deposited Cu catalysts and different biomass-derived polyols as feedstock with exceptionally high atom utilization ≈93% and up to 90% yield (Scheme 1) [28].

Glycolaldehyde (GCA), the smallest sugar molecule, was used by Sels et al. for the synthesis of amines by replacing the current toxic pathway based on ethylene oxide and dichloroethane [29]. Due to the high reactivity of α-hydroxycarbonyls, the main





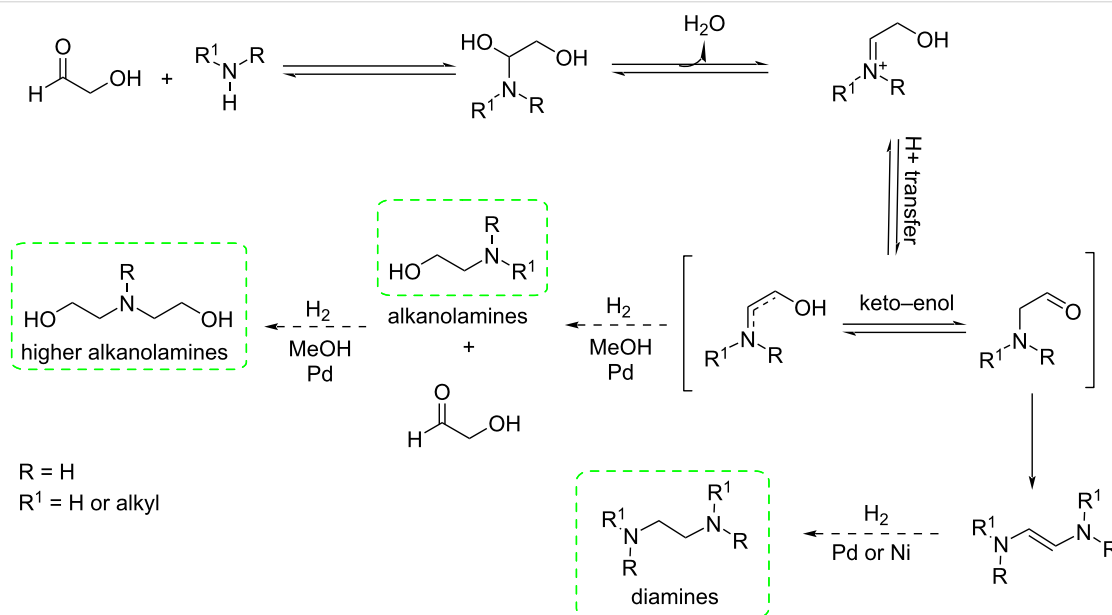
Scheme 1: Proposed (2 + 2) route to glycolaldehyde and glycolic acid from erythritol by Cu/AC catalyst (AC = activated carbon).

issue in this reductive amination reaction [30] was to control the cascade of consecutive and parallel reactions. The use of methanol as solvent and Pd as catalyst played a vital role for achieving a high yield. Efficient subsequent esterification and quaternarization to diesterquat fabric softeners demonstrated that high-value chemicals could be produced fully biobased from GCA as the starting platform (Scheme 2).

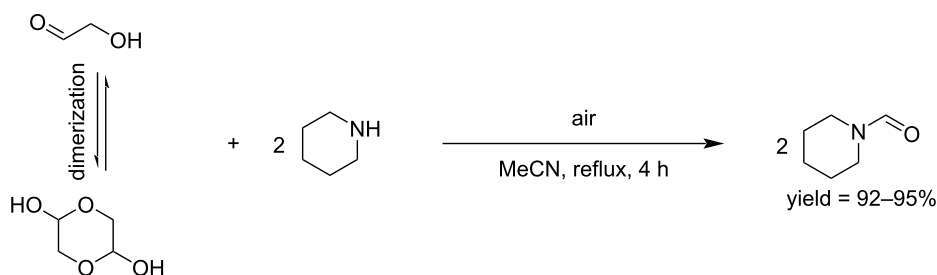
GCA can also be used as a C₁ building block for the *N*-formylation of secondary amines to formamides under catalyst-free

conditions and air as oxidant. This method was applied to different aromatic and aliphatic (both cyclic and acyclic) amines (Scheme 3) [31].

Already in 1955, Parham and Reiff reported the synthesis and conversion of a glycolaldehyde acetal (hydroxy acetal). The first step was the reaction of sodium benzyloxide with bromo acetals giving the hydroxy acetals in 75–82% yield. The intermediate ether was converted to the hydroxy acetal with sodium in liquid ammonia in good yield (70%). The



Scheme 2: Reductive amination of GCA.



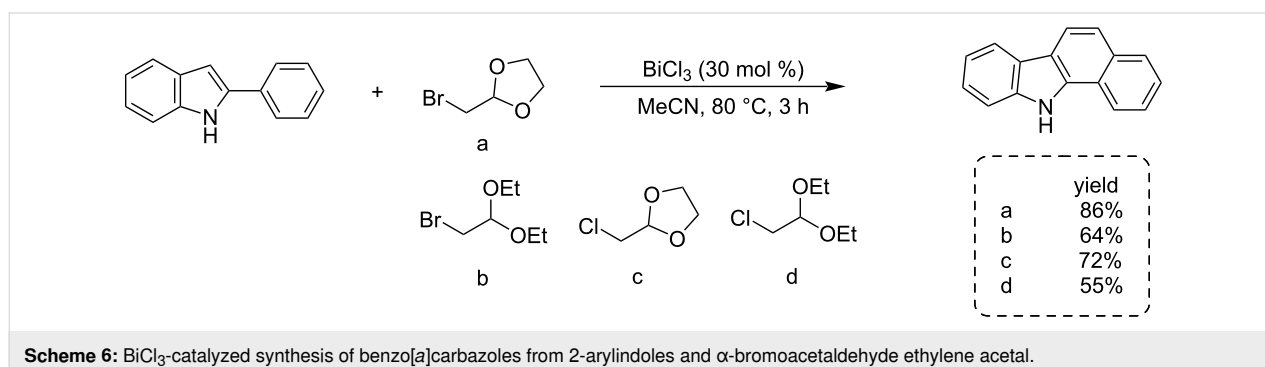
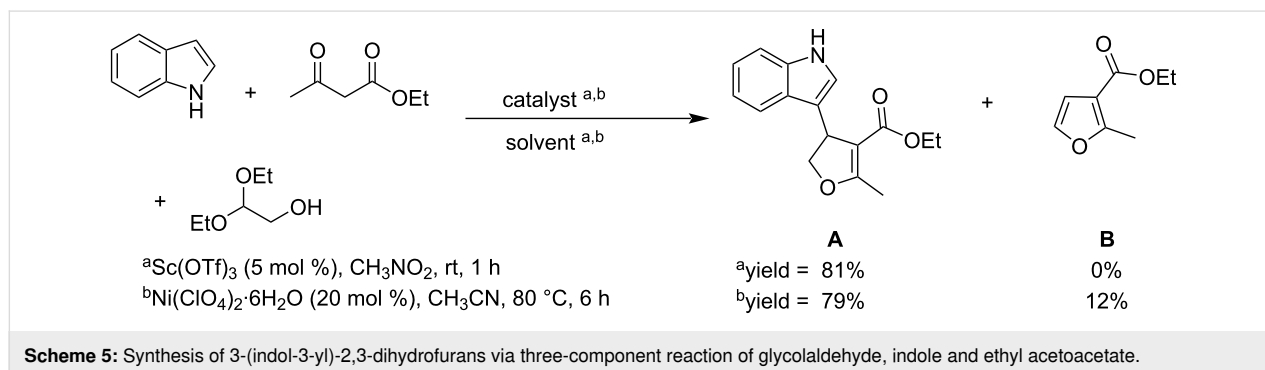
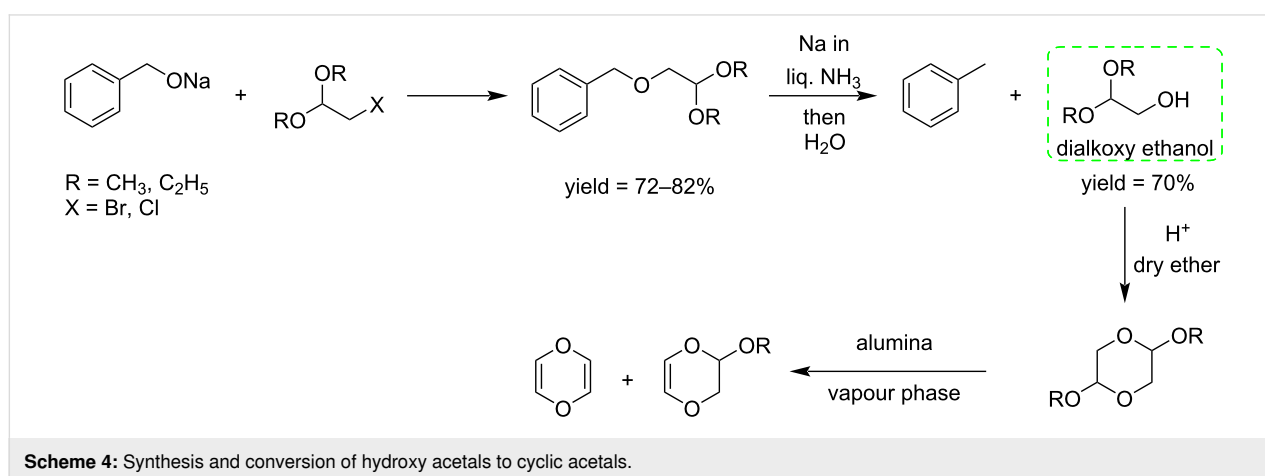
Scheme 3: *N*-Formylation of secondary amines by reaction with GCA.

hydroxy acetal then evolves towards cyclic acetals (Scheme 4) [32].

Exploring methods for the conversion of glycolaldehyde (GCA) to 3-(indol-3-yl)-2,3-dihydrofurans in organic solvents, Gu et al. reported a three-component reaction combining GCA, ethyl acetoacetate as 1,3-dicarbonyl component and indole. Using glycolaldehyde acetal as source of glycolaldehyde, two catalytic systems, namely $\text{Sc}(\text{OTf})_3/\text{nitromethane}$ and $\text{Ni}(\text{ClO}_4)_2 \cdot 6\text{H}_2\text{O}/\text{acetonitrile}$, resulted in good yields. When using directly the aqueous solution of glycolaldehyde, these latter conditions were unproductive, whereas the deep eutectic

solvent (DES) system $\text{FeCl}_3 \cdot 6\text{H}_2\text{O}/\text{meglumine}$ (*N*-methylglucamine) was found effective. This DES system proved to be a fully water compatible medium for the three-component conversion of glycolaldehyde, allowing the synthesis of a variety of 3-(indol-3-yl)-2,3-dihydrofurans in good yields. Interestingly, the DES ($\text{FeCl}_3 \cdot 6\text{H}_2\text{O}/\text{meglumine}$) system can be recycled without significant loss of activity (Scheme 5) [33].

α -Bromoacetaldehyde derivatives (Scheme 6, structures a–d) and 2-phenylindoles were used to synthesize benzo[*a*]carbazoles. The reaction reported by the Gu research group was



speeded up by bismuth trichloride (BiCl_3). The Friedel–Crafts alkylation products were then converted into an intermediate tryptaldehyde that underwent intramolecular olefination to form the targeted product [34].

Glycolic acid (GA)

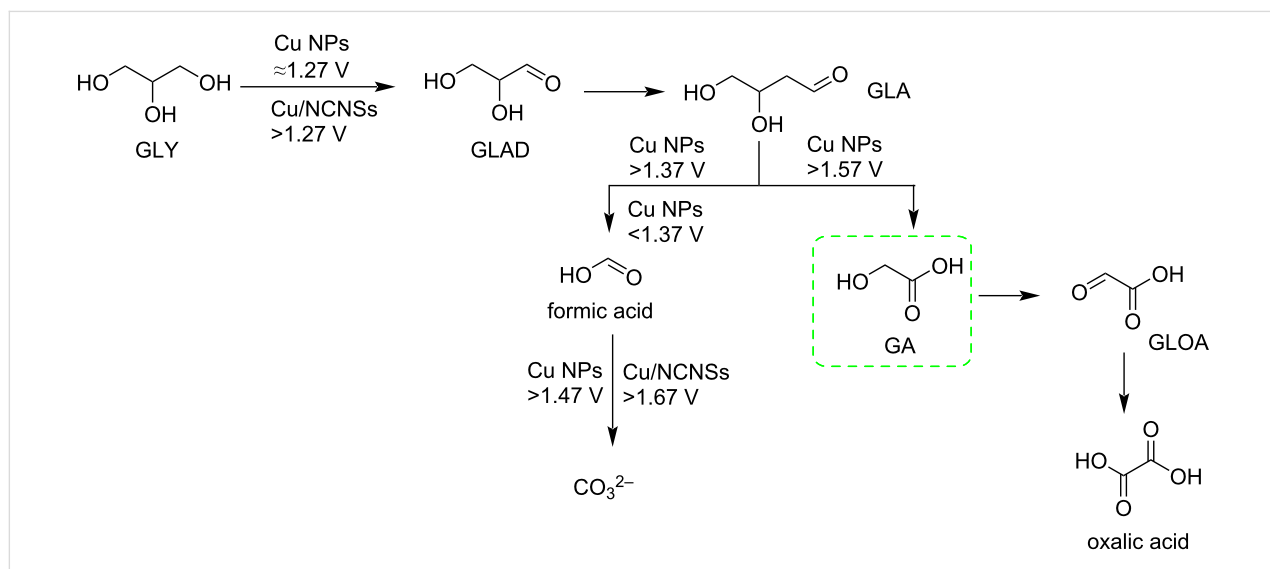
The growing impact of fossil fuel consumption has heightened the need for advancing renewable energy technologies. One promising strategy for sustainable energy development involves the electrochemical oxidation of biomass-derived feedstocks. Recent work by Shen et al. demonstrates that glycolic acid (GA), also referred to as hydroxyacetic acid, can be synthesized from glycerol (GLY) using a copper single-atom electrocatalyst supported on nitrogen-doped carbon nano-sheets (Cu/NCNSs). This catalyst exhibits high activity for the oxidation of various substrates, including GLY, gluconic acid (GLU), 5-hydroxymethylfurfural (HMF), benzyl alcohol (BA), furfuryl alcohol (FA), and ethylene glycol (EG) (Scheme 7) [35].

Park et al. reported the valorization of C_1 molecules (CH_4 , CO_2 , and CO) upgraded to the industrially important C_2 chemical glycolic acid (GA) in a sustainable way. In the first step all the C_1 source molecules are converted into formaldehyde. Metabolic engineering of *E. coli*-based biocatalysts allowed to avoid side reactions and promoted exclusive transformation of formaldehyde into glycolic acid. The use of *E. coli* K-12 strains as host cells and paraformaldehyde as the starting material, led to production of GA with high conversion even at rather high concentrations (Scheme 8) [36]. Using a Cu^{II} -based single ion catalyst, Brückner and Shi synthesized value added formamides by treating amines with different C_2 and C_3 platform molecules like GA, glyceraldehyde (GLAD), and 1,3-dihydroxyacetone (DHA) (Scheme 9) [37].

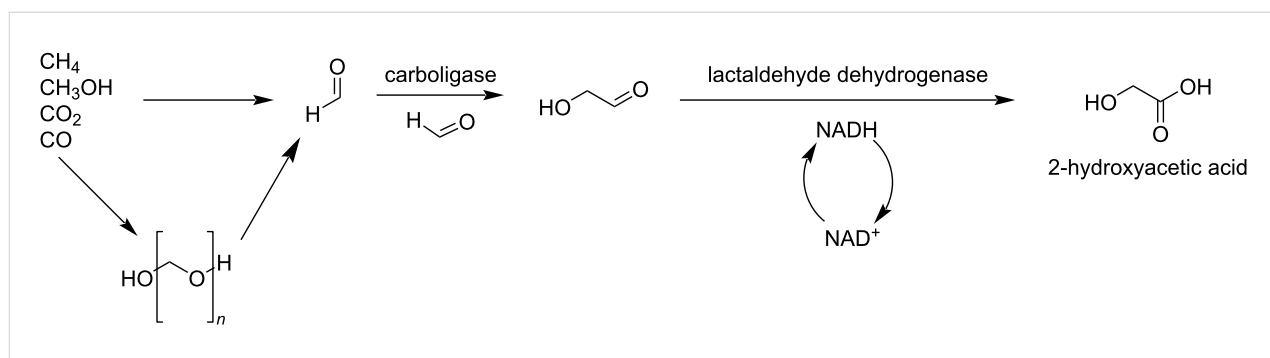
C_3 biobased carbonyl platforms

Lactic acid (LA)

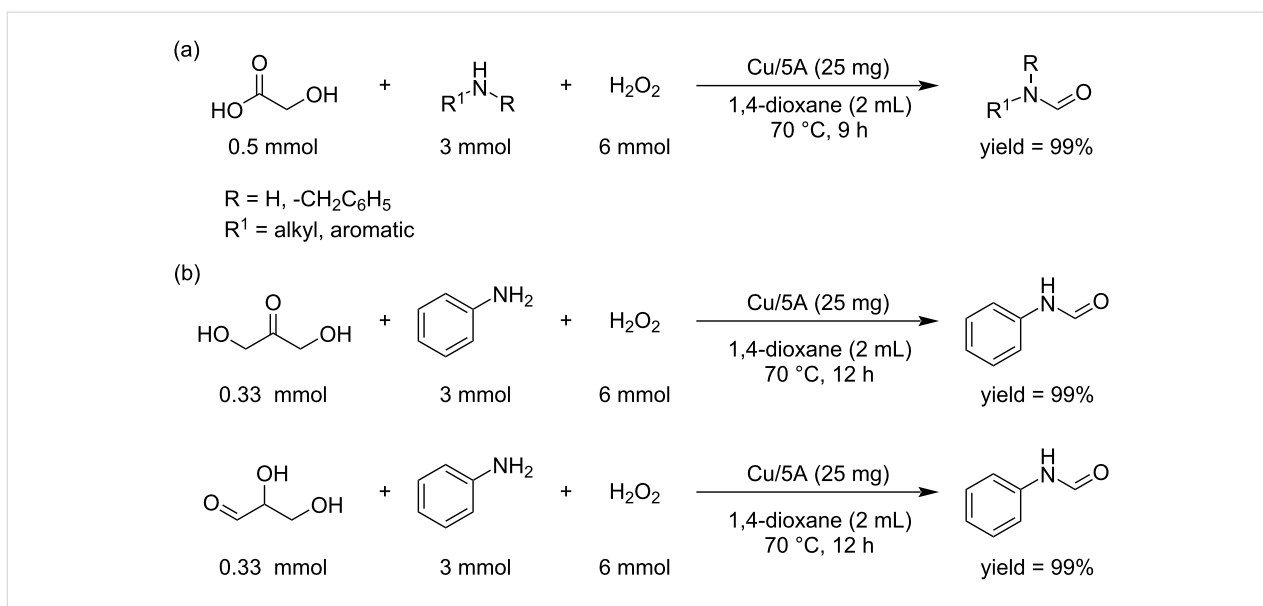
Propanoic (or propionic) acid (PA), a valuable chemical used in the feed and food industry, is produced by petrochemical pro-



Scheme 7: Cu/NCNSs -based conversion of glycerol to glycolic acid and other short biobased acids.



Scheme 8: *E. coli*-based biotransformation of C_1 source molecules (CH_4 , CO_2 and CO) towards C_2 glycolic acid.

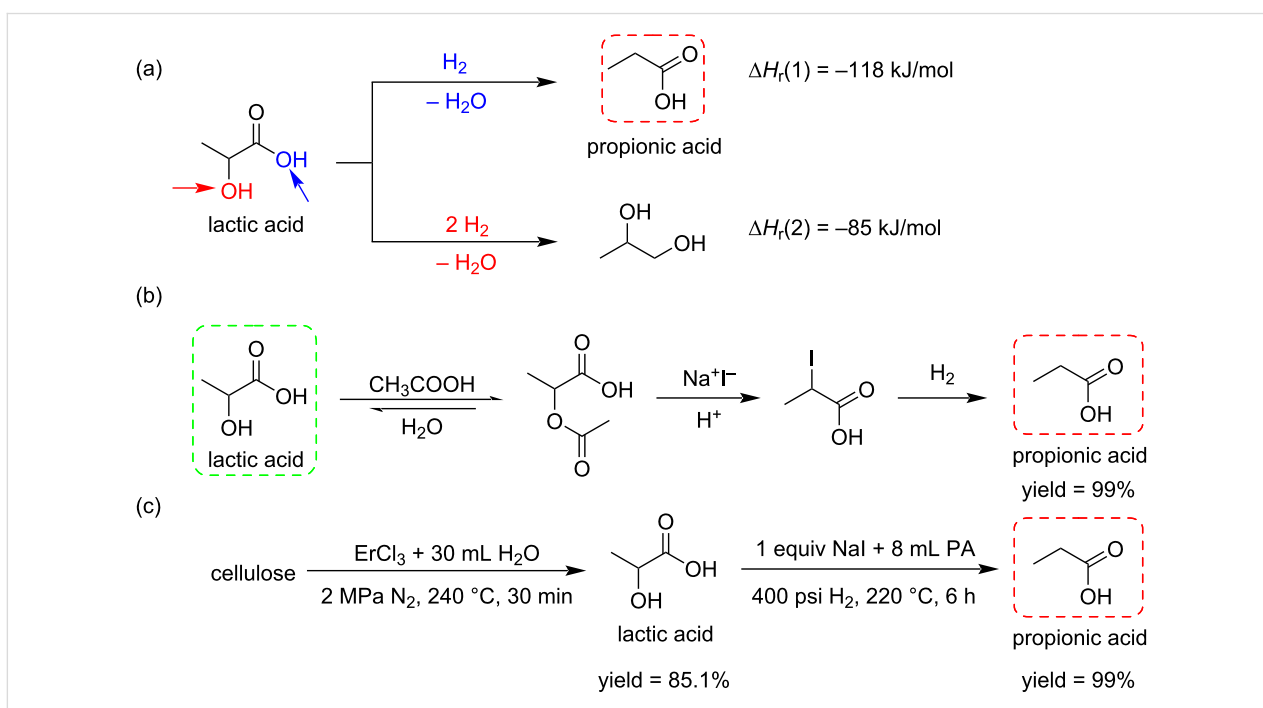


Scheme 9: N-Formylation of amines with C₂ (a) or C₃ (b) biomass-based feedstocks.

cesses. Its synthesis from biobased lactic acid (LA) offers an access from renewable resources. However, this conversion is difficult due to the high activation energy required for the hydrogenation reaction removing the hydroxy group at the α -position of the carboxyl group (Scheme 10) [38]. Yang and his team reported a metal-free catalytic system for the conversion of LA to PA (Scheme 10a). The use of NaI as catalyst and PA itself as solvent allowed to simplify the product separation

process, giving yields up to 99% (Scheme 10b) [39]. This strategy offers a green and efficient approach to synthesize PA from biomass resources. The synthesis of PA was also achieved in a two-step process from cellulose (Scheme 10c) [40].

The use of biobased monomers is receiving tremendous attention in the field of bioplastic materials. Polylactic acid (PLA) and polyglycolic acid (PGA) are most important examples of



Scheme 10: Methods for the formation of propanoic acid (PA) from lactic acid (LA).

biopolymers exhibiting interesting biodegradability properties [41]. The co-polymerization of PLA with glycolic acid was reported by Ayyoob and Kim [42]. High molecular weight poly-lactic-*co*-glycolic acid (PLGA) was obtained by the direct condensation and co-polymerization of both monomers promoted by a bicatalytic system including stannous chloride ($\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$) and methane sulfonic acid (MSA). PLGA films were prepared by the solvent casting technique, for which the presence of glycolic acid was found to increase their wettability (Scheme 11).

The group of Gupta et al. investigated the kinetics of the oxidation by tetrachloroaurate(III) in acetic acid–sodium acetate buffer medium of some neutralized α -hydroxy acids, including glycolic acid (GA), lactic acid (LA), α -hydroxybutyric acid (IB), mandelic acid (MA), atrolactic acid (ALA), and benzylic acid (BA). These acids were oxidized and gave formaldehyde, acetaldehyde, acetone, benzaldehyde, acetophenone, and benzophenone, respectively. By increasing pH and concentration, the rate of the reactions increased, but decreased upon increasing the amount of Cl^- ions (Scheme 12) [43].

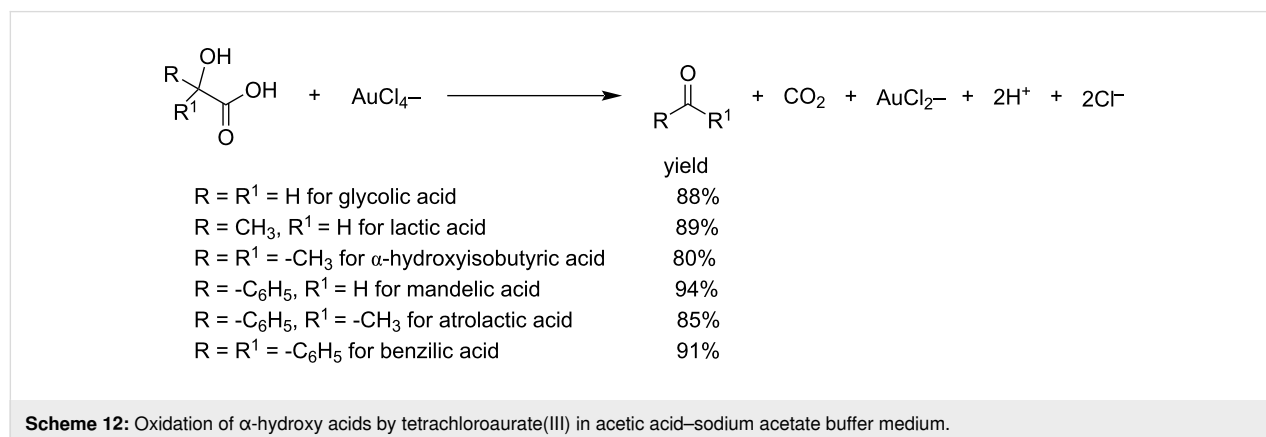
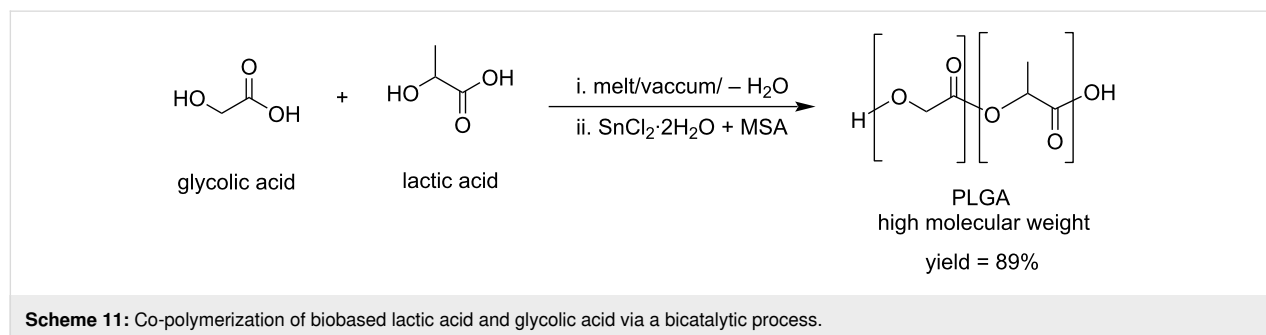
Many other studies have concerned lactic acid (LA) because of its low cost and versatile reactivity owing to the presence of one hydroxy group and one carboxylic group. Zhou et al. [44] summarized the selective catalytic (chemical or biological) path-

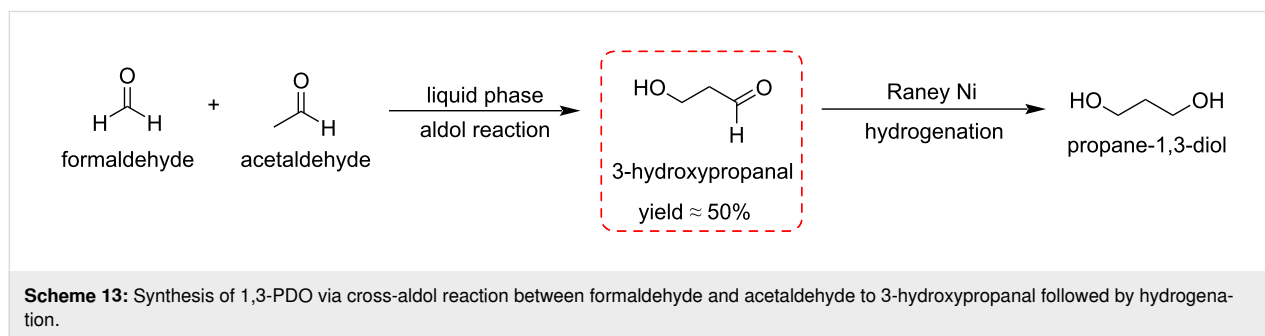
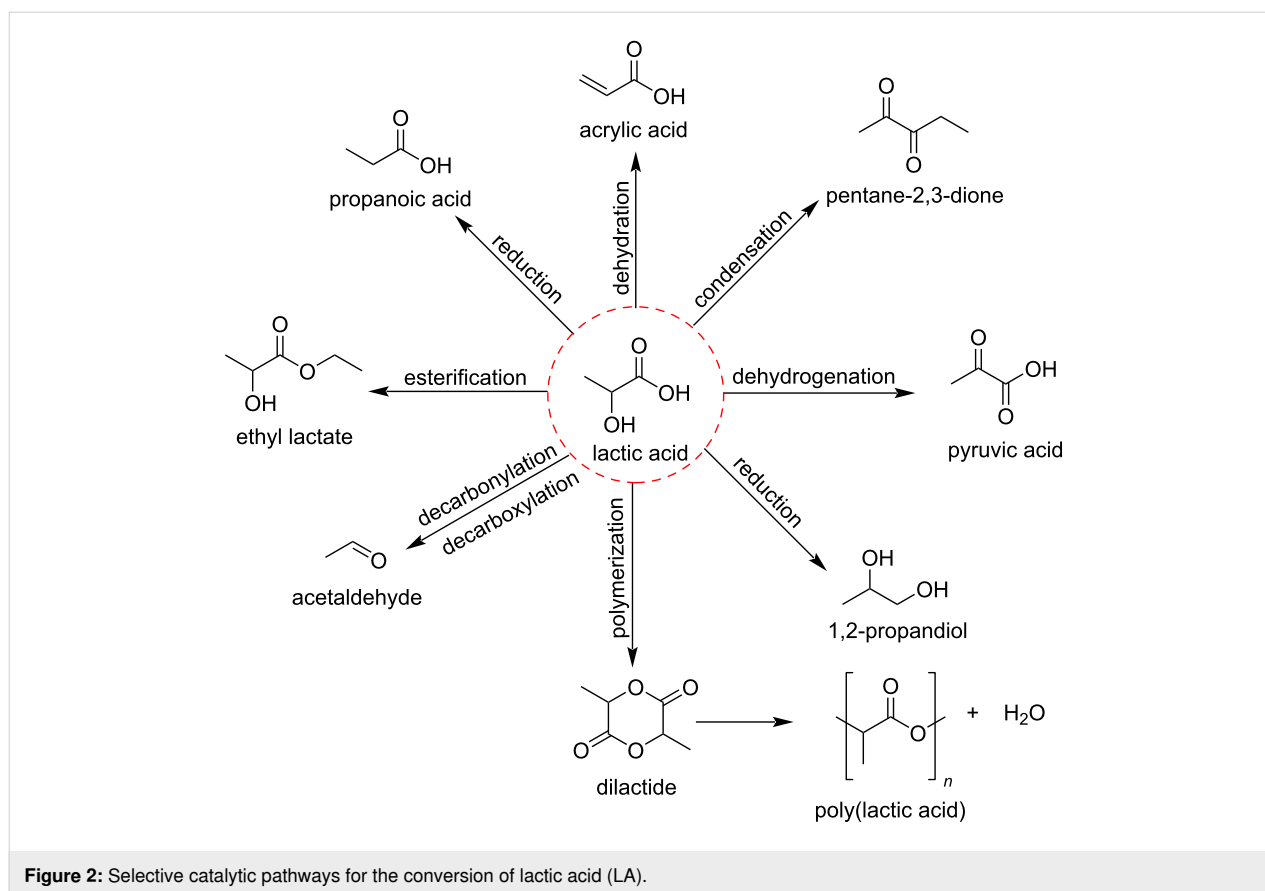
ways for the conversion of lactic acid towards different commodity chemicals which includes acrylic acid [45–50], pentane-2,3-dione [51,52], pyruvic acid [53–55], 1,2-propanediol [56–59], PLA [60–64], acetaldehyde [49,51], ethyl lactate [65–68] and propanoic acid (Figure 2) [49,69,70].

3-Hydroxypropanal (3-HPO) and 2,3-dihydroxypropanal (2,3-HPO)

Xu et al. reported the cross-aldol reaction of formaldehyde and acetaldehyde for the synthesis of 3-hydroxypropanal and further reduction to 1,3-propanediol (PDO). The reaction was promoted by X-5Mg/SiO₂ and Mg/SiO₂ (X = Mn, Co, Ni, Fe) catalysts synthesized by the sol–gel method. The 5Mg/SiO₂ catalyst showed the highest activity in terms of selectivity and yield because of its suitable basic and acidic density. The addition of Mn to the 5Mg/SiO₂ (1Mn-5Mg/SiO₂) catalyst as weak acidic site increased the selectivity towards 3-HPA. According to reaction kinetics studies, the cross-aldol reaction of formaldehyde and acetaldehyde gives a higher yield of 3-HPO than the self-aldol reaction of acetaldehyde. Moreover, Raney nickel was applied successfully for the conversion of 3-HPO to 1,3-PDO with good yield and about 90% conversion rate of 3-HPO (Scheme 13) [71].

The Bobleter and Feather groups investigated the reaction mechanism of the conversion of these C₃ compounds. The acid-





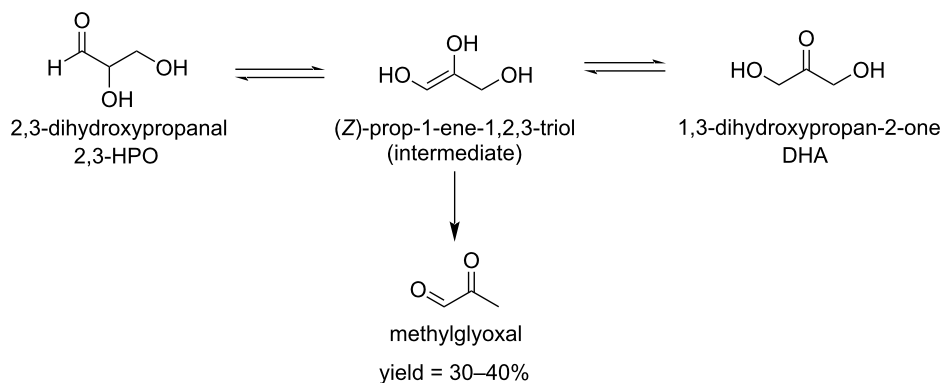
catalyzed equilibrium between 1,3-dihydroxy-2-propane and 2,3-dihydroxypropanal involves an ene-triol intermediate which leads to methylglyoxal by a dehydration reaction at temperatures between 180–240 °C in a maximum yield of about 30–40% (Scheme 14) [72,73].

Dihydroxyacetone (DHA)

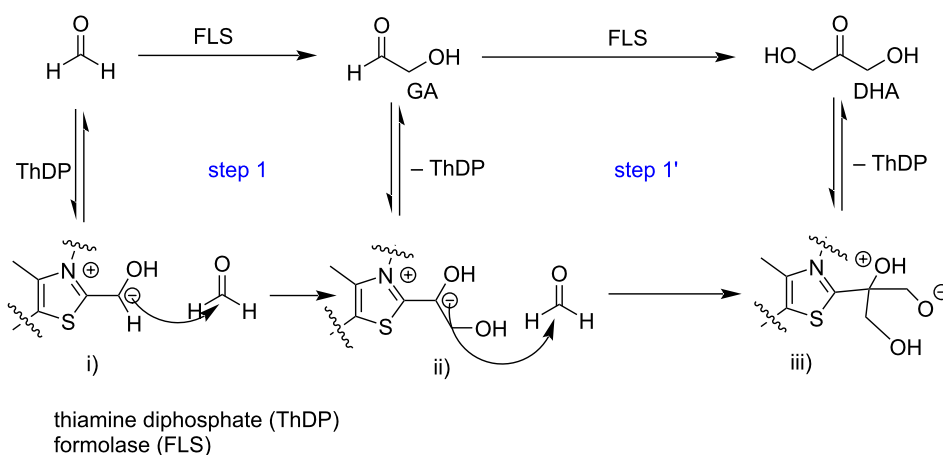
The formose process is the conversion of formaldehyde to glycolaldehyde and 1,3-dihydroxy-2-propanone (dihydroxyacetone, DHA). A computational protein-directed evolution allowed to create "formolase" (FLS), an enzyme able to catalyze the formose process. Various teams investigated the kinetics of the reaction and the entire carbon uptake allowing to

select the best computationally modified enzyme profile depending on the formaldehyde amount and guiding future efforts to improve this pathway (Scheme 15) [74–76].

Tang et al. recently reported the environmentally friendly production of glyceraldehyde and dihydroxyacetone from using glycerol through photocatalytic oxidation under visible light using a $\text{Cu}^{\delta+}$ -decorated WO_3 photocatalyst in the presence of hydrogen peroxide (H_2O_2) [77]. The presence of the photocatalyst provokes an impressive five-fold rise in the conversion rate (3.81 mmol/g) without compromising the high selectivity towards the two trioses (glyceraldehyde, 46.4%, and dihydroxyacetone, 32.9%). Okamoto and co-workers developed a new



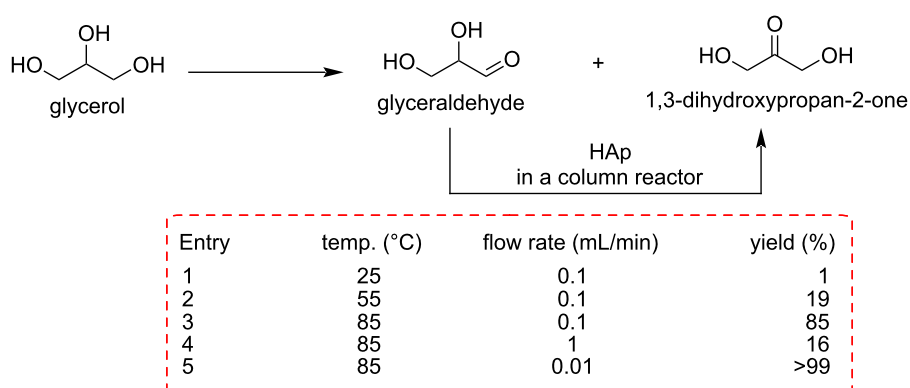
Scheme 14: Hydrothermal conversion of 1,3-dihydroxy-2-propane and 2,3-dihydroxypropanal to methylglyoxal.



Scheme 15: FLS-catalyzed formose reaction to synthesize GA and DHA.

hydroxyapatite (HAp)-loaded flow system for the atom economical preparation of dihydroxyacetone (DHA) in good yield from aqueous glyceraldehyde (Scheme 16) [78].

Transformation of 1,3-dihydroxy-2-propanone (dihydroxyacetone, DHA) has been a very popular topic for many years, using a variety of reactions like isomerizations and dehydra-



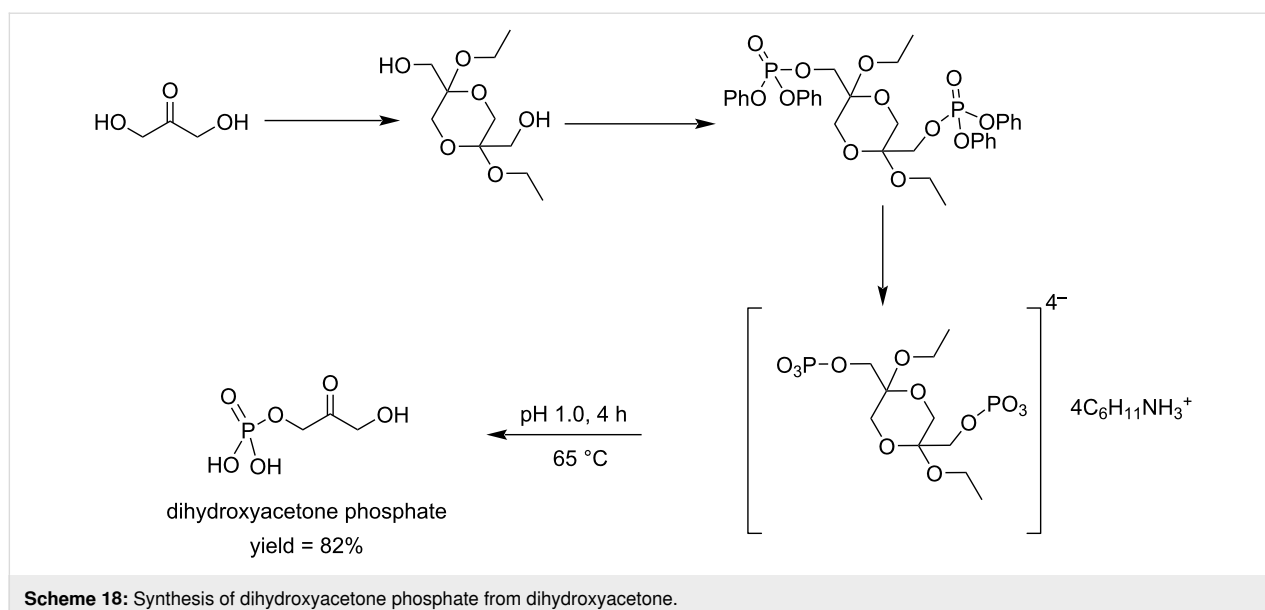
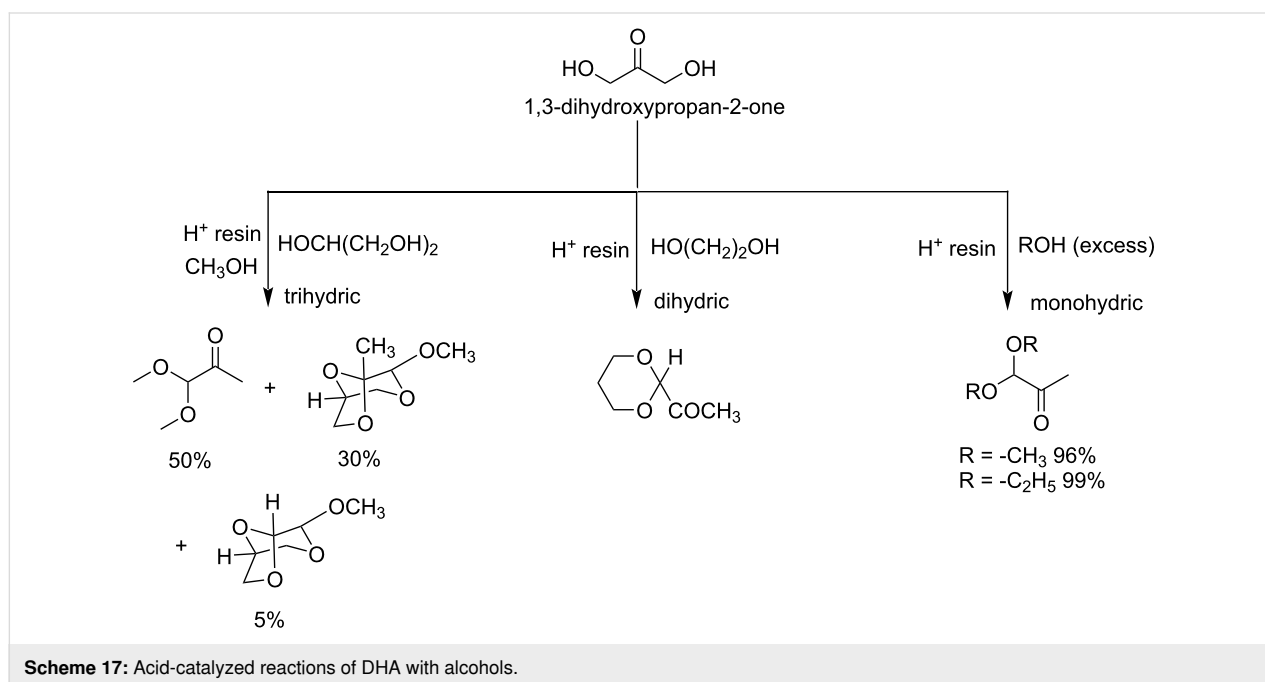
Scheme 16: GCA and DHA oxidation products of glycerol and isomerization of GCA to DHA under flow conditions using a hydroxyapatite (HAp)-packed column.

tions [79-81]. Keto acetals have been observed as by-products, such as in the work reported by Gupta investigating the acid-catalyzed reactions of DHA with various alcohols (Scheme 17) [82].

Colbran and colleagues reported the conversion of DHA to dihydroxyacetone phosphate in four steps in 27% overall yield [83]. In their synthesis the cyclic acetal (2,5-diethoxy-1,4-dioxane-2,5-diyl)dimethanol or its dimethoxy analog was esterified with diphenyl phosphorochloridate in pyridine to give (2,5-diethoxy-1,4-dioxane-2,5-diyl)bis(methylene) tetraphenyl

bis(phosphate). Subsequent removal of the phenyl groups through hydrogenolysis, neutralization with cyclohexylamine and treatment with ion-exchange resin led to dihydroxyacetone phosphate. This 4-step method was more efficient than the previously reported dismutation of fructose-1,6-diphosphate [84] and 3-chloro-1,3-propanediol [85] which required more than nine steps and gave only 15% yield. No remaining acetal was found in the final product (Scheme 18).

The production of lactic acid from dihydroxyacetone or its derivative pyruvaldehyde was investigated on large scale to eval-



uate the water tolerance of Lewis acid sites of several solid catalysts [86–92]. Essayem and co-workers reported the conversion of DHA with the help of TiO₂-based catalysts having dual properties. Two parallel pathways are as follows: on one hand the catalyst Lewis acidic sites promote the formation of pyruvaldehyde and lactic acid (LA) by a two-step reaction, while the catalyst Lewis base sites produce fructose (Scheme 19) [93].

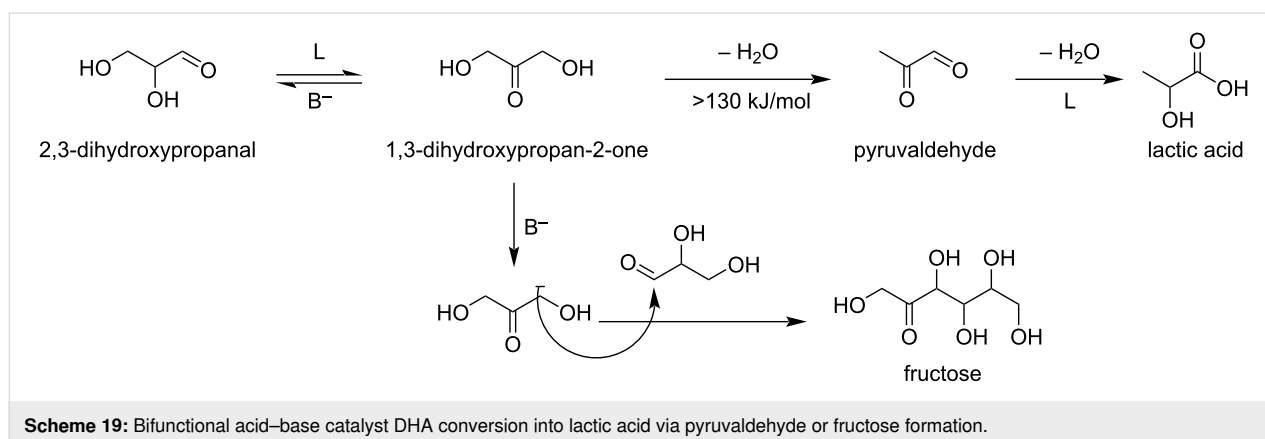
Shi et al. [94] reported a novel strategy to synthesize glycolic acid (GA), a very important building block of biodegradable polymers as already stressed in the C₂ section. The catalytic one-pot synthesis of GA and co-synthesis of formamides and formates was achieved in particularly good yield by selective oxidation of DHA using a Cu/Al₂O₃ catalyst with single active Cu^{II} species at room temperature without using a base (Scheme 20).

1-Hydroxypropan-2-one

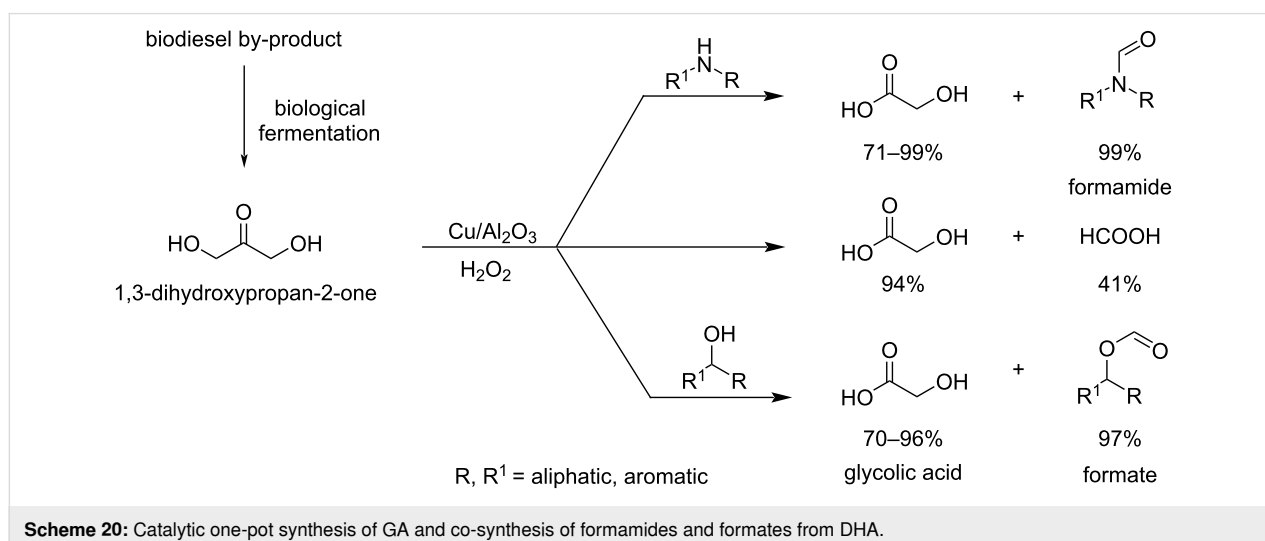
Gu et al. reported the [3 + 2] cyclization reaction of 1-hydroxypropan-2-one with acylacetonitrile towards furan derivatives

using a Sc(OTf)₃ catalyst. C₂-based bifunctional acetals, such as glycolaldehyde diethyl acetal, α-bromoacetaldehyde acetal, and 1,4-dithiane-2,5-diol also take part in the reaction as counterpart reagents. The well-known fungicide fenfuram was prepared using this process. Antifungal activity assays against four general plant fungi demonstrated that several of the synthesized new furan products exhibited also broad and strong biological activities (Scheme 21) [95].

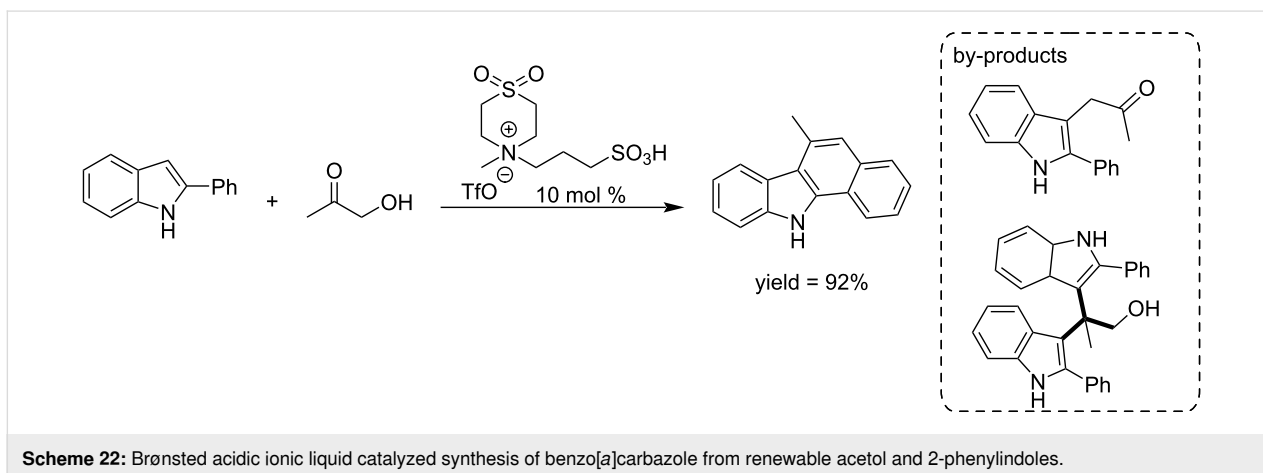
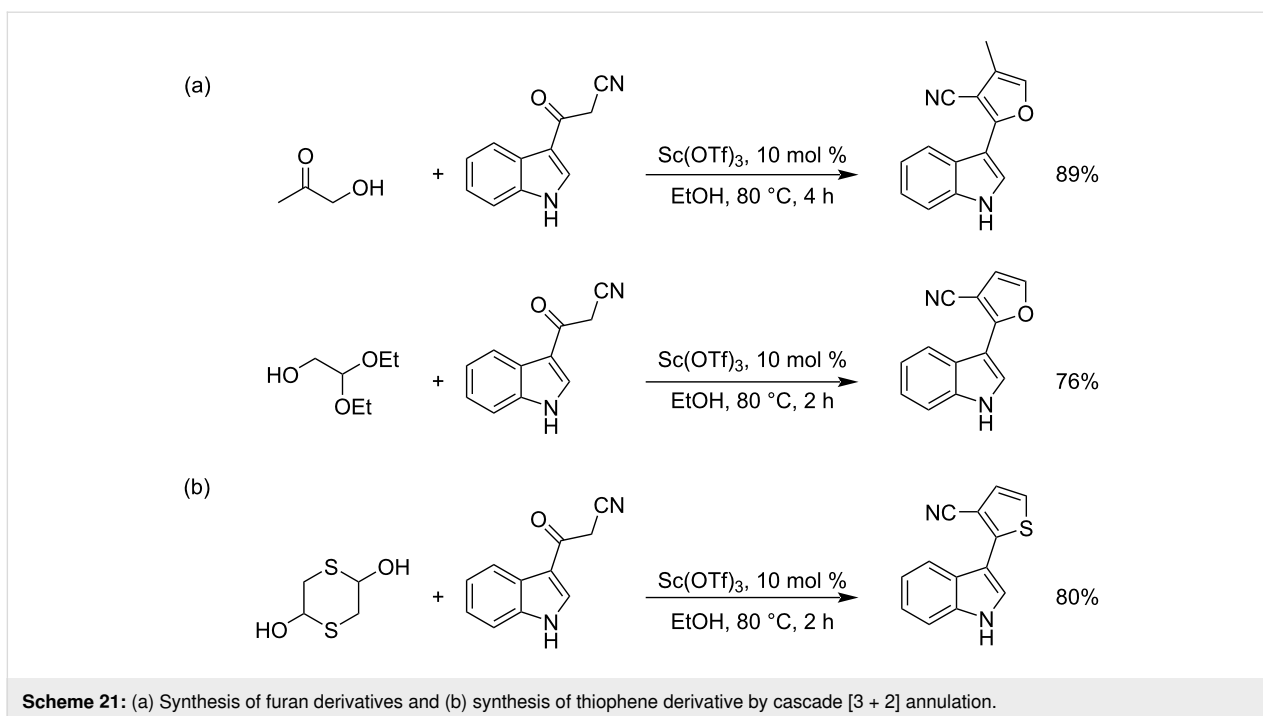
A metal-free efficient strategy was developed by the Gu group for the synthesis of benzo[*a*]carbazole (a very important scaffold in the pharmaceutical industry) from the commercially available 2-phenylindole and bio-renewable 1-hydroxypropan-2-one (acetol) using a sulfone-containing Brønsted acidic medium as catalyst. The process was applied to a number of substituted 2-phenylindoles and hydroxyketones. The catalyst could be recovered and reused five times without decreasing its selectivity and activity. Mechanistically, the reaction involves a Heyns-type rearrangement and subsequent intramolecular olefination (Scheme 22) [96].



Scheme 19: Bifunctional acid–base catalyst DHA conversion into lactic acid via pyruvaldehyde or fructose formation.



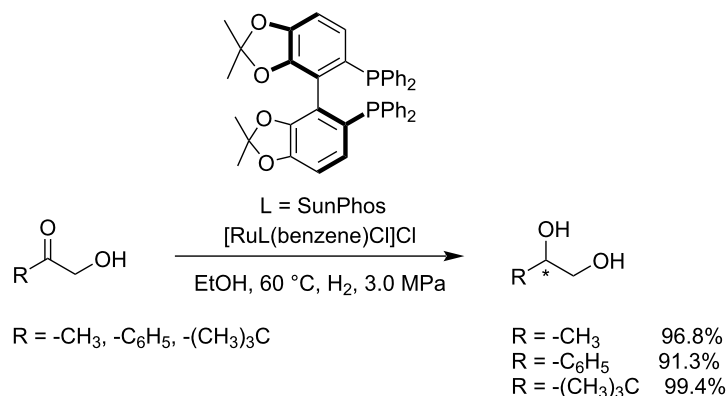
Scheme 20: Catalytic one-pot synthesis of GA and co-synthesis of formamides and formates from DHA.



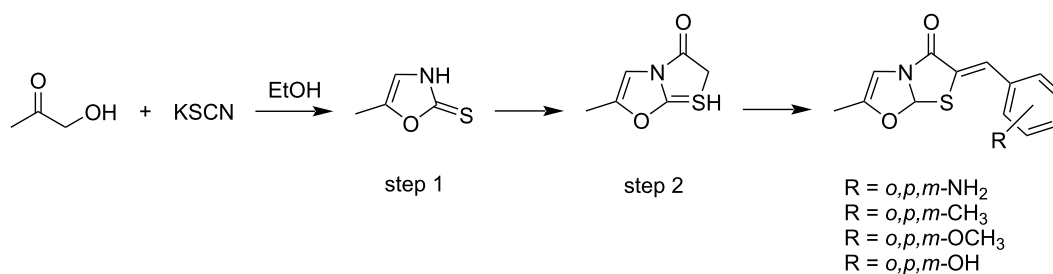
Arandia et al. reported the application of the aqueous-phase reforming (APR) technology for the production of light hydrocarbons and hydrogen in a single step from aqueous organic phases [97] containing 1-hydroxypropan-2-one (acetol), ethanol, benzene-1,2-diol (catechol), acetic acid or mixtures thereof. The process was conducted over various Ni-based catalysts including Ni/CeO₂-γAl₂O₃, spinel NiAl₂O₄ and Ni/La₂O₃-αAl₂O₃, at 230 °C and 3.2 MPa. Using a chiral catalyst composed of [RuCl₂(benzene)]₂ and SunPhos, an effective asymmetric hydrogenation of α-hydroxy ketones was reported, yielding chiral terminal 1,2-diols in up to 99% ee. This Ru-catalyzed asymmetric hydrogenation process of α-hydroxy ketones opens up a new pathway for the production of chiral terminal 1,2-diols (Scheme 23) [98].

Kini and Mathews reported the synthesis of novel oxazole derivatives such as 6-(substituted benzylidene)-2-methylthiazolo[2,3-*b*]oxazol-5(6*H*)-one by reacting 1-hydroxypropan-2-one and KSCN in ethanol for further evaluation of their anti-cancerous activity by MTT assays (Scheme 24) [99].

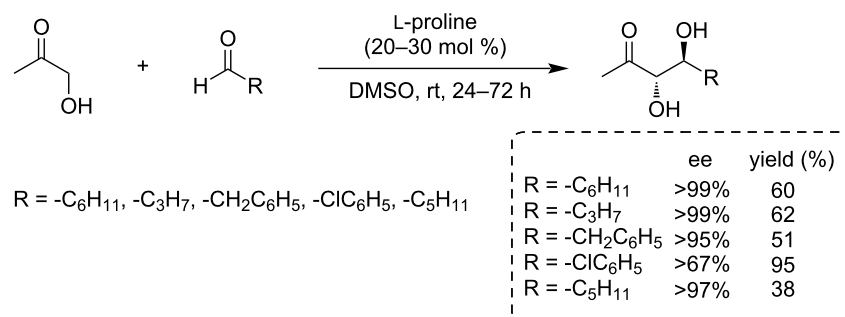
Notz and List reported the synthesis of *anti*-diols in good yield from 1-hydroxypropan-2-one and different (aromatic and aliphatic) substituted aldehydes using a new strategy using L-proline as a catalyst (Scheme 25) [100]. The C–C bond formation between biomass-based feedstock by aldol condensation reactions of furfural with 1-hydroxyacetone has been reported by Subrahmanyam and co-workers (Scheme 26) [101].



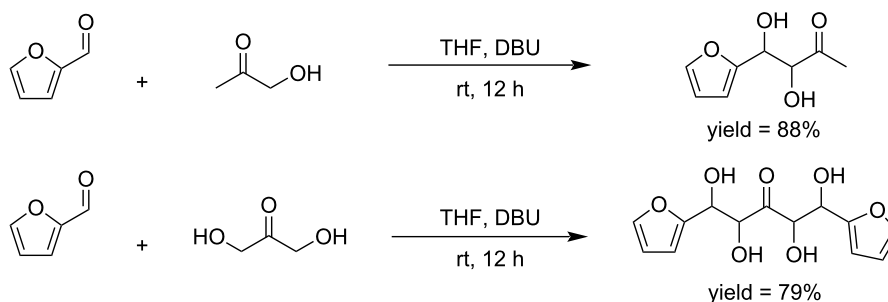
Scheme 23: Asymmetric hydrogenation of α -hydroxy ketones to 1,2-diols.



Scheme 24: Synthesis of novel 6-(substituted benzylidene)-2-methylthiazolo [2,3-*b*]oxazol-5(6*H*)-one from 1-hydroxypropan-2-one.



Scheme 25: L-Proline-catalyzed synthesis of *anti*-diols from hydroxyacetone and aldehydes.



Scheme 26: C–C-bond-formation reactions of a biomass-based feedstock aromatic aldehyde (C₅) and hydroxyacetone (C₃).

C₄ biobased carbonyl platforms

Acetoin

Acetoin is a very important C₄ biomass-based molecule and widely used building block in the food industry as flavoring compound. It can be synthesized from diacetyl by reduction using enzymes such as *Aerobacter aerogenes* [102] or thiamine diphosphate-dependent lyase (ThdP-lyase) [103]. The biotechnological production of chiral acetoin was reported by Meng and co-workers [104]. Zhang and his team [105] reported the synthesis of bulk C₄ chemicals from bioethanol via intermediate formation of acetoin in the presence of simple, environmentally benign, cheap and readily available natural vitamin B1 salts as the key step. Acetoin was further converted to C₄ bulk chemicals such as 2,3-butanediol and butene (Scheme 27).

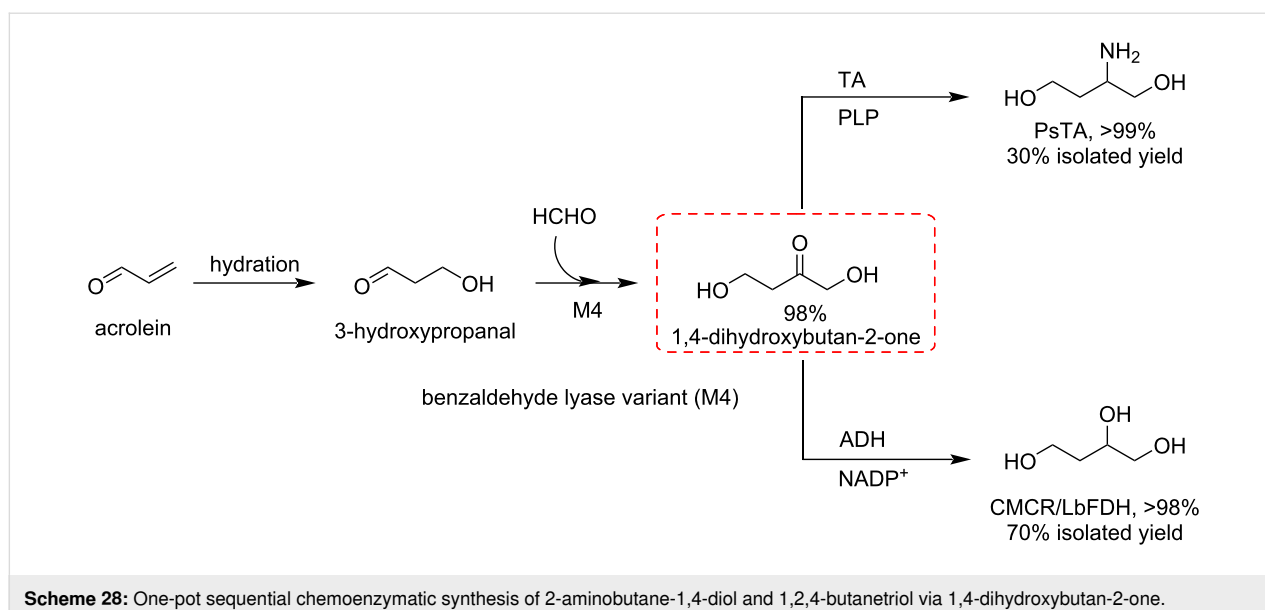
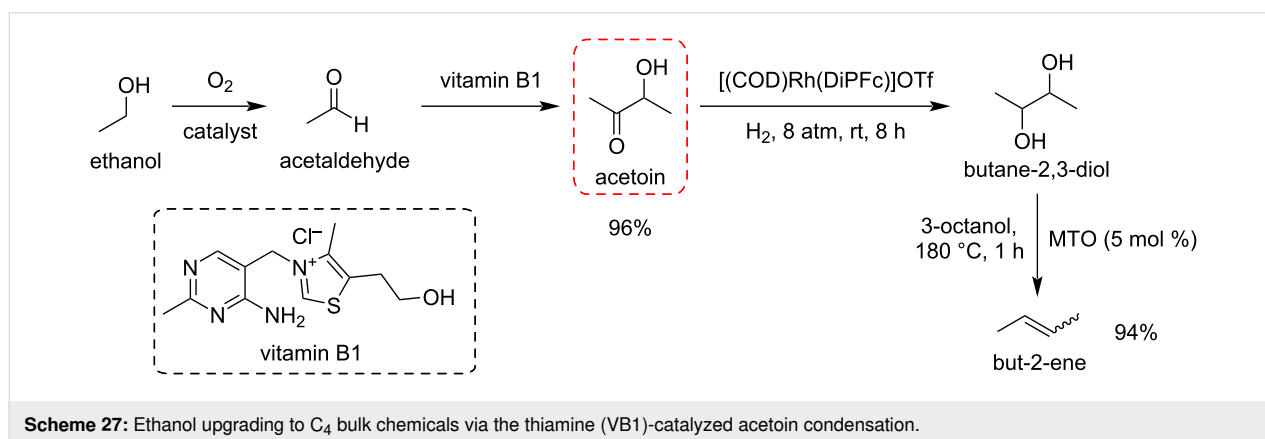
1,4-Dihydroxybutan-2-one

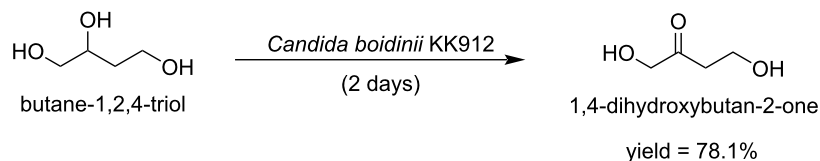
1,4-Dihydroxybutan-2-one is a key intermediate for the synthesis of different polyols such as 2-aminobutane-1,4-diol. Ma and

co-workers synthesized 1,4-dihydroxybutan-2-one by a benzaldehyde lyase-catalyzed reaction of formaldehyde with 3-hydroxypropanal [106]. Another report depicts a new M4-catalyzed reaction between acrolein and formaldehyde for the synthesis of 1,4-dihydroxybutan-2-one, which was further converted into 1,2,4-butanetriol and 2-aminobutane-1,4-diol (Scheme 28) [107].

Matsumura et al. [108] reported the biocatalytic conversion of butane-1,2,4-triol into 1,4-dihydroxybutan-2-one. This work dealt with the conversion of *sec*-hydroxy groups to carbonyl derivatives by methanol yeast, *Candida boidinii* KK912, a cheaper and simpler process compared to other methods. The product is a kind of tetrose with biological and industrial relevance (Scheme 29).

Waymouth et al. [109] reported the regio- and chemoselective oxidation of vicinal polyols using [2,9-dimethyl-1,10-phenan-



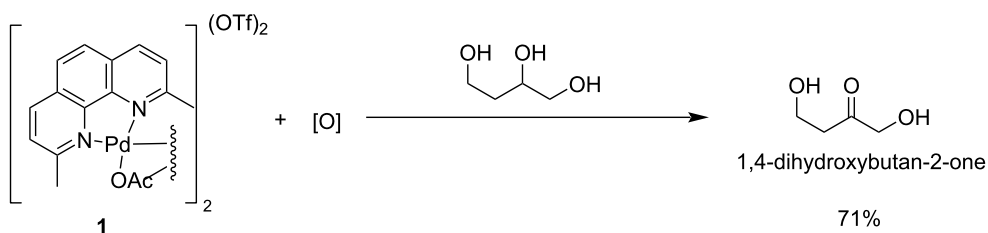


Scheme 29: Synthesis of 1,4-dihydroxybutan-2-one by microbial transformation.

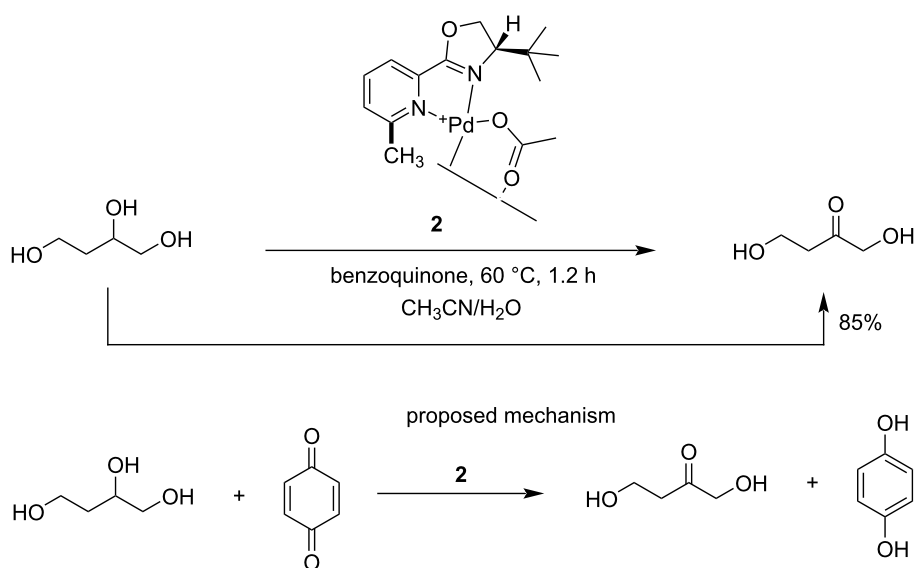
throline = neocuproine) $\text{Pd}(\text{OAc})_2(\text{OTf})_2$ (**1**) as catalyst. The formation of α -hydroxyketones occurs very rapidly under mild conditions. The catalyst **1** oxidizes the vicinal diols to the corresponding hydroxy ketones faster than other alcohols. Primary alcohols and 1,5-diols are oxidized to yield secondary alcohols and cyclic lactones, respectively (Scheme 30). A high chemo- and regioselectivity was observed for the oxidation of 1,2-diols, triols and tetraols using the chiral palladium-based catalyst **2** bearing a pyridinyl oxazoline (pyOX) ligand (Scheme 31) [110].

5-Hydroxy-2(5H)-furanone (HFO)

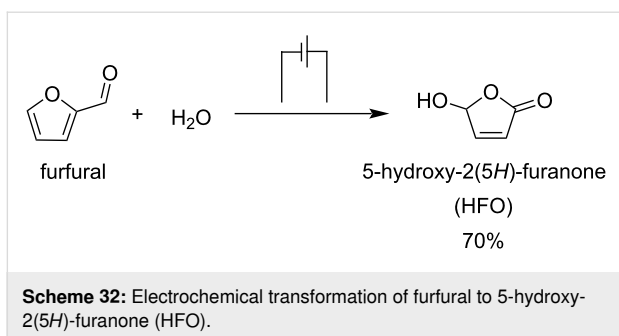
5-Hydroxy-2(5H)-furanone (HFO) is an interesting C_4 platform which is formed from furfural by oxidation. Han and co-workers developed an electrocatalytic strategy for the synthesis of HFO from furfural [111]. The very important and key bioactive compound HFO was formed by using water as an oxygen source and chalcogenides such as CuS , ZnS , or PbS as electrocatalysts. CuS nanosheets gave the best performance, with high selectivity towards HFO (83%) and high conversion of furfural (70%) (Scheme 32).



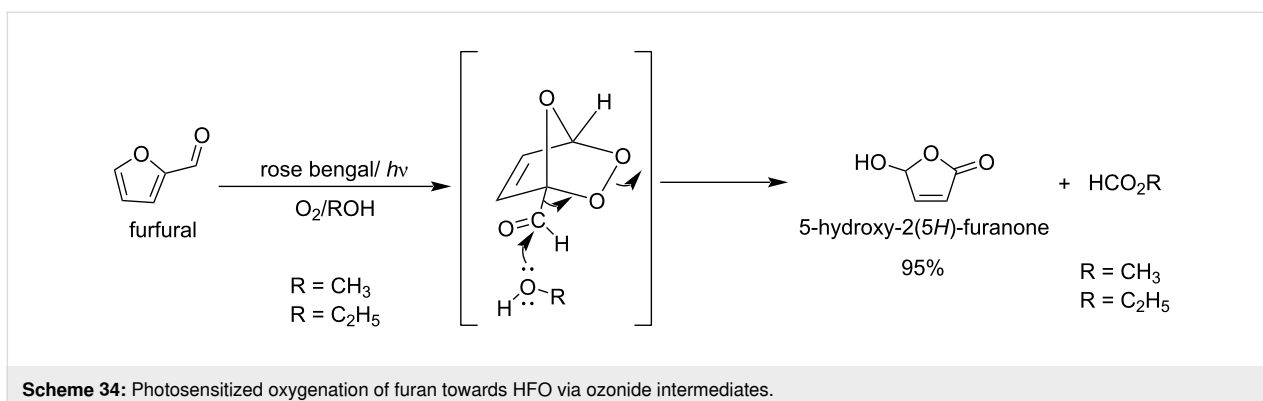
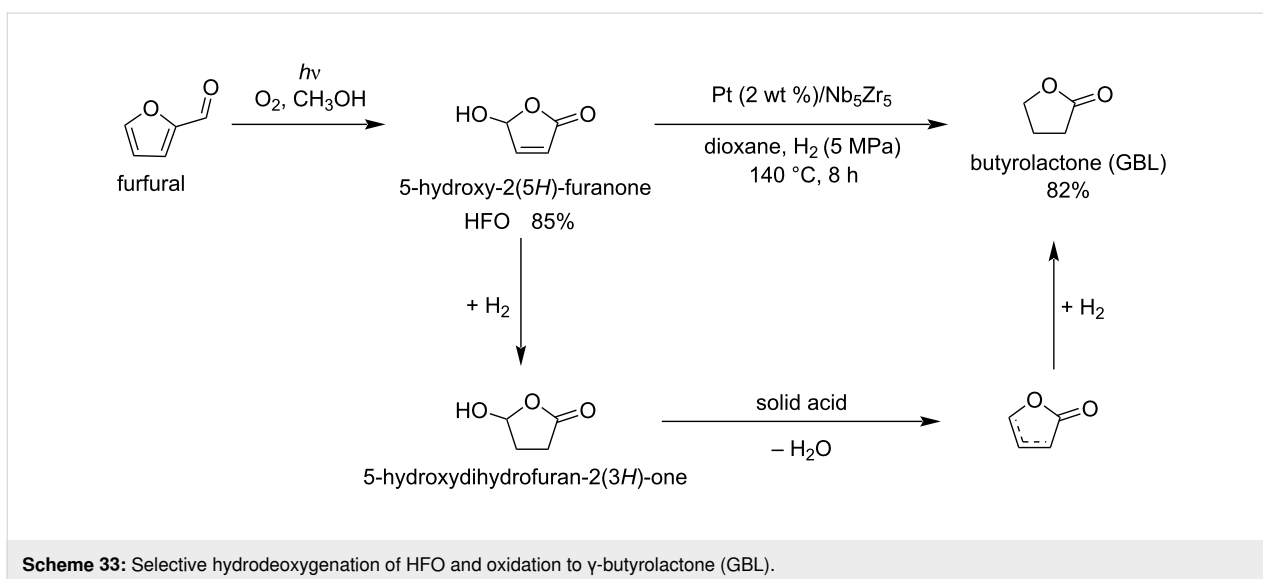
Scheme 30: Conversion of polyols by $[\text{neocuproine}]\text{Pd}(\text{OAc})_2(\text{OTf})_2$ to α -hydroxy ketones.



Scheme 31: Chemoselective oxidation of alcohols with chiral palladium-based catalyst **2**.

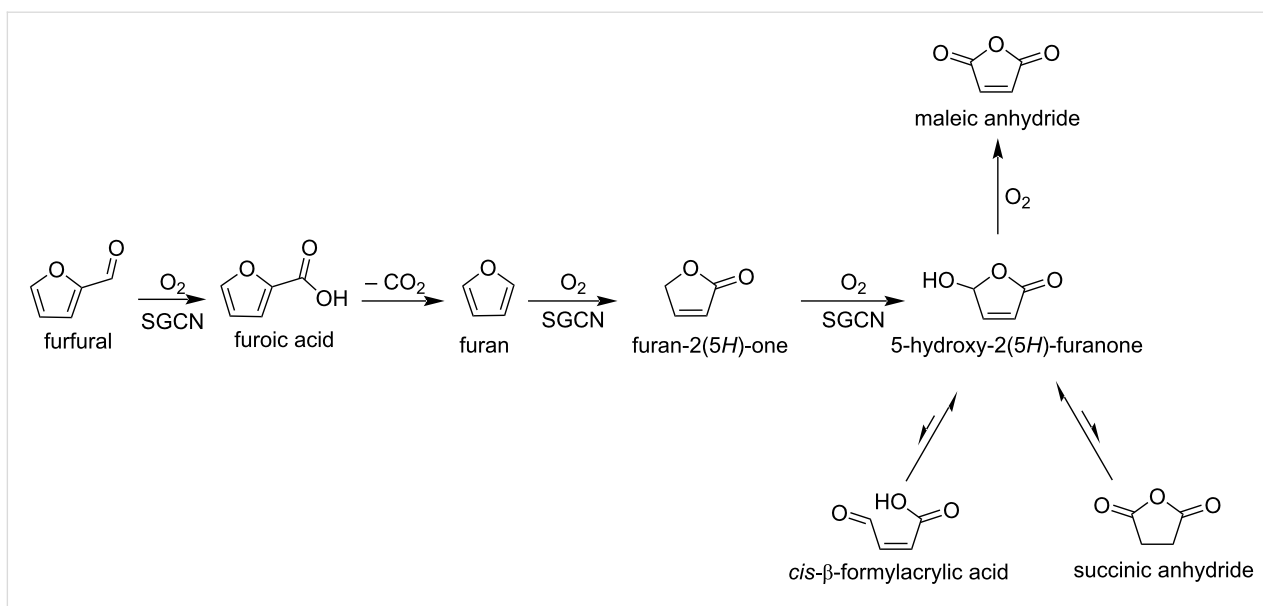


Yuan et al. [112] reported the synthesis of γ -butyrolactone (GBL) by the hydrodeoxygenation of HFO prepared by photocatalytic conversion of furfural. HFO is converted to GBL by two pathways: the first one is dehydration over mesoporous solid acids and the second one is a metal-catalyzed hydrogenation. HFO was obtained in 85% yield and its conversion rate to GBL was about 97%. Different bifunctional Pt-based solid acids were used. An overall GBL yield of 82.7% from furfural was obtained (Scheme 33).



Gollnick and Griesbeck reported the tetraphenylporphyrin-photosensitized oxygenations of furan and derivatives in non-polar aprotic solvents, yielding the corresponding monomeric unsaturated secondary ozonides through a (4 + 2) cycloaddition of singlet oxygen onto the diene linkage of the furan ring. The attack of a hydroxy group on the carbonyl group of the ozonide triggers the formation of HFO in high yields (>90%) (Scheme 34) [113–115].

Kailasam and co-workers reported a heterogeneous photocatalytic oxidation of furfural towards HFO and maleic anhydride (MAN) [116]. This conversion is performed under simulated solar light and molecular O_2 as the oxidant, and mesoporous carbon nitride (SGCN) as the photocatalyst. The latter showed excellent photoconversion (>95%) of furfural with 33% and 42% selectivity of HFO and MAN, respectively (Scheme 35). Xiao et al. developed this method and suggested that the Fe_2B_2 can be used as electrocatalyst for the production of furanoic acid and HFO with potentials of -0.15 V and -0.93 V, respectively [117].



Scheme 35: Conversion of furfural to HFO and MAN by using mesoporous carbon nitride (SGCN) as photocatalyst.

Chavan et al. reported a simple and efficient method for the synthesis of HFO from furan by using oxone as oxidant (Scheme 36a) [118]. Before this study Kumar et al. also synthesized HFO from furan by thermocatalytic oxidation (Scheme 36b) [119]. Salomon and co-workers reported the conversion of 2-substituted furans to HFO using NaClO_2 as oxidizing agent (Scheme 36c) [120].

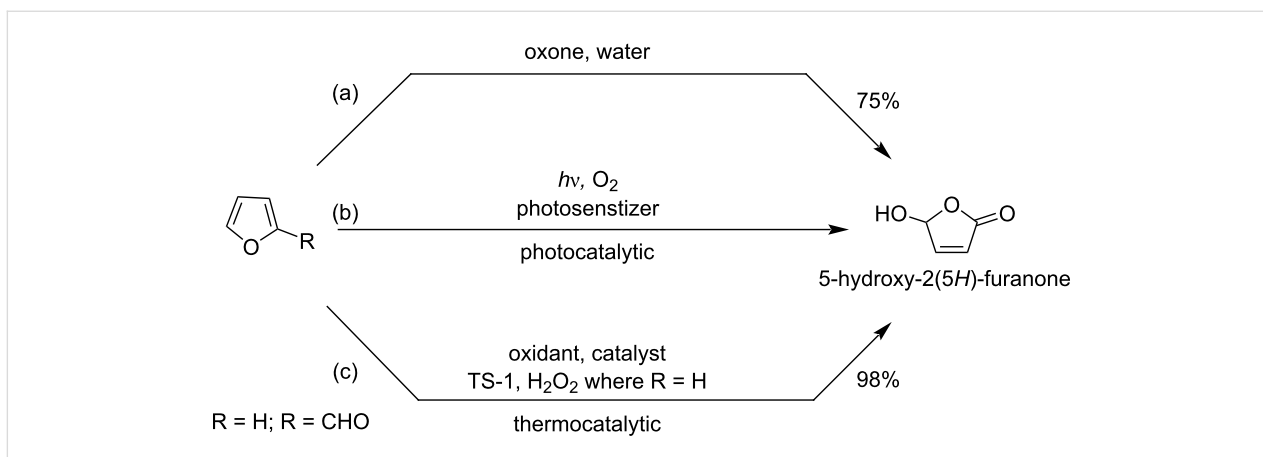
The conversion of furfural to HFO by a dye-sensitized photooxidation reaction with oxygen in alcoholic medium has also been reported (Scheme 37) [121,122].

Riguet synthesized γ -lactams through a Ugi 4-center 3-component reaction (U-4C-3CR) protocol. HFO was used as the electrophile in the Friedel–Crafts (FC) alkylation reactions of indole

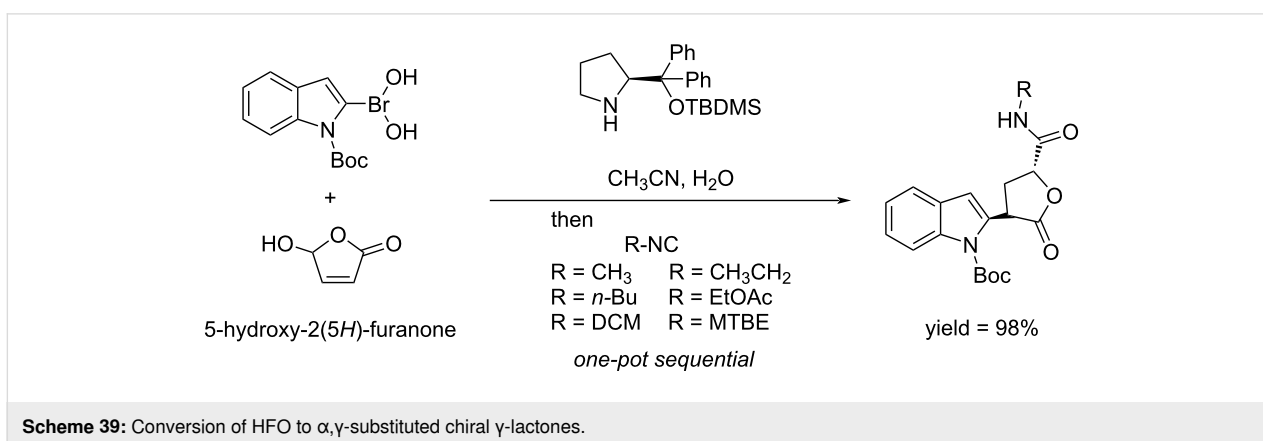
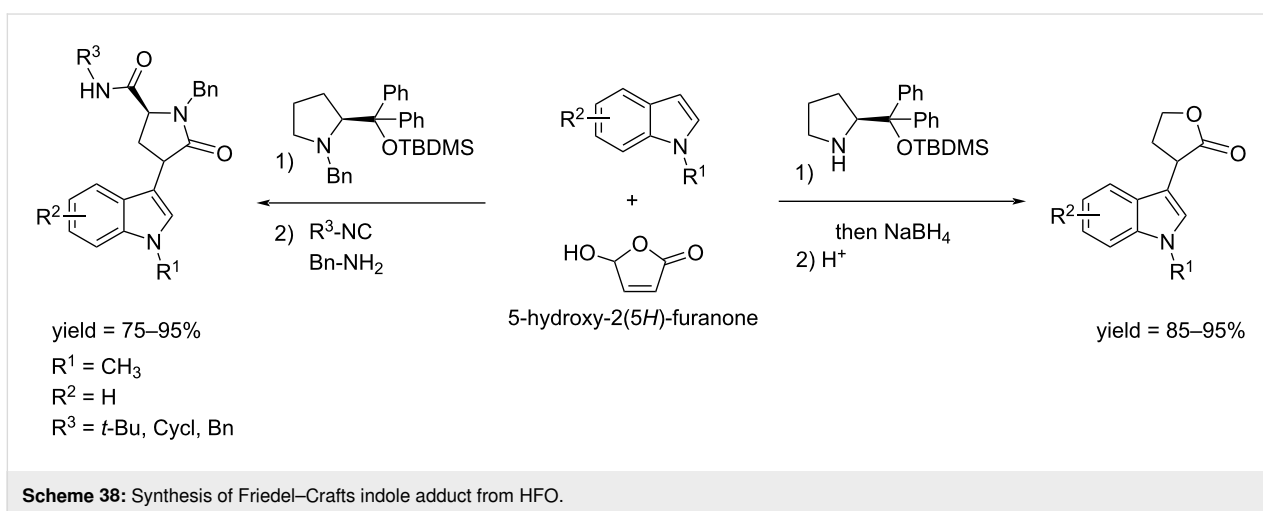
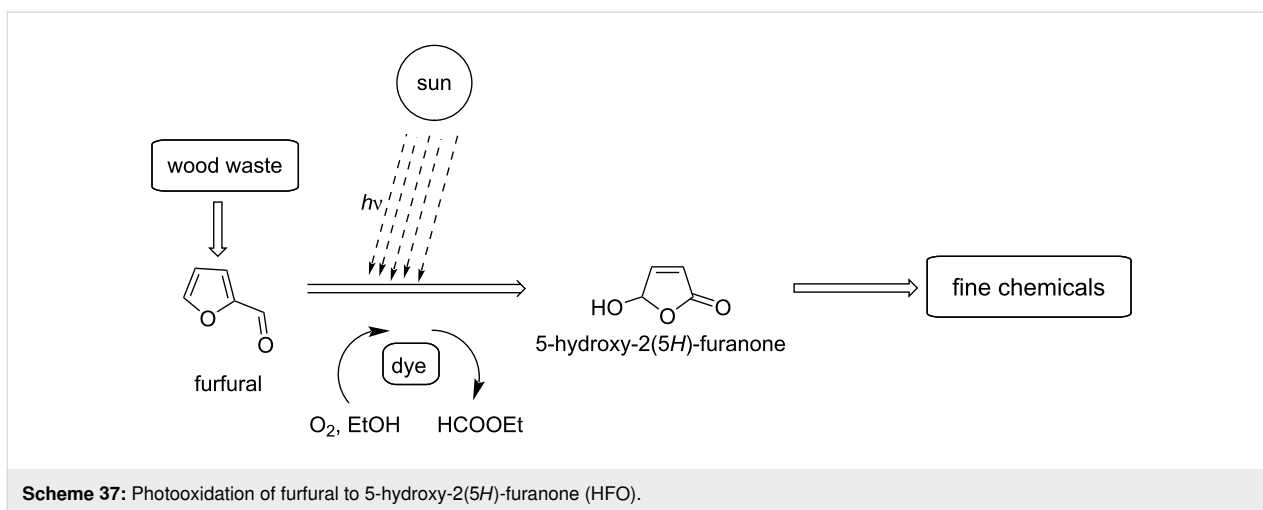
catalyzed by diphenylprolinol silyl ether. The high reactivity of HFO permitted that a limited loading of catalyst could be employed. The reduction of the Friedel–Crafts adduct gave the targeted indoyl lactones with good yield (Scheme 38) [123].

Bos and Riguet reported the one pot synthesis of α,γ -substituted chiral γ -lactones from HFO. The reaction involves the enantioselective organocatalyzed transfer of boronic acid to HFO followed by an intramolecular diastereoselective Passerini-type reaction. Varying the boronic acid enabled to reach high yields (Scheme 39) [124].

The tautomeric transformation of HFO to *cis*-β-formylacrylic acid was reported by Strizhov et al. [125]. The liable equilibrium transformation requires precisely pH-controlled condi-



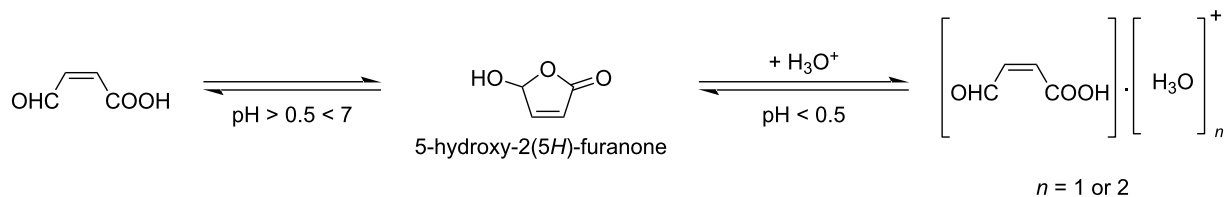
Scheme 36: Synthesis of HFO from furan derivatives.



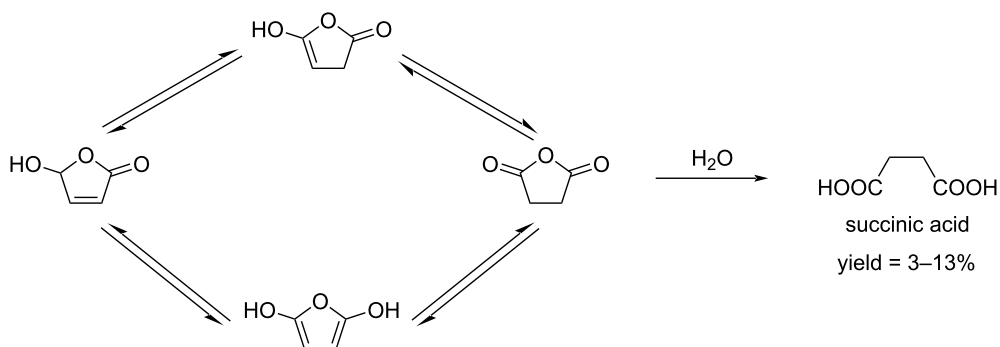
tions. In neutral medium, the furanone is in the form of its *cis* tautomer, while upon a slight increase in the pH, its cyclic original tautomer undergoes a ring opening with the formation of protonated forms (Scheme 40). HFO can be hydrolyzed to succinic acid, however, it is a slow process in aqueous solution.

In NaOH solution at pH 9–10, a complete conversion of HFO to succinic acid is rapidly achieved (Scheme 41) [126].

Substitution and condensation reactions of HFO (crude from furfural oxidation without isolation) produce 5,5'-oxybis(furan-



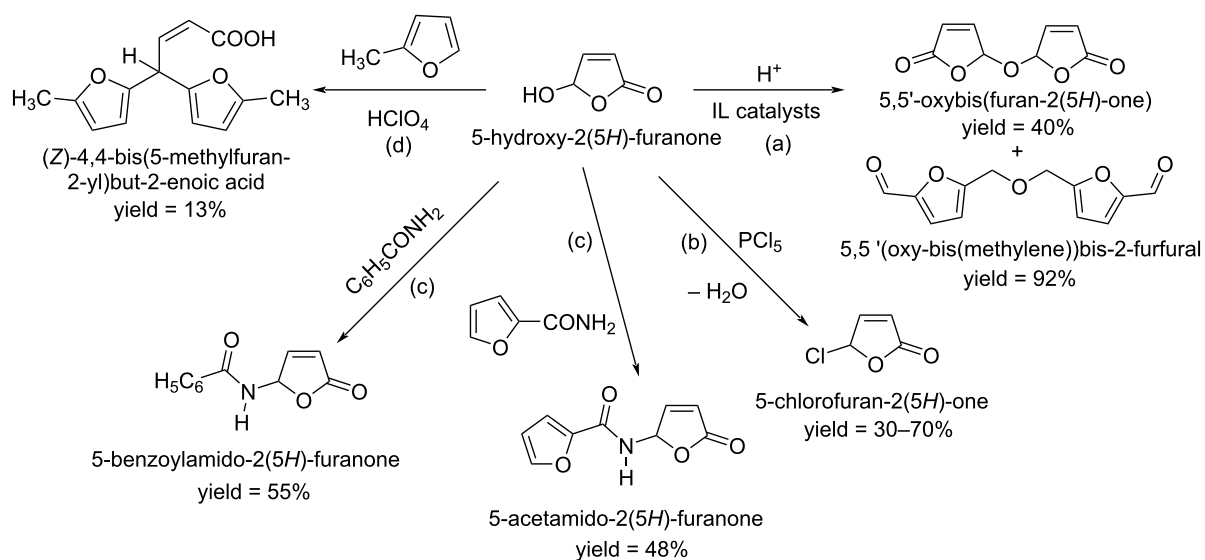
Scheme 40: Tautomeric transformation of HFO to formylacrylic acid.



Scheme 41: Hydrolysis of HFO to succinic acid in aqueous solution.

2(5H)-one) and 5,5'-(oxybis(methylene))bis-2-furfural [127–129] (Scheme 42, path a). The antimicrobial agent 5-chloro-2(5H)-furanone was synthesized by reaction of HFO with thionyl chloride in 30% yield [130], or with phosphorus chloride in 70% yield (Scheme 42, path b) [131]. 5-Acetamido-

2(5H)-furanone is obtained in 20–40% yield when acetamide reacts with 5-bromo- or 5-ethoxy-2(5H)-furanone [132–135], while 5-acetamido-2(5H)-furanone and 5-benzoylamido-2(5H)-furanone were obtained in 48% and 55% yield, respectively, by reaction of HFO with benzoic acid amide or furan-2-carbox-



Scheme 42: Substitution and condensation reactions of 5-hydroxy-2(5H)-furanone (HFO).

amide in 1:1 molar ratio at 70 °C for 4–8 h (Scheme 42, path c) [132]. The reaction of HFO with 2-methylfuran in a 1:2.2 molar ratio in diethyl ether solution at room temperature in the presence of catalytic amounts of perchloric acid for 5 hours led to the formation of *gem*-bis-4,4-(5-methyl-2-furyl)-2-butenic acid in 13% yield (Scheme 42, path d) [131].

Industrial chemicals with four carbon structures like pyrrolidines, 1,4-butanediol, maleic acid, and butyrolactone are often petroleum-based. However, developing sustainable biomass-derived routes for these compounds remains challenging. In a significant advancement, Shrotri et al. demonstrated an efficient catalytic process for synthesizing C₄ chemicals from biomass-derived hydroxymethylfurfural (HMF) using a TS-1 catalyst under mild reaction conditions [136]. Their work showed that HFO, when processed at moderate temperatures (100–150 °C), could be selectively converted into valuable C₄ products with remarkable yields: maleic acid and γ -butyrolactone were both obtained in 93% yield, while 1,4-butanediol, tetrahydrofuran, and 2-pyrrolidone were produced in 60%, 64%, and 67% yields, respectively (Scheme 43a). In this field, Badovskaya and co-workers chose the anodic oxidation of HFO with H₂O₂ using electrodes made of graphite and LiClO₄ as supporting electrolyte at 50 °C and 0.03 A current, providing maleic acid in 63% yield (Scheme 43b) [129].

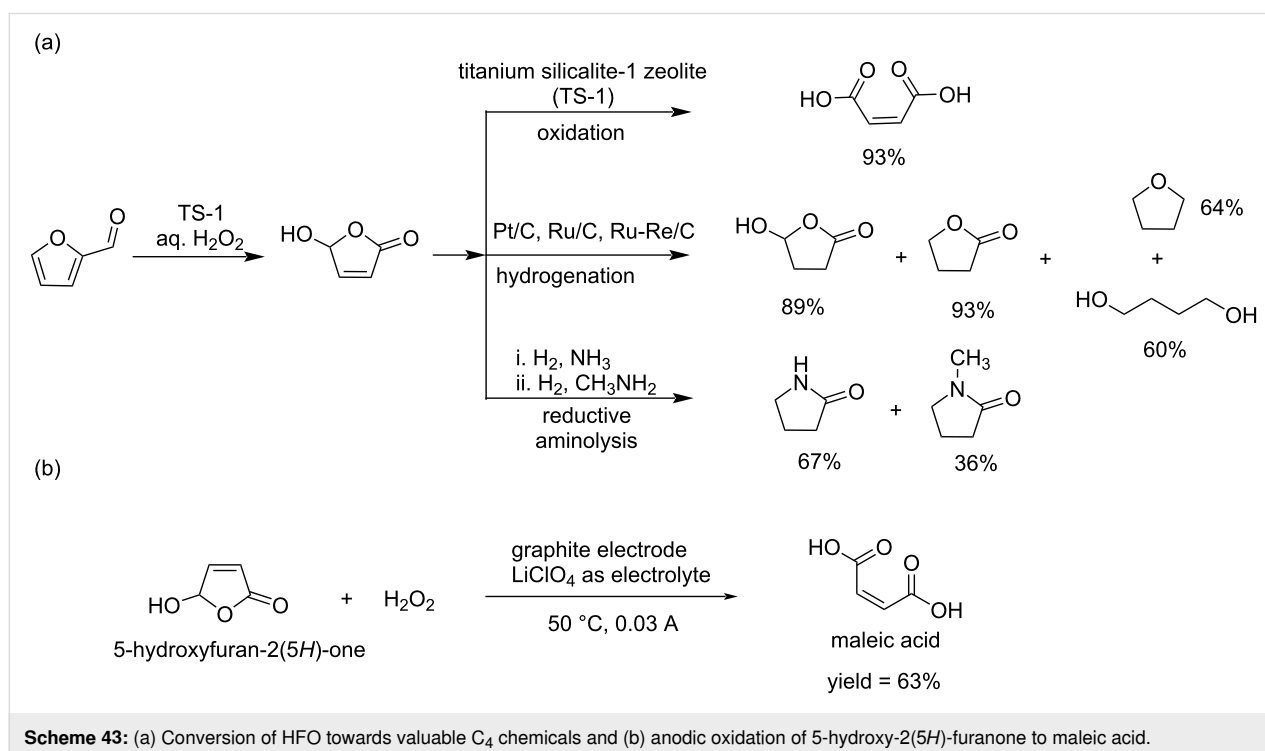
There are other important conversions of HFO serving as a C₄ building block applied in the synthesis of many different

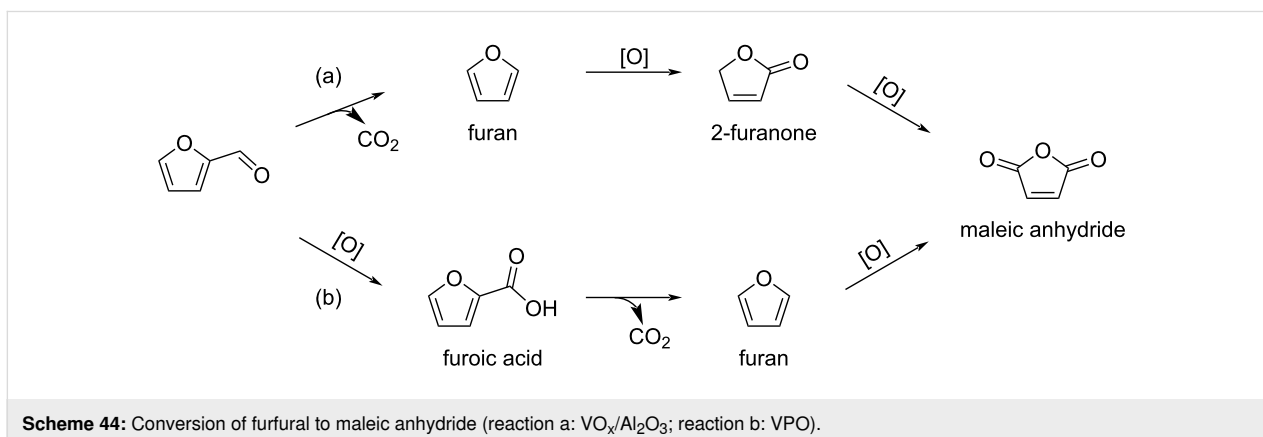
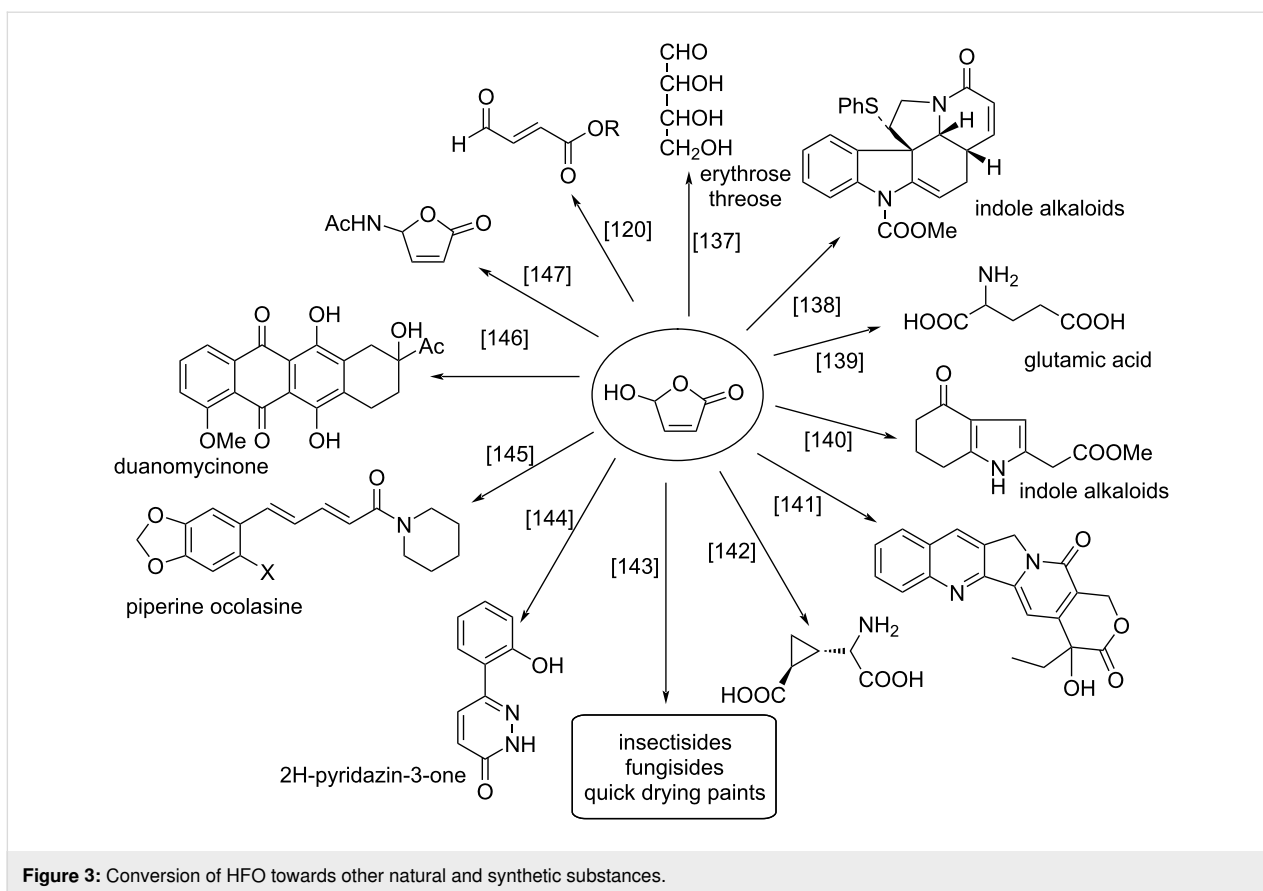
substances. These are summarized in Figure 3 below [120,137–147].

Other furanones

Several other furanones are key intermediates towards important chemicals. For example, the direct oxidation of furfural under aerobic conditions provides maleic anhydride via the successive oxidative decarboxylation of furfural to furan, the oxidation of furan to furanone, and the final oxidation of furanone to maleic anhydride in the presence of VO_x/Al₂O₃ catalysts (Scheme 44, route a) [148]. When using a vanadium phosphorus oxide catalyst (VPO), furoic acid was also found to be a key intermediate (Scheme 44, route b) [149]. A photocatalytic access to succinic anhydride from furoic acid using catalytic porphyrin H₂TPP in the presence of O₂ and light was also reported [150].

Yang and Lv reported the oxidation of furfural to succinic acid in 88% yield under mild conditions using modified hydrophilic acidic metal-free graphene oxide (GO) as solid acid catalyst and H₂O₂ as an oxidant [151]. The suitable acidity of the SO₃H group on the graphene oxide support is crucial for the selectivity of the oxidation. The use of the Lewis acidic Sn-Beta was also reported for this reaction providing succinic acid in 53% yield. Sn-Beta accelerated the Baeyer–Villiger oxidation of furfural to the 2(3*H*)-furanone intermediate by activating furfural (Scheme 45) [152]. Two methods, one using CO under palladium catalysis, and one using electrocatalysis and CO₂





have been reported to produce furandicarboxylic acid (FDCA) from bromofuroic acid [153,154].

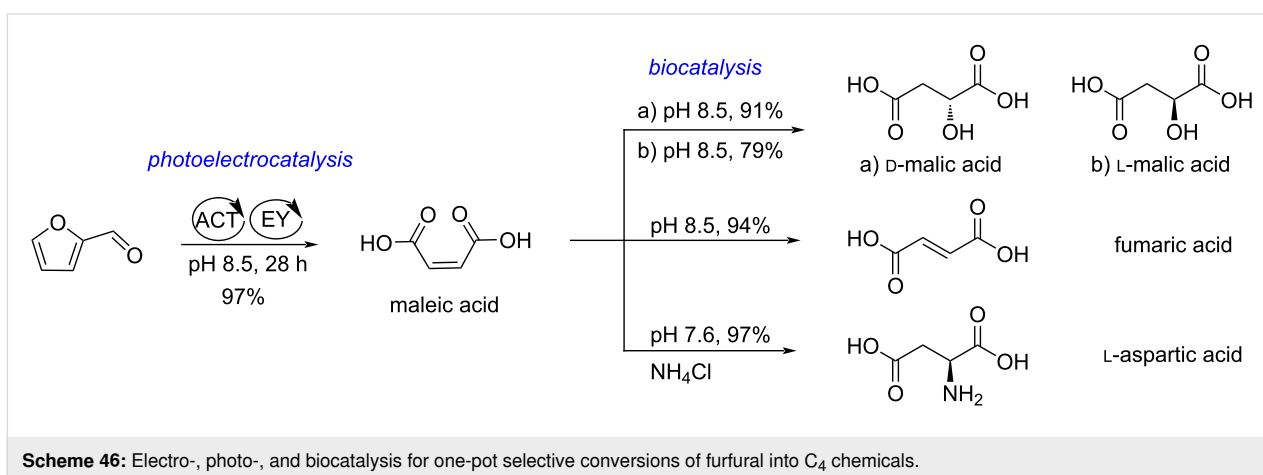
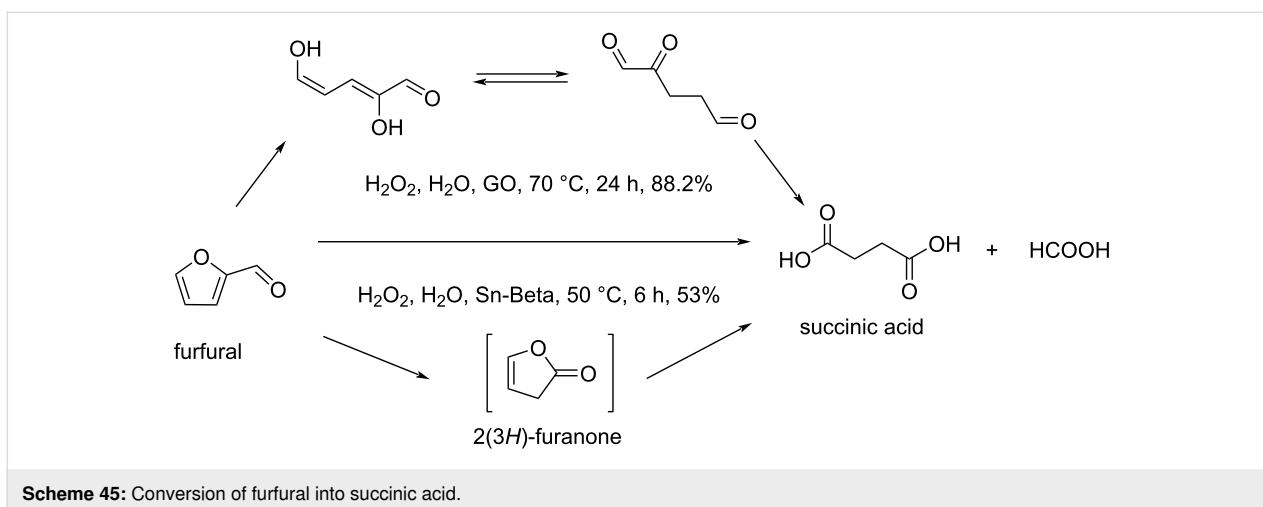
A combined electro-, photo-, and biocatalytic approach to several different C_4 chemicals from furfural, including maleic acid, D-malic acid, L-malic acid, fumaric acid, and L-aspartic acid has been reported (Scheme 46). Maleic acid was produced in 97% yield through the electrochemical oxidation of furfural with 4-acetamido-2,2,6,6-tetramethylpiperidine-*N*-oxyl (ACT) and the photooxygenation with eosin Y (EY). Maleic acid was

then selectively converted to D-malic acid, L-malic acid, fumaric acid and L-aspartic acid by biocatalysis in 91%, 79%, 94%, 97% yield, respectively, in the presence of enzymes such as maleate hydratase, maleate *cis-trans* isomerase, fumarase, or L-aspartase [155].

C_5 biobased carbonyl platforms

Furfural

Furfural is a versatile biobased C_5 platform molecule which plays an important role in the biorefining industry. It was first

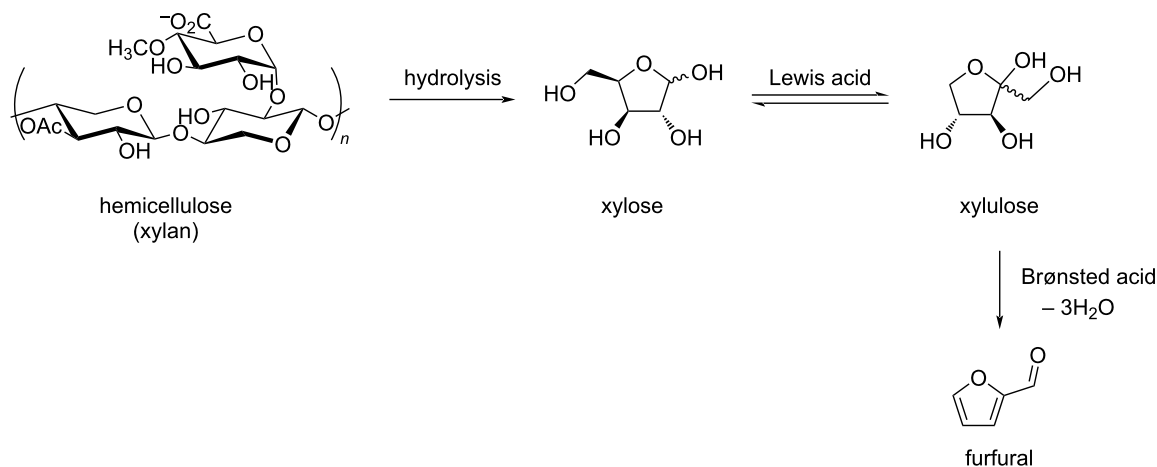


isolated in 1832 by the German chemist Johann Wolfgang Döbereiner, and its structure was determined in 1901 by German chemist Carl Harries. In 1922, the Quaker Oats Company produced furfural on a large scale using oat hulls. Since, many efforts have addressed several issues of the original industrial process, such as low yield, high energy consumption, difficult treatment of acidic aqueous waste and other wastes. Furfural is now produced on an industrial scale in batch or continuous reactors from lignocellulosic resources such as bagasse, corncobs, stalks, switchgrass and hardwoods [156–162]: thanks to preliminary selective dissolution and depolymerization of hemicellulose into pentose sugars. Deep eutectic solvents were recently shown to allow fractionation of lignin and production of furfural from lignocelluloses [163]. The hydrolysis and dehydration process of hemicellulose can be accomplished in water or in aqueous-based biphasic systems in the presence of mineral acids (such as H₂SO₄, HCl) and organic acids (such as HCOOH, CH₃SO₃H). The acid catalysts used for furfural production range from homogeneous catalysts such as mineral acids, organic acids, metal salts (with high availability

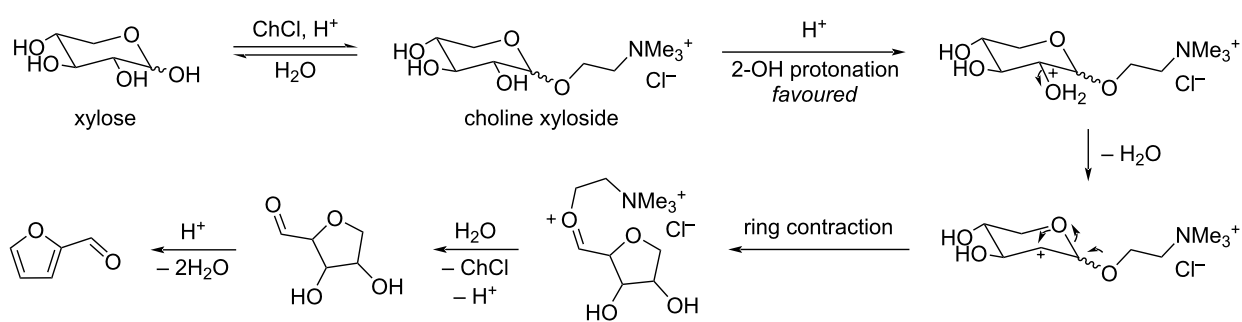
and low costs) to heterogeneous ones such as zeolites, metal oxides, sulfonated polymers, carbon-based solid acids. The latter allow to overcome the problems of separation encountered with homogeneous catalysis. The mechanism first involves the isomerization of xylose into xylulose under Lewis acid-type catalysis, and the subsequent dehydration of xylulose into furfural under Brønsted acid-type catalysis (Scheme 47).

Humins are naturally formed in all processes involving the acid-catalyzed degradation of carbohydrates [164,165]. Attempts to improve the balance between furfural and humins in high feed of starting xylose have been reported, for example by addition of choline chloride which is beneficial to the transformation of xylose to furfural in the presence of HCl (Scheme 48). The faster furfural formation rate is due to the formation of an intermediate choline xyloside which undergoes easier dehydration than xylose itself [166].

The examples given in the following sections illustrate the extremely vast range of reported transformations [160,167–176]



Scheme 47: Production route of furfural from hemicellulose.



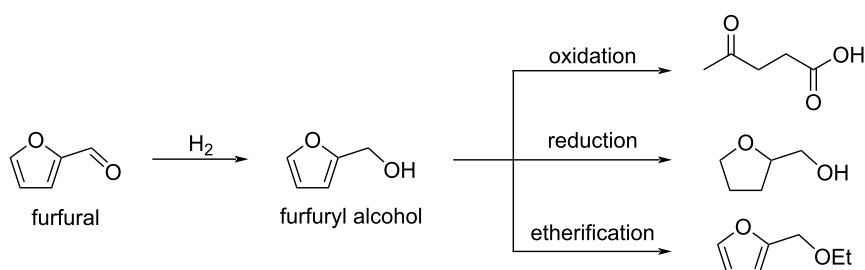
Scheme 48: Mechanism for xylose dehydration to furfural through a choline xyloside intermediate.

of furfural toward useful biobased functional compounds or intermediates with applications in fuels, polymer chemistry and fine organic synthesis (CH activation, Piancatelli rearrangement, etc.).

Conversion of furfural to alcohols, aldehydes and ketones: One of the most important transformations of furfural is its hydrogenation to produce furfuryl alcohol. This latter is a versa-

tile intermediate for the production of resins, coatings, polymers and used as a solvent. It can also be converted into other chemicals through oxidative cleavage, over-reduction and etherification (Scheme 49) [177].

Zhang et al. reported the use of Lewis acid–base bifunctional catalyst (Zr- β zeolite and K_2CO_3) in the Meerwein–Ponndorf–Verley reduction of a concentrated furfural



Scheme 49: Conversion of furfural to furfuryl alcohol and its derivatives.

solution (17.3–80.5 wt % in ethanol) combined with in situ cross-aldol condensation with acetaldehyde and crotonization. Ethanol was used as hydrogen donor for the Meerwein–Ponndorf–Verley reduction, while acetaldehyde is generated in situ by ethanol oxidation (Scheme 50). The equilibrium allows furfural to be simultaneously converted into furfuryl alcohol and 3-(2-furyl)acrolein in one pot through a redox reaction. The highest mass conversion rate of furfural can reach up to $95.3 \text{ g}\cdot\text{L}^{-1}\cdot\text{h}^{-1}$ [178].

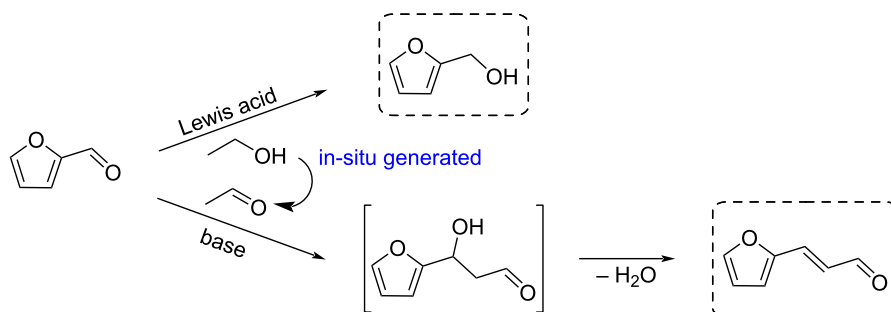
While furfural oxidation is an important way to reach C_4 platforms such as HFO [(see section 5-Hydroxy-2(5H)-furanone (HFO)], interesting C_5 platforms can also be obtained. An example is the work by Shi et al. who reported the aerobic oxidative condensation of furfural with linear alcohols using Cu_2O –LiOH catalytic system or under oxygen, thus achieving a carbon-chain increase from C_5 to C_{7-11} with potential applications in the field of liquid fuels. The conversion of furfural is up to 99.9%, while the selectivity of the corresponding aldehyde is up to 96.9%. The catalyst could be recovered and reused five times without significant loss of activity (Scheme 51) [179].

Furfural can be converted to 2-pentanone via simultaneous hydrodeoxygenation, ring-opening, and hydrogenation reac-

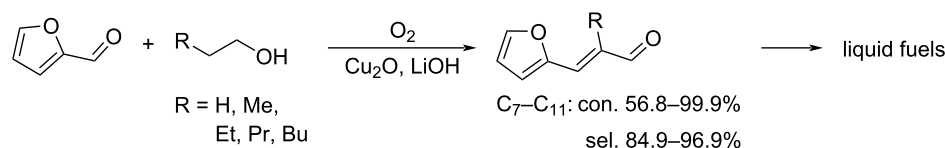
tions under catalysis by a bimetallic Cu–Ni/SBA-15 catalyst in a fixed-bed continuous flow reactor. The moderate acidity and the hierarchical tube-type porous nature of SBA-15, as well as the adsorption energy on the surface of 10Cu–5Ni/SBA-15 catalyst, are the key parameters for giving 2-pentanone with high selectivity (78%) (Scheme 52) [180].

Wang developed a new route for the production of jet fuel precursors through the electrocatalytic highly selective coupling reaction of furfural and levulinic acid. The Ni^{2+} species serves as the active site for the coupling process (Scheme 53) [181]. A similar strategy involving phenolic compounds and furfural allowed the production of bicyclic alkanes, used as fuel additives, by hydrodeoxygenation catalyzed by iridium/rhenium oxide supported on silica [182].

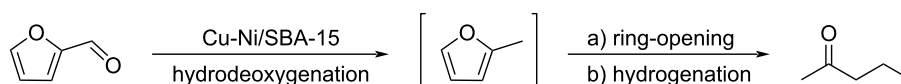
Conversion of furfural to amines: The synthesis of *m*-xylylenediamine from furfural and acrylonitrile through a Diels–Alder/aromatization sequence was developed by Wischet and Jérôme [183]. 2-(Furan-2-yl)-1,3-dioxolane with higher reactivity was generated through the acetalization of furfural with ethylene glycol, and converted to Diels–Alder cycloadducts in the presence of acrylonitrile at 60 °C. Treatment of the Diels–Alder adduct in basic conditions allowed the rearomatiza-



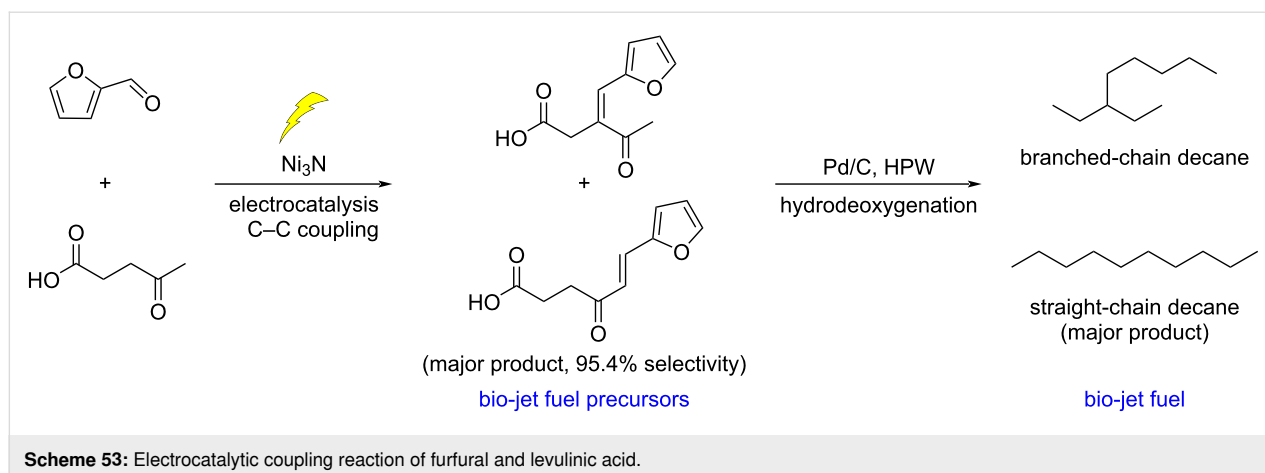
Scheme 50: Conversion of furfural to furfuryl alcohol and 3-(2-furyl)acrolein.



Scheme 51: The aerobic oxidative condensation of biomass-derived furfural and linear alcohols.



Scheme 52: The single-step synthesis of 2-pentanone from furfural.

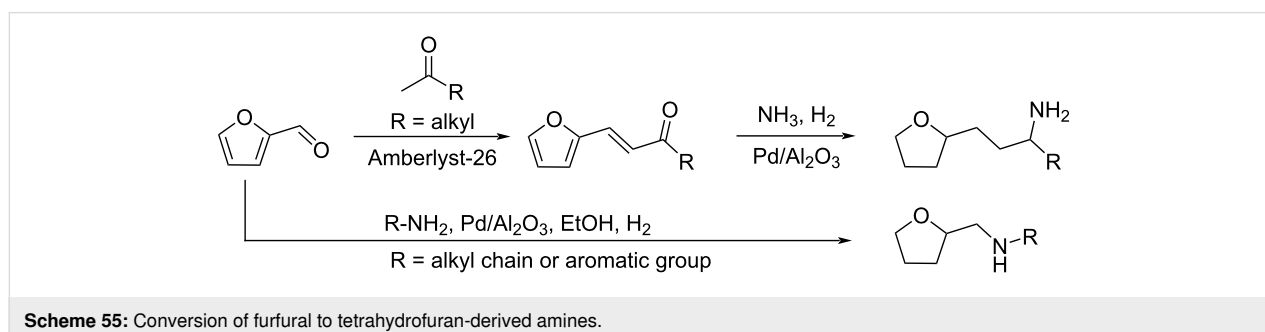
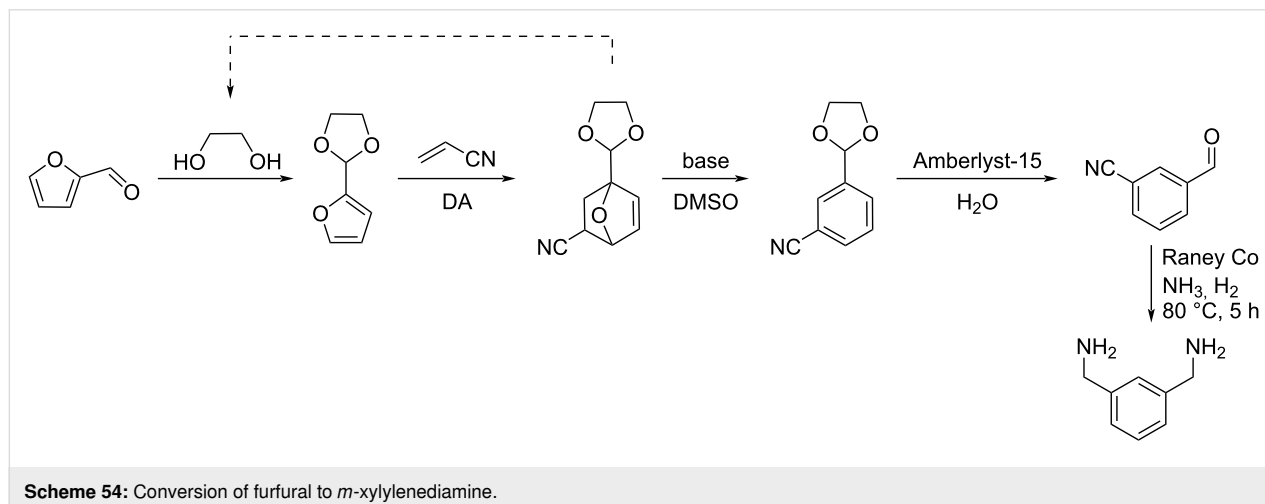


tion of the system in favor of *meta* isomer. Acidic cleavage followed by reductive amination afforded *m*-xylylenediamine (Scheme 54).

Tetrahydrofuran-derived amines were prepared from furfural via a one-pot two-step reaction. The condensation of furfural with ketones over Amberlyst-26 as catalyst produced intermediate furan-derived enones. Subsequent reductive amination was performed in the presence of ammonia or amines and Pd/Al₂O₃

under H₂ pressure, providing the tetrahydrofuran-derived amines [184]. The same catalytic system could allow the one-pot preparation of tetrahydrofuran-derived secondary and tertiary amines from furfural and amines with total reduction of the aromatic ring, as reported by Pera-Titus and De Oliveira Vigier (Scheme 55) [185].

A 2018 study reported the aza-Piancatelli reaction of furfural and secondary amines in deep eutectic solvent based on the



ChCl–urea mixture furnishing *trans*-4,5-diamino-cyclopent-2-enones and 2,4-diaminocyclopent-2-enones [186]. In 2021, Coelho and Afonso prepared a silica-supported copper catalyst (Cu/SiO₂-N₂) by impregnation with copper nitrate, and used it for the reaction of furfural with secondary amines (alkyl, cyclic and aromatic) to produce *trans*-4,5-diaminocyclopent-2-enones in hexane/isopropanol solvent mixture under continuous flow conditions (Scheme 56). The scope could be extended to 2-substituted *trans*-4,5-diaminocyclopent-2-enones by using 3-substituted furfurals [187]. A copper triflate-based analogous strategy was also reported [188].

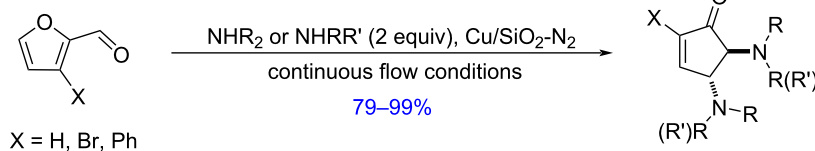
Conversion of furfural to pyrrole and proline: Zhou and Yan reported a route bridging commercial biomass feedstock with high-value *N*-containing chemicals through pyrrole as a hub molecule. Furfural was converted into pyrrole in 75% yield via tandem decarbonylation–amination reactions over tailor-designed Pd@S-1 and H-beta zeolite catalytic system, exhibiting high resistance to coking in presence of NH₃. Subsequent carboxylation with CO₂ and hydrogenation over Rh/C as catalyst led to racemic proline, an important precursor for pharmaceuticals, in quantitative yield. D-Proline was then obtained in 50% yield and 99% ee after kinetic resolution using *Escherichia coli* (Scheme 57) [189].

Other reactions: Yamamoto synthesized 6-hydroxy-2-(trifluoromethyl)-2*H*-pyran-3(6*H*)-ones from furfural via trifluoro-

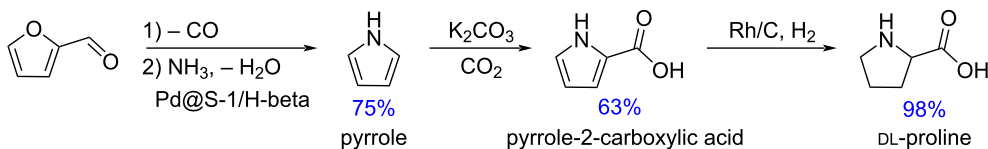
methylation using the Ruppert–Prakash reagent (TMSCF₃), followed by a photo-Achmatowicz reaction. Then, through acetylation and subsequent base-mediated oxidopyrylium [5 + 2] cycloaddition reactions, 1-(trifluoromethyl)-8-oxabicyclo[3.2.1]oct-3-en-2-ones were prepared (Scheme 58) [190].

Chu and Webster reported the photocatalyzed synthesis of furfural-derived diacids from furfural [191,192]. Furfural first was reacted with malonic acid to produce *trans*-3-(2-furyl)acrylic acid in a Knoevenagel reaction, which was then converted into cyclobutane-containing diacids through a [2 + 2] photoreaction. *cis*-3,4-Di(furan-2-yl)cyclobutane-1,2-dicarboxylic acid (CBDA-2) prepared under blacklight UV irradiation was proven to be thermally, chemically and sunlight stable. The esterification of *trans*-3-(2-furyl)acrylic acid with ethanol, provided (1 α ,2 α ,3 β ,4 β)-2,4-di(furan-2-yl)cyclobutane-1,3-dicarboxylic acid (CBDA-5) via a solvent-free [2 + 2] photodimerization from ethyl 2-furanacrylate and subsequent hydrolysis (Scheme 59).

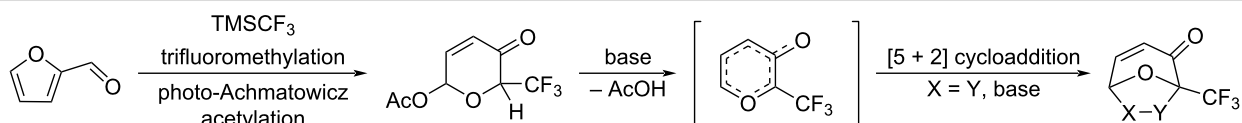
Furfural was employed as starting scaffold in a protocol developed to form acetals as fuel additives. The acyclic acetal is generated in situ from crude furfural with propanol in dimethyl carbonate using a nanoporous aluminosilicate material AI-13-(3.18) as catalyst, and then undergoes transacetalization with glycerol to produce a mixture of corresponding dioxane and dioxolane products (Scheme 60) [193].



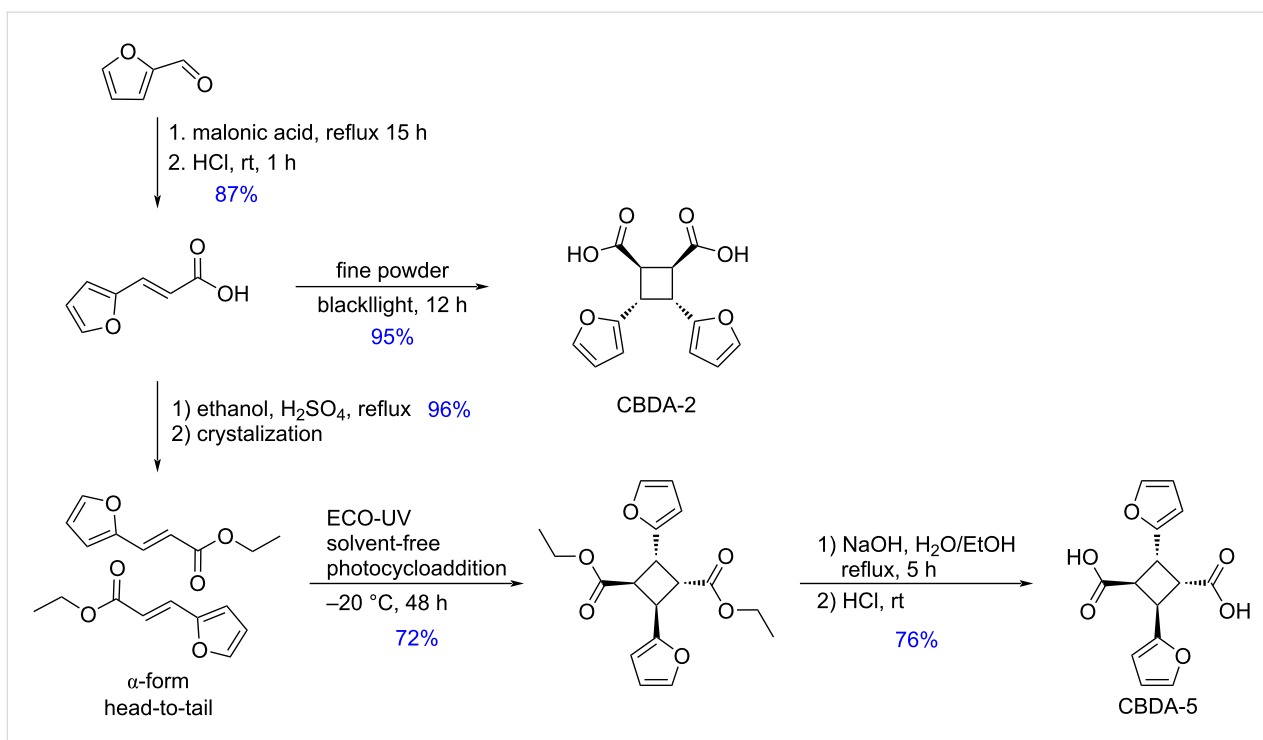
Scheme 56: Formation of *trans*-4,5-diamino-cyclopent-2-enones from furfural.



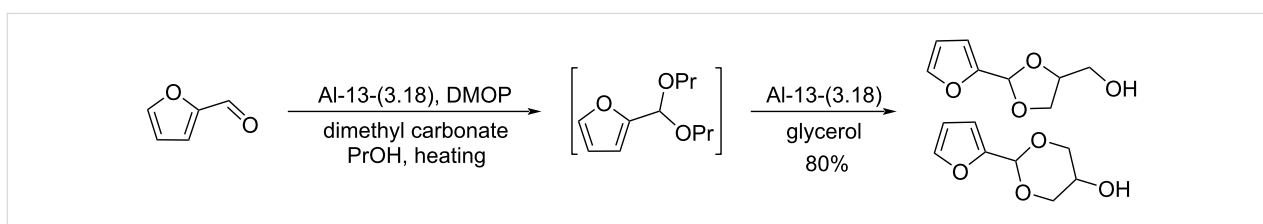
Scheme 57: Production of pyrrole and proline from furfural.



Scheme 58: Synthesis of 1-(trifluoromethyl)-8-oxabicyclo[3.2.1]oct-3-en-2-ones from furfural.



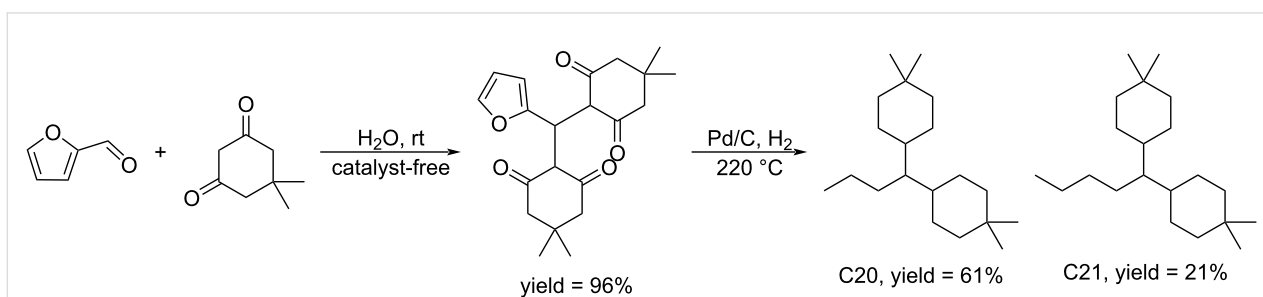
Scheme 59: Conversion of furfural to furfural-derived diacids.



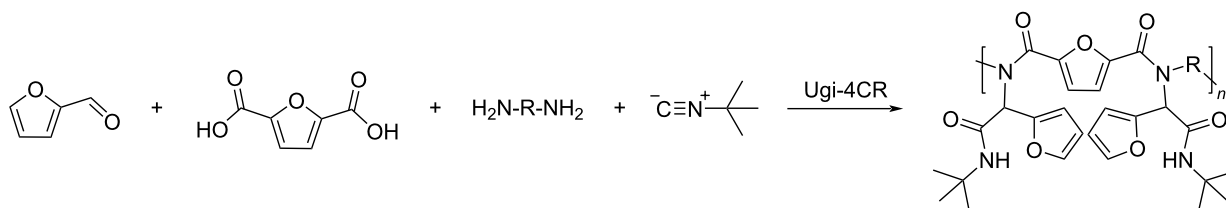
Scheme 60: A telescope protocol derived from furfural and glycerol.

Another strategy was proposed to synthesize potential aviation biofuels from furfural and 5,5-dimethyl 1,3-cyclohexanedione in water or under solvent-free conditions (Scheme 61). The obtained hydrocarbons exhibited higher density (0.78–0.88 g/cm³) and higher net heat of combustion (44.0–46.0 MJ/kg) than some commonly commercial fuels [194].

Multicomponent reactions involving the reactivity of furfural are also noteworthy. A Ugi four-component polymerization was developed to synthesize photoluminescence-active polyamides from renewable furfural and 2,5-furandicarboxylic acid with diamine and isocyanide (Scheme 62). The intramolecular hydrogen bonding between the secondary amide and furan oxygen



Scheme 61: A tandem cyclization of furfural and 5,5-dimethyl-1,3-cyclohexanedione.



Scheme 62: A Ugi four-component reaction to construct furfural-based polyamides.

atom promote the strong fluorescence of the polyamides. These furfural-based polyamides possess unusual intrinsic luminescence and high and selective affinity towards Fe^{2+} and Fe^{3+} metal ions, which could be used as fluorescent probes in the biomedical field [195].

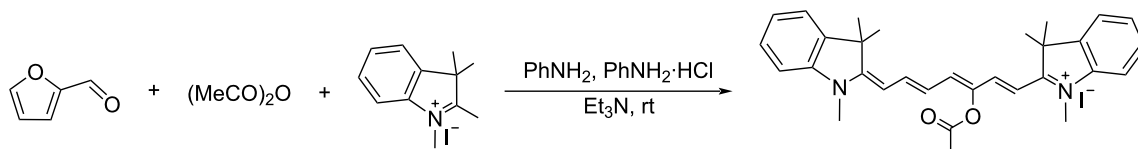
Heptamethine cyanine Cy7 is a very important class of intermediates in the fields of biology and photomedicine. The introduction of substituents to the seven-methine carbon chain of Cy7 influences its optical properties and ability to interact with biomolecules. A one-pot synthesis of γ -acyloxy-Cy7 from renewable furfural, simple anhydrides, and a quaternary ammonium salt under smooth conditions was reported (Scheme 63). Partial γ -acyloxy-Cy7 possesses a high fluorescence quantum yield and high photothermal conversion efficiency in PBS, two pivotal parameters in fluorescence imaging and image-guided photothermal therapy [196].

A dimerization of furfural has been found to occur at the early stage of formation of humins, arising from a nucleophilic attack of a furan carbon atom of one furfural molecule onto the aldehyde of a second one, giving 2-(4-furfur-2-yl)-4-hydroxy-2-cyclopenten-1-one (Scheme 64), resulting from a Piancatelli rearrangement. This latter can further evolve towards more com-

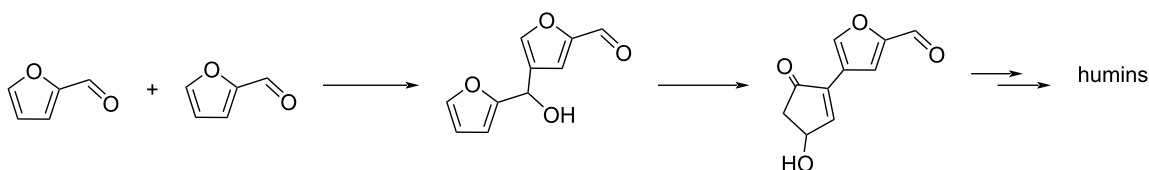
plex humin precursors by etherification and aldol reactions [197].

Cyclopentanone (CPN)

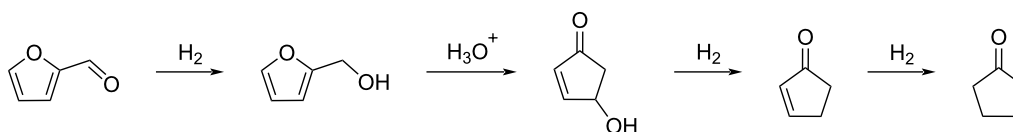
Cyclopentanone (CPN) can be prepared by catalytic hydrogenation and Piancatelli rearrangement of furfural (Scheme 65). This is a biobased alternative to the fossil-based process relying on the intramolecular decarboxylative cyclization of adipic acid. In the biobased reaction from furfural to CPN, the key points influencing the selectivity and yield are the choice of metal catalyst, hydrogen pressure and supporting acidic materials [168,198]. When carried out in aqueous medium, the reaction was found to provide better selectivity by limiting the generation of other hydrogenation products than in organic solvents [199]. Since this new route to CPN from furfural was reported in 2012, many catalysts have been proposed for promoting this reaction. Recent works include heterogeneous systems based on cobalt [200], nickel [201], copper [202] or palladium [203]. Biobased CPN can also be directly obtained from C-5 carbohydrates. For example, Zhang and Li recently reported a two-step route directly from xylose (or hemicellulose) going through cyclopentenone as intermediate. A subsequent hydrodeoxygenation/dehydrogenation sequence led to cyclopentadiene, or methylcyclopentadiene upon additional aldol condensation with



Scheme 63: One-pot synthesis of γ -acyloxy-Cy7 from furfural.



Scheme 64: Dimerization–Piancatelli sequence toward humins precursors from furfural.



Scheme 65: Conversion of furfural to CPN.

in situ generated formaldehyde, both highly useful intermediates for the production of high-energy-density rocket fuels and polymers [204].

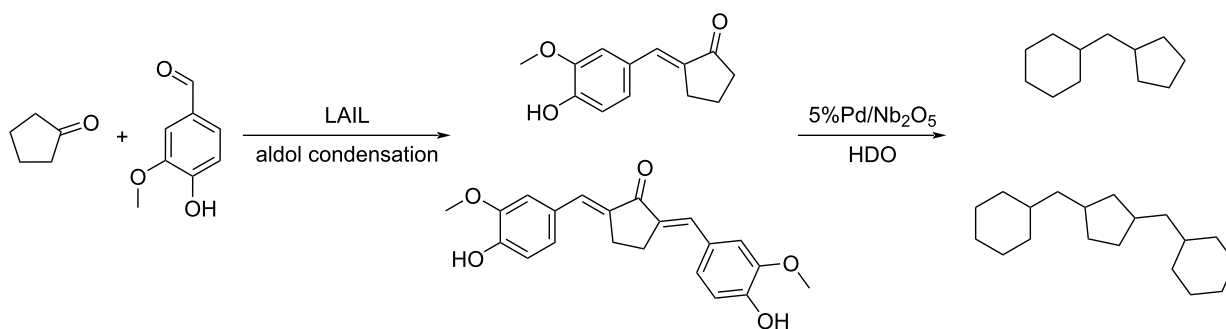
Conversion of CPN to hydrocarbons: CPN can be used as a precursor to produce high-octane diesel or jet fuel additives through aldol condensation/hydrogenation sequences. The acyclic or cyclic chain hydrocarbons can be formed under hydrodeoxygenation conditions after aldol extension of the C–C chain. Dimers and trimers can be obtained from CPN by self-condensation and converted to C₁₀ and C₁₅ alkane fuels (bicyclopentane, tricyclic alkane and spirocyclic alkane) through hydrodeoxygenation [205–210].

A cycloalkane with a density of 0.89 g/cm³ and a freezing point below –60 °C was prepared from CPN and lignin-derived vanillin. An overall yield of 95.2% of mono- and di-condensation products was obtained at 100 °C over ethanolamine lactate ionic liquid (LAIL). The condensation products were converted into jet fuels range cycloalkanes through aqueous phase

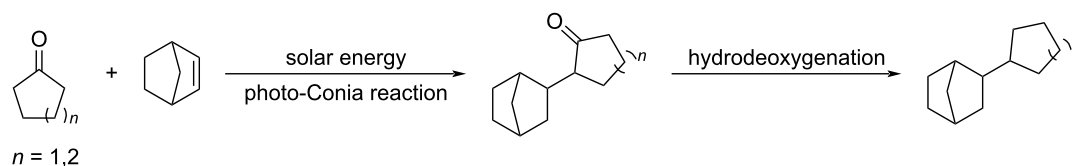
hydrodeoxygenation (HDO) by using 5% Pd/Nb₂O₅ catalyst (Scheme 66) [211].

A new route to novel high-performance aviation fuels driven by solar energy such as non-symmetrical polycyclic hydrocarbons has been proposed by using a photosensitized Conia reaction of ketones and norbornene (Scheme 67) [212]. The hydrocarbons derived from CPN and cyclohexanone endow high density of 0.935 and 0.941 g mL⁻¹, respectively, much higher than conventional aviation kerosene (0.78 g mL⁻¹).

Conversion of CPN to cyclopentylamines and alcohols: Gong and Wang revealed the potential of cobalt oxide catalysts for the production of primary amines via reductive amination of CPN (Scheme 68) [213]. CoO species with oxygen vacancies on the surface have a unique ability to dissociate NH₃ and generate hydrogen-like species (NH₂^{δ-}), thus accelerating the ammonolysis of the Schiff base to produce the corresponding primary amine. Cyclopentylamine was generated from CPN in 96% yield over a core-shell-structured Co@CoO catalyst at

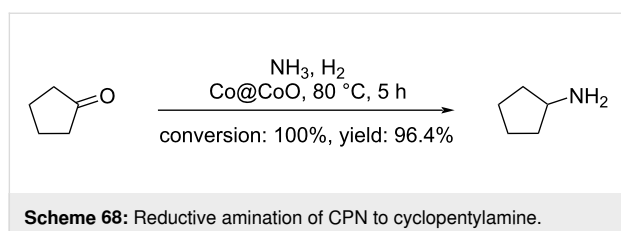


Scheme 66: Synthesis of jet fuels range cycloalkanes from CPN and lignin-derived vanillin.



Scheme 67: Solar-energy-driven synthesis of high-density biofuels from CPN.

80 °C under 0.3 MPa NH₃ and 2 MPa H₂. The reaction could be carried for 10 catalytic cycles without deactivation.

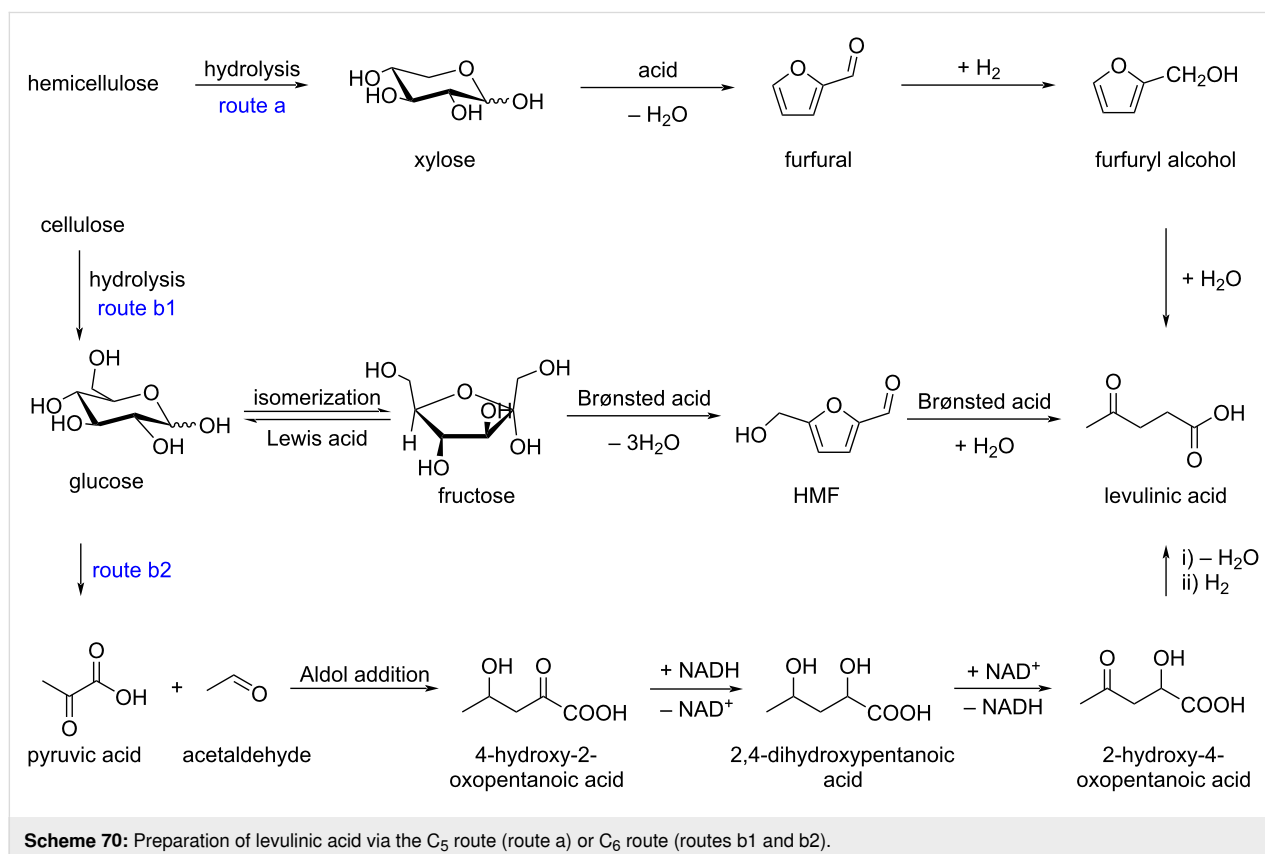
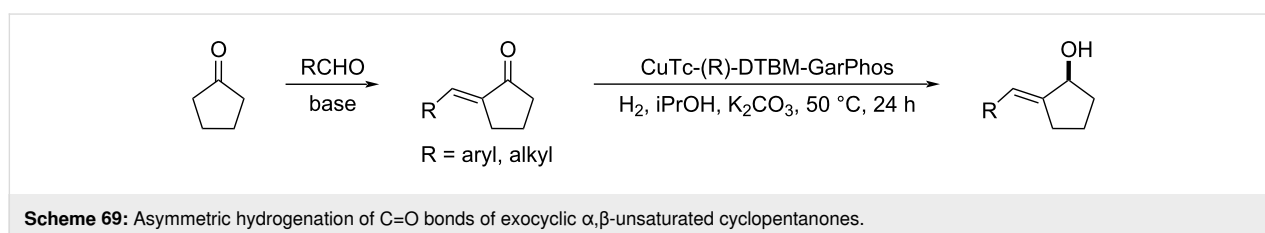


Zhang developed a transition-metal copper-catalyzed chemoselective asymmetric hydrogenation of the carbonyl group in exocyclic α,β -unsaturated cyclopentanones. Chiral exocyclic allylic pentanols (a versatile scaffold for bioactive molecules) with up to 99% yield and 96% ee were prepared (Scheme 69). The large electron-donating groups of 3,5-di-*tert*-butyl-4-

methoxyphenyl (DTBM) improved the activities of the Cu^I-thiophene-2-carboxylate catalyst (CuTc) while the use of (*R*)-DTBM-GarPhos as the chiral ligand led to excellent enantioselective induction during the hydrogenation step. Higher H₂ pressure could promote the regeneration of Cu–H species [214].

Levulinic acid (LEV)

Levulinic acid is a biodegradable C₅ carboxylic acid with high potential as a platform chemical in the field of polymers, fuels, pharmaceuticals or high added value chemicals [215–223]. Its first commercial production began in the 1940s. Its preparation from biomass involves several different possible pathways. One is the C₅ sugar route starting from xylose as an example, relying on acid hydrolysis of hemicellulose, dehydration to furfural, hydrogenation into furfuryl alcohol and final hydrolysis to levulinic acid (Scheme 70, route a). The second route is the acid-catalyzed multistep conversion of polysaccharides into C₆



monosaccharides (glucose and fructose), followed by dehydration to 5-HMF, and then rehydration into levulinic acid (Scheme 70, route b1). It is now preferred considering raw material costs, process efficiency and market demand. Alternatively, C₆ monosaccharides can also be fermented to produce pyruvic acid and acetaldehyde, which combine by an aldol reaction and lead to levulinic acid by isomerization and hydrogenation (Scheme 70, route b2).

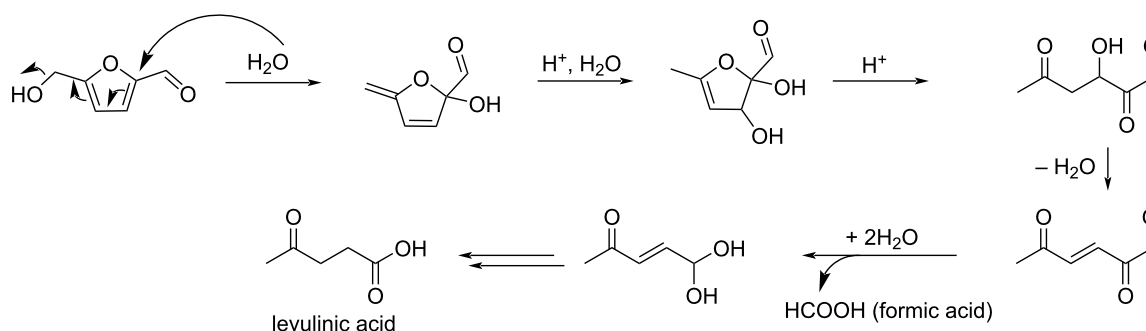
The accepted mechanism of the rehydration of HMF under acidic conditions leading to the formation of levulinic acid and formic acid proposed by Horvat [224] is depicted in Scheme 71.

Levulinic acid can be converted into various derivatives, such as esters, amides, lactones, acrylic acid, etc. (Scheme 72) and is therefore a key intermediate in the synthesis of pharmaceuticals, agrochemicals, and other specialty chemicals. Levulinate esters, used as a solvent or as biofuels, are obtained by esterification under acid catalysis, e.g., with a sulfuric acid-modified ultra-stable Zeolite Y (SY) [225] or Pd_{NPs}-TiO₂/Al₂O₃/SiO₂ [226].

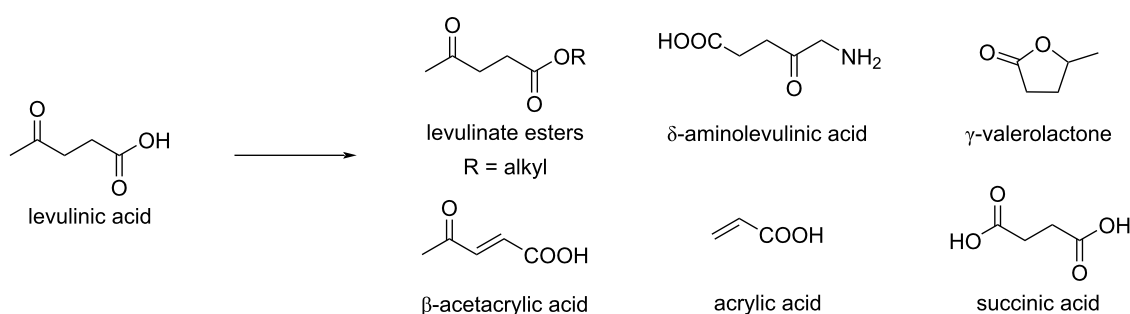
Conversion to other carboxylic acids: Valeric acid (VA) and valerate esters (VEs), also named pentanoic acid or esters, are targeted as fuel additives and value-added chemicals. They are

obtained by hydrogenation of levulinic acid using a wide range of noble or non-noble metal catalysts [227]. For example, treatment of levulinic acid under vapor phase in a continuous fixed-bed with bifunctional mesoporous SBA-15 doped with metals such as Nb, Ti, and Zr having hydrogenation centers and acidic sites led to VA, with GVL and alkyl levulinates obtained as by-products [228]. Xue and Wu reported the electrocatalytic reduction of levulinic acid to VA using a nanocrystalline PbO–In₂O₃ composite material as working electrode in an H-type electrolytic cell [229], while Shen used bimetallic In–Pb electrocatalysts [230], and Tao applied a β-PbO/Pb electrode [231]. Another example reported by the Akula group developed a direct conversion of levulinic acid to VA at 270 °C and ambient H₂ pressure in a continuous flow fixed-bed reactor (Scheme 73) in the presence of Brønsted-acidic material H-ZSM-5-supported W-modified Ni catalyst. The mechanism involves a ring opening of GVL at the Brønsted acidic sites, while the β-proton abstraction occurs on the support surface basic sites [232].

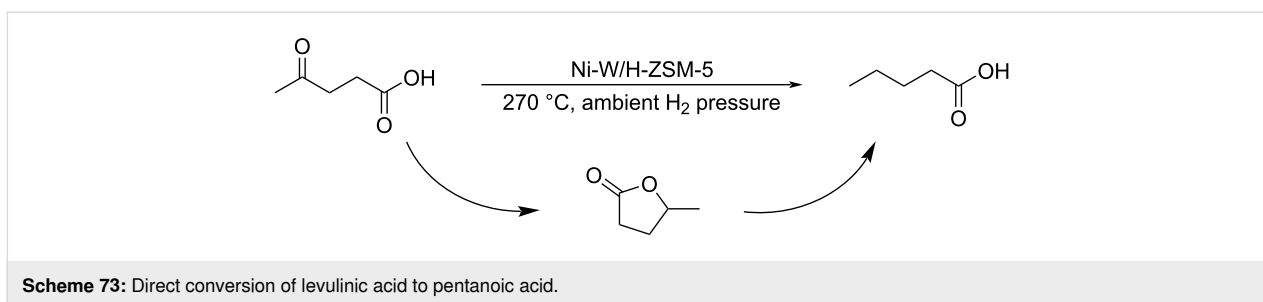
Catalytic aerobic oxidation of levulinic acid towards dicarboxylic acids is a way to obtain monomers for the manufacture of biobased polymers. For example, citramalic acid was obtained with up to 95% selectivity over acetic acid and succinic



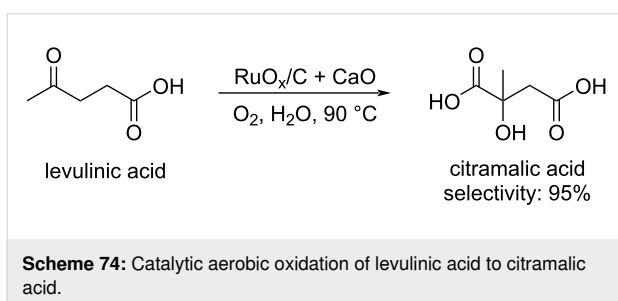
Scheme 71: Mechanism of the rehydration of HMF to levulinic acid and formic acid.



Scheme 72: Important levulinic acid-derived chemicals.



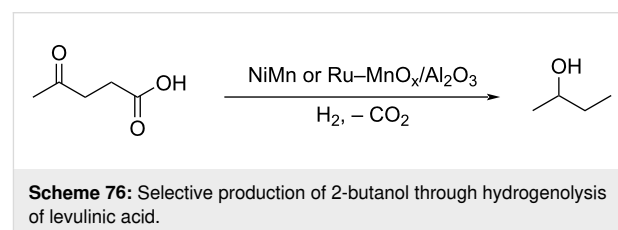
acid using a low-cost reusable heterogeneous RuO_x/C and CaO binary catalyst at $90\text{ }^\circ\text{C}$ (Scheme 74) [233]. Levulinic acid can also be efficiently converted into succinic acid [234,235].



Conversion to 1,4-pentanediol or 2-butanol: 1,4-Pentanediol, a useful raw material for the synthesis of polyesters, biofuels and other chemicals, can be obtained from LEV by catalytic hydrogenation. Non-noble metal hydrogenation catalysts are generally more challenging in promoting the conversion of levulinic acid to 1,4-pentanediol because they are more prone to corrosion compared with noble-metal catalysts. This has encouraged the design of highly active and more stable heterogeneous non-noble metal-based catalysts able to tolerate the presence of carboxylic acids, such as those listed in Scheme 75 [236–240].

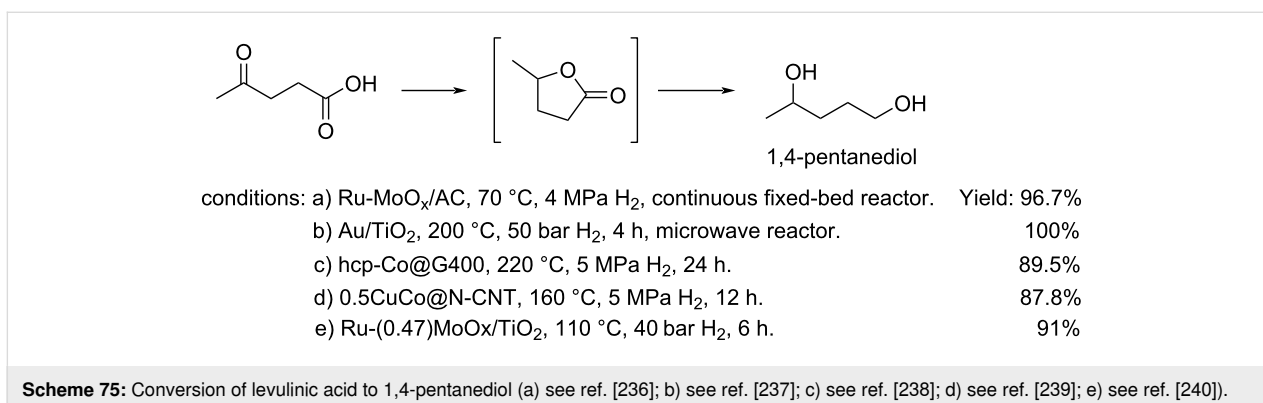
In 2021, Wang reported the high efficiency of the non-precious bimetallic catalyst Ni–Mn in the selective hydrogenolysis of

levulinic acid to 2-butanol in 82.5% yield under 5 MPa H_2 at $240\text{ }^\circ\text{C}$ (Scheme 76) [241]. The high basicity of the Ni–Mn catalyst results in a good affinity with the C=O or COOH groups of levulinic acid-derived molecules. The same group later reported a more active heterogeneous catalyst, $\text{Ru-MnO}_x/\text{Al}_2\text{O}_3$, providing 2-butanol with yields up to 98.8% [242].



Conversion to 2-methyltetrahydrofuran: 2-Methyltetrahydrofuran is a promising biofuel with high energy density, high stability and low miscibility with water. Investigations of the catalytic transformation of levulinic acid to 2-methyltetrahydrofuran over bimetallic $\text{NiCo}/\gamma\text{-Al}_2\text{O}_3$ catalyst or Ni phyllosilicates have shown that high temperature, high pressure and low selectivity are still important limitations [243,244].

Reductive amination of levulinic acid: The reductive amination of levulinic acid and its esters leads to the formation of *N*-substituted 5-methyl-2-pyrrolidinones (5MPs) which are used in many fields including pharmaceutical and dye industries. Zhang reviewed the synthesis of 5MPs from levulinates and dif-



ferent substituted amines under metal catalysis or catalyst-free conditions, using hydrosilane, formic acid, hydrogen, MeOH or HCOOH/Et₃N as reducing sources [245]. The type and recyclability of catalysts, the nature of supports, reaction conditions, and reactors play important roles in the sustainability of the conversion of levulinic acid to 5MPs. A well-accepted route from levulinic acid to 5MPs is shown in Scheme 77.

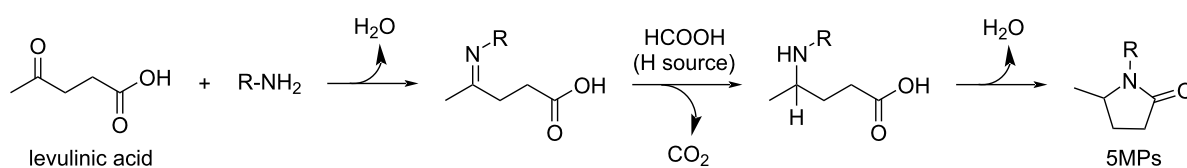
Recent examples of LEV to 5MPs conversions include the use of a ruthenium ion supported on ionic liquid immobilized into graphene oxide (Ru@GOIL), polyvinylpyrrolidone-stabilized metal nanoparticles (Ir-PVP), palladium catalysts synthesized via wet impregnation or Co-M bimetallic C-N doped catalyst (Co-Zr@Chitosan-20) [246-249]. An efficient method for preparing *N*-free 5-methyl-2-pyrrolidone from levulinic acid was developed by Li and Yang using formamide as nitrogen source and formic acid as hydrogen source via transfer hydroamination/amidation and cyclization under quasi solvent-free and catalyst-free conditions [250]. Song and Han designed TiO₂ nanosheets-supported Pt nanoparticles (Pt/P-TiO₂) as porous heterogeneous catalysts with high acidity and low electron density at the Pt sites. Using H₂ as the hydrogen source, such catalysts exhibited high activity for the conversion of LEV to 5MP, being able to promote the formation of a variety of *N*-substituted pyrrolidones at room temperature in excellent yields [251]. In 2022, Liu and Wei synthesized *N*-substituted 5-methyl-2-pyrrolidinones from levulinic acid/esters and various amines in high yields (80–99%) over 0.05 mol % of an N-doped mesoporous carbon-supported Ru catalyst (Ru/NMC) at 120 °C and 1.5 MPa H₂ [252].

Liu and Wang reported the selective reductive amination/cyclization of levulinic acid with primary arylamines in the presence of polymethylhydrosiloxane (PMHS) to *N*-substituted arylpyrroles in a yield range of 35–93%. The 18-crown-6 ether coordinates the cesium ion, thus increasing the nucleophilicity of the fluoride anion. The F[−] anion contributes to the activation of the hydrosilane during the reduction step (Scheme 78) [253].

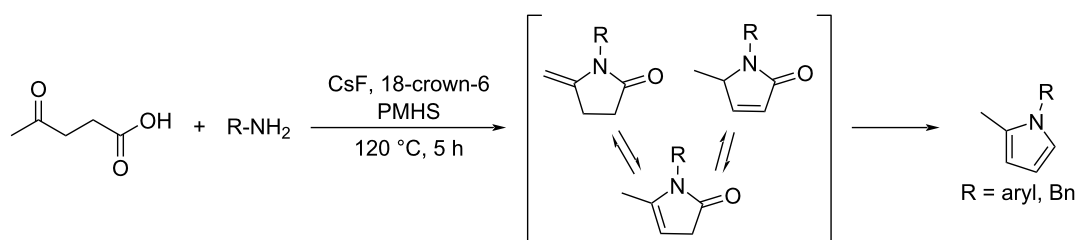
The asymmetric reductive amination of levulinic acid with ammonium acetate can be achieved using commercially available chiral Ru/bisphosphine catalysts, giving 5MPs with excellent enantioselectivity (97% ee) and isolated yield (85%). The chiral 5MPs can then be further functionalized by *N*-alkylation without loss of enantioselectivity (Scheme 79) [254].

Xu and Zheng prepared a non-natural chiral γ -amino acid from levulinic acid in biocatalytic conditions using an engineered amine dehydrogenase *PmAmDH*_{I80T/P224S/E296G}, obtained from a thermophilic bacterium (*PmAmDH*) by direct evolution in the presence of NaDH. This process leads to (*S*)-4-aminopentanoic acid with >99% ee and 90% yield at 40 °C, and an 18-fold increase in the catalytic efficiency compared with the wild-type enzyme (Scheme 80) [255].

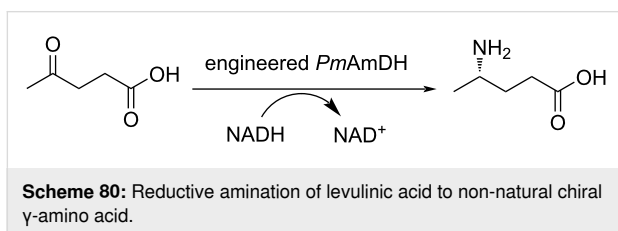
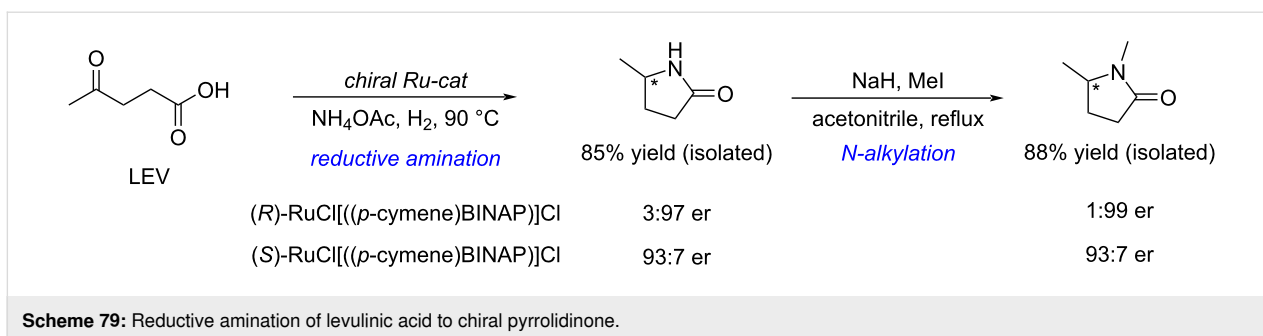
Huang and Lu reported that levulinic acid and hydrazine hydrate can react without a catalyst and in quantitative yield within few minutes in water at room temperature to form the 4,5-dihydro-6-methylpyridazin-3(2*H*)-one (DHMP) platform, in a fashion similar to a click reaction. DHMP can be further selectively converted into pyrrolidine and (alkyl)-pyridazinone



Scheme 77: General reaction pathways proposed for the formation of 5MPs from levulinic acid.



Scheme 78: Selective reductive amination of levulinic acid to *N*-substituted pyrroles.



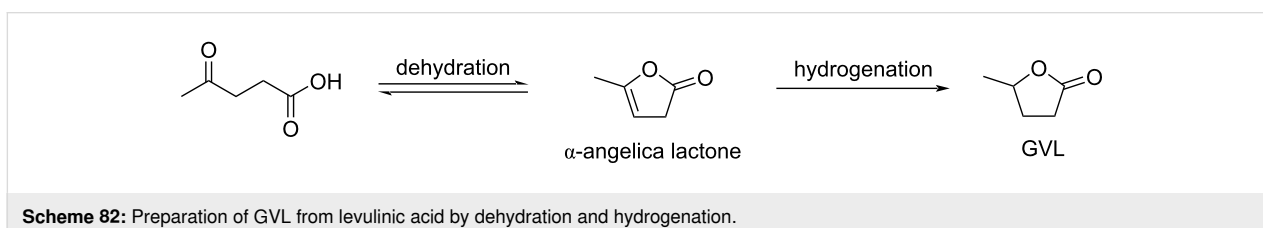
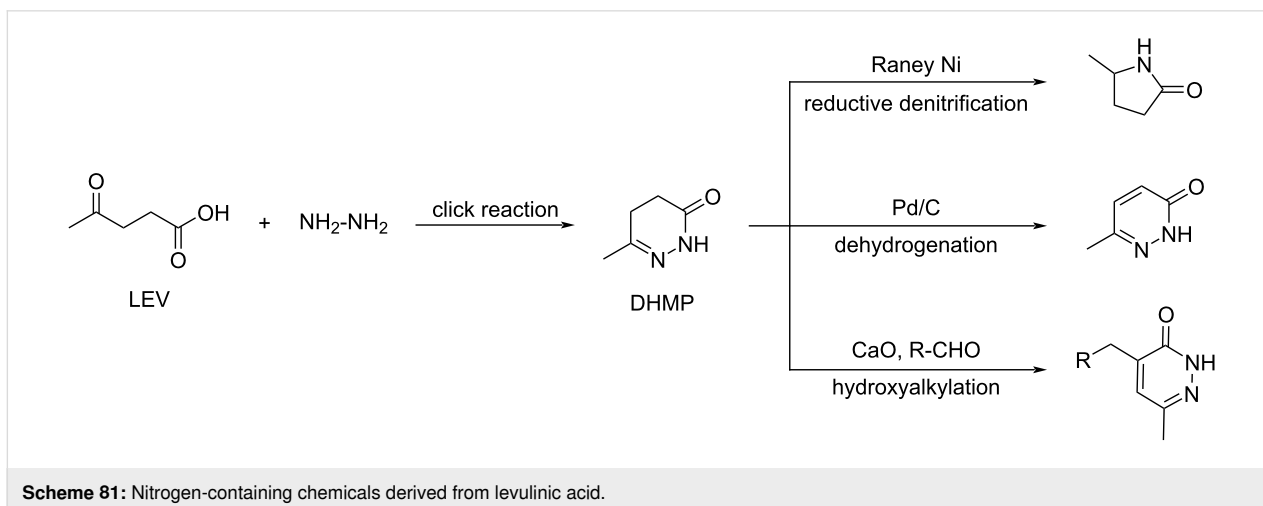
through reductive denitration, dehydrogenation and hydroxyalkylation reactions (Scheme 81) [256].

γ -Valerolactone (GVL)

γ -Valerolactone (GVL) is a representative cyclic ester derived from levulinic acid that is commonly used as a biobased solvent with high boiling point (207 °C) able to replace NMP or DMF, and as a chemical platform in various industrial applica-

tions [257]. Catalytic production of GVL relies on a dehydration–hydrogenation sequence from levulinic acid via an α -angelica lactone intermediate (Scheme 82) [258]. This has been a hot topic in the past decade with many efficient strategies using various catalysts involving metals such as platinum [259,260], ruthenium [261], titanium [262] or copper [263] as well as other bimetallic species (Ni/Ru, Ni/Mo, Ni/Zn, etc.) [264–268] or in alternative solvents [269].

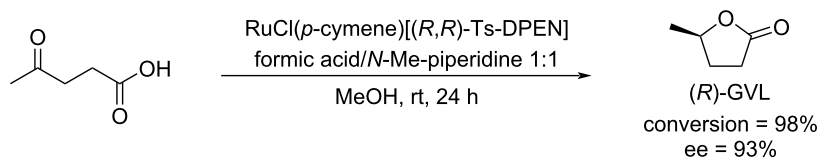
Studies targeting enantiomerically enriched γ -valerolactone have been also reported. For example, the Bhanage group reported the use of a ruthenium-catalyzed asymmetric transfer hydrogenation of levulinic acid to (*R*)- γ -valerolactone with 93% enantiomeric excess (ee). Using *N*-methylpiperidine with formic acid as an available hydrogen donor and Noyori's chiral catalyst (Ru-(*R,R*)-TsDPEN), this route led to 98% LEV



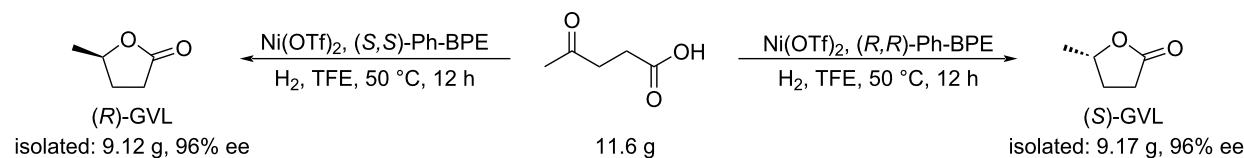
conversion. The direct transformation of LEV obtained from a “raw biomass” resource such as rice husk and corn straw, to optically active GVL was performed by asymmetric hydrogenation with good enantioselectivity (82% ee) (Scheme 83) [270]. Deng and Fu reported the nickel-catalyzed asymmetric hydrogenation of aliphatic ketoacids to chiral γ - and δ -alkyl-substituted lactones. A robust and highly active homogeneous chiral nickel–phosphine catalyst ($\text{Ni}(\text{OTf})_2$ with (S,S) -Ph-BPE) as chiral ligand afforded the chiral lactones in up to 98% yield with 95% ee. A ten-gram scale reaction from LEV to chiral GVL (96% ee) was achieved in the presence of only 0.02 mol % catalyst loading (Scheme 84). (S) -GVL could be also obtained by using (R,R) -Ph-BPE [271]. The same group used a nickel–phosphine complex [272] or a combination of nickel phosphine complex and metal triflate, both in solvent-free conditions [273].

Uses of GVL in polymer chemistry: GVL is a useful building block for the synthesis of polyesters and other polymers. Kalevaru and de Vries reported the ring-opening reaction of GVL with methanol over $\text{ZrO}_2/\text{SiO}_2$ as catalyst in a continuous gas-phase process with 95% conversion towards methyl 2-, 3-, and 4-pentenoate (81% in favor of M4P). Methyl 4-pentenoate was then converted into methyl 5-formylvalerate with 90% selectivity, leading to subsequent generation of ϵ -caprolactam (the monomer of nylon-6) via reductive amination and ring closure (Scheme 85) [274].

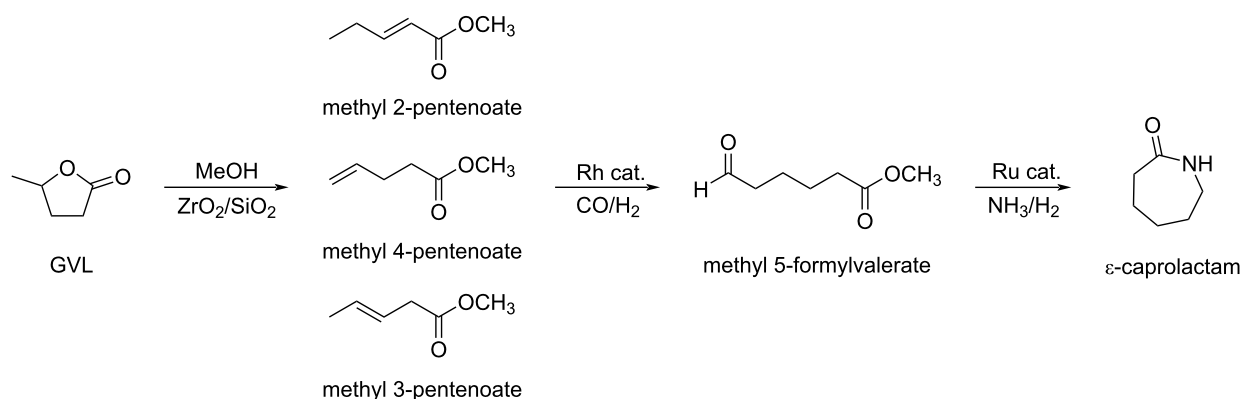
Kim and Han developed a protocol for the synthesis of nylon 6.6 from GVL. After esterification of GVL to methyl-3-pentenoate, subsequent metathesis of methyl-3-pentenoate afforded dimethyl hexenedioate. Upon hydrogenation, this alkene was converted into dimethyl adipate, which, under



Scheme 83: Ruthenium-catalyzed levulinic acid to chiral γ -valerolactone.



Scheme 84: Catalytic asymmetric hydrogenation of levulinic acid to chiral GVL.



Scheme 85: Three steps synthesis of ϵ -caprolactam from GVL.

hydrolysis provided adipic acid. The final step was the polymerization of adipic acid to nylon 6,6 in the presence of 1,6-hexanediamine (Scheme 86) [275].

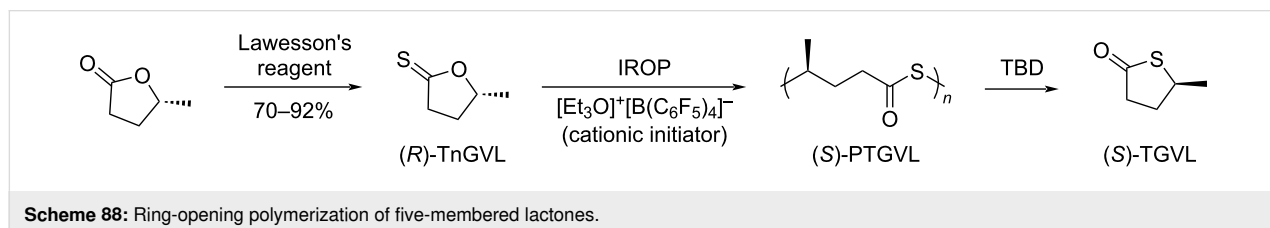
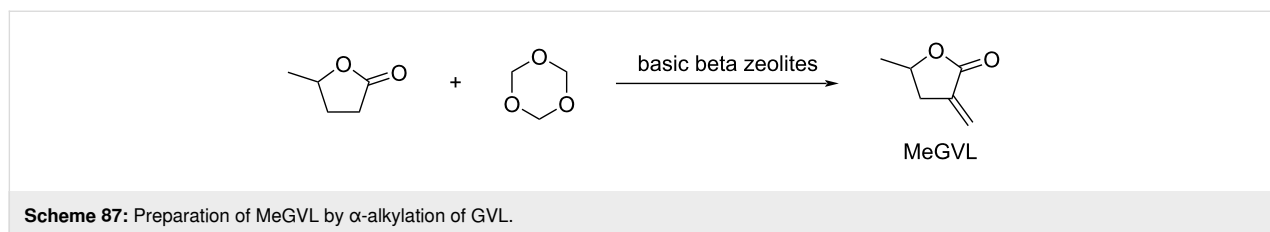
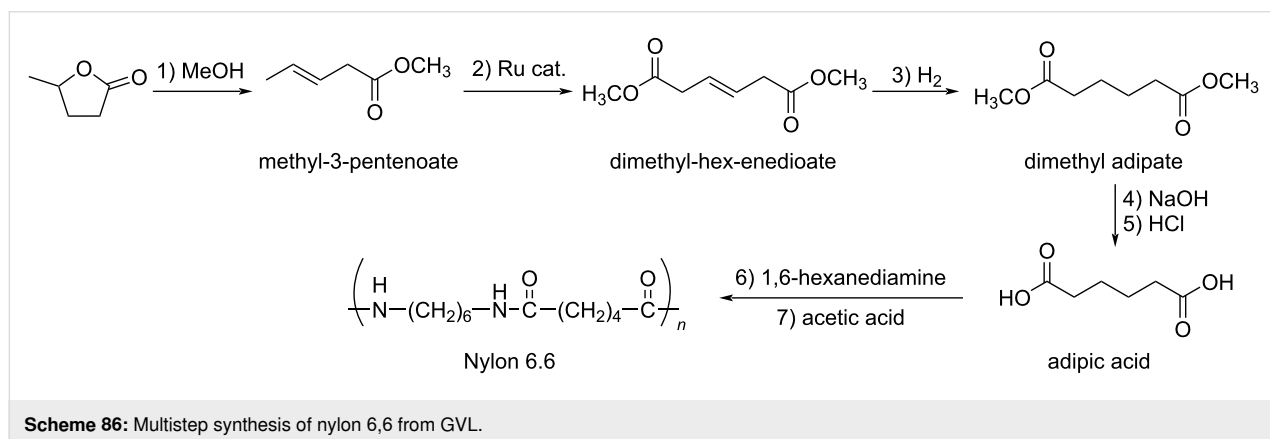
GVL can be transformed into α -methylene- γ -valerolactone (MeGVL), an interesting monomer, that can provide a material with properties similar to the widely used poly(methyl) methacrylate when subjected to visible-light-induced polymerization. Under the action of a base like a beta (Si/Al = 150) zeolite, MeGVL can be formed by nucleophilic attack of the carbon atom at α -position of GVL on formic acid, arising from the decomposition of trioxane (Scheme 87) [276].

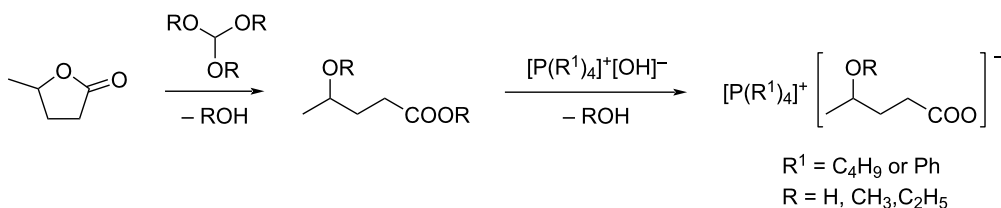
Hong reported the conversion of GVL to polythioesters via the isomerization-driven ring-opening polymerization (IROP) of thionolactone intermediates (TnGVL) using $[\text{Et}_3\text{O}]^+[\text{B}(\text{C}_6\text{F}_5)_4]^-$ as cationic initiator [277,278]. The enantiopure TnGVL undergoes an inversion of configuration during the IROP process through a $\text{S}_{\text{N}}2$ ring-opening mechanism. The method provided a highly isotactic semi-crystalline thermoplastic (Scheme 88).

Ionic liquids from GVL

Ionic liquids are innovative environmentally benign reaction media due to their extremely low vapor pressure and easily tunable properties. In 2018, Mika synthesized GVL-based ionic liquids from GVL and tetrabutylphosphonium [TBP] or tetraphenylphosphonium [TPP] hydroxides in excellent yield (Scheme 89). These phosphonium-based ionic liquids showed better thermal stability than their ammonium analogues. Their usefulness was illustrated in industrially important transformations such as the copper-catalyzed Ullmann-type coupling reaction [279] or the Sonogashira coupling of aryl iodides and functionalized acetylenes [280].

GVL towards biofuels: An important application of GVL is to produce butene by decarboxylation reaction for liquid fuels application. For example, Xin and Zhang reported a one-pot conversion of GVL to butene in 98% yield with 99.5% GVL conversion involving the catalytic decarboxylation of GVL at 300 °C in the presence of high aluminum content beta zeolite (Scheme 90) [281].





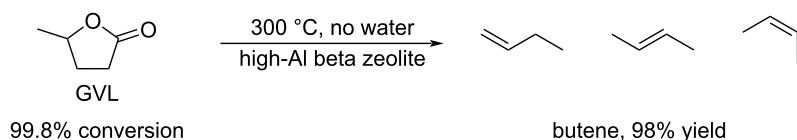
Scheme 89: Synthesis of GVL-based ionic liquids.

Zeng and Ding targeted C_5 – C_{12} gasoline range fuels from GVL in an integrated two-stage fixed-bed catalytic system. In this process, GVL was first converted to a mixture of butene isomers via a ring-opening–decarboxylation sequence, which then oligomerized to gasoline fuels over a nano-HZSM-5 zeolite catalyst (Scheme 91, 1a) [282]. The same team proposed a direct one-step Cu/HZSM-5 (Si/Al ratio of 15)-catalyzed selective conversion of GVL to C_5 – C_{12} hydrocarbon fuels (Scheme 91, 1b) or to pentane fuels (Scheme 91, 2) [283,284].

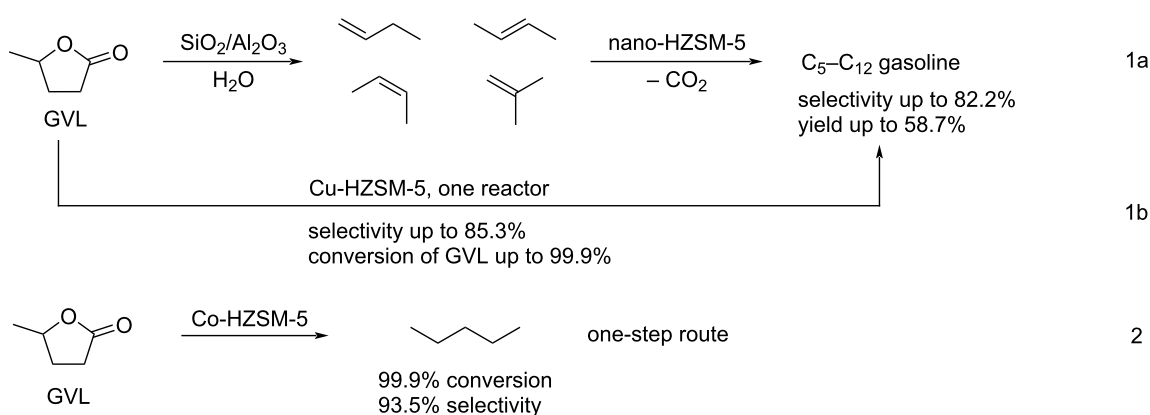
Other reactions of GVL: The Csp^3 –O bond of GVL can be cleaved leading to a pentenoic acid intermediate, which can then be hydrogenated into ethyl valerate. In this sequence, which is promoted by a H-ZSM-5 supported Ni catalyst, pentenoic acid (not isolated) was generated in situ and was rapidly hydrogenated and esterified to ethyl valerate with 92%

selectivity in the vapor phase (Scheme 92) [285]. Bertero reported the one-pot production of pentyl valerate with high conversion (83.5%) and selectivity (87.8%) in liquid phase over a SiO_2/Al_2O_3 -supported Ni-based catalyst (Ni/SA-I) at 523 K under 10 bar of H_2 [286]. Using SiO_2/Al_2O_3 -supported Pt-based catalysts with moderate metal loading (1%), a 90% yield of pentyl valerate was obtained with 100% conversion of GVL at 523 K and 10 bar of H_2 . This process resulted in a high productivity in pentyl valerate (300 mmol/ g_M ·h) [287].

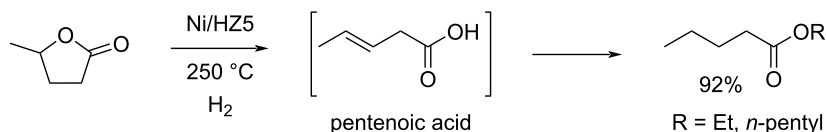
Alkaline hydrolysis of GVL produces 4-hydroxycarboxylic acid salts. These latter can be used in lipase-catalyzed stereoselective acylation of the 4-hydroxy group [288]. Using CALB (Novozym 435) as lipase, (*R*)-4-acyloxypentanoic acids were obtained in a moderate yield (<50%) from racemic GVL after acid hydrolysis of the intermediate salt, with (*R*)-GVL as by-product (Scheme 93).



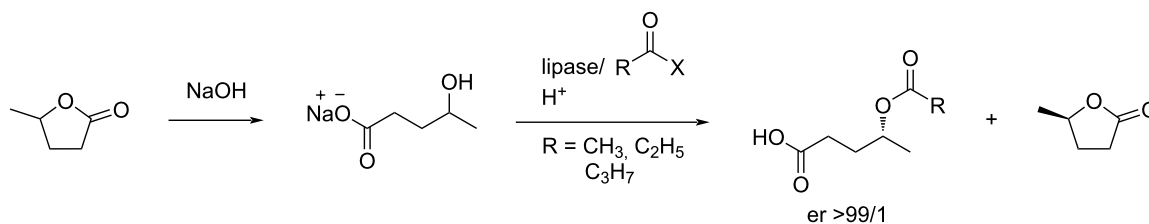
Scheme 90: Preparation of butene isomers from GVL under Lewis acid conditions.



Scheme 91: Construction of C_5 – C_{12} fuels from GVL over nano-HZSM-5 catalysts.



Scheme 92: Preparation of alkyl valerate from GVL via ring opening/reduction/esterification sequence.

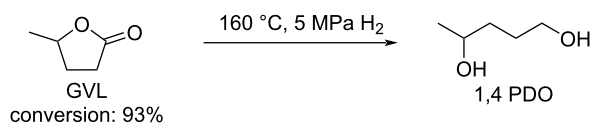


Scheme 93: Construction of 4-acyloxypentanoic acids from GVL.

The hydrogenation of GVL to pentanediol by bifunctional Cu_x/Mg_{3-x}AlO nanocatalysts ($x = 0.5, 1, 1.5, 2$) with excellent catalytic performance has been reported (Scheme 94). Thanks to synergistic effects of the well-dispersed active Cu nanoparticles and the relevant surface basic sites, the process provided 1,4-pentanediol (PDO) with a selectivity over 99% and a GVL conversion of 93% at 160 °C and 5 MPa H₂ [289]. Another highly selective and efficient protocol using a Cu/SiO₂

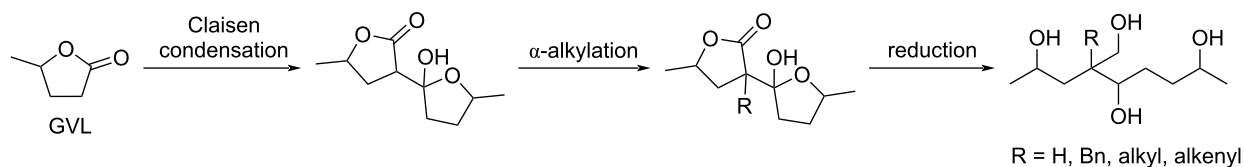
triethoxyoctylsilane-modified catalyst was reported by Cappelletti [290].

The self-Claisen condensation of GVL in the presence of *t*-BuOK at 25 °C provided a novel cyclic hemiketal platform in 85% yield. Alkylation and reduction of such cyclic hemiketals led to polyols with long chains ranging from C₁₀ to C₂₁ (Scheme 95) [291].

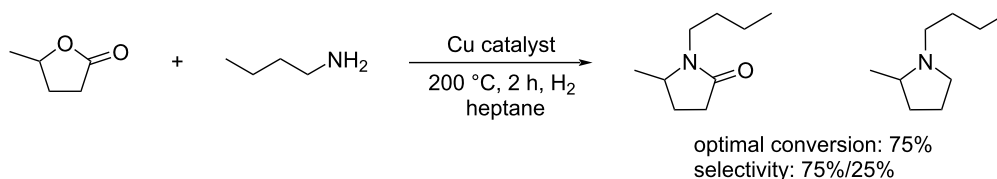


Scheme 94: Synthesis of 1,4-pentanediol (PDO) from GVL.

N-Alkylpyrrolidones, which are promising solvent alternatives to NMP, can be derived from GVL. In an effort aiming at avoiding noble-metal catalysts and high hydrogen pressure, the Zaccheria group has applied a CuO/Al₂O₃ catalyst which allowed the conversion of GVL to *N*-alkylpyrrolidone solvents at a 10-bar hydrogen pressure (Scheme 96) [292].



Scheme 95: Construction of novel cyclic hemiketal platforms via self-Claisen condensation of GVL.



Scheme 96: Copper-catalyzed lactamization of GVL.

C₆ biobased carbonyl platforms

5-Hydroxymethylfurfural (HMF)

5-Hydroxymethylfurfural (HMF) arises from C₆ carbohydrates (or their polymers) or crude lignocellulosic raw materials [293–298]. HMF has been added to the updated “Top potential value-added chemicals” list in 2010 by Bozell and Petersen [215] considering its functional versatility and wide range of applications in fine chemistry. Extensive recent literature publications and reviews demonstrate the importance of HMF in modern chemistry [299–302]. Its moderate thermal and chemical stability either under acidic or basic conditions is responsible for the formation of levulinic acid (see section Levulinic acid (LEV), Cannizzaro products (such as 2,5-dihydroxymethylfurfural (DHMF) and 5-hydroxymethylfuranic acid (HMFA)), oligomerization or humins [303,304].

Regarding HMF oxidation, a major topic is the path towards new biobased polymers via the furandicarboxylic (FDCA) platform, when combined with diols or diamines (Figure 4) [8,305–311]. Other oxidation products such as diformylfuran (DFF), hydroxymethyl-2-furanoic acid (HMFA), 5-formyl-2-furancarboxylic acid (FFCA) are also interesting intermediates, as well as the corresponding diamide, resulting in numerous studies and reviews on HMF (Figure 4) [312–320]. Several HMF-derived monomers attest its versatile role in polymer chemistry [321–323]. On the reduction side, many useful chemical intermediates, such as dihydroxymethylfuran (DHMF), (hydroxymethyl)tetrahydrofurfural (HMTHFF), methylfurfuryl alcohol (MFA), dimethylfuran (DMF), dihydroxymethyltetrahydrofuran (DHMTHF), methyltetrahydrofuran (MTHFA), dimethyltetrahydrofuran (DMTHF), dihydroxymethyltetrahydrofuran (DHMTHF), (hydroxymethyl)tetrahydrofurfural (HMTHFF), methylfurfuryl alcohol

(MFA), dimethylfuran (DMF), dihydroxymethyltetrahydrofuran (DHMTHF), and dimethyltetrahydrofuran (DMTHF) can be also produced by reduction/hydrogenation processes [324–327]. The Piancatelli rearrangement of furylmethanols is a route towards cyclopentenones such as 4-hydroxy-4-(hydroxymethyl)cyclopentenone (HHCPN) or 3-(hydroxy-methyl)cyclopentanone (HCPN) [168,328,329]. It is important to note that biocatalytic approaches have also been investigated for both the oxidation and reduction senses [172,330,331].

Because many reports have reviewed the formation of the HMF-derived scaffolds (Figure 4), this section will focus on transformations towards alternative fine chemicals, notably through cascade or multicomponent reactions.

Combining atom-economic strategies with biobased platforms offers very interesting options for the innovative design of complex fine chemicals. Queneau and Popowycz investigated the reactivity of HMF in Biginelli reactions, preparing a series of functionalized dihydropyrimidinones in 30–86% yield. The reaction of HMF with ethyl acetoacetate (1 equiv) and urea or thiourea (1 equiv) at 80–100 °C using a catalytic loading of the Lewis acid ZnCl₂ (20 mol %), provided respectively 82% and 42% of the corresponding dihydropyrimidinones (Scheme 97) [332]. Afradi et al. designed a nanocatalyst comprising aspartic acid-loaded starch-functionalized Mn/Fe/Ca ferrite magnetic nanoparticles for the efficient synthesis of dihydropyrimidine derivatives in solvent-free conditions [333].

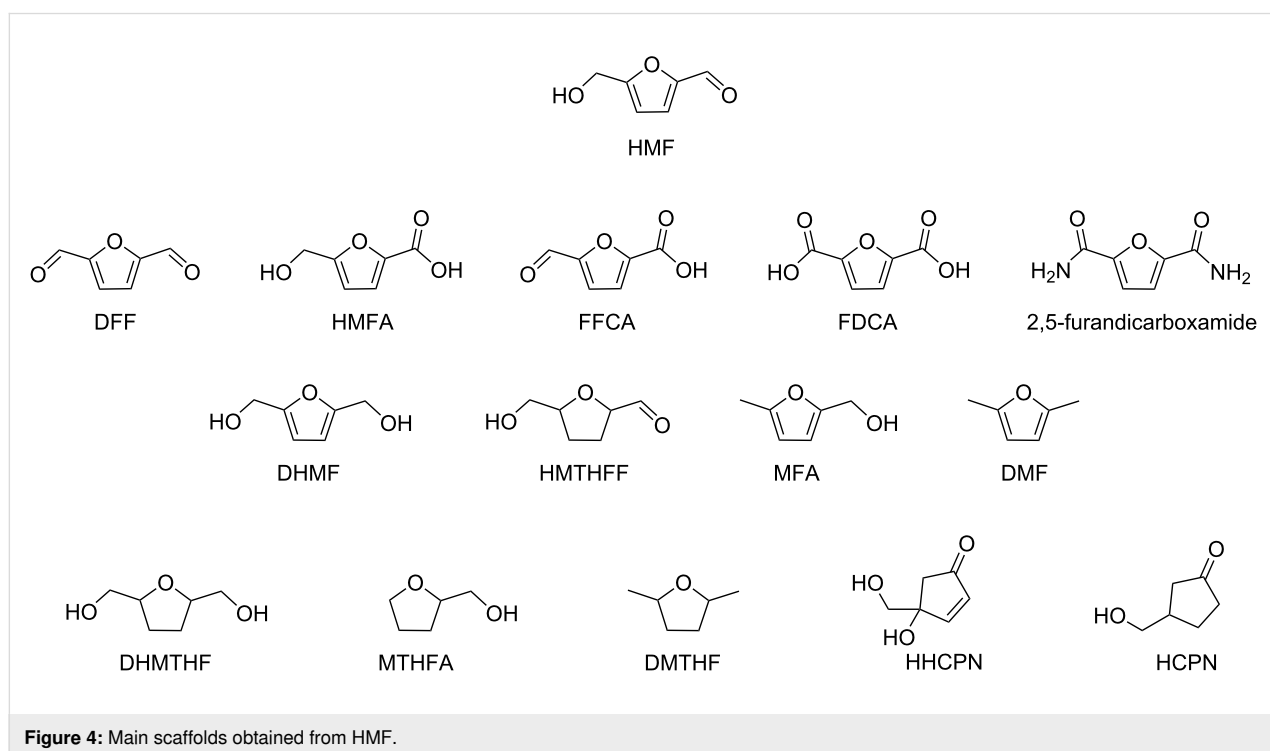
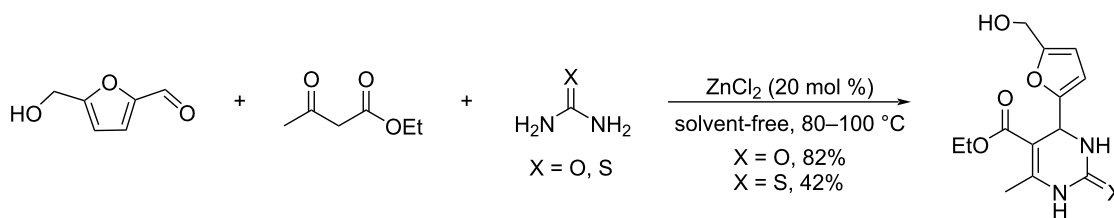


Figure 4: Main scaffolds obtained from HMF.



Scheme 97: Biginelli reactions towards HMF-containing dihydropyrimidinones.

Queneau and Popowycz also recently reported the use of HMF in the Hantzsch dihydropyridine synthesis in the absence of any additional catalyst. The strategy was applied to a scope of β -dicarbonyl molecules in a three-component procedure leading to a series of symmetrical 1,4-dihydropyridines derived from 5-HMF and in a 4-component protocol which efficiently provided the corresponding unsymmetrical dihydropyridines under remarkably mild conditions (Scheme 98) [334].

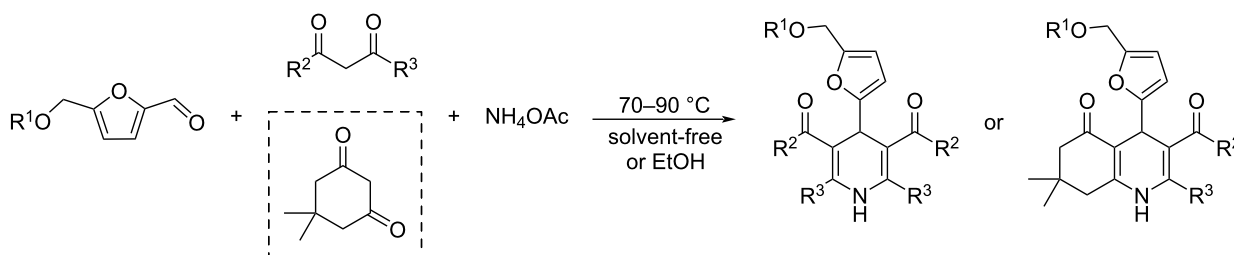
Another multicomponent process involving HMF is the Kabachnik–Fields reaction which provides a variety of furan-based α -aminophosphonates in moderate to excellent yields (31–91%), using a catalytic quantity of iodine in the biobased solvent 2-methyltetrahydrofuran (2-MeTHF). A scope of aliphatic and aromatic amines and various dialkyl phosphonates were well tolerated in this reaction (Scheme 99) [335].

In 2018, Chen and Wu reported a copper-catalyzed four-component reaction to build oxazolidinones from furfurals, CO₂, ter-

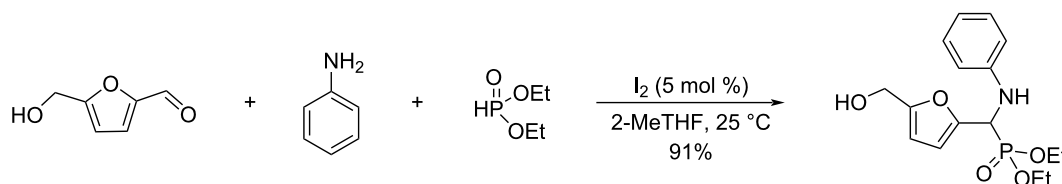
минаl aromatic alkynes and primary aliphatic amines. A series of 1,3-oxazolidin-2-ones were obtained in 17–84% yield when CuI was used as catalyst. As an example, 50% yield of the 1,3-oxazolidin-2-one product was produced by the reaction of HMF, CO₂, propylamine, and phenylacetylene (Scheme 100) [336].

Rhodamine-furan hybrids were synthesized via one-pot stereoselective reaction in solvent-free conditions by Sabahi-Agabager. Starting from biobased furanic aldehydes (HMF, CMF, furfural), ethyl bromoacetate, carbon disulfide and phenethylamine, a 75% yield of rhodamine-furan hybrids was obtained at room temperature in the presence of Et₃N (Scheme 101) [337].

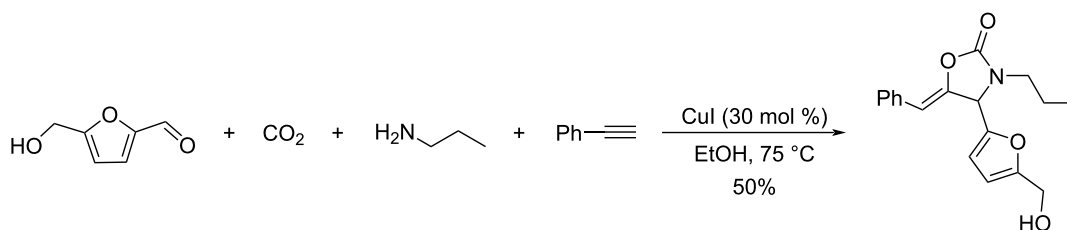
López and Porcal investigated the reactivity of HMF in the three-component Groebke–Blackburn–Bienaymé reaction. In the presence of 20 mol % of trifluoroacetic acid, the reaction of HMF with cyclic amidines (5- or 6-membered) and isocyanides



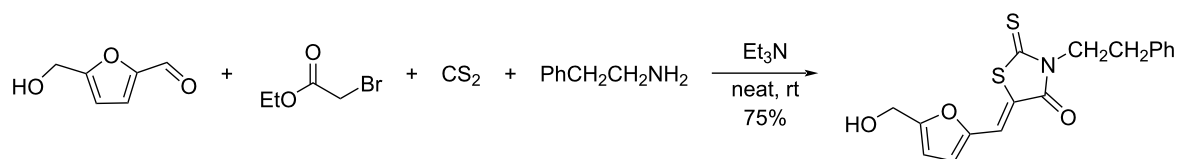
Scheme 98: Hantzsch dihydropyridine synthesis involving HMF.



Scheme 99: The Kabachnik–Fields reaction involving HMF.



Scheme 100: Construction of oxazolidinone from HMF.



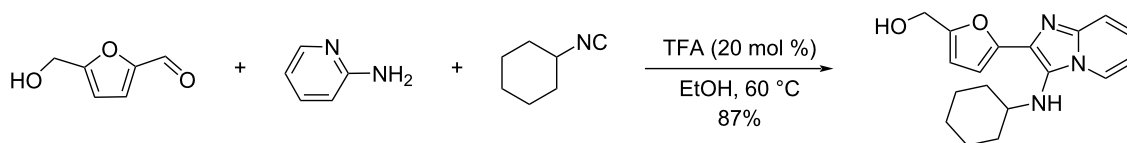
Scheme 101: Construction of rhodamine-furan hybrids from HMF.

afforded a library of new imidazoheterocycles. The best yield (87%) was obtained for the case of 2-aminopyridine and isocyanocyclohexane at 60 °C (Scheme 102) [338].

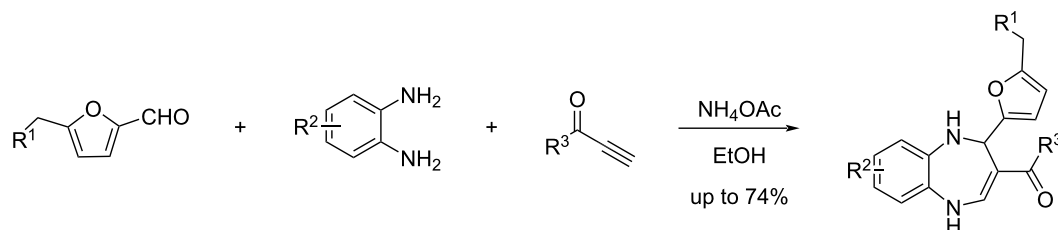
A [4 + 2 + 1] cycloaddition strategy towards 1,5-benzodiazepines involving HMF was developed by the Queneau and Popowycz group with yields up to 74% by using ammonium acetate as both a mild catalyst and a source of nitrogen, in ethanol as a green solvent. The reaction scope was extended to various substituted diamines, alkynes/alkyl alkynoates, and furan aldehydes leading to a wide range of novel benzodiazepines (Scheme 103) [339].

HMF reactivity was investigated in several other MCRs. For example, Yang and Wu reported the use of *N*-heterocyclic carbene catalysis for the reaction of HMF with an alkene and a perfluoroalkyl reagent in the presence of Cs_2CO_3 , providing access to the corresponding α -aryl- β -perfluoroalkyl products (Scheme 104). HMF led to a lower 43% yield compared to benzaldehyde (81%) and furfural (75%) [340].

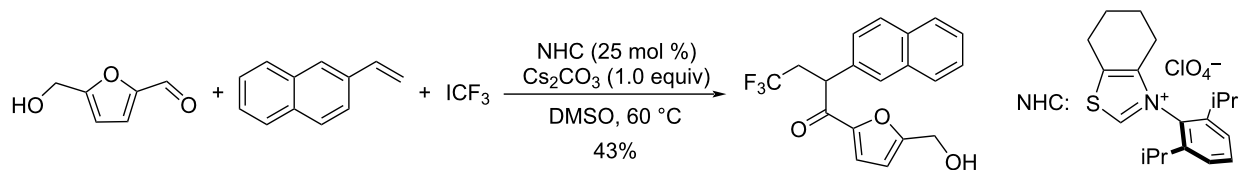
The multicomponent reaction combining electron-rich aromatic aldehydes, sulfoxonium ylides and Meldrum's acid can be performed in the presence of *N,N*-diisopropylethylamine to produce *trans*- β,γ -disubstituted- γ -butyrolactones in good yields



Scheme 102: A Groebke–Blackburn–Bienaymé reaction involving HMF.



Scheme 103: HMF-containing benzodiazepines by [4 + 2 + 1] cycloadditions.



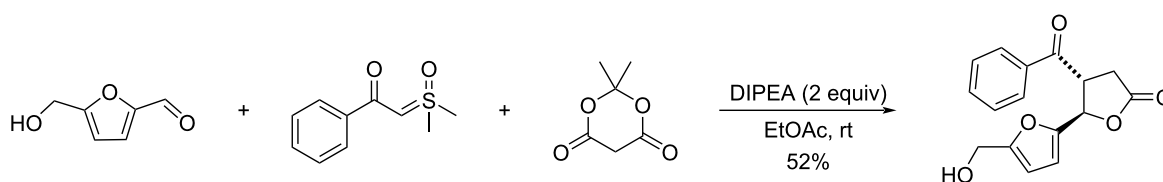
Scheme 104: Synthesis of fluorinated analogues of α -aryl ketones.

(65–98%). In this process reported by Ma and Peng [341], HMF led to only a 52% yield of the target product due to competitive reaction of the free hydroxy group in HMF with Meldrum's acid (Scheme 105).

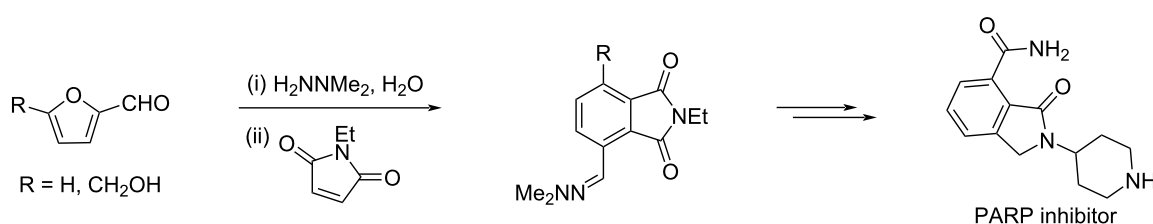
A one-pot three-step cascade reaction involving HMF and other furanic aldehydes in the presence of dimethylhydrazine and phthalimide provides polysubstituted aromatics in water. The intermediate hydrazone formed from the aldehyde and dimethylhydrazine underwent in situ cycloaddition with the dienophile, before aromatization. This protocol afforded a range of polysubstituted aromatic com-

pounds in high yields (up to 97%) and was employed to prepare a poly(ADP-ribose) polymerase (PARP) inhibitor (Scheme 106) [342].

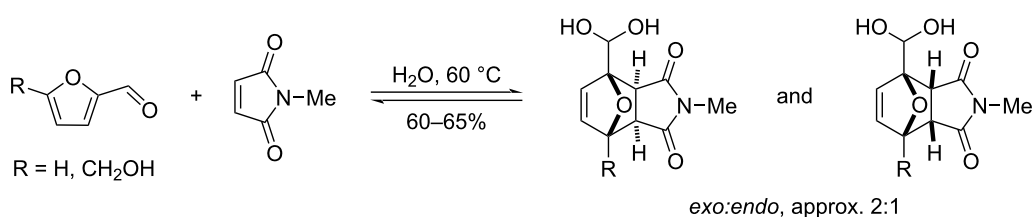
Several studies have concerned pericyclic cycloadditions involving HMF as diene component. For example, Bruijninx reported a direct Diels–Alder reaction of HMF or furfural with *N*-methylmaleimide in aqueous medium to produce geminal diol products in 60 to 65% yield. Water is thought to pull the equilibrium toward the product side by chemically trapping the Diels–Alder adducts by hydration (Scheme 107) [343].



Scheme 105: Synthesis of HMF derived disubstituted γ -butyrolactone.



Scheme 106: Functionalized aromatics from furfural and HMF.



Scheme 107: Diels–Alder adducts from HMF or furfural with *N*-methylmaleimide.

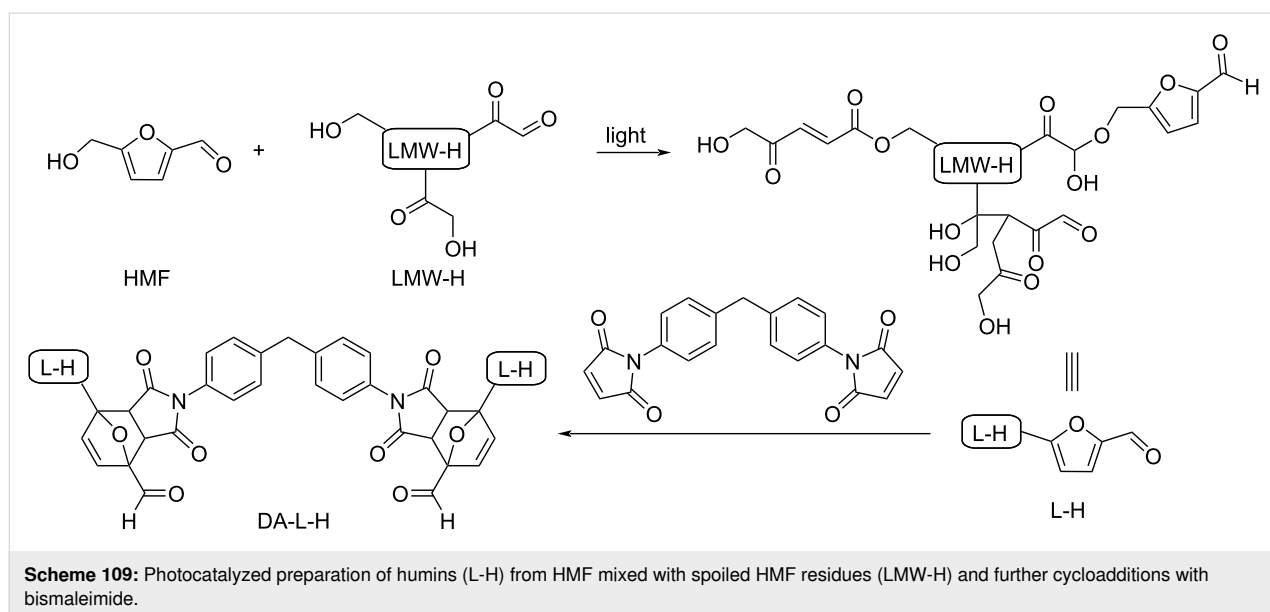
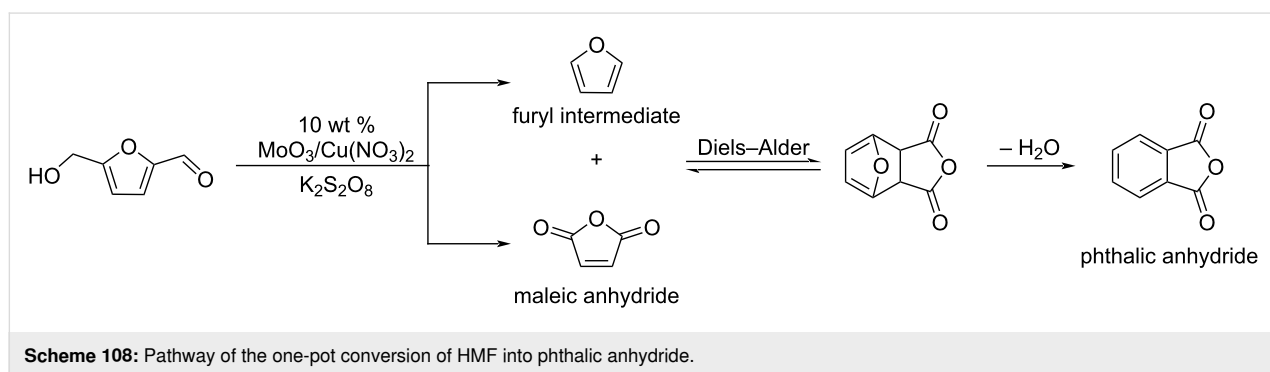
Sun reported the one-pot synthesis of phthalic anhydride by Diels–Alder reaction between HMF and maleic anhydride, promoted by a synergistic combination of MoO_3 and $\text{Cu}(\text{NO}_3)_2$ catalysts. HMF can provide both partners of this Diels–Alder reaction, either by decarbonylation to an active furyl intermediate, and by oxidation to maleic anhydride. Subsequent dehydration of the DA adduct provided phthalic anhydride in 63% yield (Scheme 108) [344].

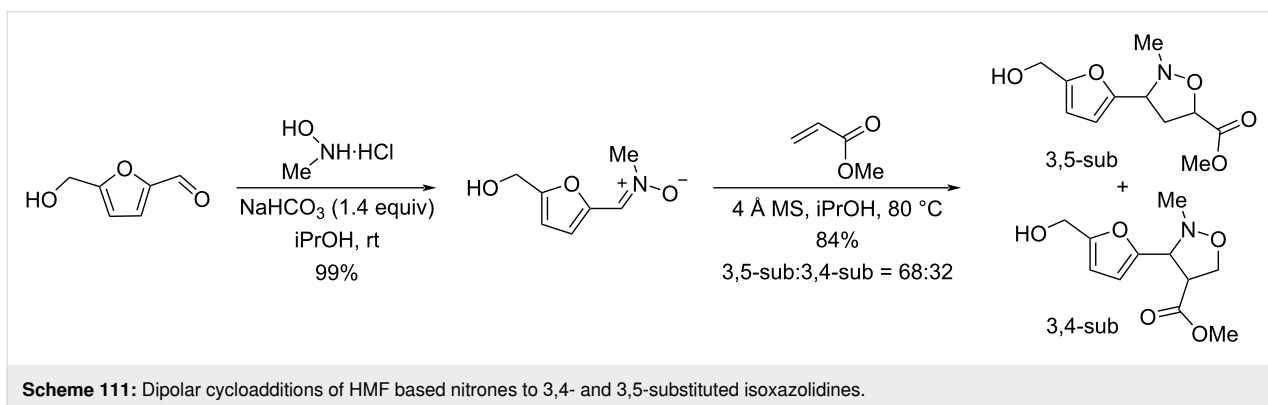
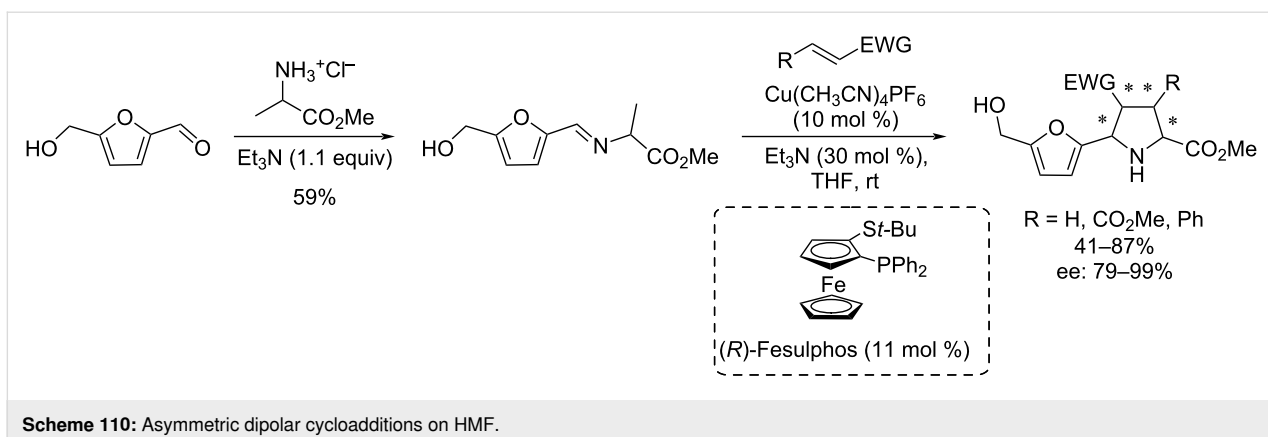
He and Guo reported the first photocatalyzed polymerization of HMF for the preparation of photo-generated humins (L-H) (Scheme 109). The L-H containing HMF and 5-hydroxy-4-ketopentenoic acid were generated by reacting HMF under illumination in presence of a low molecular weight humin (LMW-H) made from spoiled HMF residues. The resulting product allowed the preparation of furan-based polymers by Diels–Alder reaction with bismaleimidodiphenylmethane. A detailed physicochemical characterization indicated that the polymer DA-L-H had self-healing properties, and a recovery efficiency reaching 92.8% [345].

Reaction of HMF with alanine methyl ester hydrochloride in the presence of Et_3N afforded HMF-derived iminoesters. By using the (*R*)-Fesulphos/ $\text{Cu}(\text{CH}_3\text{CN})_4\text{PF}_6$ complex as a catalyst, the asymmetric 1,3-dipolar cycloaddition of the iminoester with activated alkenes provided enantiomerically enriched heterocyclic scaffolds in 41–87% yield and high enantioselectivity (79–99% ee) (Scheme 110) [346].

Queneau reported the synthesis of 3-furanylisoxazolidines by a dipolar cycloaddition of HMF-based nitrones with electron-deficient olefins in yields up to 84% for the stepwise reaction or 75% for the one-pot reaction. For example, *N*-methylhydroxylamine hydrochloride and HMF were condensed in isopropanol to form the corresponding nitron, which underwent subsequently a cycloaddition with methyl acrylate in isopropanol to provide a regioisomeric mixture of 3,4-substituted and 3,5-substituted isoxazolidines in 84% yield (Scheme 111) [347].

Novel aminated lactone-fused cyclopenten-2-ones were prepared by reaction of the Knoevenagel product arising from



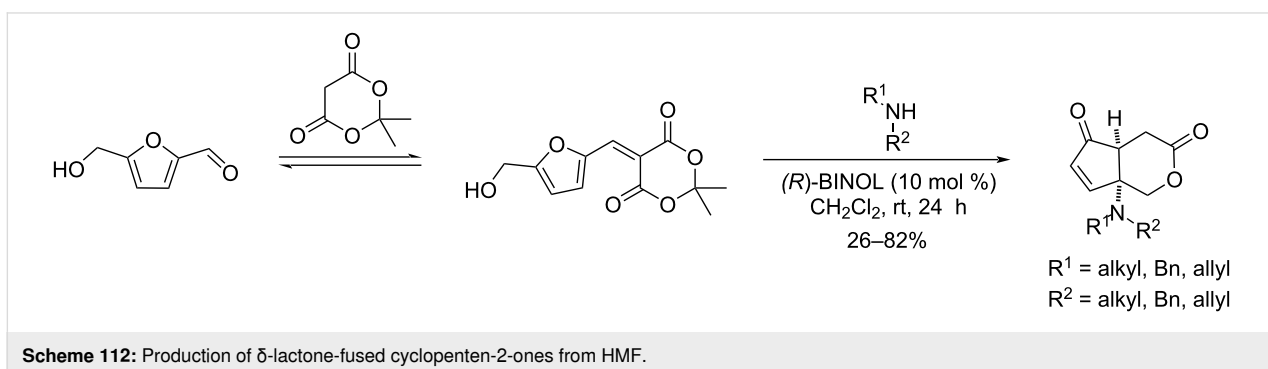


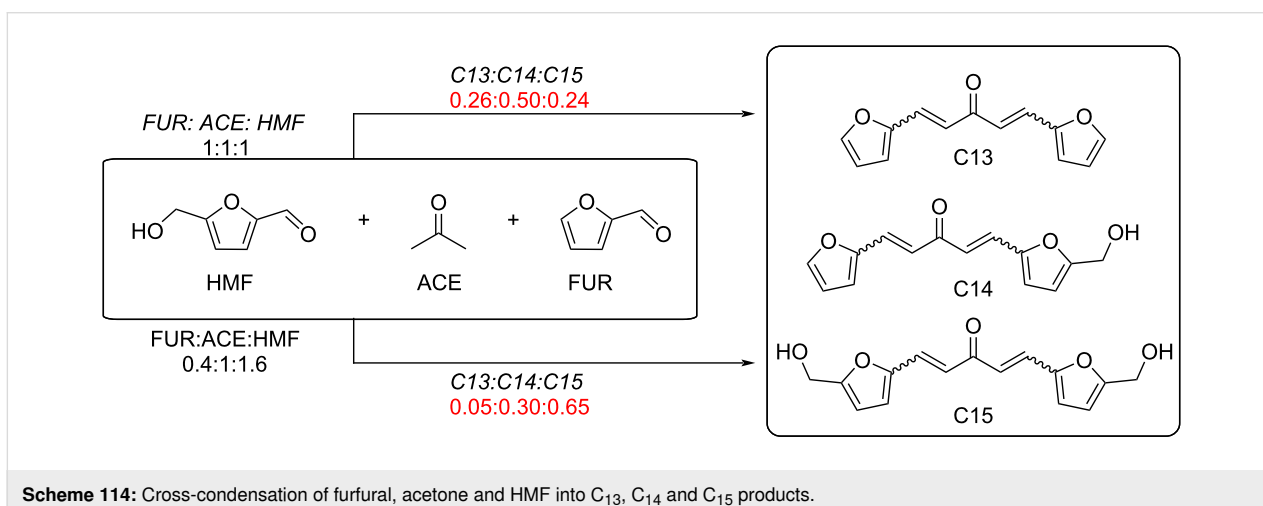
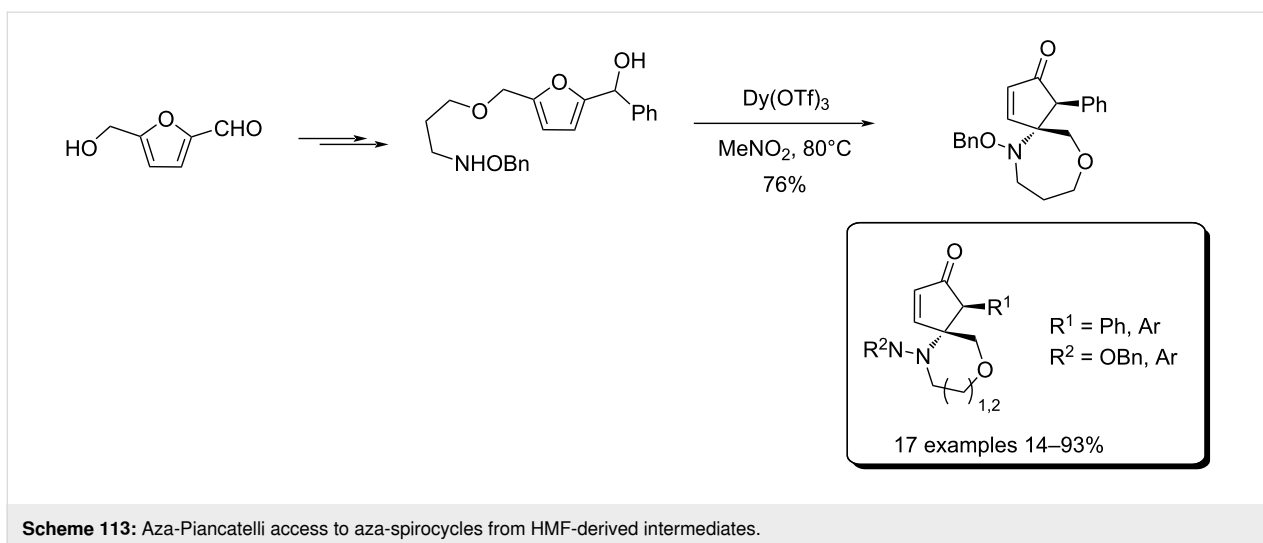
Meldrum's acid and HMF with a wide range of secondary amines. Through a furan ring-opening–cyclization–lactonization cascade process (closely related to the Piancatelli reaction), highly functionalized δ -lactone-fused cyclopenten-2-ones were formed in 26–82% yields under the promotion of (*R*)-BINOL acting as a weak Brønsted acid (Scheme 112) [348].

Moebis-Sanchez and Popowycz recently reported a dysprosium triflate-catalyzed aza-Piancatelli reaction from HMF-derived intermediates forming cyclopentenone aza-spirocycles. A wide scope of novel 5,6- and 5,7-spirobicyclic scaffolds were prepared with yields up to 93% (Scheme 113) [349].

The cross-aldol condensation of HMF, furfural and acetone can generate C_{13} – C_{15} condensation products which are biofuels and polymer precursors [350]. Several solid metal catalysts and different ratios of starting materials were tested for comparing the reactivity of HMF and furfural in this process (Scheme 114).

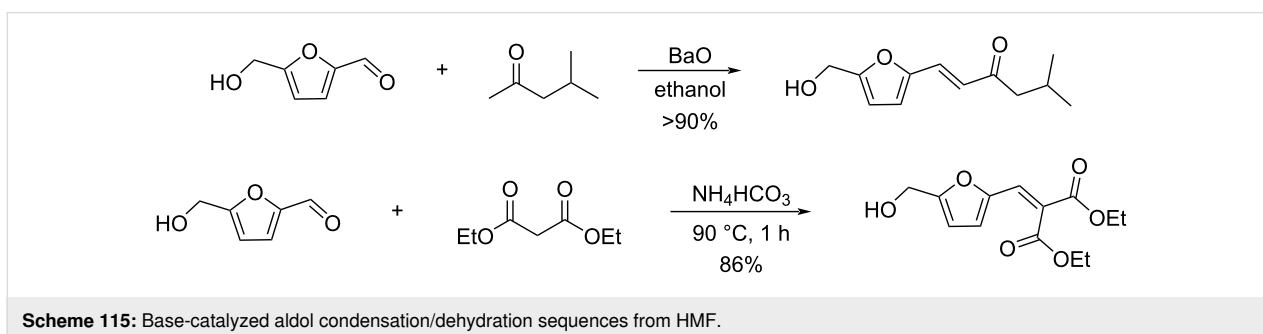
Ketones with enolizable α -H atoms can be coupled with HMF in alkaline medium to form new C–C bonds via cross-aldol condensation reaction. Examples include the use of alkyl ketones, cycloalkanones, and α,β -unsaturated ketones. Recently, Vigier used choline chloride to convert a carbohydrate feedstock into HMF which was then condensed with methyl isobutyl ketone

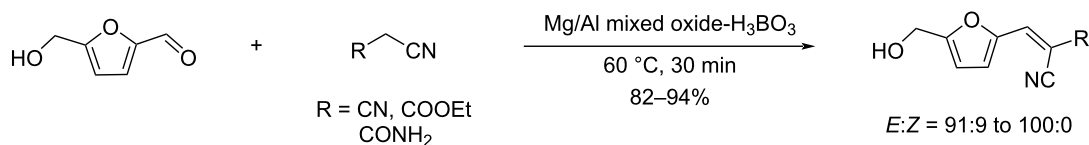




(MIBK) in the presence of alkaline barium oxide as catalyst, affording 1-(5-(hydroxymethyl)furan-2-yl)-5-methylhex-1-en-3-one with yield over 90% [351]. Schijndel also reported a Knoevenagel condensation of furan aldehydes and diethyl malonate in the presence of ammonium bicarbonate giving a 86% yield of the HMF-derived condensation product [352] (Scheme 115).

Mancipe and Luque reported the use of a boric acid deposited on hydrotalcite catalyst for the Knoevenagel condensation of HMF with active methylene compounds to afford a series of HMF derivatives containing an acrylonitrile moiety. The acid–base catalytic sites accelerate the reaction leading to high yields (82–94%) and high (*E*)-isomer selectivity (91:9 to 100:0) of the condensation products (Scheme 116) [353].





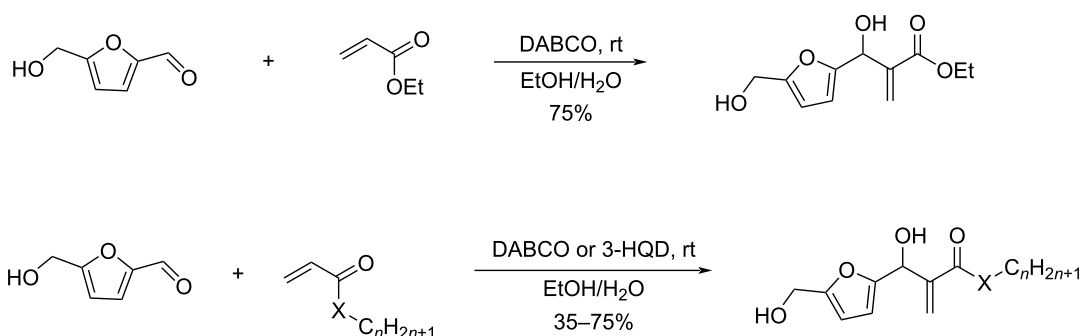
Scheme 116: Condensation of HMF and active methylene nitrile.

Another carbon–carbon-bond formation involving the aldehyde group of HMF is the Morita–Baylis–Hillman (MBH) reaction. Queneau showed that the reaction with acrylates in the presence of DABCO can take place in a combination of bio-based solvents such as EtOH/H₂O 1:1 (v/v) to replace traditional solvents, with yields up to 90% after 24 h [354]. The strategy was later applied to the preparation of original bio-based amphiphiles from hydrophobic alkenes. These amphiphiles were shown to emulsify both polar and apolar oils, providing W/O and O/W emulsions, suggesting that they could be used as relevant alternatives to traditional petroleum-based polyethoxylated surfactants (Scheme 117) [355].

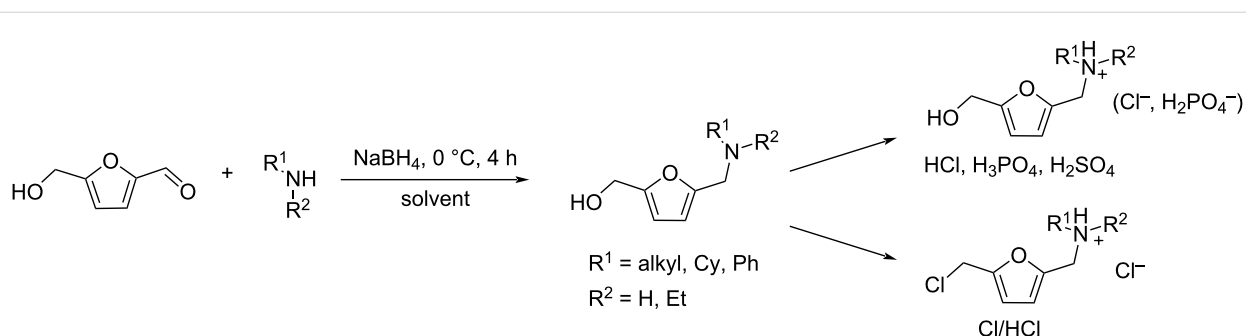
Finally, several strategies based on the reductive amination of HMF have been reported. For example, Ananikov synthesized a series of multifunctional protic ammonium ionic liquids with

various inorganic anions by reductive amination of HMF with various amines (Scheme 118). The salts were studied with respect to their solvent properties. Interestingly, the ionic liquids containing sulfate anions have the ability to dissolve cellulose. Regarding the biological properties, the introduction of a chlorine substituent into the side chain of the furfural unit is beneficial to the bactericidal effect. A study on physicochemical properties indicated that most of these ionic liquids were stable when stored for more than six months [356].

Heterogeneous monometallic (Ni, Co, Ru, Pd, Pt, Rh) and bimetallic (Ni/Cu, Ni/Mn) catalysts have been shown to be efficient for the reductive amination of HMF [357,358]. A recent application towards surfactant precursors has been reported by Iborra and Corma, using a non-noble metal (NNM) catalyst based on monodisperse cobalt nanoparticles coated with a few



Scheme 117: MBH reactions involving HMF.



Scheme 118: Synthesis of HMF-derived ionic liquids.

layers of carbon (NNM@C). Subsequent lipase-catalyzed acylation using carboxylic acids afforded the aminoesters, one step away from cationic surfactants by amine quaternization (Scheme 119) [359]. This strategy provided additional methods towards biobased furanic surfactants for which two reviews were reported in 2022 [173,360].

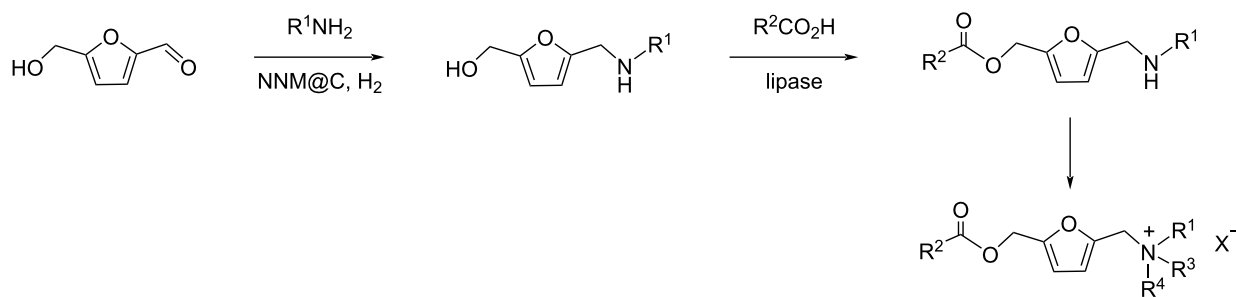
5-(Chloromethyl)furfural (CMF)

5-(Chloromethyl)furfural (CMF) can be obtained from raw biomass such as bagasse, cassava wastes, corn stover, bamboo powder or a variety of pure carbohydrates, such as glucose, sucrose, fructose, cellulose. CMF has gained popularity thanks to the pioneering work and accounts reported by Mascall, showing the potential of this alternative platform for monomers, biofuels and specialty chemicals [361,362]. Several other groups have contributed to the topic, and a few more in-depth perspectives on the synthesis and applications of CMF and other 5-(halomethyl)furfurals have been reported [363,364]. A popular system to access CMF is the use of HCl as chlorinating agent in dichloroethane, which can be achieved from HMF (Scheme 120), but also from glucose, sucrose or cellulose. Compared to HMF, the main differences are the higher stability of CMF under acidic conditions and its easier extractability from the medium due to a higher lipophilicity. In the following we give a brief and non-comprehensive overview of CMF downstream chemistry illustrating its usefulness towards fine chemicals.

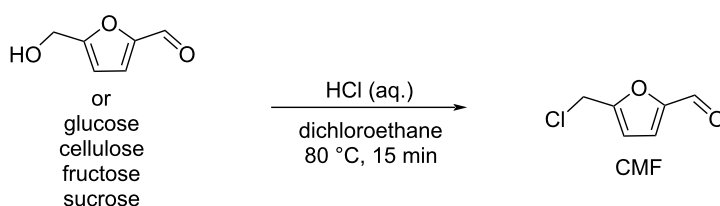
CMF can be used as a stable intermediate for the production of HMF, levulinic acid and alkyl levulinate (EL and BL) via hydrolysis or alcoholysis (Scheme 121) [365]. HMF is obtained in 86% yield from CMF in boiling water for only 30 seconds, while heating CMF in dilute hydrochloric acid at 150 °C for 5 h or without acid at 190 °C leads to levulinic in high yield. If alcohols are added, high yields of alkyl levulinates are directly obtained.

2,5-Diformylfuran (DFF) can be obtained in 81% yield from CMF in DMSO, as proposed by Estrine and Le Bras [366] or in 54% yield over the combined pyridine *N*-oxide and Cu(OTf)₂ catalyst when using acetonitrile under microwave irradiation as reported by Afonso [367]. CMF can be also directly oxidized to 5-(chloromethyl)furan-2-carbonyl chloride (CMFCC) or converted to furan-2,5-dicarbonyl chloride (FDCC) via the formation of intermediate 2,5-diformylfuran (DFF) after reaction with *tert*-butyl hypochlorite (*t*-BuOCl) (Scheme 122) [368]. Being conveniently derivatizable acid chlorides, CMFCC and FDCC are highly versatile intermediates for the production of furans presenting a carboxylic acid function or other downstream chemical precursors of polymers and biofuels.

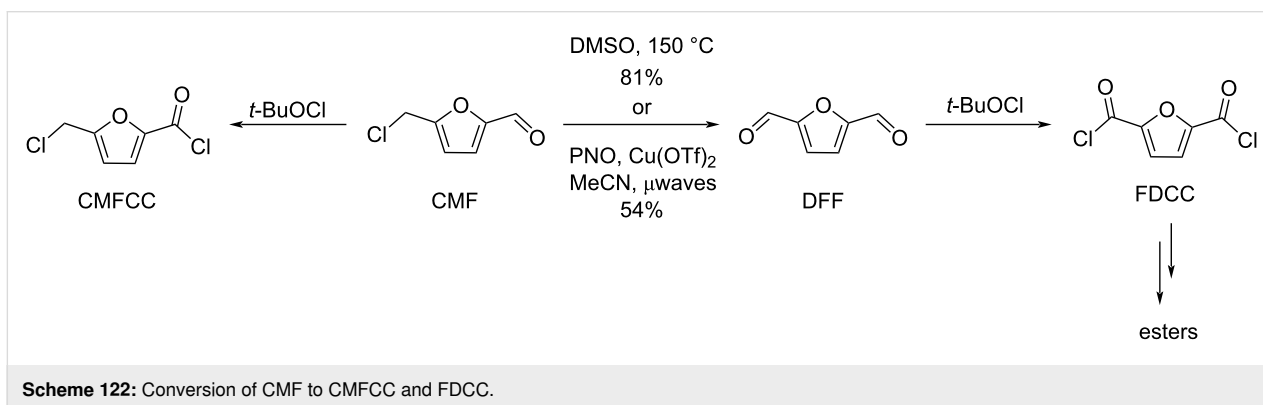
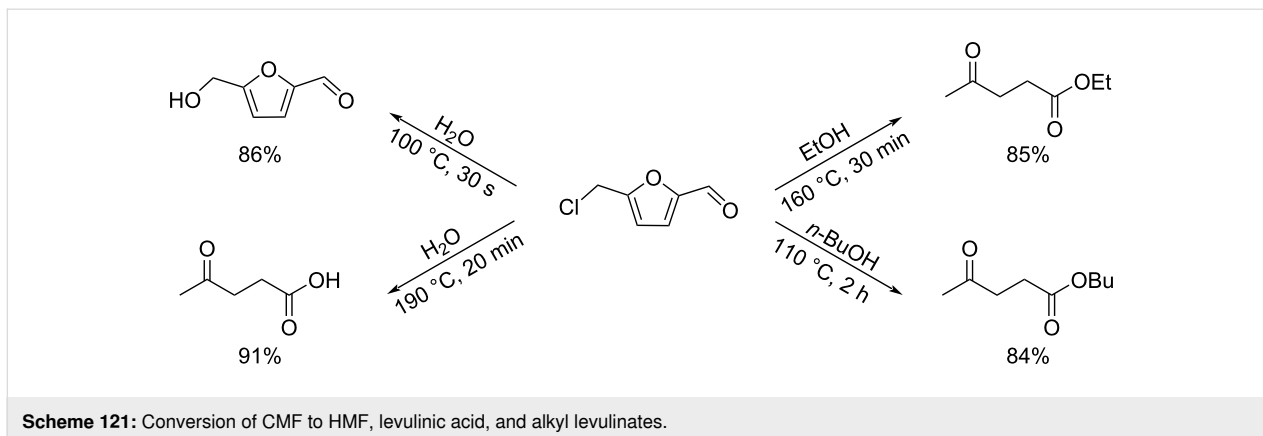
Suh has shown that CMF can be derivatized towards BHMF, using a stepwise hydrolysis and hydrogenation via HMF over mesoporous Cu/Al₂O₃ (meso-CuA-kg) under H₂ pressure



Scheme 119: Reductive amination/enzymatic acylation sequence towards HMF-based surfactants.



Scheme 120: The formation of 5-chloromethylfurfural (CMF).

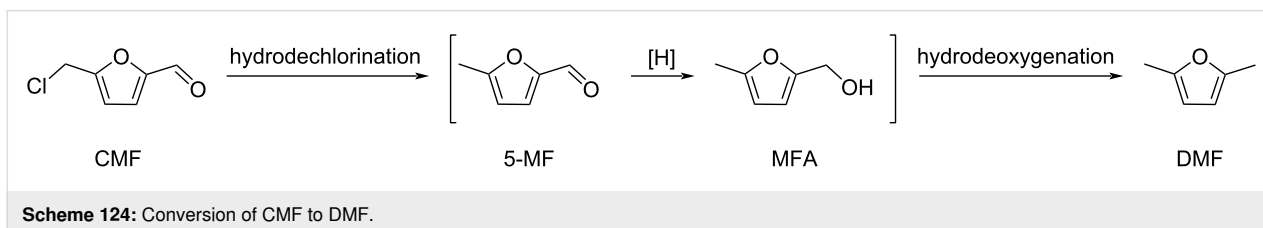
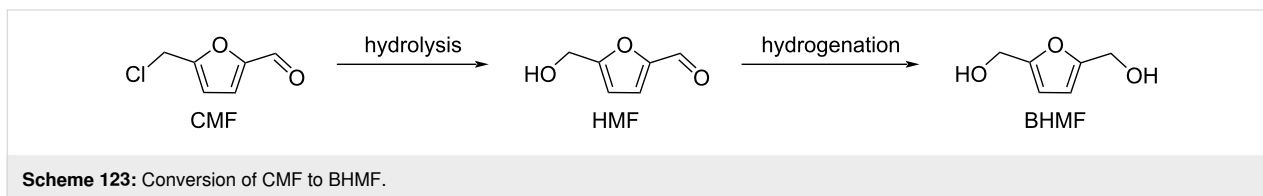


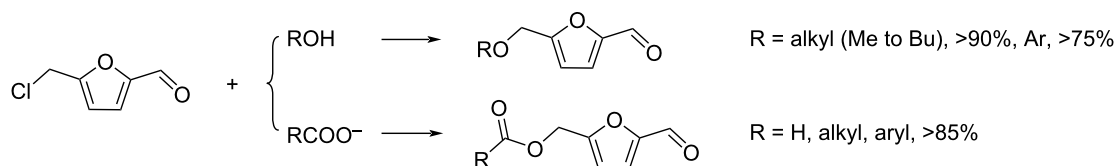
[369]. Recently, Zeng reported the one-pot consecutive hydrolysis and hydrogenation of CMF to BHMF in aqueous phase in 69% overall yield. Under the synergistic effect of Ru and Cu_2O species, 91% BHMF was obtained directly from CMF over Ru/ CuO_x as catalyst at 60°C and 4 MPa H_2 without isolation of the intermediate HMF [370] (Scheme 123).

Zeng has reported the reduction of CMF to 2,5-dimethylfuran (DMF) under mild conditions using a Cl-modified Pd catalysts

(Pd/CNTs) and 2 MPa of H_2 affording 92% yield after 15 min at 30°C (Scheme 124) [371].

The substitution of the chlorine atom of CMF by nucleophiles produces either ethers [372,373] or esters [374] from alcohols or carboxylic acids, respectively, allowing the preparation of a library of functionalized furanic aldehydes (Scheme 125). For example, Dutta [372] and Sudarsanam [374] recently synthesized a series of alkyl/aryl-substituted HMF esters in high yields





Scheme 125: CMF chlorine atom substitutions toward HMF ethers and esters.

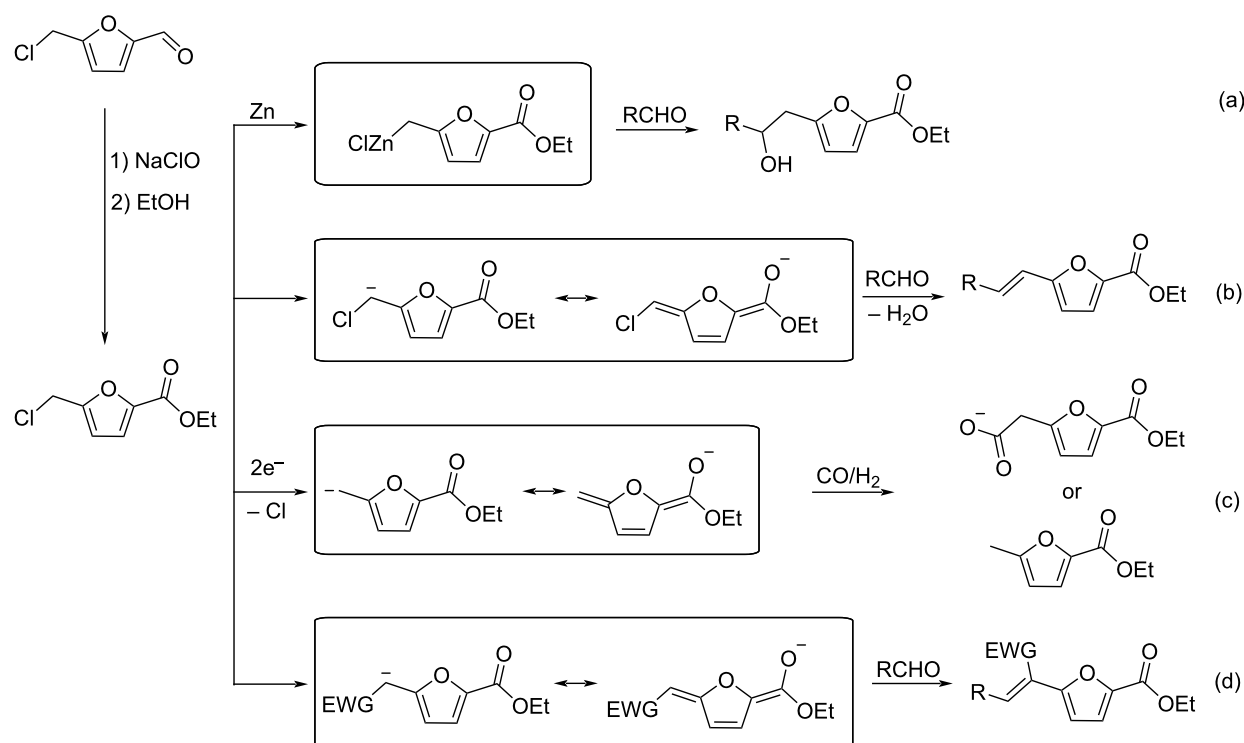
(>85%) by reacting CMF and various carboxylic acids in the presence of a triethylammonium salt under solvent-free conditions (80 °C, 1.5 h). The two-step process from cellulose allowed the preparation of 5-(acetoxymethyl)furfural in an overall yield of nearly 65%.

Carbon nucleophiles can also substitute the chlorine atom of CMF. For example, Zn metal insertion into the C–Cl bond of ethyl 5-(chloromethyl)furan-2-carboxylate can produce organozinc furoate esters able to react with a range of aldehydes (Scheme 126, reaction a) [375]. Alternatively, in a Reformatsky-type process, deprotonation of the 5-(chloromethyl)furoate esters and reaction with aldehydes followed by dehydration results in the formation conjugated alkenyl furoates which have applications in dyes or epoxy resin fields (Scheme 126, reaction b) [376]. The furylogous enolate anion resulting from the electrochemical reductive cleavage of ethyl

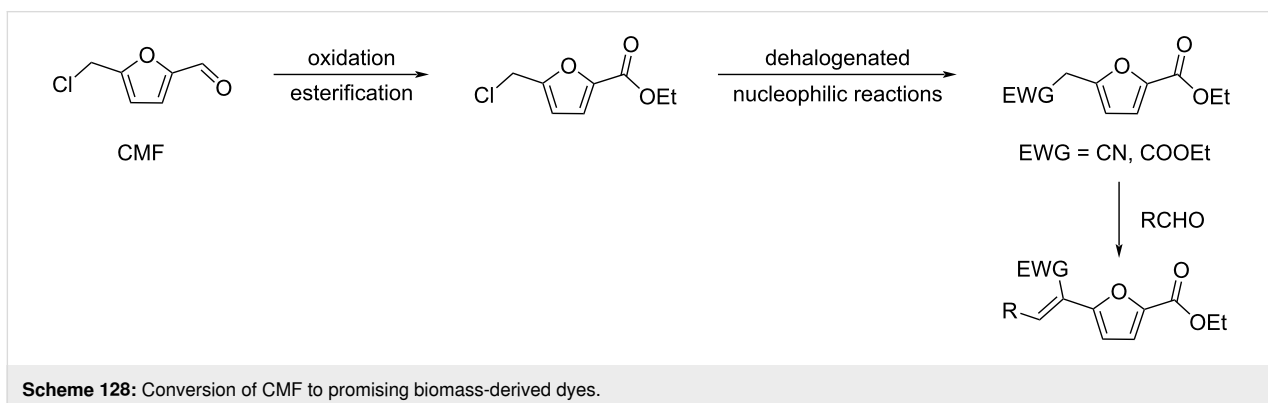
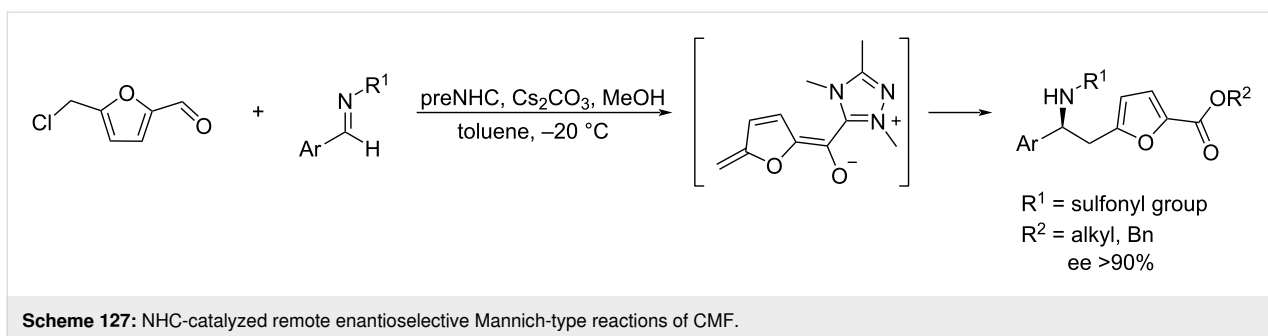
5-(chloromethyl)furan-2-carboxylate derived from CMF can react with carbon dioxide to yield 5-(carboxymethyl)furan-2-carboxylate, a useful intermediate towards the fuel additive 5-methylfuran-2-carboxylate commercially referred to as "Ethyl 408" (Scheme 126, reaction c) [377]. Similarly, furoate esters derived from CMF can undergo nucleophilic substitution with cyanide to produce furylogous cyanoacetic esters, employed for the design of biobased dyes (Scheme 126, reaction d) [378].

Gao and Ye reported the bifunctional-NHC-catalyzed enantioselective Mannich-type reaction involving CMF (Scheme 127). The products were obtained in high yields and enantioselectivities with excellent functional group tolerance [379].

Biobased dyes have been obtained by introducing strong chromophores on the furanoate scaffold through the Knoevenagel reaction of CMF with aldehydes (Scheme 128).



Scheme 126: Introduction of carbon nucleophiles in CMF.

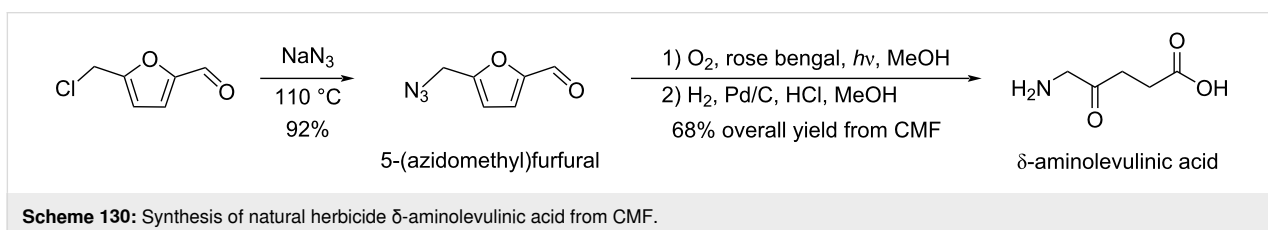
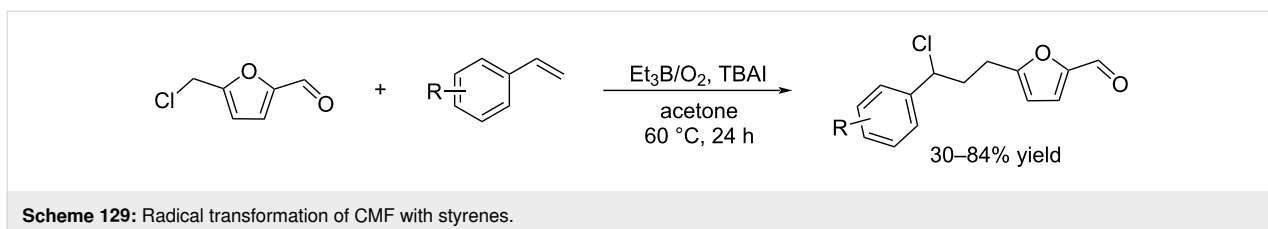


The reaction of furylogous malonate or furylogous cyanoacetate and biomass-derived aldehydes produced brightly colored products from the yellow to red region of the spectrum, exhibiting good color properties and color fastness on selected fabrics [378].

Rehbein and Brasholz have reported the first radical transformation at the benzylic chloromethyl group of CMF. A series of atom transfer radical addition products were obtained by reacting CMF with styrenes under triethylborane (Et_3B)/ O_2 system (Scheme 129) [380].

CMF can also react with sodium azide as applied in a synthesis of δ -aminolevulinic acid, an important agricultural and pharmaceutical intermediate. The reaction of CMF and NaN_3 led to the formation of 5-(azidomethyl)furfural. Subsequent photooxidation by irradiation with singlet oxygen, ring opening of the furan and subsequent catalytic hydrogenation resulted in the formation of δ -aminolevulinic acid in 68% yield (Scheme 130) [362].

The substitution of the chlorine atom of CMF by a sulfur atom has also been reported like in a four-step synthesis of ranitidine,

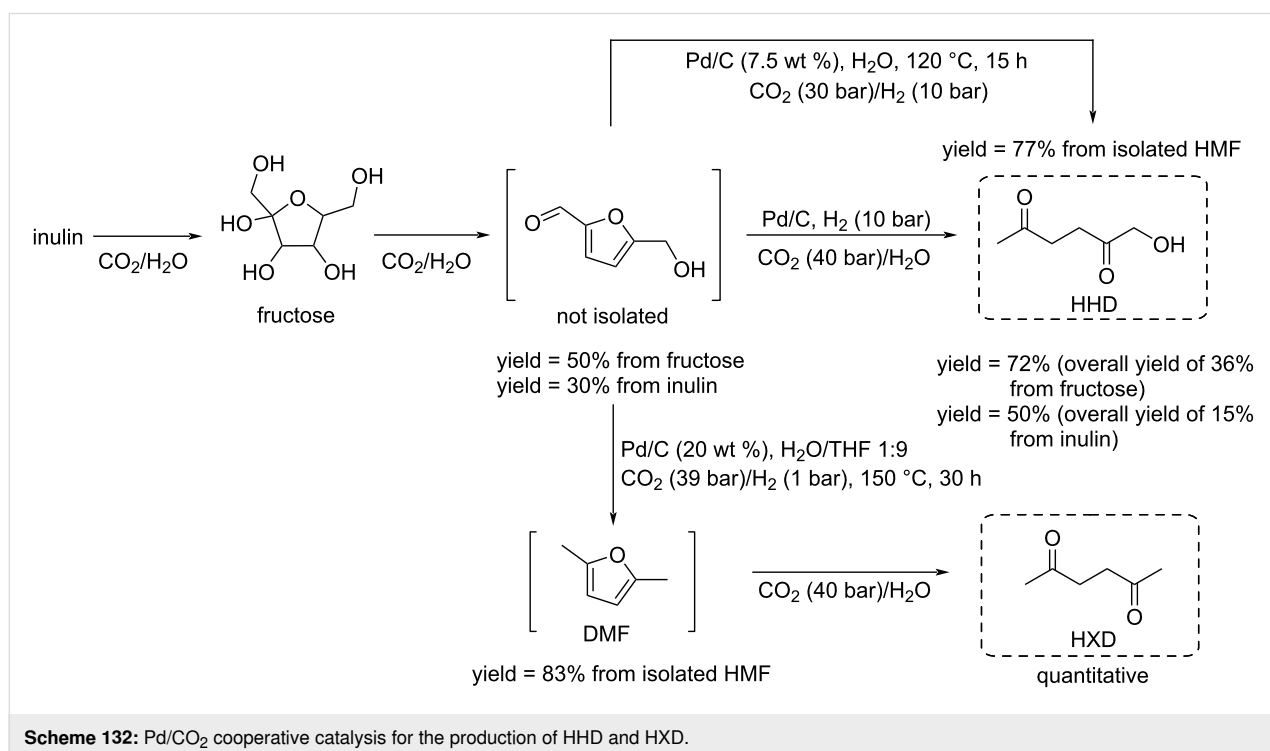
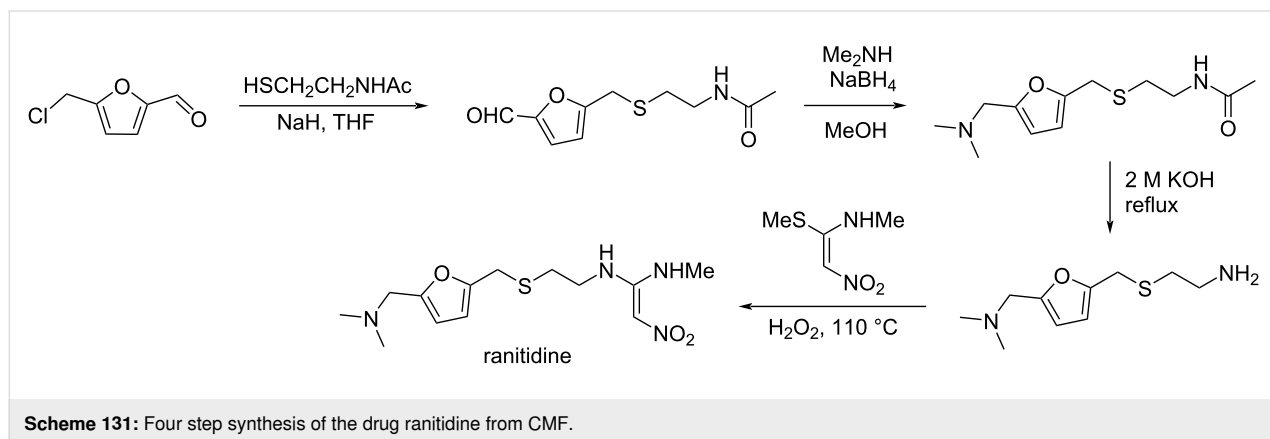


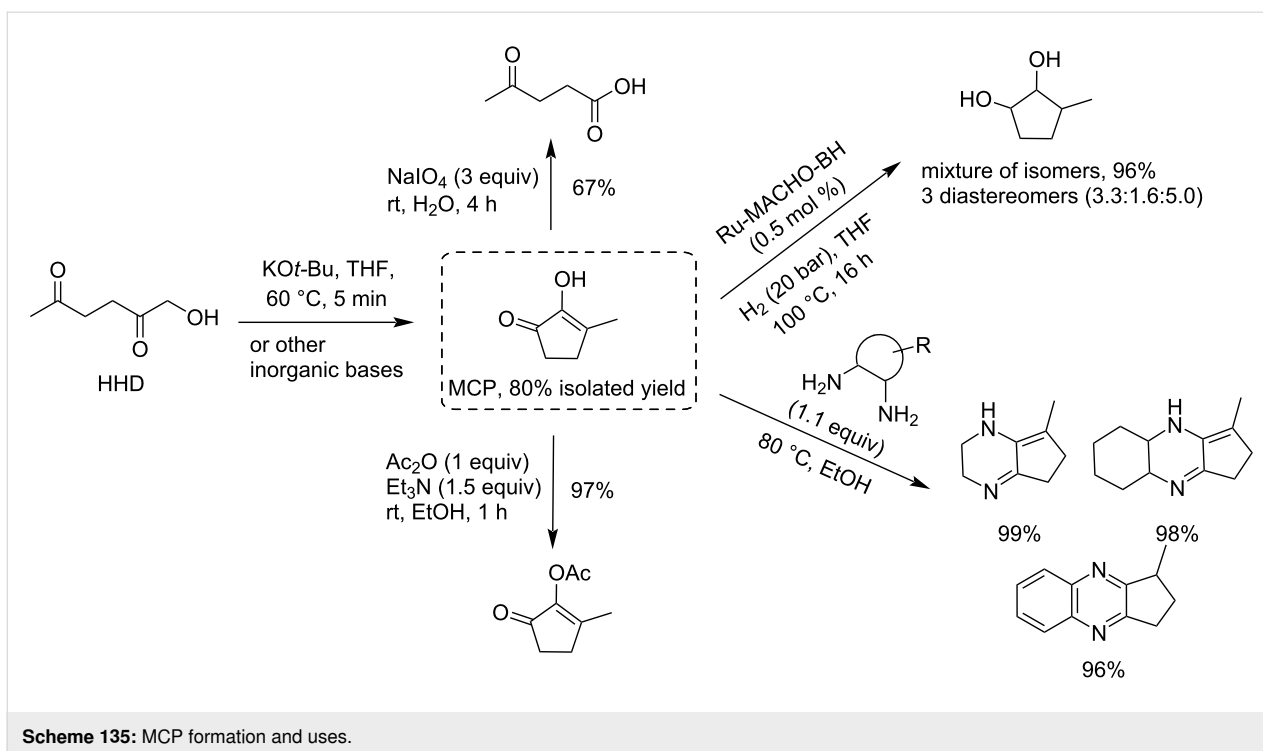
a histamine H₂ receptor antagonists used to treat conditions related to excess stomach acid production. Once the sulfide bond was formed, the (dimethylamino)methyl group was introduced through reductive amination of the aldehyde group (Scheme 131) [381]. Removal of the acetyl group by treatment with KOH led to the previously reported amino intermediate [382] which afforded ranitidine by addition–elimination reaction on 1-methylthio-1-methylamino-2-nitroethylene in overall 68% yield from CMF.

1-Hydroxy-2,5-hexanedione (HHD) and 2,5-hexanedione (HXD)

Linear diketones such as 1-hydroxyhexane-2,5-dione (HHD) or 2,5-hexanedione (HXD) are promising next-generation

biomass-derived platform molecules [383,384]. Many conditions have been developed for the catalytic hydrogenation of HMF to HHD using various metals (Pd, Ir, Ru, Rh, Au, Ni-based). For example, Jérôme and De Campo reported the one-pot production of HHD directly from carbohydrates (fructose and inulin) through a bifunctional catalytic process using the dual Pd/C/H₂-CO₂/H₂O system, which afforded HHD in 36% and 15% yields, respectively. Pd/C-catalyzed hydrogenation of HMF provided HHD with up to 77% yield. 2,5-Hexanedione (HXD) could be obtained through another route in high yield via 2,5-dimethylfuran (DMF) (Scheme 132) [385]. When using a Pd/C and Amberlyst-15 system, fructose and inulin were found to be converted into HHD in yields of 55% and 27%, respectively [386].





In 2022, de Vries reported the selective Cu(I)-catalyzed oxidation of α -hydroxy ketones to α -keto aldehydes. A 94% GC yield of 2,5-dioxohexanal was obtained from HHD under oxygen atmosphere in the presence of $[\text{Cu}(\text{MeCN})_4]\text{PF}_6$ (5 mol %), pyridine (10 mol %) and 4 Å molecular sieves (MS). The aqueous work-up of the reaction resulted in the formation of the α -keto aldehyde hydrate in 87% isolated yield (Scheme 136) [394].

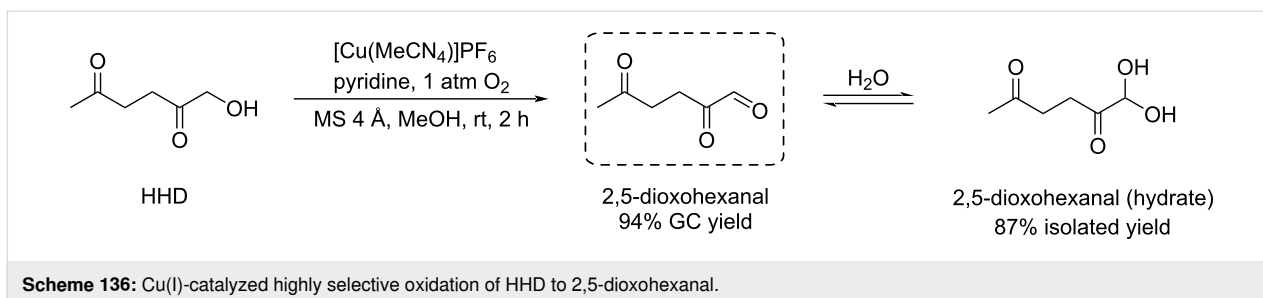
This HHD-2,5-dioxohexanal route was also used by the same team to access a wide range of *N*-alkyl and *N*-aryl-3-hydroxypyridinium salts with various functional groups in good yields (up to 82% yield) in the presence of trifluoroacetic acid (Scheme 137). The salts can be used as intermediates in the synthesis of some nitrogen-containing scaffolds [395].

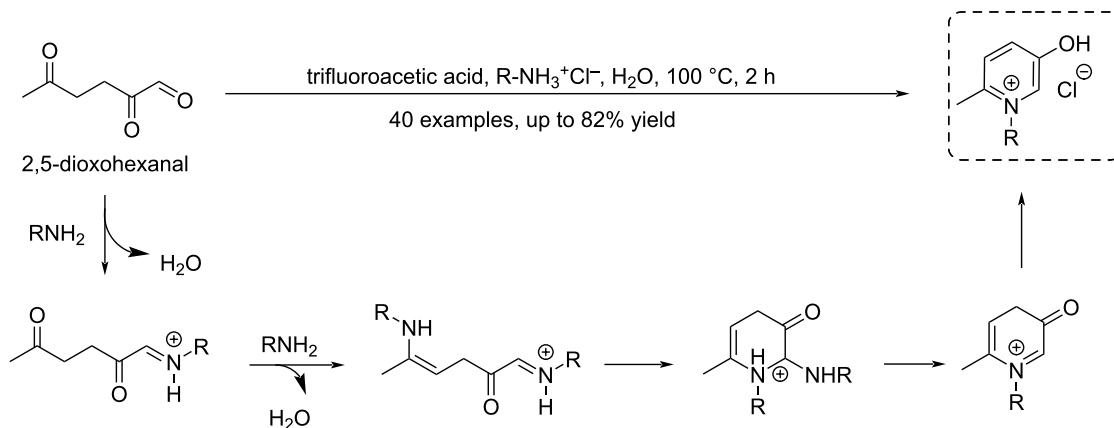
HHD total hydrogenation to 1,2,5-hexanetriol was achieved by de Vries using a Ru-MACHO-BH catalyst (0.5 mol %) under 30 atm H_2 at 100 °C in 18 hours in isopropanol as solvent with

nearly quantitative yield (Scheme 138A) [396]. Alternatively, Yang proposed a Ru/C catalyst for the same reaction, giving 1,2,5-hexanetriol in 66% yield with 95% selectivity and 99% conversion of HHD under mild reaction conditions (Scheme 138B) [397].

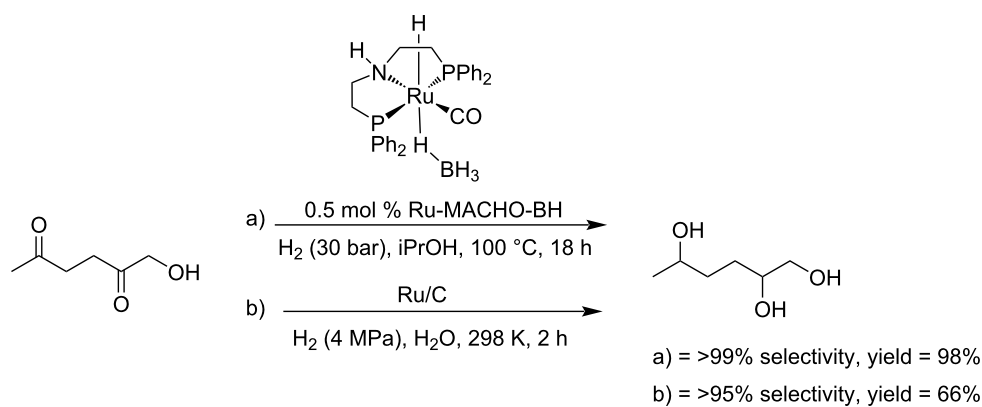
Conversions of HXD: 3-Methylcyclopent-2-enone (MCP) can be obtained from HXD in 97% yield by cyclization promoted by sodium carbonate in a toluene/ H_2O biphasic system. Subsequent dimerization of MCP under ultraviolet irradiation provided polycyclic C_{12} diketones which were hydrodeoxygenated under acidic zeolite H-Y and commercial Ru/C catalysis, leading to a high-density C_{12} polycycloalkane mixture with low freezing point consistent with the usage as aviation fuel (Scheme 139) [398].

Yan and Chen synthesized the 2,5-hexadione glycerol ketal (HDGK) by reaction of HXD and glycerol, and used it as a

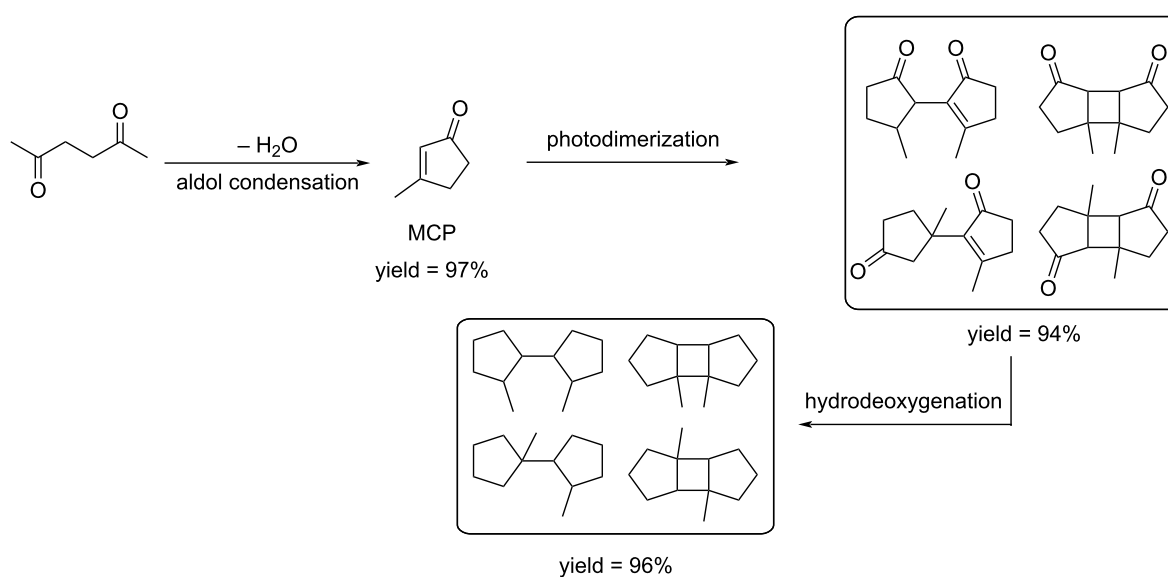




Scheme 137: Synthesis of *N*-substituted 3-hydroxypyridinium salts from 2,5-dioxohexanal.



Scheme 138: Ru catalyzed hydrogenations of HDD to 1,2,5-hexanetriol (a) see ref. [396]; b) see ref. [397]).



Scheme 139: Aviation fuel range quadricyclanes produced by HXD.

chain extender and crosslinking agent to prepare KCPU-*x*, a dynamic covalent cross-linked polyurethane elastomer (Scheme 140) [399]. The incorporation of a cyclic ketal structure improved the stability, dielectric properties, and healing efficiency of these polyurethane elastomers.

HXD can react with serinol to form 2-(2,5-dimethyl-1*H*-pyrrol-1-yl)-1,3-propanediol (serinol pyrrole, SP) which was used as a high efficiency coupling agent between silica and unsaturated polymer chains in elastomer composites for tires, applicable on the industrial scale (Scheme 141) [400].

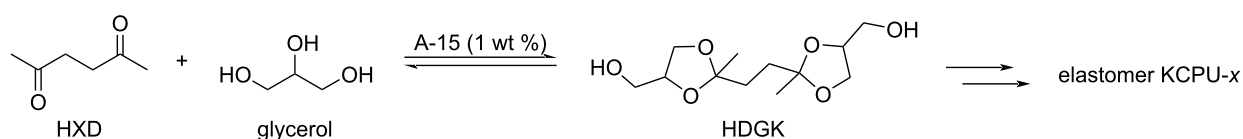
A series of pyrroles, among which some are important intermediates in drug design, has been obtained in 55–89% yields by the cascade reaction of nitroarenes with HXD over a carbon-based iron heterogeneous catalyst (Fe@NSiC) using HCOOH/DBU as reducing agent (Scheme 142) [401].

Efficient upgrading of cellulose into *p*-xylene (PX) was reported through intermediate HXD by Chu et al. [402]. Following a new two-step route (Scheme 143), an 64% overall yield of HXD and DMF was obtained from cellulose, and PX was obtained in 55% yield from this mixture using a solid acid like SnPO-1.75 as catalyst at 275 °C and 2 MPa H₂.

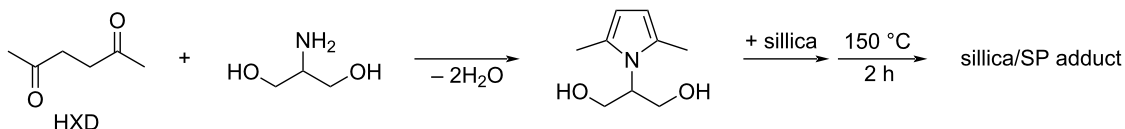
3-(Hydroxymethyl)cyclopentanone (HCPN)

3-(Hydroxymethyl)cyclopentanone (HCPN) can be obtained from HMF, usually involving the hydrogenation of HMF to 2,5-dihydroxymethylfurfural (DHMF), and subsequent Pincatelli rearrangement to 4-hydroxy-4-(hydroxymethyl)cyclopentenone followed by hydrogenation to HCPN (Scheme 144) [168,328].

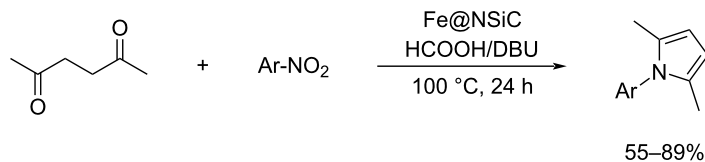
The first reported conversion of HMF to HCPN in 2014 used metal oxide-supported Au nanoparticles as catalysts, with Au/Nb₂O₅ providing the best yield (86%) in the presence of H₂



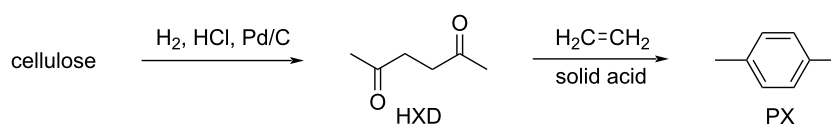
Scheme 140: Synthesis of HDGK from HXD and glycerol as a chain extender.



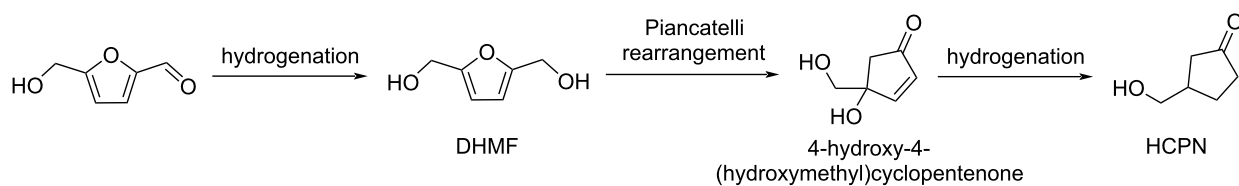
Scheme 141: Synthesis of serinol pyrrole from HXD and serinol.



Scheme 142: Synthesis of pyrroles from HXD and nitroarenes.



Scheme 143: Two-step production of PX from cellulose via HXD.



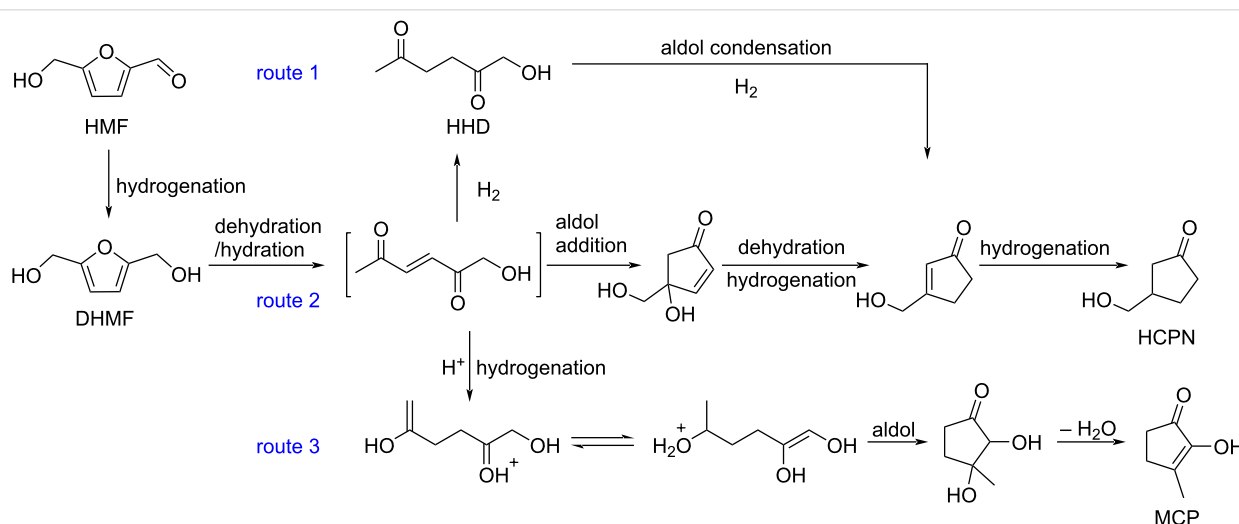
Scheme 144: Preparation of HCPN from HMF via hydrogenation and ring rearrangement.

(8 MPa) at 140 °C [403]. Since then, several other supports such as SiO₂, Al₂O₃, Al₂O₃ pyrochlore composites, MOFs, and DMC (double-metal cyanide) have been used for Pt, Pb, Ir catalysts and a palladium bifunctional catalysis method has been reported [404,405]. In 2016, Rosseinsky reported a first non-noble-metal-catalyst derived from Ni/Al layered double hydroxides (Ni-on-Al₂O₃), that afforded an 81% yield of HCPN from HMF [406]. Subsequently, other non-noble-metal-based bimetallic catalysts were applied for this transformation such as Cu-Al₂O₃, Ni-Cu/MOF and Ni-Fe/Al₂O₃ [407-410].

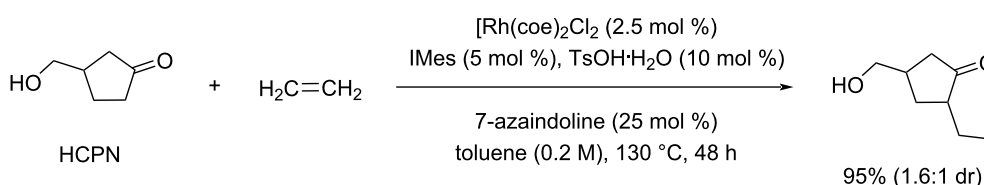
While route 1 and route 2 mechanisms shown in Scheme 145 were previously proposed for the conversion of HMF to HCPN, Deng and Fu expressed doubts about the involvement of intermediate 1-hydroxy-2,5-hexanedione (HHD). They considered

that Brønsted acid catalysis promotes the formation of HHD and subsequently 3-methylcyclopenten-2-ol-1-one (MCP) instead of HCPN (Scheme 145, route 3), while Lewis acids facilitate the production of a precursor of HHD, then generating HCPN [411].

HCPN can undergo all typical reactions of ketones, and only two have been selected here, an alkylation and a reductive amination. In 2014, Dong reported the regioselective α -alkylation of HCPN using ethylene as the alkylating agent in the presence of chlorobis(cyclooctene)rhodium(I) dimer [Rh(coe)₂Cl]₂, 1,3-bis(2,4,6-trimethylphenyl)imidazole-2-ylidene (IMes), *p*-toluenesulfonic acid monohydrate (TsOH·H₂O), and 7-azaindoline, leading to α -ethylated HCPN in 95% isolated yield (1.6:1 dr) (Scheme 146) [412].



Scheme 145: Suggested pathways from HMF to HCPN.



Scheme 146: α -Alkylation of HCPN with ethylene gas.

An efficient one-pot cascade conversion of HMF promoted by the $\text{Ni}_{0.5}\text{Co}_{0.5}\text{@C}$ catalytic system formed 3-(hydroxymethyl)cyclopentylamine through reductive amination of HCPN with ammonia at 140 °C and 20 bar H_2 for 8 h, with 97% selectivity and full conversion of HCPN (Scheme 147) [413].

Levoglucosenone (LGO) and dihydrolevoglucosenone (H_2LGO or Cyrene[®])

Levoglucosenone (LGO) and its fully hydrogenated derivative, dihydrolevoglucosenone (H_2LGO), commercially known under the trademark Cyrene[®], are two highly promising biomass-based C_6 platform molecules. Derived from renewable cellulosic resources, these compounds offer versatile and sustainable scaffolds for the synthesis of a wide range of value-added chemicals, materials, and pharmaceuticals (Scheme 148). LGO, which arises from cellulose by pyrolysis [414–416], or levoglucosan [417] exhibits a bicyclic structure possessing three reactive functions, namely an acetal, a ketone and its conjugated double bond. The selective hydrogenation of the double bond can produce the corresponding saturated ketone dihydrolevoglucosenone (H_2LGO , Cyrene[®]) [418], which has intensively been studied as a sustainable alternative to classical aprotic dipolar solvents [419–422].

Both LGO and Cyrene[®] molecules offer a wide range of transformations towards intermediates enabling innovative design in fine chemistry and polymer science [423–425]. The following sections highlight the versatility and practical utility of LGO and Cyrene[®] through selected recent examples, demonstrating their potential as valuable intermediates in sustainable synthesis.

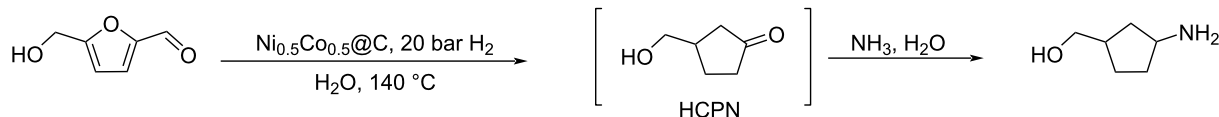
LGO can be oxidized to (*S*)- γ -hydroxymethyl- α,β -butenolide (HBO) and its formate ester under Baeyer–Villiger conditions (Scheme 149). Hydrolysis of the formate FBO allows a com-

plete transformation of LGO to HBO. When applied to Cyrene[®], the reaction leads to the corresponding hydrogenated (*S*)- γ -(hydroxymethyl)butyrolactone (2H-HBO) [426]. The Allais group has reported yields up to 72% on a kilogram scale synthesis, employing aqueous hydrogen peroxide as both the solvent and the oxidizing agent [427]. The sterically hindered bicyclic diol 2H-HBO-HBO can be obtained from LGO through a sustainable two-step process involving LGO homocoupling followed by Baeyer–Villiger oxidation [428]. Several polymers have been obtained after acryloylation or methacryloylation of the OH group [429]. Starting from Cyrene[®], Miller and Allais designed a methylated derivative of HBO. After protection of the hydroxy group as a tetrahydropyranyl (THP) acetal giving the intermediate 2-THP-2H-HBO, the α -methyleneated derivative (M-THP-2H-HBO) was obtained by reaction of its enolate with paraformaldehyde. This latter was found to slowly oligomerize [430].

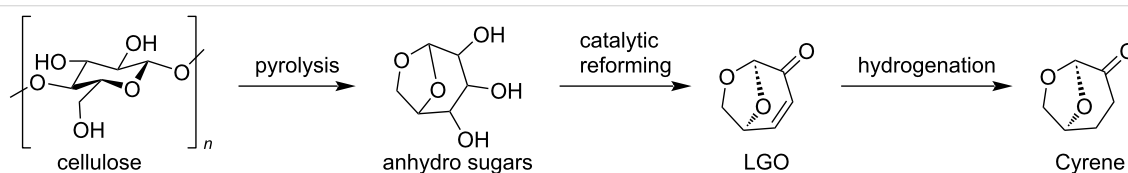
If the hydrogenation of LGO is continued further after formation of Cyrene[®], the ketone is reduced and levoglucosanol (Lgol) is formed. For example, in the presence of Pd/C and HCOOH as hydrogen source, the Lgol yield can reach 95% at 180 °C [431]. A methacrylic monomer (*m*-Cyrene[®]) formed from Lgol was found to undergo rapid polymerization or copolymerization in the presence of AIBN and in Cyrene[®] as solvent (Scheme 150) [429].

Tetrahydrofuran-dimethanol (THFDM) and 1,6-hexanediol could be prepared from Lgol via complete hydrogenation/hydrogenolysis [432]. Huber reported a conversion of Lgol to THFDM with 78% overall selectivity to 1,6-hexanediol at 150 °C using a bifunctional Pd/SiO₂/Al₂O₃ catalyst (Scheme 151) [433].

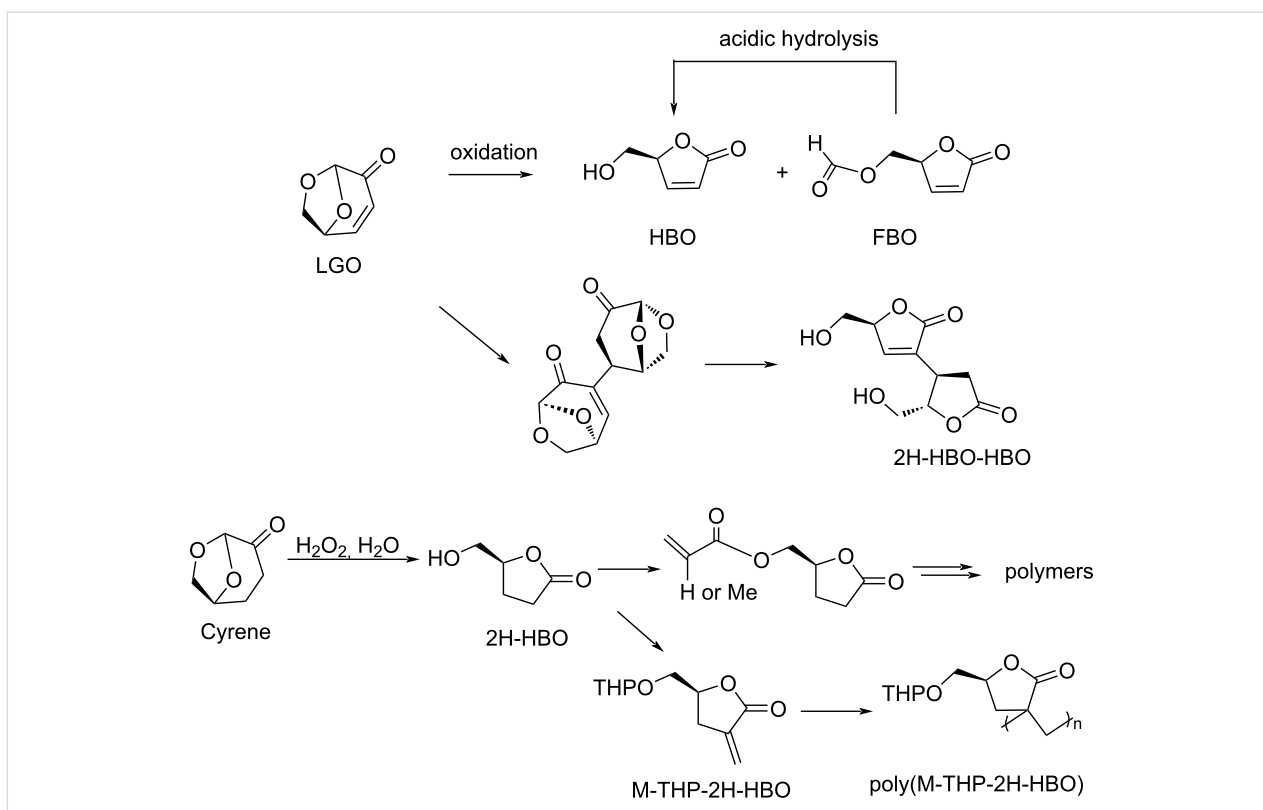
A recent example of reversible addition–fragmentation chain transfer (RAFT) copolymerization of LGO and butadiene or 2-



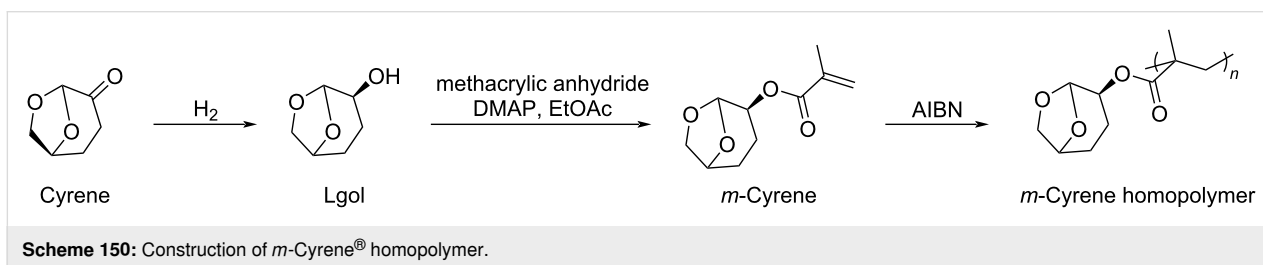
Scheme 147: Synthesis of 3-(hydroxymethyl)cyclopentylamine from HMF via reductive amination of HCPN.



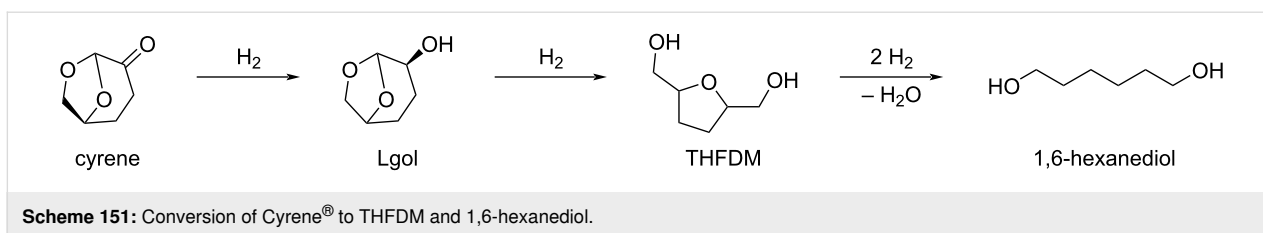
Scheme 148: Production of LGO and Cyrene[®] from biomass.



Scheme 149: Synthesis of HBO from LGO and other applications.



Scheme 150: Construction of *m*-Cyrene[®] homopolymer.



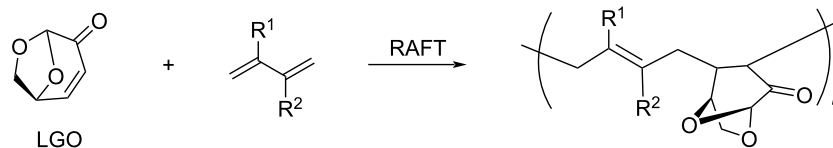
Scheme 151: Conversion of Cyrene[®] to THFDM and 1,6-hexanediol.

or 3-methyl derivatives was reported by the Choi group (Scheme 152). Conversions of LGO and butadiene up to 80% were observed, leading to polymers having a high degree of alternation and exhibiting excellent thermal stability [434].

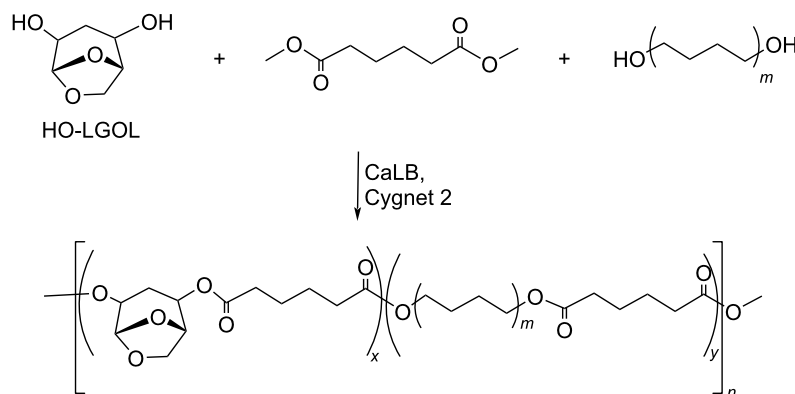
Another interesting example of the use of LGO in polymer science was recently reported by Warne and Pellis, who used the diol obtained by hydration and reduction of LGO in combi-

nation with butane-1,4-diol for polycondensation with dimethyl adipate in the green solvent dioxolane Cygnet 2 under biocatalytic conditions (*Candida antarctica* lipase B CaLB) (Scheme 153). Longer diols such as 1,8-octanediol or 1,12-dodecanediol were also used [435].

In the presence of a catalytic quantity of K_3PO_4 , the self-condensation of Cyrene[®] gave the corresponding product in 81.3%

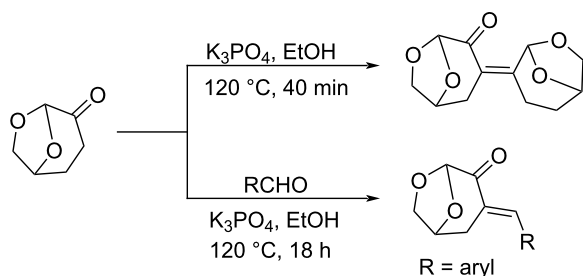


Scheme 152: RAFT co-polymerization of LGO and butadienes.



Scheme 153: Polycondensation of HO-LGOL and diols with dimethyl adipate.

yield at 120 °C for 40 min. The Claisen–Schmidt reaction of Cyrene[®] with aromatic and heteroaromatic aldehydes offered a wide range of yields, up to 95% at 120 °C after 18 h (Scheme 154) [436].

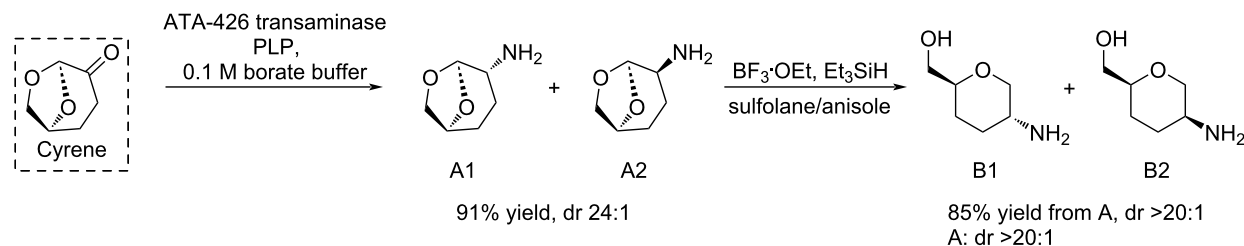


Scheme 154: Self-condensation of Cyrene[®] and Claisen–Schmidt reactions.

Cyrene[®] has also been used as a starting chiral building block for applications in drug design. For example, 5-amino-2-hydroxymethyltetrahydropyran (**B1**), an intermediate in the synthesis of bioactive compounds, was synthesized via a two-step, protecting-group-free, process [437]. Cyrene[®] underwent an enzyme-catalyzed (ATA-426) transamination followed by reductive acetal opening. ATA-426 provided the chiral cyclohexylamine intermediates **A1** and **A2** with high selectivity (24:1) and 91% yield. Hydroxy-amino derivatives **B1** and **B2** were obtained from **A1** and **A2** in 85% yield with a high diastereoselectivity (>20:1). This two-step process replaced the reported nine-step synthesis of **B1** from tri-*O*-acetyl-D-glucal and resulted in a more than 27% yield improvement (Scheme 155).

Conclusion

The valorization of biobased carbonyl compounds represents a pivotal strategy in the transition towards a greener and sustain-



Scheme 155: Synthesis of 5-amino-2-(hydroxymethyl)tetrahydropyran from Cyrene[®].

able biobased chemical industry. The low-molecular-weight intermediates derived from renewable biomass resources exhibit versatile reactivity and serve as crucial building blocks for a wide array of value-added chemicals, fuels, and other materials. Significant advances in catalytic technologies, reaction engineering, and process integration have enabled efficient transformations of biobased aldehydes, ketones, and related compounds into higher-value products such as alcohols, acids, esters, polymers, and fine chemicals. The remarkable structural diversity offered by biobased carbonyl compounds, and the immense variety of chemical reactions that carbonyl compounds can undergo, create an extremely powerful opportunity for accessing all kinds of chemical architectures, including complex ones. Despite the recent progress in the field, efforts must be continued for tackling the remaining challenges, notably achieving always higher selectivity, catalytic stability, and process scalability under economically and environmentally favorable conditions. This will constitute the basis for future biobased access to all types of chemical products.

List of Abbreviations

The abbreviations used in the text and schemes are collected in Table 1.

Table 1: List of abbreviations.	
Name	Abbreviation
ALA	atrolactic acid
BA	benzylic acid
CMF	5-(chloromethyl)furfural
CMFCC	5-(chloromethyl)-furan-2-carbonyl chloride
DES	deep eutectic solvent
DFF	2,5-diformylfuran
DHA	dihydroxyacetone
DHM	dihydroxymethylfuran
DHMF	2,5-dihydroxymethylfurfural
DHMTHF	dihydroxymethyltetrahydrofuran,
DMF	2,5-dimethylfuran
DMTHF	dimethyltetrahydrofuran
EG	ethylene glycol
EL and BL	ethyl levulinate and butyl levulinate
FA	furfuryl alcohol
FDCA	furandicarboxylic
FDCC	furan-2,5-dicarbonyl chloride
FFCA	5-formyl-2-furancarboxylic acid
GA	glycolic acid
GBL	γ -butyrolactone
GCA	glycolaldehyde
GLAD	glyceraldehyde
GLY	glycerol

Table 1: List of abbreviations. (continued)

GVL	γ -valerolactone
H ₂ LGO	dihydrolevoglucosenone
Hap	hydroxyapatite
HBO	(S)- γ -hydroxymethyl- α,β -butenolide
HCPN	3-(hydroxymethyl)cyclopentanone
HCPN	3-(hydroxymethyl)cyclopentanone
HDGK	2,5-hexadione glycerol ketal
HFO	2-hydroxy-2(5H)-furanone
2H-HBO	(S)- γ -hydroxymethyl butyrolactone
HHCPN	4-hydroxy-4-(hydroxymethyl)cyclopent-2-en-1-one
HHD	1-hydroxyhexane-2,5-dione
HMCP	2-hydroxy-3-methylcyclopent-2-enone
HMF	5-hydroxymethylfurfural
HMFA	5-hydroxymethylfurfanoic acid
HMTHFF	hydroxymethyltetrahydrofurfural
2,3-HPO	2,3-dihydroxypropanal
3-HPO	3-hydroxypropanal
HXD	2,5-hexanedione
IB	α -hydroxyisobutyric acid
LA	lactic acid
LEV	levulinic acid
LGO	levoglucosenone
Lgol	levoglucosanol
LMW-H	low-molecular-weight humin
MA	mandelic acid
MAN	maleic anhydride
MCP	3-methylcyclopent-2-enone
2-MeTHF	2-methyltetrahydrofuran
MFA	methylfurfuryl alcohol,
MS	molecular sieves
MSA	methane sulfonic acid
M-THP-2H-HBO	α -methylenated derivative
NADH	nicotinamide dinucleotide
PA	propanoic acid
PDO	1,3-propanediol
PGA	polyglycolic acid
PLA	polylactic acid
PLGA	polylactic-co-glycolic acid
PX	<i>p</i> -xylene
RAFT	reversible addition-fragmentation chain transfer
SGCN	mesoporous graphite carbon nitride
TBD	1,5,7-triazabicyclo[4.4.0]dec-5-ene
ThdP-lyase	thiamine diphosphate-dependent lyase
THFDM	tetrahydrofurandimethanol
THP	2-tetrahydropyranyl
TS-1	titanium silicalite catalyst
TsOH·H ₂ O	<i>p</i> -toluenesulfonic acid monohydrate
U-4C-3CR	Ugi 4-center 3-component reaction
VA	valeric acid
VEs	valerate esters

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Author Contributions

Jingjing Jiang: conceptualization; methodology; visualization; writing – original draft. Muhammad Noman Haider Tariq: conceptualization; methodology; visualization; writing – original draft. Florence Popowycz: conceptualization; formal analysis; funding acquisition; investigation; methodology; project administration; resources; supervision; validation; writing – review & editing. Yanlong Gu: conceptualization; formal analysis; funding acquisition; investigation; methodology; project administration; resources; supervision; validation; visualization; writing – review & editing. Yves Queneau: conceptualization; formal analysis; funding acquisition; investigation; methodology; project administration; resources; supervision; validation; visualization; writing – review & editing.

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Data Availability Statement

Data sharing is not applicable as no new data was generated or analyzed in this study.

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The high potential of methyl laurate as a recyclable competitor to conventional toxic solvents in [3 + 2] cycloaddition reactions

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Abstract

In the present study, 21 fused isoxazolidines were synthesized in yields ranging from good to excellent. Methyl laurate was identified as the easily accessible optimal solvent medium for the reaction, and the related compounds were obtained through straightforward isolation techniques in a relatively short time frame (5–80 minutes). A comprehensive investigation was conducted utilizing various web platforms, encompassing ecological and environmental risk assessments, toxicity, pesticide similarity, and biodegradability of methyl laurate in comparison with a series of conventional organic solvents, water, some fatty acids and their derivatives. The findings of this investigation revealed that methyl laurate exhibited better green solvent properties when evaluated against other solvents.

Introduction

It is an established fact that a significant number of conventional organic solvents, which are widely utilized in both industrial and academic contexts, have deleterious effects on human and environmental health [1-3]. There is an ongoing and intensive research effort to identify new biocompatible alternatives to replace these existing solvents and many of the criteria that a solvent must meet to be considered green have been well defined in different sources [4,5]. In order to fulfil this requirement, a considerable number of green solvents of various

classes have been developed for a range of applications, including the extraction of natural compounds [6-9], food analysis [10-12], pharmacology [13-15], and organic synthesis [16-19]. Despite the advent of environmentally friendly green solvents that have been discovered to be applicable in numerous modern organic chemical transformations, difficulties often arise in the recovery and reuse of these solvents following the completion of the reaction. Moreover, some of these solvents have been observed to degrade under conditions that are particularly severe

[20]. For instance, although ionic liquids are well-known green solvent alternatives with superior properties compared to conventional organic solvents, their recovery from the reaction medium can be quite troublesome [21,22]. It is evident that this class of solvents may be accompanied by a number of drawbacks. For instance, they are frequently expensive, exhibit negligible or non-existent biodegradability, and there is a lack of data concerning their potential toxicity. Conversely, while water is regarded as a promising biocompatible solvent alternative in numerous chemical transformations, there are several challenges associated with product isolation that must be addressed [23]. Consequently, it is evident that the endeavor to identify an optimal green solvent for both industrial and academic applications must persist.

In the modern world, one of the main goals of an increasing number of chemists interested in the design and synthesis of versatile organic molecules is to develop atom-efficient, multi-component, low-cost, and environmentally benign synthetic strategies for these molecules. In the context of these strategies, it would be judicious to consider cycloaddition reactions of the [3 + 2] type, a field in which Smith and Huisgen are recognized as pioneers [24,25]. In the field of heterocyclic chemistry, the [3 + 2] type of cycloaddition reaction is a widely employed method for the formation of the five-membered isoxazolidine ring motif, which displays a range of biologically active properties [26-30]. It is notable that the fused pyrrolo-isoxazolidines represent a particularly versatile class of heterocyclic compounds and intermediates, which have been demonstrated to exhibit a wide range of biological activities [26,28,31-35]. The validity of the atom-efficient methodology under discussion has been demonstrated in experimental research; its efficacy in facilitating the rapid formation of multifunctional complex molecules being in contradistinction to the time- and labor-intensive nature of traditional multistep synthesis strategies. For instance, this methodology has been demonstrated to be highly advantageous in the synthesis of numerous pharmaceutical compounds, biological probes, insecticides, alkaloids, and other intricate natural compounds consisting of a combination of isoxazolidine rings [36-41]. It has been demonstrated that such cycloaddition reactions are also employed in the efficient preparation of biologically active molecules, including nucleosides, β -lactam class antibiotics, peptides, and amino acids, as well as sugars (Figure 1) [42-48].

More specifically cycloaddition reactions of nitrones (1,3-dipoles) with *N*-aryl-substituted maleimides (electron-poor dipolarophiles) are a highly popular and versatile method for the formation of regio- and stereoselective pyrrolo-isoxazolidine-fused ring scaffolds. From the standpoint of organic chemistry, it is evident that these compounds can be efficiently con-

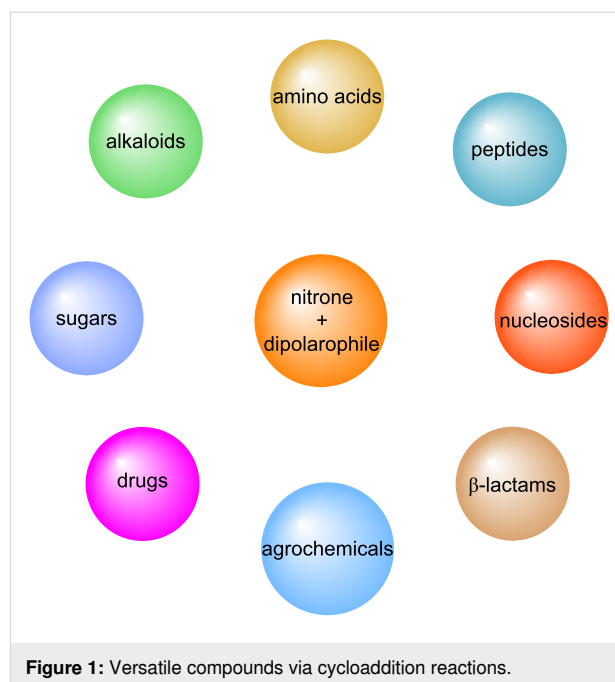


Figure 1: Versatile compounds via cycloaddition reactions.

verted into a variety of versatile organic intermediates through the application of ring-opening reactions [49-52]. In addition to the aforementioned useful properties, the current methods for the preparation of such cyclic compounds and/or their fused cyclic systems typically necessitate the use of toxic solvents, including chloroform, benzene, toluene etc. [32,53-59]. Indeed, the selection of conventional organic solvents, including benzene, toluene and chloroform, for the [3 + 2] cycloaddition reactions between nitrones and diverse dipolarophiles has not been predicated on any criteria other than their inertness [60]. Conversely, certain studies have reported that the effects of organic solvents in such reactions are negligible, and that an increase in solvent polarity results in a decrease in rate constants. This phenomenon is attributed to the lower polarity of the transition state in comparison to that of the initial compounds [61]. Furthermore, these methods often require harsh reaction conditions, prolonged reaction times, and laborious purification techniques [62-64]. Synthesis of pyrrolo-isoxazolidines utilizing nitrosoarenes via the multicomponent strategy is indeed feasible [65,66]. In a similar manner, Chakraborty obtained diastereomer products, primarily in *cis* configuration, from the cycloaddition reaction of a fluoro or a furyl-based nitrone with some maleimides via a mechanochemical route in a solvent-free medium [67,68]. The development of environmentally friendly green methodologies for the preparation of heterocyclic compounds via such cycloaddition reactions is a significant and ongoing research area that should not be overlooked [69]. However, these methods are accompanied by the inevitability of long reaction times or the use of toxic organic solvents. Such negative aspects encountered during the synthe-

sis of these compounds prompted us to seek alternative, environmentally benign, renewable, and reusable solvents [70]. It is therefore a basic tenet of *Green Chemistry* to replace the conventional organic solvents, which are flammable and generate toxic volatile components and are costly, with readily accessible and biocompatible alternatives [71]. Indeed, the utilization of eco-friendly bio-based solvents in a multitude of organic chemical transformations [72–74], industrial processes [5,75,76], and pharmaceutical applications [13,77] has recently gained significant prevalence. In view of the aforementioned considerations, the focus of our current research is on more environmentally friendly 1,3-dipolar cycloaddition reactions of nitrones with *N*-arylmaleimides to synthesize a series of pyrrolo-isoxazolidines.

In this context, an investigation was conducted into the potential of vegetable oils and certain derivatives to serve as biocompatible solvents in cycloaddition reaction contexts. This investi-

gation involved a comparative analysis of these vegetable oils and derivatives with water and a range of commonly employed organic solvents in organic transformations.

Results and Discussion

The requisite starting compounds, nitrones **1a–f** and a selection of *N*-substituted maleimide derivatives **2b–d** were successfully synthesized in accordance with procedures previously documented in the literature (Scheme 1) [28,78–85]. In this study, the reaction between simple starting compounds *C,N*-diphenylnitron and *N*-phenylmaleimide was selected as the model cycloaddition reaction. A series of bio-based materials were subsequently employed to ascertain the most appropriate green solvent for the specified cycloaddition reaction, with the findings presented in Table 1. As evidenced by the data presented in the table, the majority of the selected vegetable oils and their two derivatives are viable candidates for use as solvents in the proposed reaction. Concurrently, the reaction was carried out in

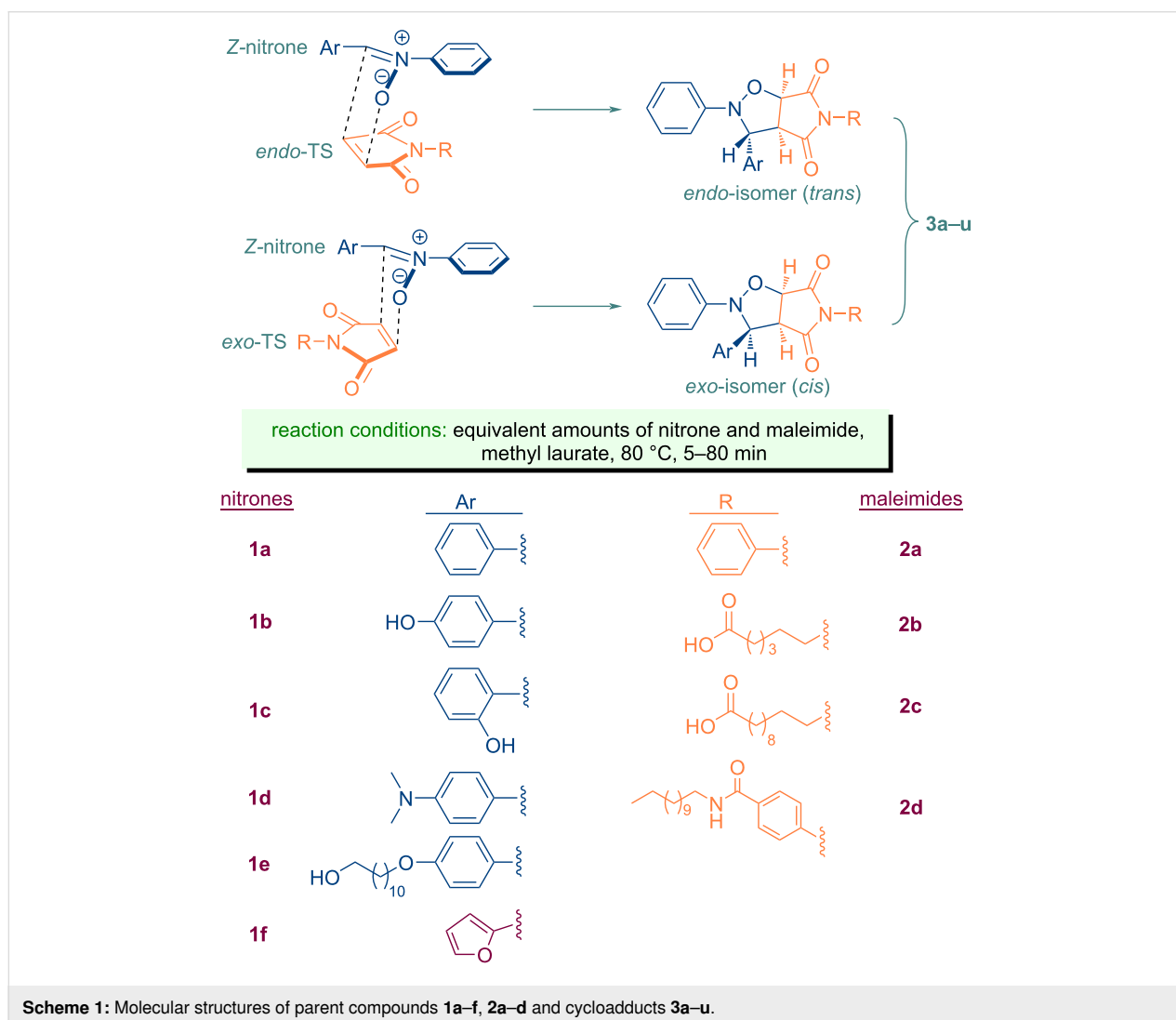
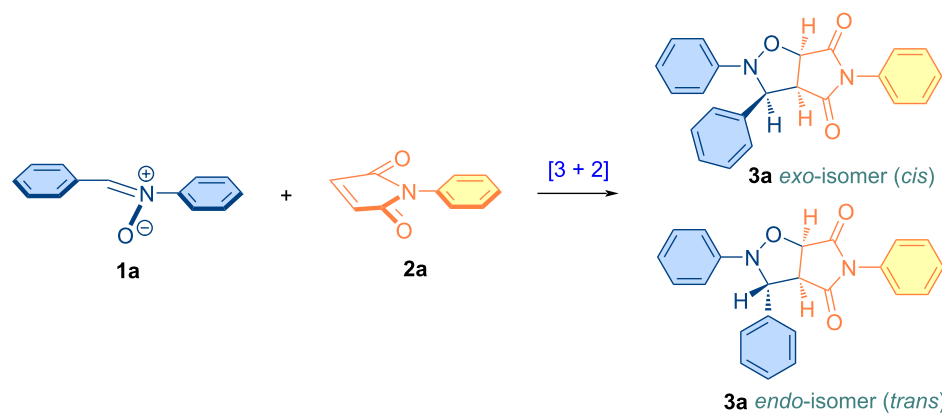


Table 1: Optimization of the [3 + 2] cycloaddition conditions for the synthesis of pyrrolo[3,4-d]isoxazolidine **3a** (*cis* + *trans* isomers).

Entry	Solvent	Time (min)	Temperature (°C)	Yield (%) ^a
1	sunflower oil	10	80	89
2	coconut oil	10	80	91
3	olive oil	10	80	75
4	hazelnut oil	10	80	92
5	walnut oil	10	80	87
6	castor oil	10	80	53
7	oleic acid	10	80	87
8	methyl laurate	10	80	100
9	methyl laurate	5	80	100 (<i>cis/trans</i> 28:72)
10	water ^b	10	80	51
11	solvent free	10	80	42
12	solvent free	60	80	53

^aThe yields were obtained by precipitation of the relevant product from the reaction medium with a suitable solvent. ^bThe yield was obtained by means of extraction with EtOAc and subsequent precipitation with hexane.

a solvent-free environment, and the product yields obtained in two distinct time periods are presented in Table 1 (entries 11 and 12). As can be deduced from these results, the reaction is found to be more sluggish in a solvent-free environment. Therefore, as evidenced in Table 1 (entries 8 and 9), methyl laurate is the most suitable solvent candidate for the reaction between nitrones and maleimides. Accordingly, methyl laurate, which facilitates the completion of this reaction in a relatively short time frame (approximately five minutes), was selected as the primary solvent for the isoxazolidine derivatives that are planned to be synthesized in this study.

In recent times, research into the evaluation of ecological and environmental risks posed by various organic compounds and solvents has gained increased significance. In silico models have emerged as a valuable tool, offering rapid and cost-effective solutions when experimental data is not readily available, particularly those that are web-integrated [86]. The predicted physical properties of methyl laurate, as determined by the

ADMETLab 3.0 platform [87] are illustrated in Figure 2a. Conversely, the oral toxicity values of methyl laurate, in conjunction with toluene and chloroform – two conventional solvents that are commonly employed in [3 + 2] cycloaddition reactions were calculated with ProTox 3.0 (a webserver for the prediction of toxicity of chemicals) [88], as shown in Figure 2b. As illustrated in the figure, the LD₅₀ value, which serves as a measure of the toxicity of these solvents, exhibits the highest value for methyl laurate and can be characterized as the solvent with the lowest toxicity. Furthermore, the results for the organic solvents many of which are not environmentally friendly and widely used in other synthesis reactions have been presented in Figure 3. The solvent potential of these solvents in the [3 + 2] cycloaddition reaction was investigated in the context of this study. As demonstrated in Figure 3, the toxicity class estimated for methyl laurate categorizes it as a potential environmentally friendly solvent. The OSIRIS PropertyExplorer program was employed to conduct toxicity risk assessments of methyl laurate, the results of which demonstrated that this solvent does

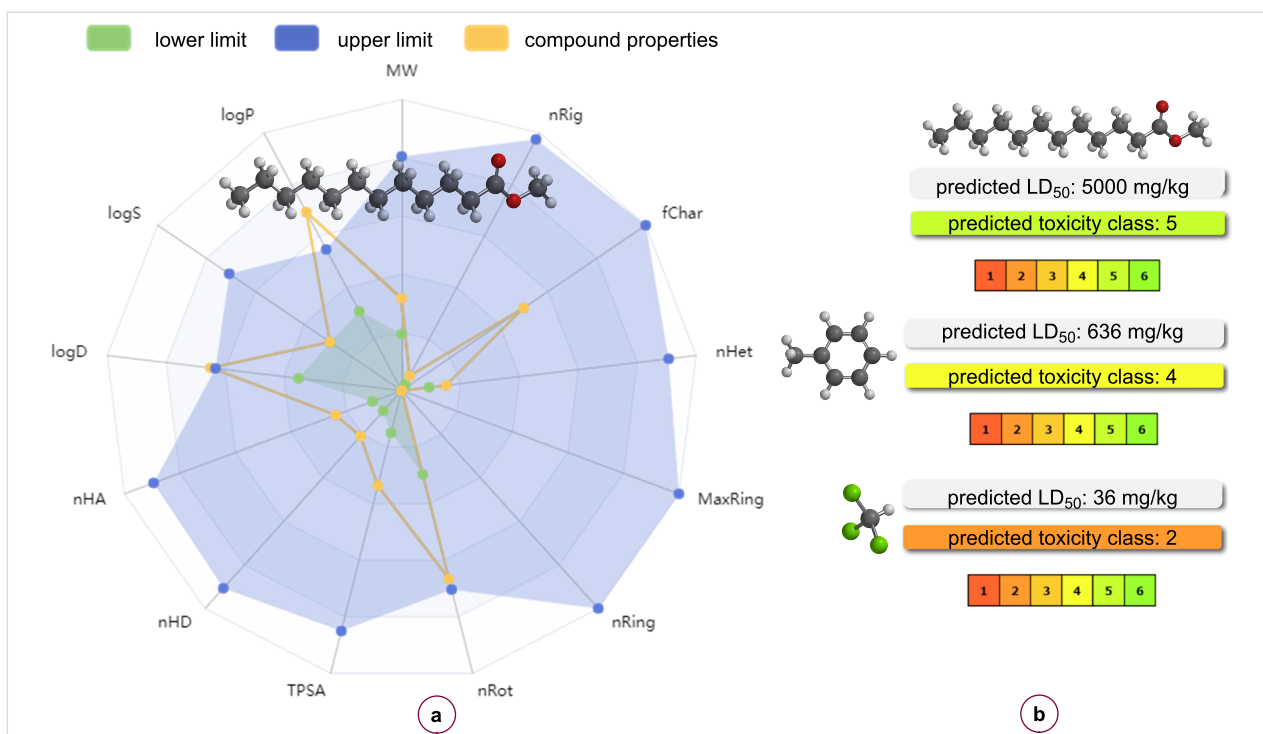


Figure 2: a) Radar view of the physical properties of methyl laurate. b) Oral toxicity values of methyl laurate, toluene, and chloroform, respectively.

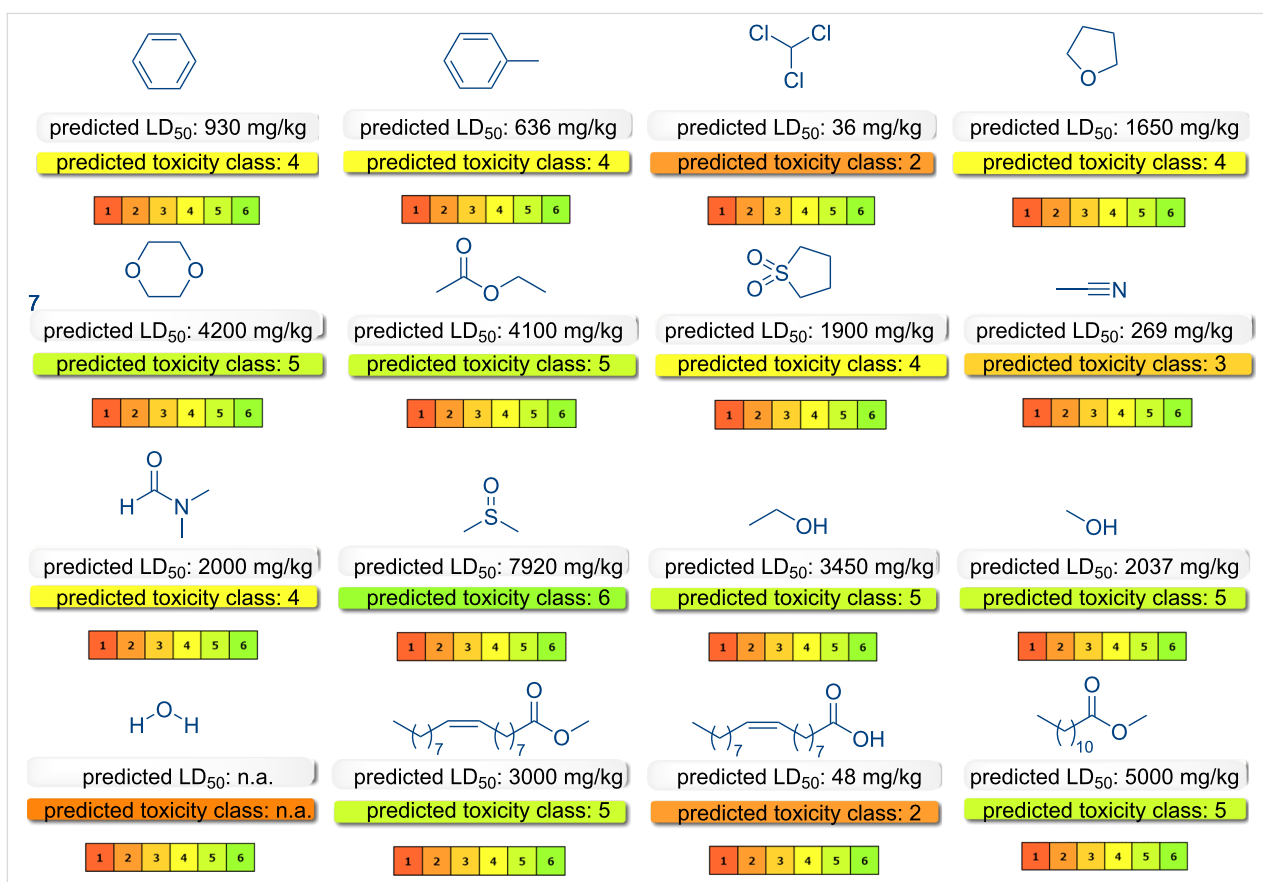


Figure 3: The oral toxicity values of all the solvents utilized in the present study obtained with ProTox 3.0.

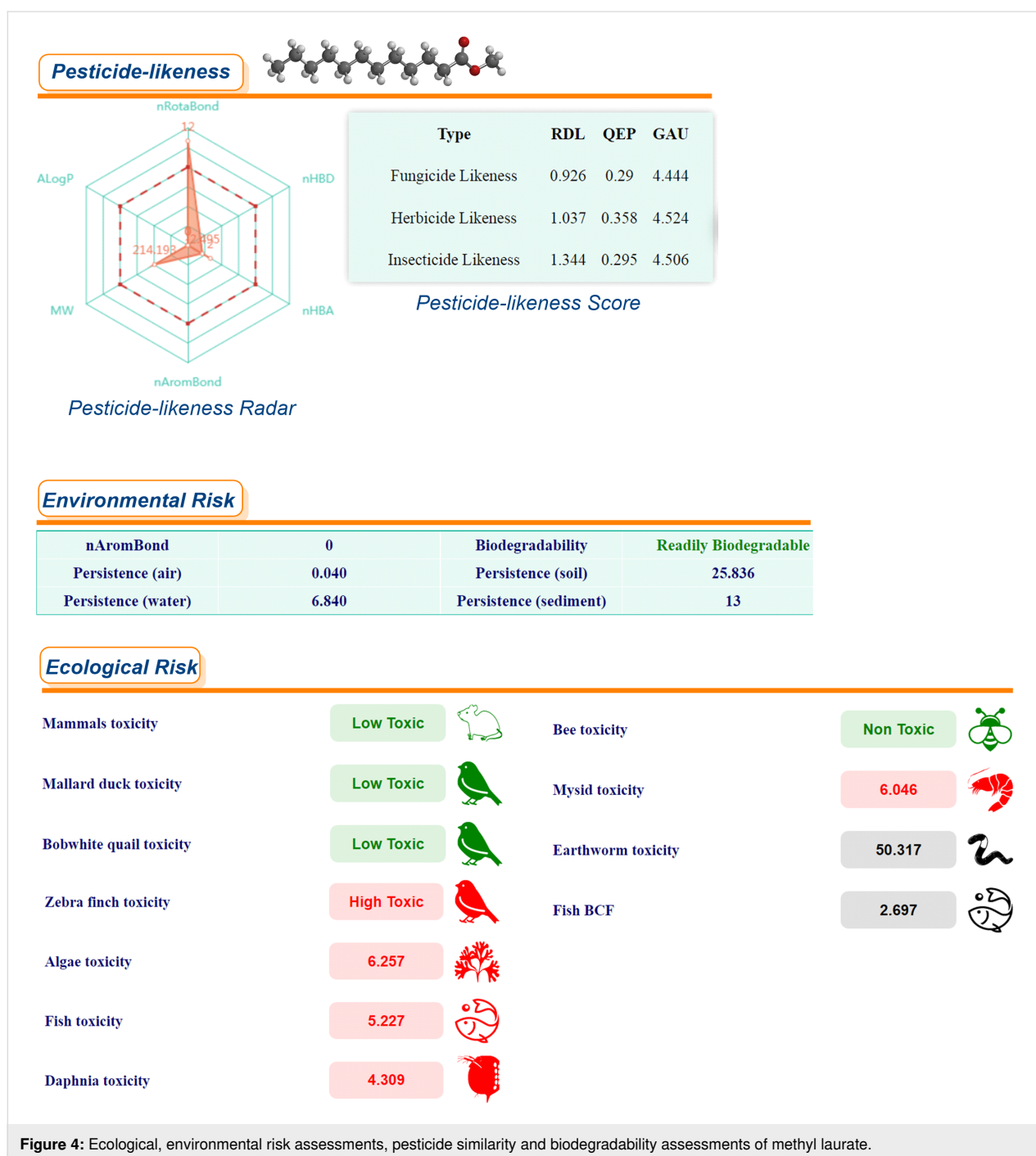
not possess mutagenic, tumorigenic, irritant, or reproductive properties (Table 2). According to the toxicity risk assessments for solvents in this table, determined using the *OSIRIS PropertyExplorer* software, sulfolane appears to be a safe solvent and its use is recommended for certain purposes in some studies [89]. However, the toxicity of sulfolane has been mentioned in some other studies [90,91]. Moreover, as illustrated in the Table 2, only methyl oleate and methyl laurate, in conjunction with sulfolane, were categorized as entirely risk-free based on the four pertinent toxicity parameters. In the context of cycloadditions, the conventional solvents that are typically employed as reaction media encompass a range of options, including tetrahydrofuran (THF) (a problematic solvent, *p*), dioxane (considered hazardous, *h*), benzene (designated as highly hazardous, *hh*), toluene (*p*), chloroform (*hh*), acetonitrile (*p*), sulfolane (*h*), and DMSO (*p*) according to the guidelines outlined in the CHEM21 solvent selection guide [92].

A series of risk assessments was conducted, including ecological and environmental risk assessments, as well as pesticide similarity and biodegradability assessments of methyl laurate in comparison with toluene and chloroform, respectively (Figure 4, Figure 5, and Figure 6). These assessments were performed using the *ChemFREE* web platform [86].

According to the ecological risk assessments for some species based on the data shown in these figures, methyl laurate does not pose a risk to 4 species, while chloroform and toluene do not pose a risk to 3 species (please see the green colored icons). In terms of environmental risk factors, the persistence of methyl laurate in soil, sediment and water, with the exception of air, is significantly lower than that of the other two solvents (values are calculated in days). In addition, it can be seen that out of these three solvents, only methyl laurate is readily biodegradable on the basis of environmental risk factors (in the OECD 301C modified MITI (I) test, a substance can be considered ready biodegradable if 60% of the substance is mineralized in 28 days (in terms of ThOD)). On the other hand, pesticide-likeness radar, "According to Hao's pesticide-likeness rule" [93], the radar plot gives an integrated measure of six properties of chemicals, which performs more comprehensive qualitative analysis to exclude chemicals with properties most probably incompatible with an acceptable bioavailable profile. As the molecules of methyl laurate contain more rotatable bonds than the molecules of the other two solvents, this value was slightly higher in the pesticide-likeness radar. Moreover, molecular complexities, pesticide-likeness scores, RDL, GAU, QEX scores are quantitative assessment methods, which rely on some of the physicochemical properties are relevant, accessible, and

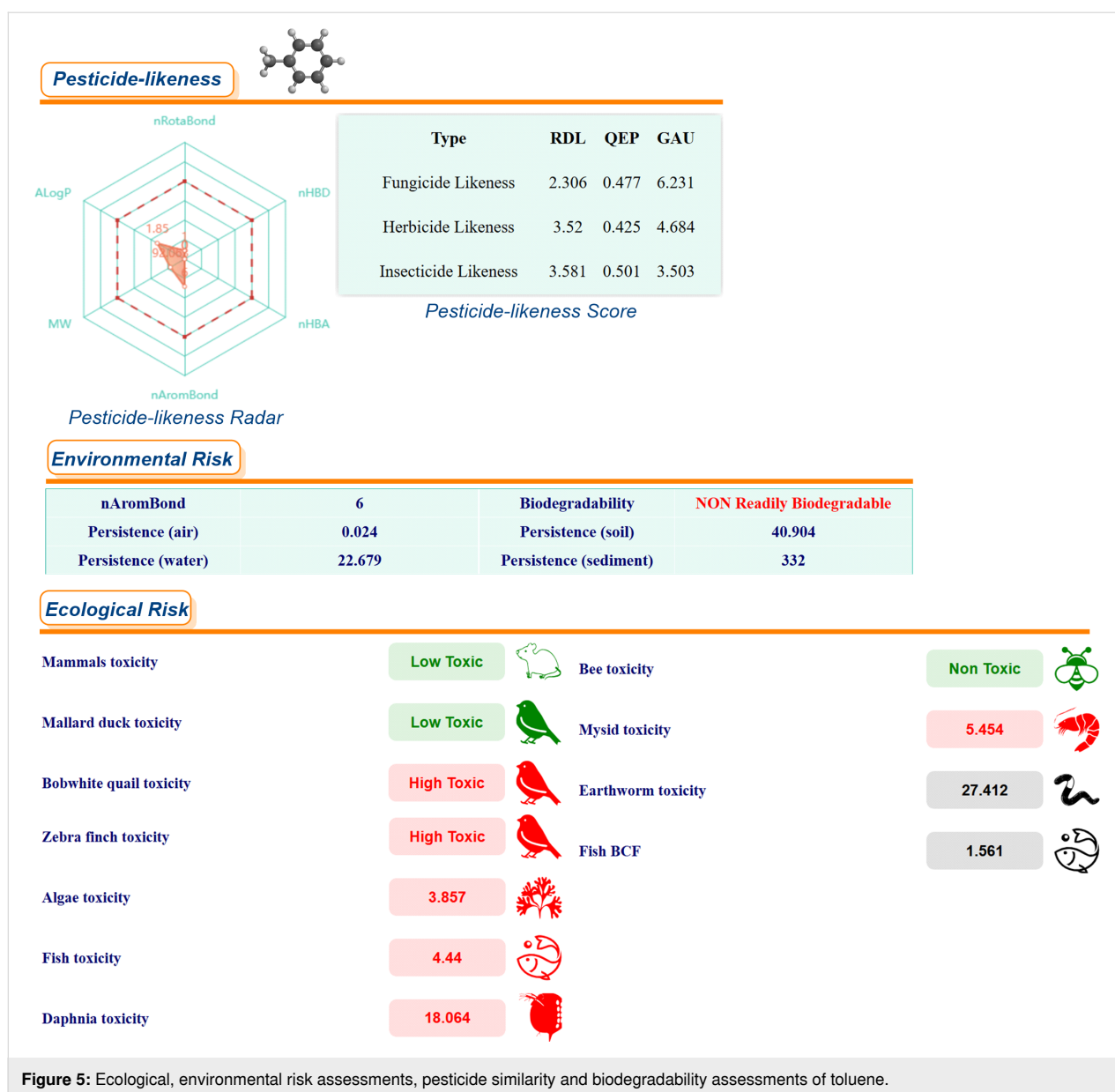
Table 2: Toxicity risk assessments of the solvents (with *OSIRIS PropertyExplorer*).

Solvent	Mutagenic	Tumorigenic	Irritant	Reproductive effective
benzene				
toluene				
chloroform				
THF				
dioxane				
EtOAc				
sulfolane				
MeCN				
DMF				
DMSO				
EtOH				
MeOH				
water				
methyl oleate				
oleic acid				
methyl laurate				



easy to compute. A high score indicates a higher potential for chemicals to become new pesticides (the data obtained with *ChemFREE* for the other 13 solvents used in the study are given in Supporting Information File 1, Figure S1). In addition to the calculations already outlined, a series of toxicity properties of methyl laurate were calculated using *ADMETLab 3.0* in comparison with common organic solvents and some green solvents. The obtained results were visualized with a cylinder chart in Figure 7. Indeed, a significant proportion of the experimen-

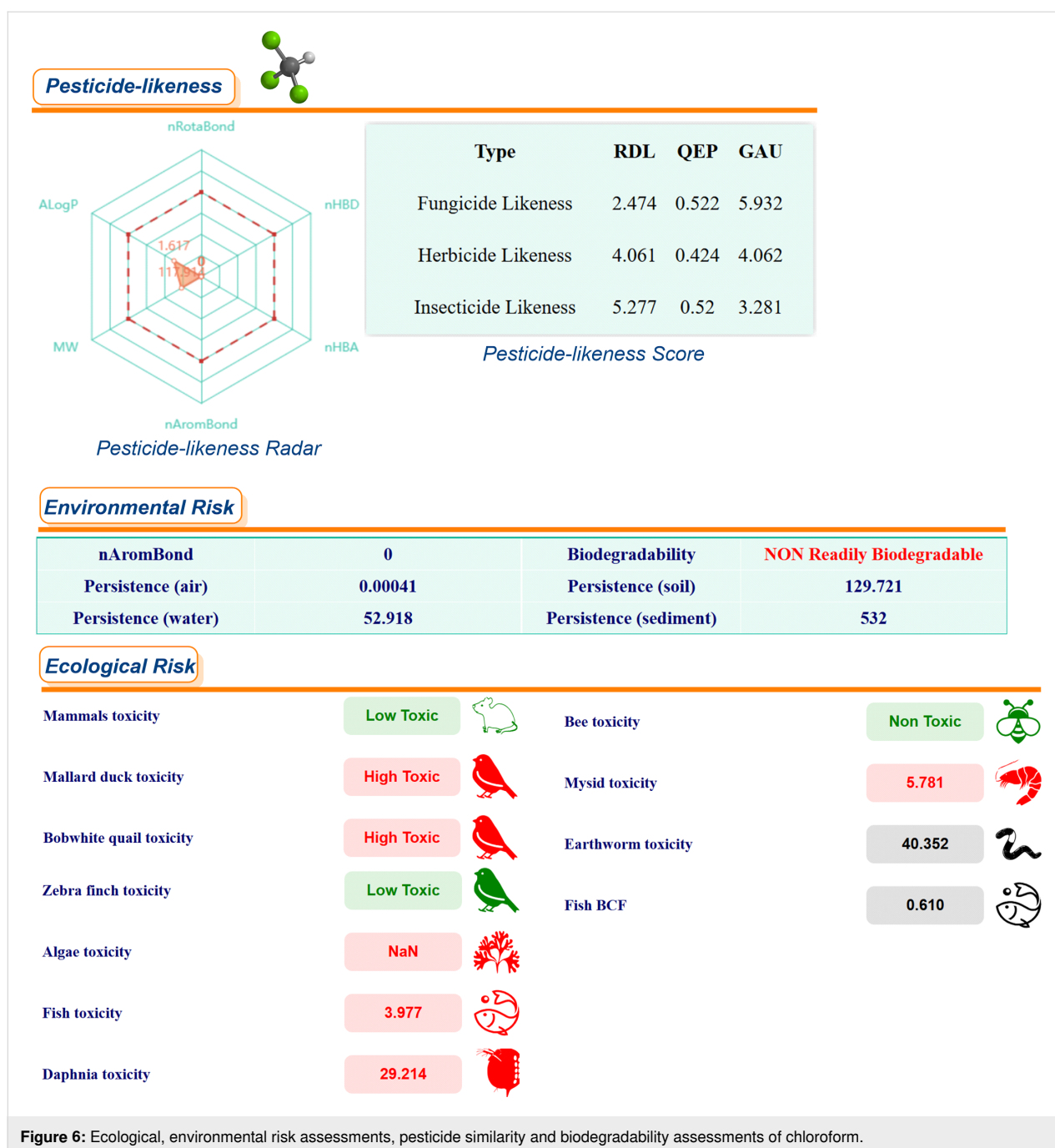
tally measured *Green Chemistry* parameters for methyl laurate are consistent with the predictions of various software or web platforms, and in some cases, even demonstrate that certain toxicity parameters of methyl laurate are more environmentally friendly. As demonstrated in the relevant literature, methyl laurate has been shown to be non-toxic to the acute oral route and to not induce mutagenic effects in the *S. typhimurium* reversion assay [94]. In the course of the experiments conducted with methyl laurate, no irritant effects on the skin of human subjects



were detected. However, evidence of ocular irritation at very low levels was obtained. Furthermore, it has been determined that 100–69% of methyl laurate is readily biodegraded aerobically following a 30-day period. The utilization of certain fatty acid methyl esters, including but not limited to methyl laurate, has found application in a variety of direct and indirect food additive applications [94].

The Hansen solubility parameter is a numerical expression that quantifies a molecule's cohesive energy density from non-polar, polar, and hydrogen-bonding interactions. It is a widely utilized tool in the field of molecular science for predicting the miscibility of solutes with solvents, as well as the miscibility of solutes within themselves, based on the fundamental principle of "like

dissolves like" [95]. The location of the selected common organic solvents in the 3D Hansen space is shown in Figure 8a. The distribution of these selected solvents with other organic solvents in the 3D Hansen solubility space is determined by three Hansen solubility parameters (HSPs): dispersion (δ_D), polarity (δ_P), and hydrogen bonding (δ_H). As is well established, information about their similarity in solubility properties can be obtained from the distance between solvents. The identification of problematic and non-problematic solvents is facilitated by the size and color of the specific sphere representing a particular solvent. As demonstrated by the figure, the position of methyl laurate (with values of $\delta_D = 16$, $\delta_P = 2.1$ and $\delta_H = 5.3$) can be found in the middle of the model spheres belonging to nonpolar and polar protic or polar aprotic solvents,



which had previously been widely favored in cycloaddition reactions. These values reveal the similarity in solubility properties of the solvents. Furthermore, the evidence suggests that the majority of these solvents is hazardous and their utilization is to be avoided. As demonstrated in Figure 8a, the color of the spheres of the customary proportional solvents employed in [3 + 2] cycloadditions is red or yellow, thereby substantiating the potential hazard associated with their utilization as a solvent in the aforementioned reactions. For the solute component *C,N*-diphenylnitrone, the dispersion, polarity, and hydrogen

bonding values for nitrene were determined as $\delta_D = 16.6$, $\delta_P = 7.6$ and $\delta_H = 8.7$. Figure 8b clearly shows the position of the solute in the Hansen space. The “Green Solvent Selection Tool” was utilized to ascertain these three parameters [95]. For the purpose of this study, a series of solvents in which *C,N*-diphenylnitrone dissolves was determined through experimental means (at 22 °C) in our laboratory (Figure 8c). An experiment was conducted in which a series of organic solvents and water were subjected to solubility tests. For the purposes of this experiment, approximately 15 mg of nitrene was mixed with

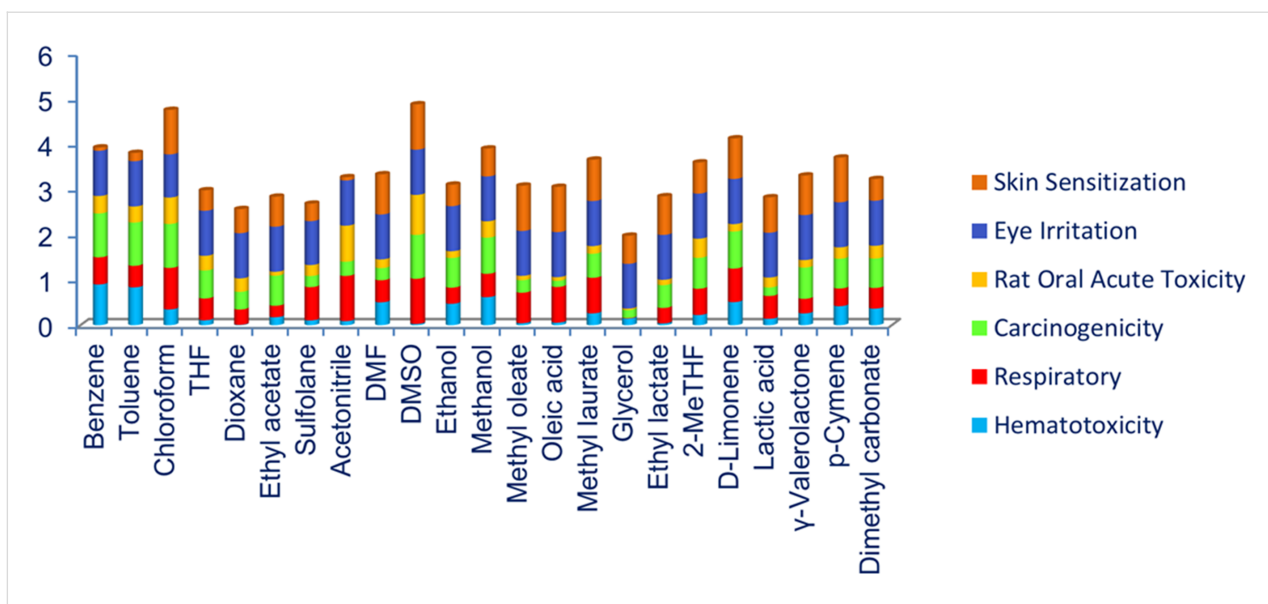


Figure 7: Various toxicity parameters of methyl laurate and a series of other solvents calculated by ADMETLab 3.0.

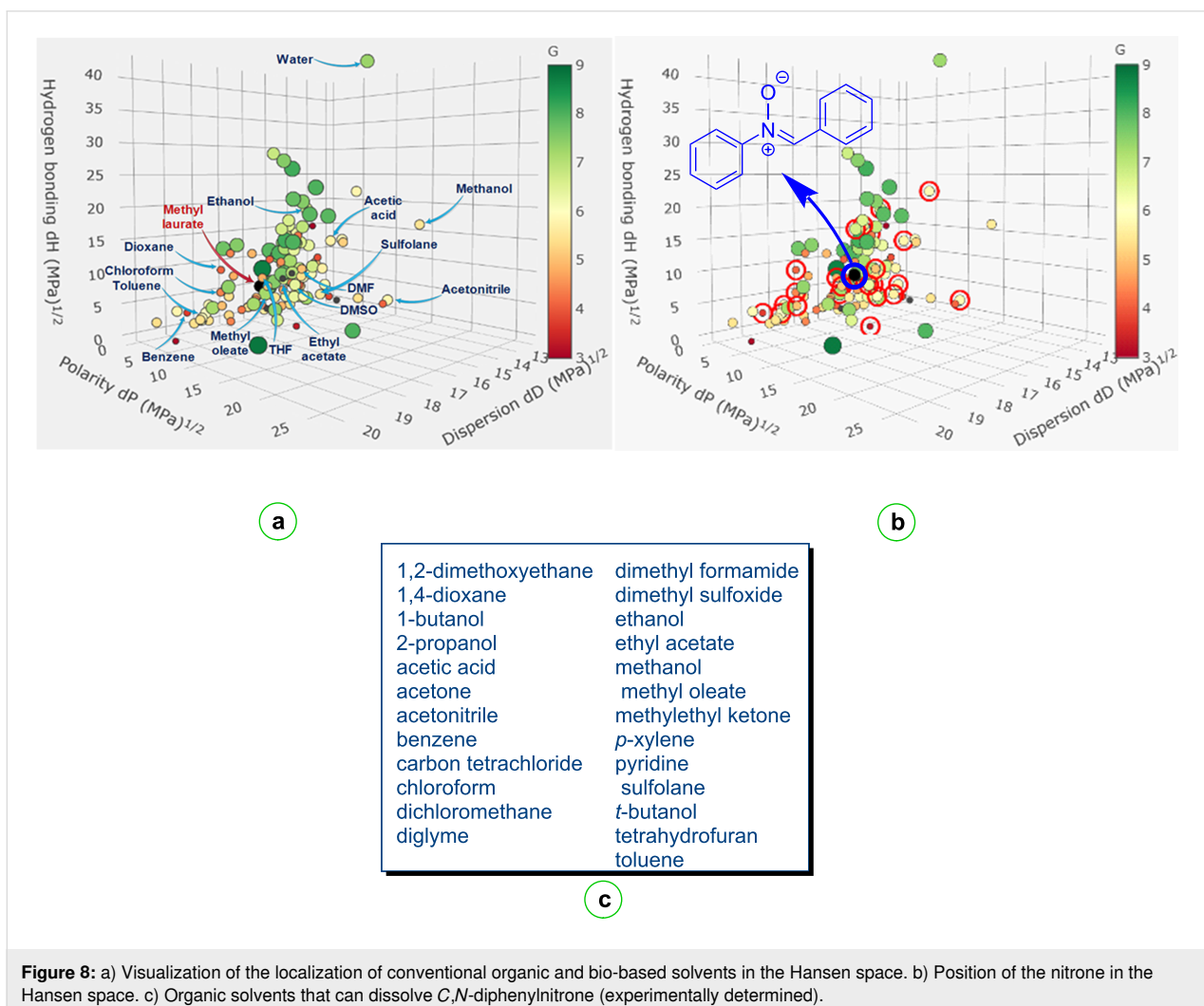


Figure 8: a) Visualization of the localization of conventional organic and bio-based solvents in the Hansen space. b) Position of the nitron in the Hansen space. c) Organic solvents that can dissolve *C,N*-diphenylnitron (experimentally determined).

1 mL of solvent in a 10 mL test tube at room temperature (22 °C). As demonstrated in Figure 8c, the solvents under consideration are all effective in facilitating the dissolution of nitrone. However, under identical conditions, the nitrone was not fully soluble in solvents such as water, diethyl ether, petroleum ether, triethylene glycol, diethanolamine, and glycerol. Then, these solvents were selected with the known functional solvent(s) of our solute icon in this tool and following the update, the parameter values given above for the relevant nitrone were readily obtained. As can be seen from the figure, methyl laurate is a good alternative to competing toxic organic solvents that are good solvents for this compound.

As well-known the Hildebrand solubility parameter (HSP, δ_T) has been defined as a measure of the cohesive energy density of a material, thus facilitating prediction of the solubility of a solute in a solvent [96].

$$\delta_T = \sqrt{\delta_D^2 + \delta_P^2 + \delta_H^2} \quad (1)$$

Methyl laurate has been found to have HSPs that are in close proximity to those that have been predicted for nitrone, with a δ_T (the Hildebrand solubility parameter or the total Hildebrand parameter) of approximately 17. This finding indicates that methyl laurate is a suitable solvent for nitrone, which has a δ_T of around 20. On the other hand, the radius of interaction (R_a) is a critical factor in the assessment of solute solubility in a solvent, particularly in the context of HSPs [97,98]. It facilitates estimation of the extent of interaction between a solute and solvent, as determined by their respective solubility parameters. Consequently, the difference between the HSPs values can be utilized to calculate the R_a value between the nitrone and methyl laurate. In order to calculate the R_a value between the nitrone and methyl laurate, it is recommended to employ the following equation (n = nitrone, ml = methyl laurate):

$$R_a = \sqrt{4 \cdot (\delta_D^n - \delta_D^{ml})^2 + (\delta_P^n - \delta_P^{ml})^2 + (\delta_H^n - \delta_H^{ml})^2} \quad (2)$$

According to Equation 2, R_a was calculated to be approximately $\approx 6.57 \text{ MPa}^{1/2}$. The radius of interaction (R_o) for a typical small organic molecule, such as the nitrone, is estimated to be approximately $7.5 \text{ MPa}^{1/2}$ which value is usually determined for solute molecules [97,99]. The relationship between R_a and R_o is denoted by the term relative energy difference (RED).

$$\text{RED} = \frac{R_a}{R_o} \quad (3)$$

In the absence of any energy difference, the RED number is equivalent to 0. RED numbers that are less than 1 indicate high affinity, whilst RED numbers that are equal to or close to 1 represent a boundary circumstance. RED numbers that are progressively higher demonstrate increasingly lower affinities. Accordingly, when the values are substituted,

$$\text{RED} = \frac{6.57}{7.5} \approx 0.876 \quad (4)$$

It is evident that, given the RED value of approximately 0.876, which is less than 1, the nitrone is likely to dissolve in a methyl laurate solvent. Methyl laurate is a medium-sized molecule that exhibits a combination of polar and non-polar characteristics, arising from the ester group and the non-polar hydrocarbon chain, respectively. The nitrone contains a polar functional group (N–O) and two aromatic rings, which serve to generate both polar and dispersion forces. Consequently, these findings indicate that fatty acid methyl esters may possess considerable promise as environmentally friendly solvents for cycloaddition reactions. Moreover, as pointed out by Gil et al., the δ_P and δ_H values for methyl esters of saturated fatty acids decrease with increasing chain length [100]. Consequently, the capacity of methyl laurate molecules to direct electric charge and hydrogen bonding is greater than that of methyl esters with longer chains.

Despite the proposal of a number of laborious green production processes for certain of the organic solvents selected for consideration in this study, they are still commercially produced using fossil reserves through conventional processes [101,102]. It is imperative to ascertain not only the cost-effective production processes of green solvents but also their static permeability, polarizability, solubility, viscosity, diffusivity, thermal behaviour and volumetric, surface or critical physicochemical properties that determine their interaction with other molecules in their environment [103]. It is evident that the dipole moment, a physical parameter which is macroscopic in nature, can be evaluated in order to characterize solvent polarity. The value calculated for methyl laurate is shown in Table S1 in Supporting Information File 1 and is in good agreement with the values of other organic solvents commonly used in cycloaddition reactions. It may thus be considered an ideal solvent for nitrones and *N*-substituted maleimides, which possess varying degrees of polarity. The observation that methyl laurate exhibits no propensity for hydrogen bonding between its own molecules, yet functions as an H-bonding acceptor for other molecules, has the potential to facilitate free volume in the cycloaddition reaction medium for suitable substrates. This may, in turn, result in a favourable enhancement of the reaction rate. As demonstrated in Supporting Information File 1, Table S1, entries 14–16, the polarizability of fatty acid derivatives is greater than that of

other solvents, resulting in their enhanced dispersing power. Consequently, the attraction to dissolved molecules is also stronger.

Gu and Jérôme proposed a set of 12 criteria (*availability, price, recyclability, grade, synthesis, toxicity, biodegradability, performance, stability, flammability, storage, renewability*) with a view to defining the concept of green solvents [71]. Methyl laurate, predicted as the main potential green solvent in this study, fulfils almost all of the above criteria. For example, it can be synthesized easily and with high efficiency through the biodiesel production process, it can be reused, it is stable under storage conditions, it can be obtained from renewable resources, it is biodegradable, non-flammable etc. It is widely acknowledged that conventional organic solvents present significant challenges, primarily due to the fact that many of these solvents are volatile organic compounds, due to their high vapour pressures. Consequently, the examination of the vapour pressures of the solvents to be selected for utilization in organic reactions provides significant insights into their volatility. As demonstrated in Figure 9, the vapour pressures of fatty acids and their derivatives are comparatively low when compared to other solvents. Table 3 presents a summary of the physicochemical parameters, biodegradabilities, and percentage yields of compound **3a** in each of the solvents that were considered in the current study. Furthermore, as indicated in Table 3, the vapour pressures of the solvents at a reaction temperature of 80 °C suggest their suitability to be used in cycloaddition processes, superseding the utilization of other volatile solvents. A subsequent examination of the yields obtained for the cycloaddition product **3a** in Table 3 demonstrates that, in accordance with the expectations, the reaction rate is slower in polar solvents. It is hypothesized that the slightly higher yields in water are probably due to hydrophobic effects [61]. Conversely, while the yields of cycloaddition reactions conducted in toluene and chloroform are satisfactory (Table 3), both solvents are classified as highly toxic. The European regulation regarding the 'Registration, Evaluation, Authorization and Restriction of Chemicals' (REACH) has led to limitations on the use of chlorinated solvents, toluene, DMF etc., with the implementation of particular prerequisites [89]. The double bond present in oleic acid and methyl oleate may be disadvantageous for these solvents in comparison to methyl laurate. The potential isomerization and reactivity of this double bond can pose significant challenges, particularly in cycloadditions or other organic transformations that necessitate extended cycloaddition processes and elevated temperatures.

With a boiling point in excess of 260 °C, methyl laurate has the potential to function as a green solvent in a wide range of organic reactions that necessitate elevated temperatures.

Furthermore, the oxidative stability index of methyl laurate at temperatures of 80 °C and 110 °C is greater than 40 (h), and the oxidation onset temperature is 198.5 °C which is better than those of methyl oleate [105]. In the case of castor oil, although all of the starting material was converted into the respective cycloadduct, the yield of the product was found to be lower than that observed in other solvents (Table 1, entry 6). This was primarily attributable to the utilization of a hexane/diethyl ether mixture in the isolation of the cycloadduct through precipitation, given that castor oil is insoluble in hexane. The solvent dissolves the product together with castor oil, causing it to pass to the filtrate phase and thereby reducing the amount present. In order to remain faithful to the principles of *Green Chemistry*, this study did not employ column chromatography for the isolation and purification of the products of interest from the reaction mixture. Otherwise, the yield of the reaction conducted in a castor oil medium would be similar to that of the other reactions. Furthermore, the rapid realization of the cycloaddition reaction in a non-polar solvent, such as methyl laurate, indicates that it proceeds via a non-polar mechanism. The lower polarity of the activated complex in comparison to the starting compounds supports the higher reaction rate in a non-polar solvent such as methyl laurate [61,106]. The 28:72 *exo/endo* (*cis/trans*) isomer ratio (Table 1, entry 9), obtained in this cycloaddition reaction indicates that the *endo* transition state is more stable, and that the thermodynamically more stable *trans* isomer is the major product in the reaction proceeding diastereoselectively through this transition state (Scheme 2). Meanwhile, the diastereomeric ratio of interest can be determined by integrating the ¹H NMR spectra, in particular by selecting the appropriate signal pairs (one from each diastereomer) belonging to the respective cycloaddition product. An example of these selected protons (*trans*-H6a and *cis*-H6a) is shown in Figure 10 for the compound **3a**.

Conversely, when these two reagents were heated in toluene, the ratio of *cis/trans* diastereoisomers was observed to be 1:1 [107], indicating that a remarkable diastereoselectivity can be achieved when methyl laurate is used as a solvent. The predominance of the *trans* isomer can be attributed to the stabilizing of secondary orbital interactions in the *endo* transition state, which results in the formation of this product, in contrast to the *exo* transition state [53,108]. In this case, the most significant factor is the π - π interaction (π - π stacking) between the phenyl rings substituted on the nitrogen atoms of both maleimide and nitron [109]. The most significant evidence confirming the interactions that determine the diastereoselectivity observed here is that the *cis* diastereomer is the major addition product in the dipolar cycloaddition reaction using *N*-methyl,*C*-phenylnitron and *N*-methylmaleimide [110]. Furthermore, although mono- and bifunctional *N*-methylnitrones exhibit higher cycloaddition

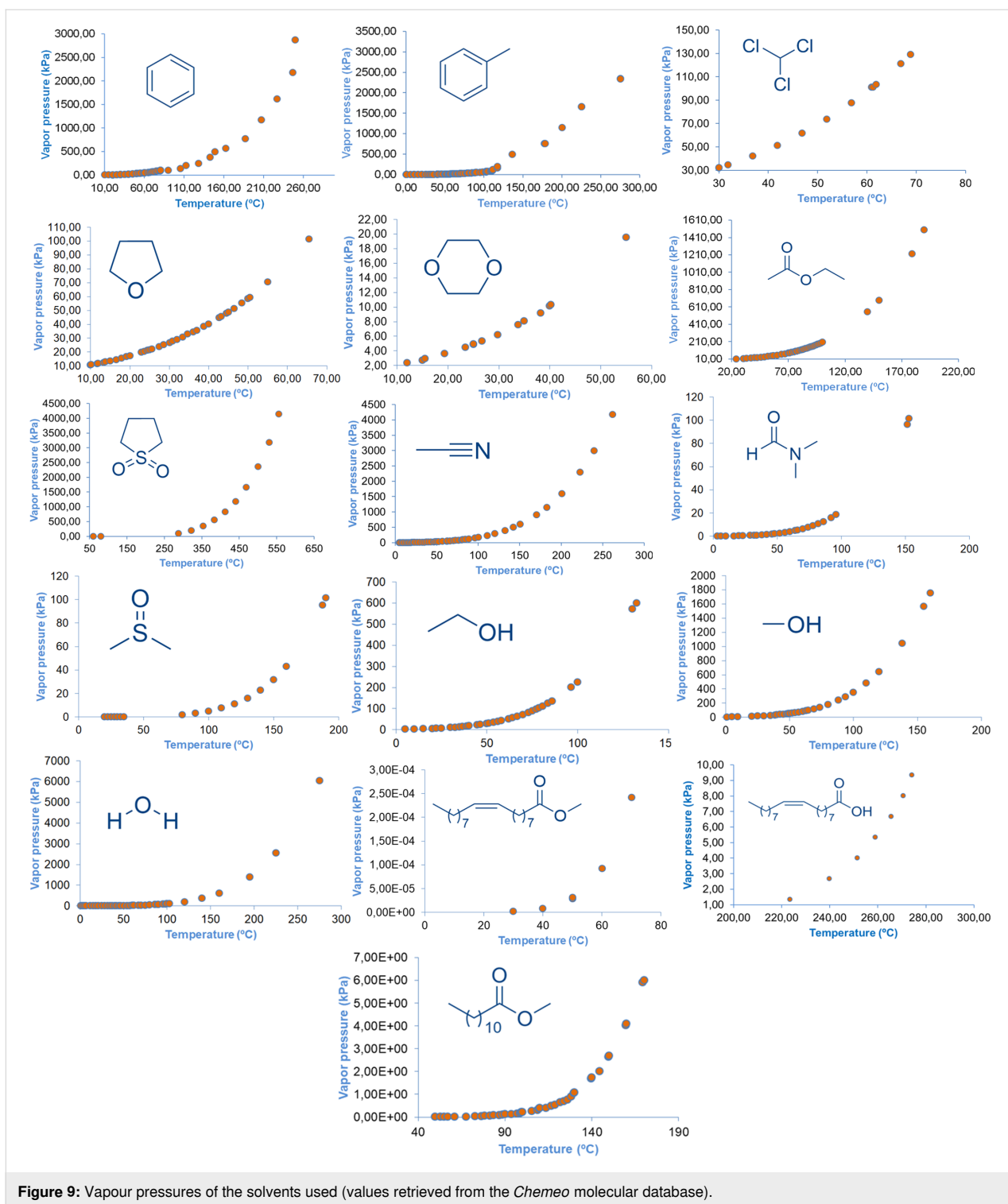


Figure 9: Vapour pressures of the solvents used (values retrieved from the *Chemo* molecular database).

reaction rates than their *N*-phenyl analogues, their impact on selectivity is diminished [111]. It is well established that nitrones prepared from aromatic aldehydes exhibit a *Z*-configuration [112,113] and it appears that *endo*-coupling of the *Z*-configured *C,N*-diphenylnitron with maleimide is more favorable. Indeed, the distribution of the *cis/trans* product is

also found to be significantly influenced by the nature of the substituents present in the 1,3-dipole and/or dipolarophile and therefore, in some cases, *cis*-diastereoselectivity arises [85,114–117]. For instance, in the [3 + 2] cycloaddition reactions examined in earlier studies, intramolecular H-bonding, facilitated by the amide or alcohol functionality

Table 3: The physicochemical parameters, toxicities, and yields of **3a** obtained in the used solvents.

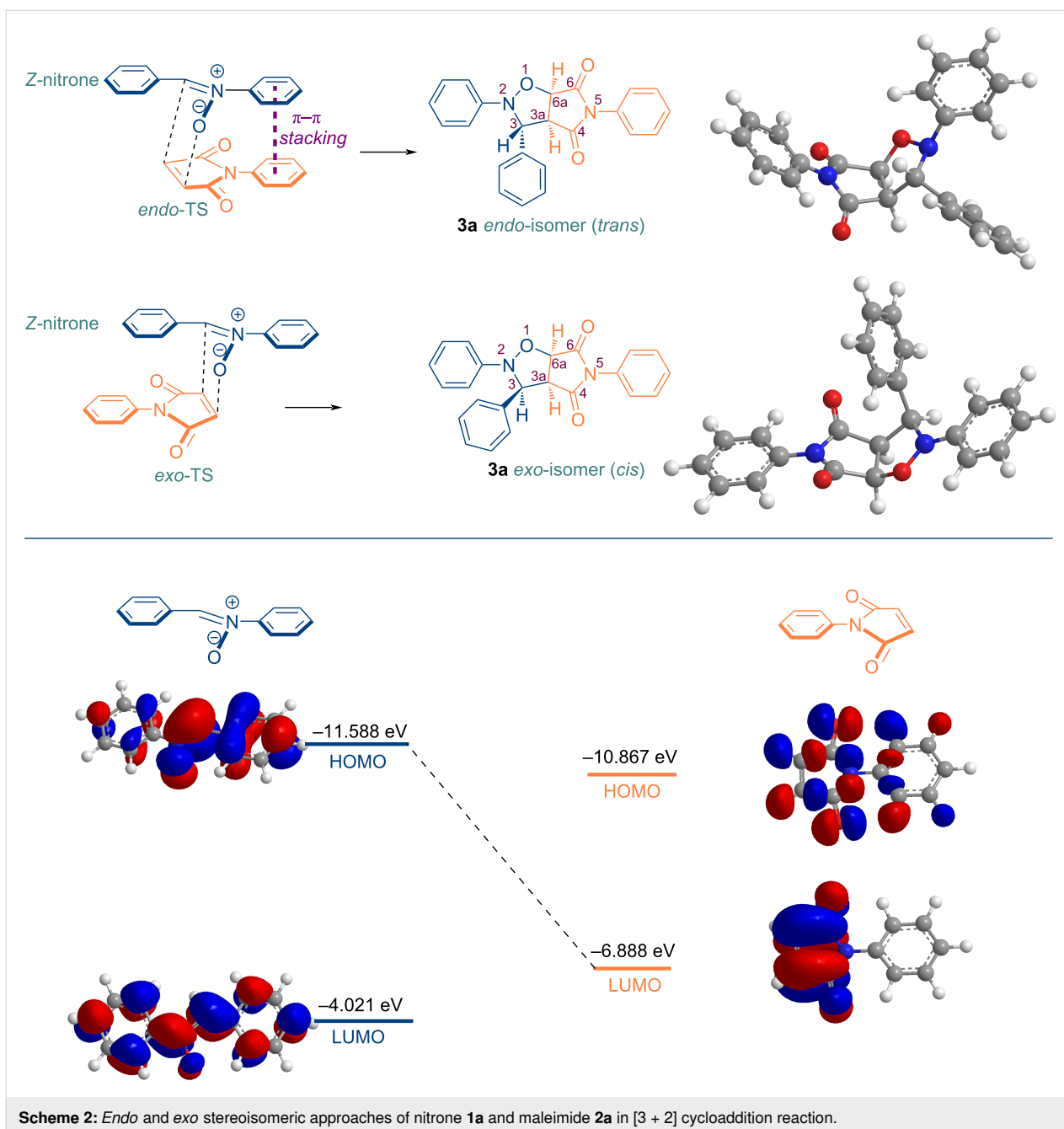
Solvent	Vapour pressure (kPa) ^a	Boiling point (°C) ^a	Flash point (°C) ^a	Hazard statement	Dipol moment (D) ^b	Atom economy (ref. [104]) (%) ^c	Biodegradability ^d	Yield of 3a (%) ^e
benzene	101.33	80	−12	highly flammable	0.00	–	non readily	54
toluene	38.87	111	4.4	highly flammable	0.27	–	non readily	84
chloroform	190.00	61	9.7	non flammable	1.35	–	non readily	74
THF	134.90	65	−21	highly flammable	1.71	80	readily	21
dioxane	64.54	101	12	highly flammable	0.45	–	readily	16
EtOAc	111.76	77	−4	highly flammable	1.89	96	readily	26
sulfolane	0.04	287	176	non flammable	4.50	–	readily	37
MeCN	96.34	82	5.56	highly flammable	3.22	–	readily	42
DMF	9.90	153	58	flammable	3.57	–	non readily	14
DMSO	2.00	189	87	non flammable	4.22	72	readily	34
EtOH	108.42	78	12	highly flammable	1.59	51	non readily	68
MeOH	182.61	64	12	highly flammable	1.54	100	non readily	34
water	47.37	100	–	non flammable	1.77	–	non readily	51
methyl oleate	0.0005	351	180	non flammable	1.74	–	readily	88
oleic acid	0.08	360	189	non flammable	1.69	–	readily	87
methyl laurate	0.06	262	134	non flammable	1.64	88	readily	100

^aValues retrieved from the *Chemo* molecular database (vapour pressure at 80 °C). ^bValues calculated with Gaussian. ^cCalculated value for the production of solvent. ^dThe relevant results were obtained with *ChemFREE* web platform. ^eYield obtained at the end of 10 minutes reaction time.

present in the nitron structure, results in elevated *cis* or *trans* diastereoselectivity [85,111]. Furthermore, the [3 + 2] cycloaddition reactions of nitrones with electron-poor dipolarophiles, such as *N*-phenylmaleimide, is controlled by the HOMO FMO of the nitron. Consequently, it can be deduced that HOMO_{nitron}–LUMO_{*N*-phenylmaleimide} interactions will be more pronounced in a non-polar methyl laurate environment (Scheme 2) [118].

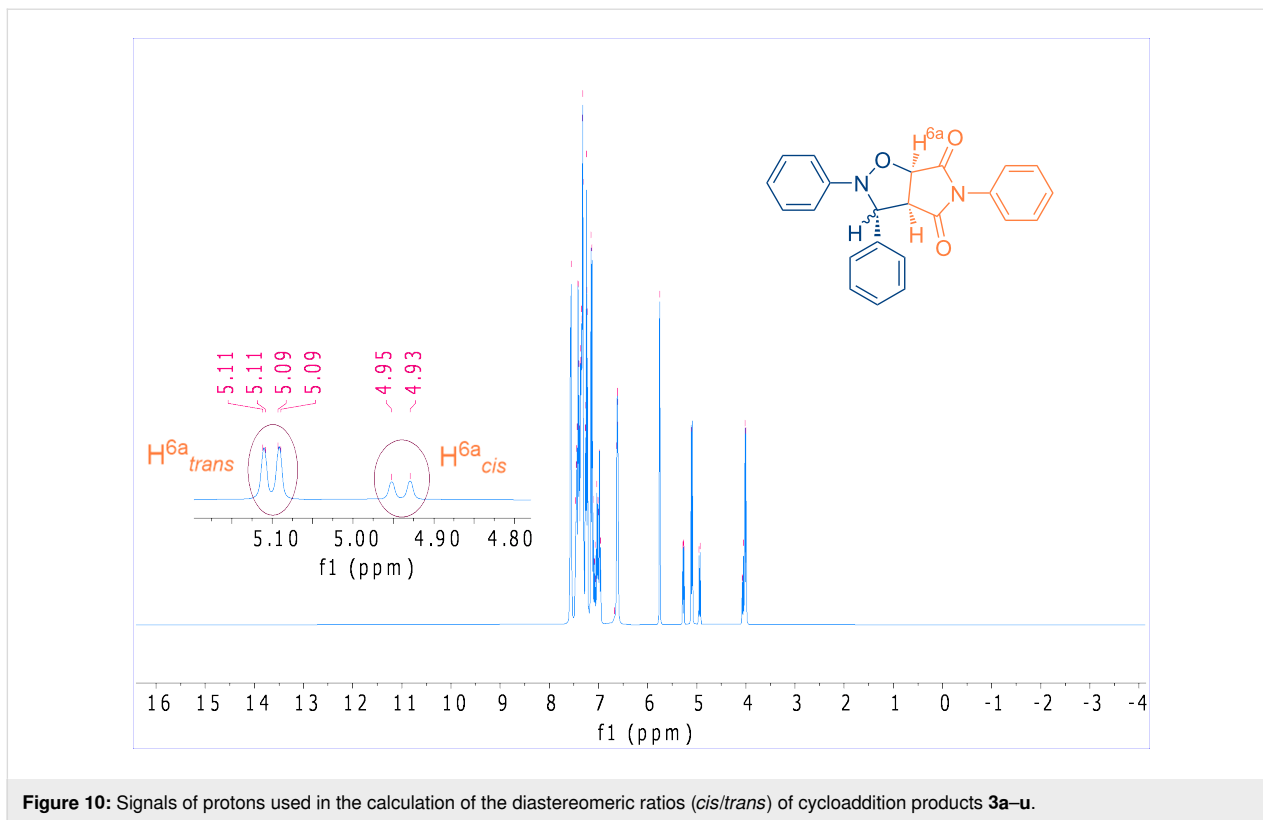
In contrast, a limited number of studies have documented the occurrence of analogous cycloaddition reactions in water [62,108,113–121]. However, it should be noted that the isolation procedures employed in these studies to obtain the product from the aqueous reaction medium are time-consuming and inefficient. In this study, when water was used as a solvent, a similar problem was encountered to the one mentioned below, and

the yield of the product was significantly reduced. The compounds employed in these studies are typically devoid of elongated hydrocarbon chains and in certain instances, bis-nitron compounds have been employed as 1,3-dipoles. Furthermore, the reactions are known to be completed within approximately 3–4 or 20–32 hours at ambient temperature. Argyropoulou et al., obtained the cycloadduct products in relatively low yields (69%) from the reaction of hydrophobic nitrones with methyl acrylate over a 24-hour period in an aqueous suspension medium, in which hydrophobic effects are also involved [122]. In a further study conducted within an aqueous environment, it was possible to obtain the associated products resulting from the cycloaddition reactions of non-hydrophobic nitrones with ethyl cinnamate derivatives. These products were achieved with a yield of 78–85% within 12 hours, operating at 100 °C under conditions catalyzed by γ -cyclodextrin [123]. An attempt was



made to synthesize compound **3a** in water under the reaction conditions specified in Table 1, entry 10. TLC analysis after 10 minutes revealed the presence of the starting nitron in the reaction medium. The isolation of the product was achieved through extraction with EtOAc, followed by drying over anhydrous Na_2SO_4 . The solvent was then evaporated, and the residue was triturated with hexane to precipitate the targeted product. However, at this stage, the product became excessively adhesive to the walls of the reaction vessel, thereby making it difficult to precipitate. Following the completion of these steps, the desired addition product was obtained in a yield

of 51%. In the event of water being utilized as the solvent, the primary factor contributing to the low reaction yield over the specified timeframe is the low solubility of both reactants in water. Furthermore, it is acknowledged that the well-documented hydrogen bonding and hydrophobic effects do not exert a favorable influence on the reaction. This result suggests that methyl laurate may be a superior green solvent candidate for such reactions compared to water. Furthermore, it is evident that the initial compounds with a high degree of hydrophobic character may result in significant solubility issues within an aqueous environment.



A series of studies were conducted with the objective of recovering and reusing the solvents utilized in the reaction and product isolation (Figure 11). To this end, a specific volume of hexane was introduced into the medium at the conclusion of the reaction, after which the product was precipitated and filtered under vacuum. The residue was then subjected to a subsequent

wash with a volume of hexane, and the filtrate was transferred into a flask and concentrated at 45 °C using a rotary evaporator. The experimental conditions were such that 55% of the hexane was recovered, along with 99% of the methyl laurate. The methyl laurate that was recovered was then used as the reaction medium on four more occasions. The following report summa-

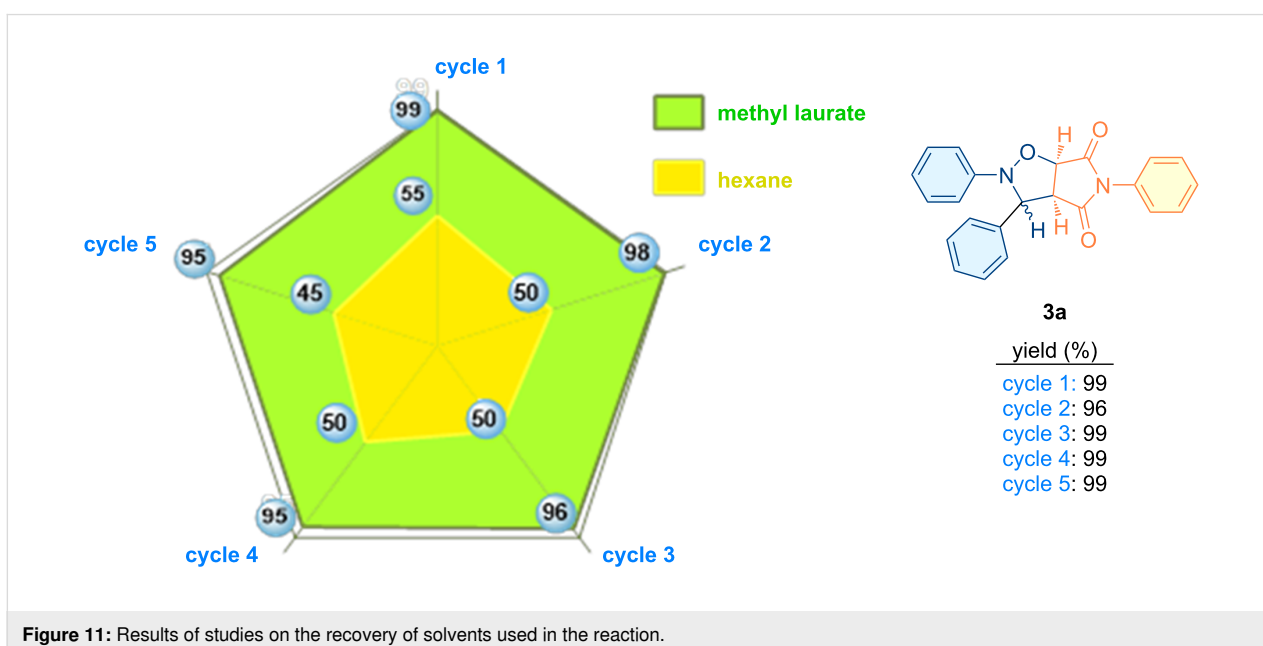


Figure 11: Results of studies on the recovery of solvents used in the reaction.

rizes the findings of studies conducted on the recovery of solvents utilized in the aforementioned reaction (Figure 11). In addition, the completion of the cycloaddition reaction can be readily monitored through the utilization of Fourier-transform infrared (FTIR) spectroscopy and/or thin-layer chromatography (TLC) analysis (Figure 12). In order to verify the purity of the recovered methyl laurate at the conclusion of the reaction, a number of chromatographic (TLC), spectroscopic (FTIR) and GC–MS analyses were performed (Figures S2, S3, and S4 in Supporting Information File 1). Although a trace amount of impurity was observed on the TLC plate, the FTIR spectrum of the methyl laurate recovered after the reaction revealed that it had almost the same purity level as the methyl laurate before the reaction. This degree of purity is also clearly confirmed by the GC–MS spectrum of the recovered methyl laurate. However, if desired, the methyl laurate can be readily purified by vacuum distillation at the end of the reaction and safely reused.

The synthesis of the corresponding cycloaddition products **3a–u** was achieved by heating equimolar amounts of nitron and maleimide in methyl laurate at 80 °C for the times indicated in Table 4. The corresponding products were readily isolated as a mixture of diastereoisomers by precipitation from the reaction medium with the addition of solvents such as hexane, octane or

a diethyl ether/hexane mixture. As Welton asserts, the environmental friendliness of a chemical process is contingent upon the properties of the solvent, which must facilitate practical isolation of the product at the end of the process [124].

As previously stated, the process of following the cycloaddition reactions within the scope of this study by means of FTIR spectroscopy is a relatively simple and practical task. The data obtained from the FTIR monitoring of the cycloaddition reaction between *C,N*-diphenylnitron (**1a**) and *N*-phenylmaleimide (**2a**) carried out as a model reaction are given in Figure 13. As illustrated in Figure 13a, the superimposed spectra of *C,N*-diphenylnitron and *N*-phenylmaleimide are presented. Figure 13b shows the spectrum of methyl laurate, which was utilized as a solvent. Figure 13c presents the spectrum of the reaction mixture, collected from the reaction medium after one hour had elapsed since the beginning of the reaction. Figure 13d shows the spectrum of the initial reaction mixture and the mixture collected from the reaction medium after five minutes. Figure 13e presents the spectrum of the isolated product **3a**. As demonstrated in Figure 13c and 13d, the signal attributed to the C=N stretching of starting nitron **1a**, observed at 1548 cm⁻¹, disappears. This finding suggests that the nitron is fully consumed by the end of the five-minute reaction period.

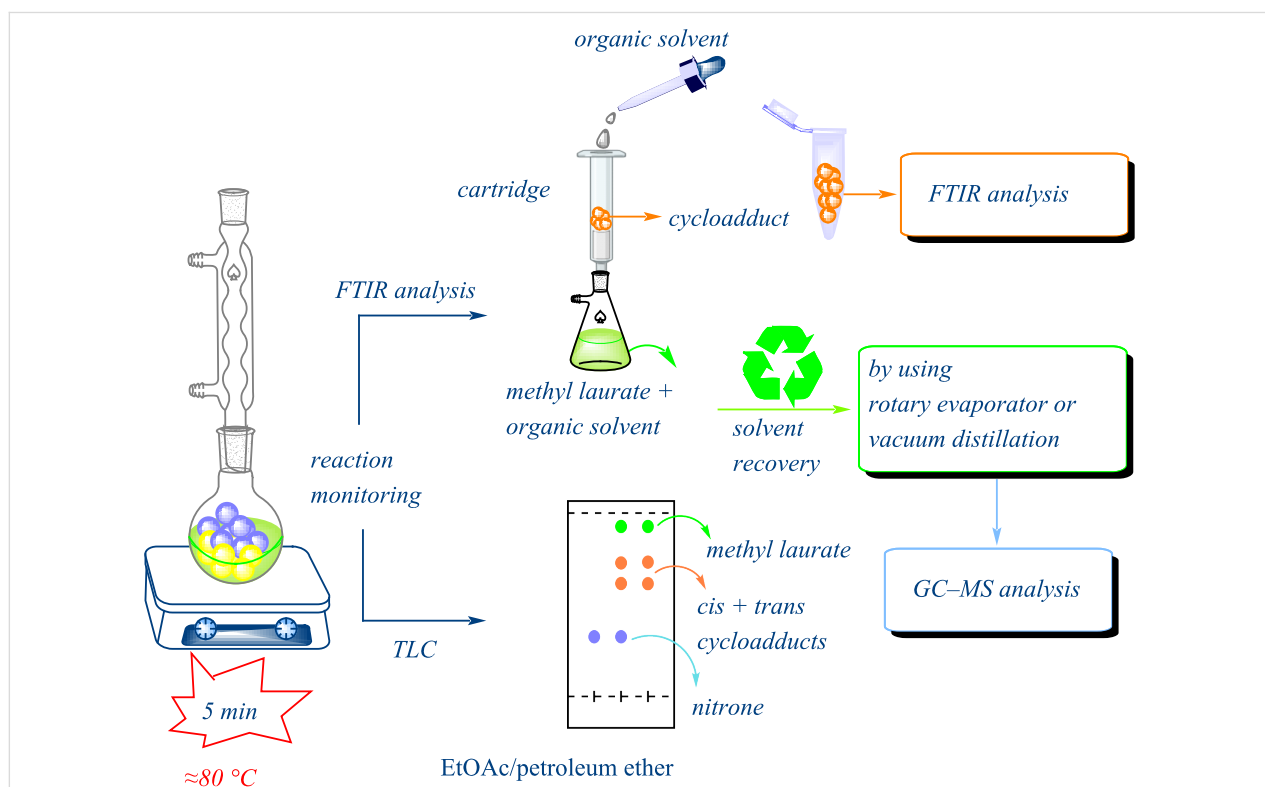


Figure 12: Simplified scheme describing the reaction monitoring and solvent recovery.

Table 4: Synthesized pyrrolo[3,4-d]isoxazolidines **3a–u** (*cis* + *trans* isomers).

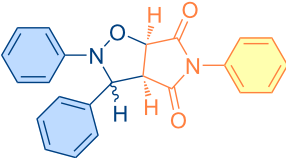
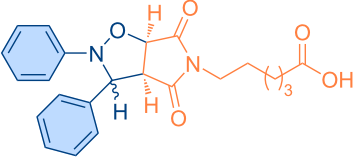
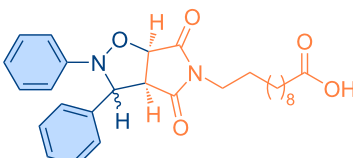
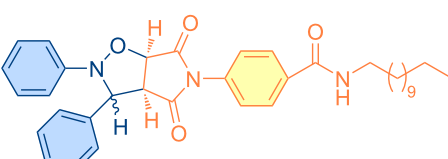
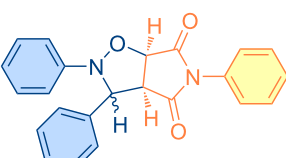
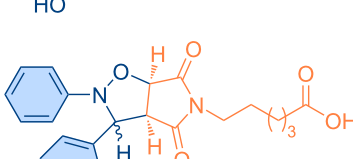
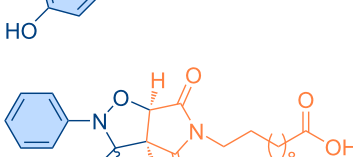
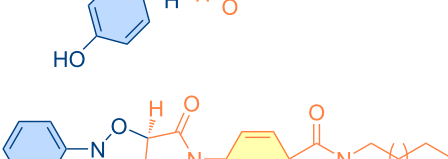
Compound	Structure	Time (min)	<i>cis/trans</i> ratio	Yield (%) ^a
3a		5	28:72	100
3b		10	29:71	100
3c		10	29:71	100
3d		10	29:71	100
3e		60	47:53	90
3f		60	9:91	86
3g		60	37:63	100
3h		40	33:67	89

Table 4: Synthesized pyrrolo[3,4-d]isoxazolidines **3a–u** (*cis* + *trans* isomers). (continued)

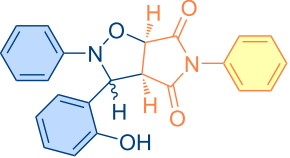
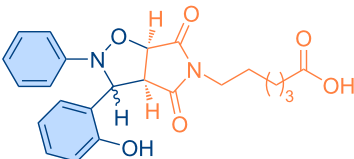
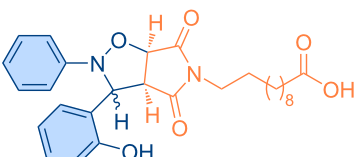
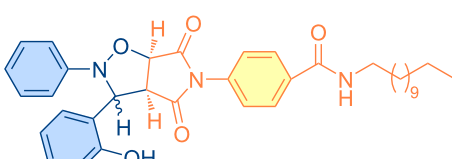
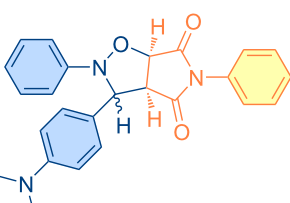
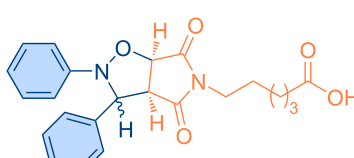
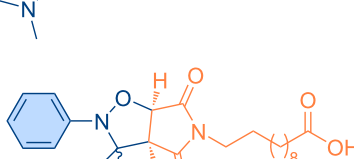
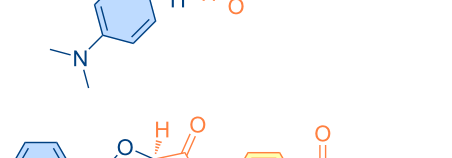
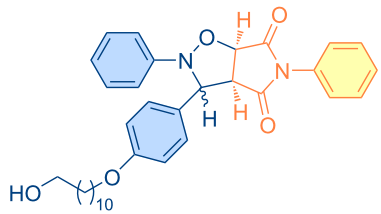
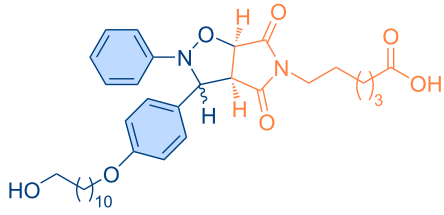
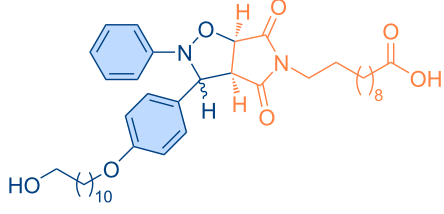
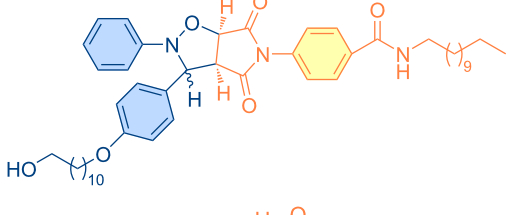
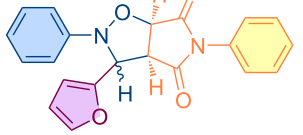
3i		60	34:66	83
3j		80	16:84	86
3k		80	20:80	89
3l		80	33:67	92
3m		20	40:60	94
3n		30	43:57	100
3o		10	31:69	88
3p		30	29:71	89

Table 4: Synthesized pyrrolo[3,4-d]isoxazolidines **3a–u** (*cis* + *trans* isomers). (continued)

3q		20	40:60	96
3r		10	42:58	96
3s		10	37:63	100
3t		10	44:56	97
3u		60	33:67	99

^aIsolated yields.

In academic and industrial communities alike, there is a high level of interest in catalytic methods of *Green Chemistry* that allow stereoselective transformations [125–128]. It is imperative to develop stereoselective synthetic methods for cycloaddition reactions. In this study, a series of natural organic compounds from diverse classes and an acetonide [129] derivative were selected for investigation, with a focus on their potential catalytic effects in relation to the alteration of *cis/trans* product distribution under optimized [3 + 2] cycloaddition conditions. The primary strategy for the selection of these compounds as catalysts is predicated on their capacity to function as H-bond acceptors and/or H-bond donors. As has been documented in previous research, imine-based templates or [2]rotaxane that

possess an amide functionality have been observed to exhibit notable *trans* diastereoselectivity in the context of cycloaddition reactions [130–135]. For this purpose, equivalent amounts of nitrene **1a** and maleimide **2a** were reacted with 5 mol % catalyst (Table 5) under the cycloaddition conditions determined in Table 1, entry 9. As illustrated in Table 5, the product yields obtained from the reactions are presented alongside the observed *cis/trans* diastereoisomer ratios in the presence of the respective catalysts. However, upon examination of the ¹H NMR spectra of compound **3a** synthesized in the presence of the relevant catalysts, it was found that these catalyst molecules had no significant effect on the *cis/trans* diastereoisomer distribution.

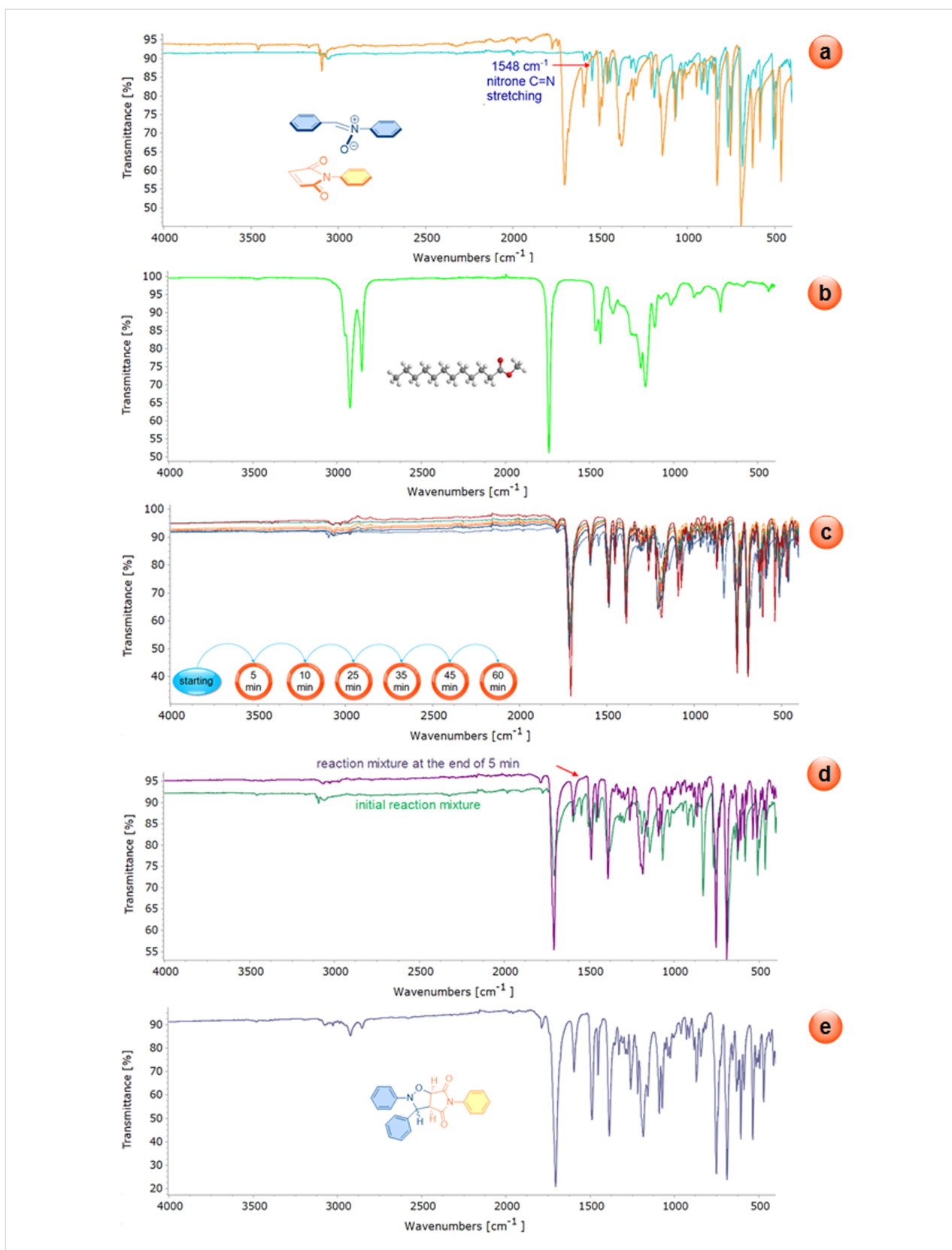
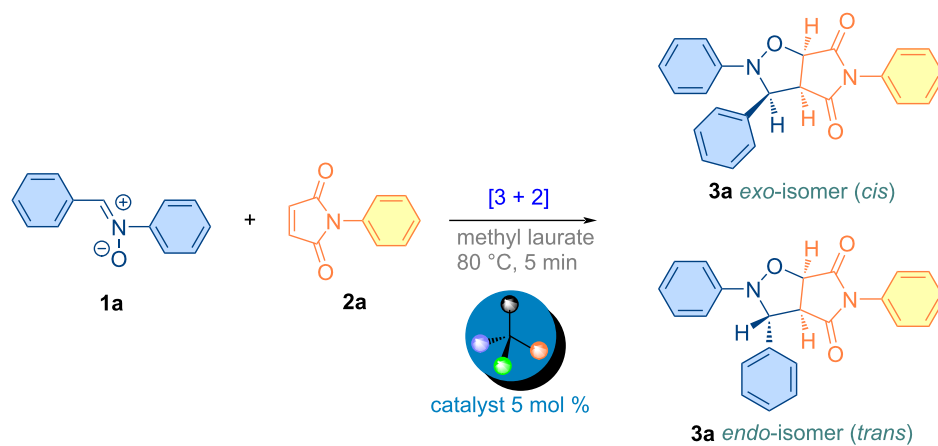


Figure 13: a) The superimposed spectra of *C,N*-diphenylnitron and *N*-phenylmaleimide. b) The spectrum of methyl laurate. c) The spectrum of the reaction mixture, collected from the reaction medium after one hour had elapsed since the beginning of the reaction. d) The spectrum of the initial reaction mixture and the mixture collected from the reaction medium after five minutes. e) The spectrum of the isolated product **3a**.

Table 5: Catalyst survey for the synthesis of **3a** (*cis* + *trans* isomers).

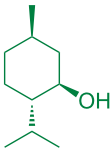
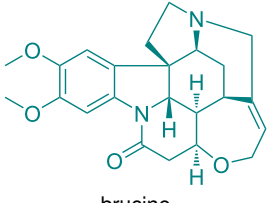
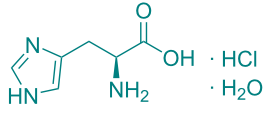
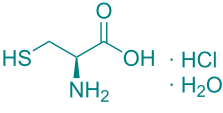
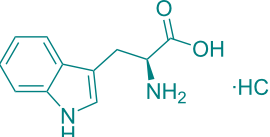
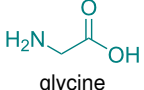

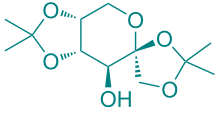
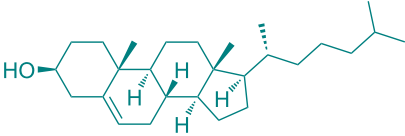
Entry	Catalyst (5 mol %)	<i>cis/trans</i> ratio	Yield (%) ^a
1	without catalyst	28:72	100
2	 DL-menthol	29:71	96
3	 brucine	28:72	92
4	 L-histidine hydrochloride monohydrate	30:70	94
5	 L-cysteine hydrochloride monohydrate	29:71	96
6	 L-tryptophan methyl ester hydrochloride	25:75	93
7	 glycine	31:69	94

Table 5: Catalyst survey for the synthesis of **3a** (*cis* + *trans* isomers). (continued)

8	 DL-camphor	31:69	99
9	 1,2,4,5-di-O-isopropylidene- β -D-fructopyranose	31:69	92
10	 cholesterol	31:69	92

^aIsolated yields.

Conclusion

In conclusion, the present study reveals that methyl laurate is an excellent biocompatible solvent candidate for [3 + 2] cycloaddition reactions between nitrones and *N*-aryl-substituted maleimides. Methyl laurate meets most of the criteria for a readily available green solvent compared to the other 15 potential solvents compared. It is demonstrated that the toxicity prediction tools employed in the present study also indicate that methyl laurate is a more appropriate and eco-friendly solvent. Furthermore, a multitude of alternative vegetable oils could also be considered as green reaction media for such reactions. The pyrrolo-isoxazolidines targeted for synthesis in this *Green Chemistry* methodology were obtained as *cis/trans* diastereoisomer pairs in short reaction times and in very good yields. Despite the acknowledged fact that cycloaddition reactions remain unaffected by the solvent, this study demonstrates that the solvent can exert a substantial influence on the diastereomeric product distribution in such organic transformations. A series of studies were conducted on natural compounds selected for use as catalysts within the scope of this work. These studies revealed that there was no significant alteration to the ratio of diastereoisomers when the respective catalysts were utilized. In summary, methyl laurate is a solvent that has attracted attention as a potential alternative to conventional organic solvents on account of its environmentally friendly properties. The material is biodegradable, non-toxic and derived from renewable resources, thus making it a sustainable choice for a variety of applications. The primary benefit of this solvent lies in its

reduced volatility and toxicity, characteristics that surpass many of the organic solvents evaluated in this study. Consequently, it presents itself as a promising reaction medium for [3 + 2] cycloaddition reactions, a process that conventional solvents may hinder due to their potential environmental or health implications.

Experimental

All reagents and solvents were purchased from Merck (Merck, Darmstadt, Germany), Sigma-Aldrich (St. Louis, MO), or Acros Organics (Thermo Fisher Scientific, Geel, Belgium) and used without further purification. Thin-layer chromatography was performed using silica gel (60 F₂₅₄, Merck, Darmstadt, Germany) plates. Melting points were recorded using a Büchi melting point B-540 apparatus (Büchi Labortechnik AG in Flawil, Switzerland). The IR spectra were measured by Spectrum Two FT-IR spectrometer (PerkinElmer, Massachusetts, USA). The NMR spectra were measured using Bruker ultra-shield plus biospin 400 MHz NMR spectrometer and chloroform-*d* (CDCl₃) or hexadeuterodimethyl sulfoxide (DMSO-*d*₆) as a solvent. Chemical shifts (δ) are reported in ppm and *J* values in hertz. The elemental analyses were performed using a Leco CHNS-932 elemental analyzer (Saint Joseph, MI, USA). An Agilent 7890A gas chromatograph coupled with a 5975C mass spectrometer was used to analyze recovered methyl laurate. Chromatographic analysis of the methyl laurate dissolved in MeOH was performed in an HP-5MS fused silica capillary column (0.25 μ m, 0.25 mm \times 30 m). Helium

(99.999%) was used as carrier gas with a constant flow rate of 1.0 mL min⁻¹.

Representative procedure for cycloaddition reactions

To a 50 mL single-necked flask, (0.1 g, 0.51 mmol) of *C,N*-diphenylnitrone, (0.09 g, 0.52 mmol) of *N*-phenylmaleimide and 1 mL of methyl laurate were added. The flask was attached to a reflux cooler and heated in an oil bath with stirring for a period of five minutes, until the internal temperature reached 80 °C. The reaction mixture rapidly precipitates to form a white solid product. The results of the FTIR and TLC analysis indicate that the starting compounds have been completely consumed. Subsequently, the reaction mixture was cooled to room temperature and hexane was added with rapid stirring, allowing the cycloaddition product to precipitate as a mixture of *cis* and *trans* diastereoisomers in the form of a white solid. The precipitate was then washed with a small quantity of hexane and dried in open air. The resulting mixture of isomers was subjected to direct NMR analysis, without any additional purification or separation steps.

Supporting Information

Supporting Information File 1

Characterization data, copies of NMR spectra, additional Table and Figures.

[<https://www.beilstein-journals.org/bjoc/content/supplementary/1860-5397-21-184-S1.pdf>]

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Author Contributions

Ayhan Yıldırım: conceptualization; formal analysis; investigation; methodology; resources; supervision; validation; visualization; writing – original draft; writing – review & editing. Mustafa Göker: formal analysis; investigation.

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Data Availability Statement

All data that supports the findings of this study is available in the published article and/or the supporting information of this article.

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